Compilation of CESNI resolutions and decisions
Meeting on 6 July 2017

Communication from the Secretariat

The Secretariat transmits herewith the compilation of CESNI resolutions and decisions of the meeting on 6 July 2017.

- **Standards**
  - CESNI 2017-II-1: European standard laying down the technical requirements for inland navigation vessels (ES-TRIN), edition 2017/1
  - CESNI 2017-II-2: test standard for Inland AIS, edition 2017/2.0

- **Granting of status of observer State**
  - Decision of 6 July 2017: Granting of status of observer State to the Republic of Serbia
Resolution CESNI 2017-II-1
European standard laying down the technical requirements for inland navigation vessels (ES-TRIN), edition 2017/1

The European Committee for drawing up Standards in the field of Inland Navigation (“CESNI”),
having regard to CESNI’s Rules of Procedure, in particular article 9 paragraph 1 thereof,
adopts the European standard laying down technical requirements for inland navigation vessels (ES TRIN) 2017/1 in the annex to this resolution,
proposes 7 October 2018 as the date for entry into force in accordance with article 10 paragraph 2 of CESNI’s Rules of Procedure.

Annex
(separately)
Resolution CESNI 2017-II-2  
Test standard for Inland AIS, edition 2017/2.0

The European Committee for drawing up Standards in the field of Inland Navigation ("CESNI"), having regard to CESNI's Rules of Procedure, in particular article 9 paragraph 1 thereof, having regard to the European standard laying down technical requirements for inland navigation vessels (ES TRIN) 2017/1, in particular article 1.01 (7.11), adopts the test standard for Inland AIS, edition 2017/2.0, in the annex to this resolution proposes 7 October 2018 as the date for entry into force in accordance with article 10 paragraph 2 of CESNI's Rules of Procedure.

Annex

(separately)
Decision of 6 July 2017
Granting of status of observer State to the Republic of Serbia

The European Committee for drawing up Standards in the field of Inland Navigation (CESNI),

having regard to the invitation extended to the Republic of Serbia to take part in the Committee’s work,

having regard to the written application submitted by the Republic of Serbia, sent to the Secretariat on 3 July 2017,

having regard to the Rules of Procedure of the CESNI, and more particularly Article 2.3 b) regarding the composition of the CESNI and Article 9.3 on decision-making within the CESNI,

having regard to the Internal Regulations on the status of observer State, and more particularly Article 1 on the granting of such status,

noting that the Republic of Serbia has undertaken to abide by the rules laid down in Article 2 of the Internal Regulations on the conditions for the participation of observer States,

and in view of the interest of the Republic of Serbia in inland navigation and its desire to contribute to its development,

decides to grant the status of observer State to the Republic of Serbia.

***
Compilation of CESNI resolutions and decisions
Meeting on 6 July 2017

ANNEXES

- **AD CESNI 2017-II-1**: European Standard laying down the technical requirements for inland navigation vessels (ES-TRIN), edition 2017/1

- **AD CESNI 2017-II-2**: Test standard for inland AIS, edition 2017/2.0
European Committee for drawing up Standards in the field of Inland Navigation (CESNI)

European Standard laying down technical requirements for Inland Navigation vessels (ES-TRIN)

Edition 2017/1

European Standard laying down Technical Requirements for Inland Navigation vessels (ES-TRIN)
# TABLE OF CONTENTS

## PART I GENERAL

<table>
<thead>
<tr>
<th>Chapter</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>General</td>
<td>1</td>
</tr>
<tr>
<td>6</td>
<td>Procedure</td>
<td>11</td>
</tr>
</tbody>
</table>

## PART II PROVISIONS REGARDING SHIPBUILDING, FITTING OUT AND EQUIPMENT

<table>
<thead>
<tr>
<th>Chapter</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>Shipbuilding requirements</td>
<td>13</td>
</tr>
<tr>
<td>4</td>
<td>Safety clearance, freeboard and draught marks</td>
<td>17</td>
</tr>
<tr>
<td>5</td>
<td>Maneuvtability</td>
<td>23</td>
</tr>
<tr>
<td>6</td>
<td>Steering system</td>
<td>27</td>
</tr>
<tr>
<td>7</td>
<td>Wheelhouse</td>
<td>31</td>
</tr>
</tbody>
</table>

## Table of contents

<table>
<thead>
<tr>
<th>Article</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.01</td>
<td>Definitions</td>
<td>1</td>
</tr>
<tr>
<td>1.02</td>
<td>Instructions for the application of this Standard</td>
<td>10</td>
</tr>
<tr>
<td>2.01</td>
<td>General requirements</td>
<td>11</td>
</tr>
<tr>
<td>2.02</td>
<td>Steering apparatus drive unit</td>
<td>23</td>
</tr>
<tr>
<td>2.03</td>
<td>Hydraulic steering apparatus drive unit</td>
<td>28</td>
</tr>
<tr>
<td>2.04</td>
<td>Power source</td>
<td>28</td>
</tr>
<tr>
<td>2.05</td>
<td>Manual drive</td>
<td>28</td>
</tr>
<tr>
<td>2.06</td>
<td>Rudder-propeller, water-jet, cycloidal-propeller and bow-thruster systems</td>
<td>29</td>
</tr>
<tr>
<td>2.07</td>
<td>Indicators and monitoring devices</td>
<td>29</td>
</tr>
<tr>
<td>2.08</td>
<td>Rate-of-turn regulators</td>
<td>30</td>
</tr>
<tr>
<td>2.09</td>
<td>Testing</td>
<td>30</td>
</tr>
<tr>
<td>3.01</td>
<td>Basic rules</td>
<td>13</td>
</tr>
<tr>
<td>3.02</td>
<td>Strength and stability</td>
<td>13</td>
</tr>
<tr>
<td>3.03</td>
<td>Hull</td>
<td>14</td>
</tr>
<tr>
<td>3.04</td>
<td>Engine and boiler rooms, bunkers</td>
<td>16</td>
</tr>
<tr>
<td>4.01</td>
<td>Safety clearance</td>
<td>17</td>
</tr>
<tr>
<td>4.02</td>
<td>Freeboard</td>
<td>17</td>
</tr>
<tr>
<td>4.03</td>
<td>Minimum freeboard</td>
<td>19</td>
</tr>
<tr>
<td>4.04</td>
<td>Draught marks</td>
<td>19</td>
</tr>
<tr>
<td>4.05</td>
<td>Maximum loaded draught of vessels whose holds are not always closed so as to be spray-proof and weathertight</td>
<td>21</td>
</tr>
<tr>
<td>4.06</td>
<td>Draught scales</td>
<td>21</td>
</tr>
<tr>
<td>4.07</td>
<td>Specific requirements applicable to vessels navigating on zone 4 waterways</td>
<td>21</td>
</tr>
<tr>
<td>5.01</td>
<td>General</td>
<td>23</td>
</tr>
<tr>
<td>5.02</td>
<td>Navigation tests</td>
<td>23</td>
</tr>
<tr>
<td>5.03</td>
<td>Test area</td>
<td>23</td>
</tr>
<tr>
<td>5.04</td>
<td>Degree of loading of vessels and convoys during navigation tests</td>
<td>23</td>
</tr>
<tr>
<td>5.05</td>
<td>Use of on-board facilities for navigation test</td>
<td>24</td>
</tr>
<tr>
<td>5.06</td>
<td>Prescribed (forward) speed</td>
<td>24</td>
</tr>
<tr>
<td>5.07</td>
<td>Stopping capacity</td>
<td>24</td>
</tr>
<tr>
<td>5.08</td>
<td>Capacity for going astern</td>
<td>24</td>
</tr>
<tr>
<td>5.09</td>
<td>Capacity for taking evasive action</td>
<td>25</td>
</tr>
<tr>
<td>5.10</td>
<td>Turning capacity</td>
<td>25</td>
</tr>
<tr>
<td>6.01</td>
<td>General requirements</td>
<td>27</td>
</tr>
<tr>
<td>6.02</td>
<td>Steering apparatus drive unit</td>
<td>27</td>
</tr>
<tr>
<td>6.03</td>
<td>Hydraulic steering apparatus drive unit</td>
<td>28</td>
</tr>
<tr>
<td>6.04</td>
<td>Power source</td>
<td>28</td>
</tr>
<tr>
<td>6.05</td>
<td>Manual drive</td>
<td>28</td>
</tr>
<tr>
<td>6.06</td>
<td>Rudder-propeller, water-jet, cycloidal-propeller and bow-thruster systems</td>
<td>29</td>
</tr>
<tr>
<td>6.07</td>
<td>Indicators and monitoring devices</td>
<td>29</td>
</tr>
<tr>
<td>6.08</td>
<td>Rate-of-turn regulators</td>
<td>30</td>
</tr>
<tr>
<td>6.09</td>
<td>Testing</td>
<td>30</td>
</tr>
<tr>
<td>7.01</td>
<td>General</td>
<td>31</td>
</tr>
<tr>
<td>7.02</td>
<td>Unobstructed view</td>
<td>31</td>
</tr>
<tr>
<td>7.03</td>
<td>General requirements concerning control, indicating and monitoring equipment</td>
<td>32</td>
</tr>
<tr>
<td>7.04</td>
<td>Specific requirements concerning control, indicating and monitoring equipment of main engines and steering system</td>
<td>33</td>
</tr>
<tr>
<td>7.06</td>
<td>Navigation and information equipment</td>
<td>35</td>
</tr>
<tr>
<td>7.07</td>
<td>Radio telephony systems for vessels with wheelhouses designed for radar navigation by one person</td>
<td>35</td>
</tr>
<tr>
<td>7.08</td>
<td>Internal communication facilities on board</td>
<td>36</td>
</tr>
</tbody>
</table>
Article 7.09 Alarm system ................................................................. 36
Article 7.10 Heating and ventilation ............................................. 36
Article 7.11 Stern-anchor operating equipment .............................. 36
Article 7.12 Elevating wheelhouses .............................................. 37
Article 7.13 Entry in the inland navigation vessel certificate for vessels with wheelhouses designed for radar navigation by one person ................................................................. 38

CHAPTER 8 ENGINE DESIGN .......................................................... 39
Article 8.01 General ................................................................. 39
Article 8.02 Safety equipment ...................................................... 39
Article 8.03 Propulsion systems ................................................. 40
Article 8.04 Engine exhaust system ............................................. 40
Article 8.05 Fuel tanks, pipes and accessories .............................. 41
Article 8.06 Storage of lubricating oil, pipes and accessories ............ 42
Article 8.07 Storage of oils used in power transmission systems, control and activating systems and heating systems, pipes and accessories ................................................................. 43
Article 8.08 Bilge pumping and drainage systems ......................... 44
Article 8.09 Oily water and used oil stores ..................................... 45
Article 8.10 Noise emitted by vessels ............................................ 46

CHAPTER 9 EMISSION OF GASEOUS AND PARTICULATE POLLUTANTS FROM INTERNAL COMBUSTION ENGINES ................................................................. 47
Article 9.00 Definitions ............................................................. 47
Article 9.01 General provisions .................................................... 47
Article 9.02 Entry in the inland navigation vessel certificate .......... 48
Article 9.03 Provisions for the installation of internal combustion engines ................................................................. 48
Article 9.04 Engine manufacturer’s instructions ............................. 48
Article 9.05 Tests of the internal combustion engines .................... 49
Article 9.06 Installation tests ...................................................... 49
Article 9.07 Intermediate tests .................................................... 50
Article 9.08 Special tests .......................................................... 50
Article 9.09 Specific requirements concerning exhaust gas after treatment systems ................................................................. 50

CHAPTER 10 ELECTRICAL EQUIPMENT AND INSTALLATIONS .......... 53
Article 10.01 General ............................................................... 53
Article 10.02 Electricity supply systems ....................................... 53
Article 10.03 Protection against access to hazardous parts, against solid foreign objects, against ingress of water ................................................................. 54
Article 10.04 Protection from explosion ...................................... 54
Article 10.05 Earthing .............................................................. 55
Article 10.06 Maximum permissible voltages ............................... 57
Article 10.07 Distribution systems .............................................. 58
Article 10.08 Connection to the shore or other external networks .... 58
Article 10.09 Power supply to other craft ..................................... 59
Article 10.10 Generators, engines and transformers ....................... 59
Article 10.11 Batteries, Accumulators and their charging devices .... 60
Article 10.12 Switchgear and controlgear .................................... 62
Article 10.13 Emergency circuit-breaker devices ......................... 63
Article 10.14 Installation fittings ............................................... 63
Article 10.15 Cables, insulated cables and cable systems .............. 64
Article 10.16 Lighting installations ............................................. 65
Article 10.17 Navigation lights .................................................. 65
Article 10.18 Power electronics .................................................. 66
Article 10.19 Alarm and safety systems for mechanical installations 67
Article 10.20 Test conditions for electronic installations ............... 67
Article 10.21 Electromagnetic compatibility .................................. 69

CHAPTER 11 ELECTRICAL PROPULSION SYSTEMS .......................... 71

CHAPTER 12 ELECTRONIC EQUIPMENT AND SYSTEMS ................ 73

CHAPTER 13 EQUIPMENT .......................................................... 75
Article 13.01 Anchor equipment ............................................... 75
Article 13.02 Other equipment .................................................. 77
Article 18.03 Type approval procedure ........................................................................112
Article 18.04 Amendment of type approvals .................................................................113
Article 18.05 Conformity of the type-approval ............................................................114
Article 18.06 Checking of serial numbers ....................................................................114
Article 18.07 Conformity of production .......................................................................115
Article 18.08 Non-conformity with the type-approved on-board sewage treatment plant type ..115
Article 18.09 Random sample measurement / Special test ..........................................116
Article 18.10 Competent authorities and technical services ...........................................117

PART III SPECIAL PROVISIONS.....................................................................................119

CHAPTER 19 SPECIAL PROVISIONS APPlicable TO PASSENGER VESSELS .........................119
Article 19.01 General provisions ..................................................................................119
Article 19.02 Hull .........................................................................................................119
Article 19.03 Stability ..................................................................................................121
Article 19.04 Safety clearance and freeboard ...............................................................127
Article 19.05 Maximum permitted number of passengers ..........................................127
Article 19.06 Passenger rooms and areas ....................................................................128
Article 19.07 Propulsion system ..................................................................................132
Article 19.08 Safety devices and equipment ...............................................................132
Article 19.09 Life-saving equipment ..........................................................................133
Article 19.10 Electrical Equipment ............................................................................135
Article 19.11 Fire protection ......................................................................................137
Article 19.12 Fire-fighting .........................................................................................142
Article 19.13 Safety organisation ..............................................................................143
Article 19.14 Waste water collection and disposal facilities ....................................145
Article 19.15 Derogations for certain passenger vessels ............................................145

CHAPTER 20 SPECIAL PROVISIONS APPLICABLE TO PASSENGER SAILING VESSELS NOT NAVIGATING ON THE RHINE (ZONE R) .....................................................................................147
Article 20.01 Application of Parts II and III ................................................................147
Article 20.02 Exceptions for certain passenger sailing vessels ...................................147
Article 20.03 Stability requirements for vessels under sail .......................................147
Article 20.04 Shipbuilding and mechanical requirements .........................................148
Article 20.05 Rigging in general ..................................................................................148
Article 20.06 Masts and spars in general ....................................................................149
Article 20.07 Special provisions for masts .................................................................149
Article 20.08 Special provisions for topmasts .........................................................150
Article 20.09 Special provisions for bowsprits ..........................................................151
Article 20.10 Special provisions for jib-booms ..........................................................151
Article 20.11 Special provisions for main booms ......................................................152
Article 20.12 Special provisions for gaffs .................................................................152
Article 20.13 General provisions for standing and running rigging .......................153
Article 20.14 Special provisions for standing rigging ...............................................153
Article 20.15 Special provisions for running rigging ................................................154
Article 20.16 Fittings and parts of the rigging ............................................................155
Article 20.17 Sails ......................................................................................................156
Article 20.18 Equipment ............................................................................................156
Article 20.19 Testing ...................................................................................................156

CHAPTER 21 SPECIAL PROVISIONS APPLICABLE TO CRAFT INTENDED TO FORM PART OF A PUSHED OR TOWED CONVOY OR OF A SIDE-BY-SIDE FORMATION ...........................................157
Article 21.01 Craft suitable for pushing ......................................................................157
Article 21.02 Craft suitable for being pushed .............................................................157
Article 21.03 Craft suitable for propelling side-by-side formations .........................158
Article 21.04 Craft suitable for being propelled in convoys ......................................158
Article 21.05 Craft suitable for towing .....................................................................158
Article 21.06 Navigation tests on convoys ...............................................................158
Article 21.07 Entries on the inland navigation vessel certificate ..............................159

CHAPTER 22 SPECIAL PROVISIONS APPLICABLE TO FLOATING EQUIPMENT .....................161
Article 22.01 General .................................................................................................161
Article 22.02 Derogations ..........................................................................................161
### Table of contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Section V</td>
<td>Minimum requirements, requirements for installation and performance tests for tachographs in inland navigation</td>
<td>331</td>
</tr>
<tr>
<td>Section VI</td>
<td>Installation and performance certificate for navigational radar installations, rate-of-turn indicators, for Inland AIS equipment and for tachographs in inland navigation</td>
<td>335</td>
</tr>
<tr>
<td>Annex 6</td>
<td>ENGINE PARAMETER PROTOCOL</td>
<td>337</td>
</tr>
<tr>
<td>Annex 7</td>
<td>ON-BOARD SEWAGE TREATMENT PLANTS</td>
<td>341</td>
</tr>
<tr>
<td>Section I</td>
<td>Supplementary provisions</td>
<td>343</td>
</tr>
<tr>
<td>Section II</td>
<td>Information Document No … relating to type approval of on-board sewage treatment plants intended for installation in inland waterway vessels</td>
<td>347</td>
</tr>
<tr>
<td>Section III</td>
<td>Type approval certificate</td>
<td>349</td>
</tr>
<tr>
<td>Section IV</td>
<td>Type approvals numbering system</td>
<td>355</td>
</tr>
<tr>
<td>Section V</td>
<td>Summary of type approvals for on-board sewage treatment plant types</td>
<td>357</td>
</tr>
<tr>
<td>Section VI</td>
<td>Summary of on-board sewage treatment plants manufactured</td>
<td>359</td>
</tr>
<tr>
<td>Section VII</td>
<td>Data sheet for on-board sewage treatment plants with type approval</td>
<td>361</td>
</tr>
<tr>
<td>Section VIII</td>
<td>On-board sewage treatment plant parameters record for special test</td>
<td>363</td>
</tr>
<tr>
<td>Section IX</td>
<td>Test procedure</td>
<td>367</td>
</tr>
<tr>
<td>Annex 8</td>
<td>SUPPLEMENTARY PROVISIONS APPLICABLE TO CRAFT OPERATING ON FUELS WITH A FLASHPOINT EQUAL TO OR LOWER THAN 55 °C</td>
<td>379</td>
</tr>
<tr>
<td>Section I</td>
<td>INSTRUCTIONS FOR THE APPLICATION OF THE TECHNICAL STANDARD</td>
<td>397</td>
</tr>
<tr>
<td>ESI-I-1</td>
<td>COMPLETION OF THE INLAND NAVIGATION VESSEL CERTIFICATES</td>
<td>399</td>
</tr>
<tr>
<td>ESI-I-2</td>
<td>EXPERTS AND COMPETENT PERSONS</td>
<td>405</td>
</tr>
<tr>
<td>Part II</td>
<td>PROVISIONS REGARDING SHIPBUILDING, FITTING OUT AND EQUIPMENT</td>
<td>407</td>
</tr>
<tr>
<td>ESI-II-1</td>
<td>MINIMUM HULL THICKNESS OF BARGES</td>
<td>407</td>
</tr>
<tr>
<td>ESI-II-2</td>
<td>INSTALLATION OF DOUBLER PLATES TO THE HULL</td>
<td>409</td>
</tr>
<tr>
<td>ESI-II-3</td>
<td>MINIMUM PRESCRIBED FORWARD SPEED, STOPPING CAPACITY AND CAPACITY FOR GOING ASTERN</td>
<td>411</td>
</tr>
<tr>
<td>ESI-II-4</td>
<td>CAPACITY FOR TAKING EVASIVE ACTION AND TURNING CAPACITY</td>
<td>435</td>
</tr>
<tr>
<td>ESI-II-5</td>
<td>NOISE MEASUREMENTS</td>
<td>441</td>
</tr>
<tr>
<td>ESI-II-6</td>
<td>APPROPRIATE AUXILIARY MEANS FOR OBSERVING THE AREA OF OBSTRUCTED VISION</td>
<td>445</td>
</tr>
<tr>
<td>ESI-II-7</td>
<td>USED OIL COLLECTION FACILITIES</td>
<td>451</td>
</tr>
<tr>
<td>ESI-II-8</td>
<td>(LEFT VOID)</td>
<td>453</td>
</tr>
<tr>
<td>ESI-II-9</td>
<td>SPECIAL ANCHORS WITH REDUCED MASS</td>
<td>455</td>
</tr>
<tr>
<td>ESI-II-10</td>
<td>AUTOMATIC PRESSURISED WATER SPRINKLERS</td>
<td>461</td>
</tr>
<tr>
<td>ESI-II-11</td>
<td>STEERAGEWAY UNDER VESSEL’S OWN POWER</td>
<td>463</td>
</tr>
<tr>
<td>ESI-II-12</td>
<td>APPROPRIATE FIRE ALARM SYSTEM</td>
<td>465</td>
</tr>
<tr>
<td>ESI-II-13</td>
<td>(LEFT VOID)</td>
<td>471</td>
</tr>
</tbody>
</table>

### INSTRUCTIONS FOR THE APPLICATION OF THE TECHNICAL STANDARD

**PART I GENERAL**

- ESI-I-1 COMPLETION OF THE INLAND NAVIGATION VESSEL CERTIFICATES: 399
- ESI-I-2 EXPERTS AND COMPETENT PERSONS: 405

**PART II PROVISIONS REGARDING SHIPBUILDING, FITTING OUT AND EQUIPMENT**

- ESI-II-1 MINIMUM HULL THICKNESS OF BARGES: 407
- ESI-II-2 INSTALLATION OF DOUBLER PLATES TO THE HULL: 409
- ESI-II-3 MINIMUM PRESCRIBED FORWARD SPEED, STOPPING CAPACITY AND CAPACITY FOR GOING ASTERN: 411
- ESI-II-4 CAPACITY FOR TAKING EVASIVE ACTION AND TURNING CAPACITY: 435
- ESI-II-5 NOISE MEASUREMENTS: 441
- ESI-II-6 APPROPRIATE AUXILIARY MEANS FOR OBSERVING THE AREA OF OBSTRUCTED VISION: 445
- ESI-II-7 USED OIL COLLECTION FACILITIES: 451
- ESI-II-8 (LEFT VOID): 453
- ESI-II-9 SPECIAL ANCHORS WITH REDUCED MASS: 455
- ESI-II-10 AUTOMATIC PRESSURISED WATER SPRINKLERS: 461
- ESI-II-11 STEERAGEWAY UNDER VESSEL’S OWN POWER: 463
- ESI-II-12 APPROPRIATE FIRE ALARM SYSTEM: 465
- ESI-II-13 (LEFT VOID): 471
**PART III SPECIAL PROVISIONS**

- **ESI-III-1** APPLICATION OF CHAPTER 19 REQUIREMENTS .......................................................... 473
- **ESI-III-2** SPECIFIC SAFETY NEEDS OF PERSONS WITH REDUCED MOBILITY ........................................ 475
- **ESI-III-3** STRENGTH OF WATERTIGHT WINDOWS ........................................................................ 479
- **ESI-III-4** SAFETY GUIDANCE SYSTEM ....................................................................................... 481
- **ESI-III-5** SUITABLE GAS WARNING EQUIPMENT ........................................................................ 485
- **ESI-III-6** COUPLING SYSTEMS AND COUPLING DEVICES FOR CRAFT SUITABLE FOR PROPELLING OR BEING PROPELLED IN A RIGID ASSEMBLY ............................... 489
- **ESI-III-7** FUEL TANKS ON FLOATING EQUIPMENT ......................................................................... 493
- **ESI-III-8** RECREATIONAL CRAFT ............................................................................................ 495
- **ESI-III-9** PROOF OF BUOYANCY, TRIM AND STABILITY OF THE SEPARATED PARTS OF A VESSEL .................................................................................................................. 497
- **ESI-III-10** EQUIPMENT FOR VESSELS TO BE OPERATED ACCORDING TO STANDARDS S1 OR S2 .................. 499

**PART IV TRANSITIONAL PROVISIONS** ...................................................................................... 503

- **ESI-IV-1** APPLICATION OF TRANSITIONAL PROVISIONS ...................................................... 503
For the purposes of this Standard, the following definitions shall apply:

1. **Types of craft**

   1.1 ‘craft’: a vessel or item of floating equipment;
   
   1.2 ‘vessel’: an inland waterway vessel or sea-going ship;
   
   1.3 ‘inland waterway vessel’: a vessel intended solely or mainly for navigation on inland waterways;
   
   1.4 ‘sea-going ship’: a vessel approved and intended primarily for sea-going or coastal navigation;
   
   1.5 ‘motor vessel’: a motor cargo vessel or a motor tanker;
   
   1.6 ‘motor tanker’: a vessel intended for the carriage of goods in fixed tanks and built to navigate independently under its own motive power;
   
   1.7 ‘motor cargo vessel’: a vessel, other than a motor tanker, intended for the carriage of goods and built to navigate independently under its own motive power;
   
   1.8 ‘canal barge’: an inland waterway vessel not exceeding 38.5 m in length and 5.05 m in breadth;
   
   1.9 ‘tug’: a vessel specially built to perform towing operations;
   
   1.10 ‘pusher’: a vessel specially built to propel a pushed convoy;
   
   1.11 ‘barge’: a dumb barge or tank barge;
   
   1.12 ‘tank barge’: a vessel intended for the carriage of goods in fixed tanks and built to be towed, either having no motive power of its own or having only sufficient motive power to perform restricted manoeuvres;
   
   1.13 ‘dumb barge’: a vessel, other than a tank barge, intended for the carriage of goods and built to be towed, either having no motive power of its own or having only sufficient motive power to perform restricted manoeuvres;
   
   1.14 ‘lighter’: a tank lighter, cargo lighter or ship-borne lighter;
1.15 ‘tank lighter’: a vessel intended for the carriage of goods in fixed tanks, built or specially modified to be pushed, either having no motive power of its own or having only sufficient motive power to perform restricted manoeuvres when not part of a pushed convoy;

1.16 ‘cargo lighter’: a vessel, other than a tank lighter, intended for the carriage of goods and built or specially modified to be pushed, either having no motive power of its own or having only sufficient motive power to perform restricted manoeuvres when not part of a pushed convoy;

1.17 ‘ship-borne lighter’: a lighter built to be carried aboard sea-going ships and to navigate on inland waterways;

1.18 ‘passenger vessel’: a day trip or cabin vessel constructed and equipped to carry more than 12 passengers;

1.19 ‘passenger sailing vessel’: a passenger vessel built and fitted out also with a view to propulsion under sail;

1.20 ‘day-trip vessel’: a passenger vessel without overnight passenger cabins;

1.21 ‘cabin vessel’: a passenger vessel with overnight passenger cabins;

1.22 ‘high-speed vessel’: a motorised craft capable of reaching speeds over 40 km/h in relation to water;

1.23 ‘floating equipment’: a floating installation carrying working gear such as cranes, dredging equipment, pile drivers or elevators;

1.24 ‘worksites craft’: a vessel, appropriately built and equipped for use at worksites, such as a reclamation barge, hopper or pontoon barge, pontoon or stone-dumping vessel;

1.25 ‘recreational craft’: a vessel other than a passenger vessel, intended for sport or pleasure;

1.26 ‘ship’s boat’: a boat for use in transport, rescue, salvage and work duties;

1.27 ‘floating establishment’: any floating installation not normally intended to be moved, such as a swimming bath, dock, jetty or boathouse;

1.28 ‘floating object’: a raft or other structure, object or assembly capable of navigation, not being a vessel or floating equipment or floating establishment;

1.29 ‘Traditional craft’: a craft which, based on its age, its technical nature or construction, its rarity, its meaning for the preservation of traditional principles of seamanship or techniques of inland navigation or its significance for a period from a historic viewpoint, is worthy of being preserved, and is operated for demonstration purposes in particular, or a replica thereof;

1.30 ‘Replica of a traditional craft’: a craft which was largely built from original materials, using an appropriate construction method according to plans or templates as a traditional craft;
2. **Assemblies of craft**

2.1 ‘convoy’: a rigid or towed convoy of craft;

2.2 ‘formation’: the manner in which a convoy is assembled;

2.3 ‘rigid convoy’: a pushed convoy or side-by-side formation;

2.4 ‘pushed convoy’: a rigid assembly of craft of which at least one is positioned in front of the craft providing the power for propelling the convoy, known as the ‘pusher(s)’; a convoy composed of a pusher and a pushed craft coupled so as to permit guided articulation is also considered as rigid;

2.5 ‘side-by-side formation’: an assembly of craft coupled rigidly side by side, none of which is positioned in front of the craft propelling the assembly;

2.6 ‘towed convoy’: an assembly of one or more craft, floating establishments or floating objects towed by one or more self-propelled craft forming part of the convoy;

3. **Particular areas on board**

3.1 ‘main engine room’: space where the propulsion engines are installed;

3.2 ‘engine room’: space where combustion engines are installed;

3.3 ‘boiler room’: a space housing a fuel-operated installation designed to produce steam or heat a thermal fluid;

3.4 ‘electrical service room’ a room in which components of an electric propulsion system such as control cabinets or electric engines are located, and which is not a main engine room or engine room;

3.5 ‘enclosed superstructure’: a watertight, rigid, continuous structure with rigid walls joined to the deck in a permanent and watertight manner;

3.6 ‘wheelhouse’: the area which houses all the control and monitoring instruments necessary for manoeuvring the vessel;

3.7 ‘accommodation’: a space intended for the use of persons normally living on board, including galleys, store rooms, toilets and washing facilities, laundry facilities, passageways, but not the wheelhouse;

3.8 ‘passenger room’: rooms on board intended for passengers and enclosed areas such as lounges, offices, shops, hairdressing salons, drying rooms, laundries, saunas, toilets, washrooms, passageways, connecting passages and stairs not encapsulated by walls;

3.9 ‘control centre’: a wheelhouse, an area which contains an emergency electrical power plant or parts thereof or an area with a centre permanently occupied by shipboard personnel or crew members, such as for fire alarm equipment, remote controls of doors or fire dampers;

3.10 ‘stairwell’: the well of an internal staircase or of a lift;
3.11 ‘lounge’: a room of an accommodation or a passenger room. On board passenger vessels, galleys are not regarded as lounges;

3.12 ‘galley’: a room with a stove or a similar cooking appliance;

3.13 ‘store room’: a room for the storage of flammable liquids or a room with an area of over 4 m² for storing supplies;

3.14 ‘hold’: part of the vessel, bounded fore and aft by bulkheads, opened or closed by means of hatch covers, intended for the carriage of goods, whether packaged or in bulk, or for housing tanks not forming part of the hull;

3.15 ‘fixed tank’: a tank joined to the vessel, the walls of the tank consisting either of the hull itself or of a casing separate from the hull;

3.16 ‘work station’: an area where members of the crew carry out their duties, including the gangway, derrick and ship's boat;

3.17 ‘passageway’: an area intended for the normal movement of persons and goods;

3.18 ‘safe area’: the area which is externally bounded by a vertical surface running at a distance of 1/5 \(B_{WL}\) parallel to the course of the hull in the line of maximum draught;

3.19 ‘muster areas’: areas of the vessel which are specially protected and in which persons muster in the event of danger;

3.20 ‘evacuation areas’: part of muster areas of the vessel from which evacuation of persons can be carried out;

3.21 ‘explosive atmosphere’ a mixture with air, under atmospheric conditions, of flammable substances in the form of gas, vapour, dust, fibres, or flyings, which, after ignition, permits self-sustaining flame propagation;

3.22 ‘hazardous area’ an area in which an explosive gas atmosphere is or may be expected to be present, in quantities such as to require special precautions for the construction, installation and use of equipment;

3.23 ‘zones’ hazardous area classification based upon the frequency of the occurrence and duration of an explosive atmosphere;

‘Zone 0’: areas in which an explosive atmosphere is present continuously or for long periods or frequently.

‘Zone 1’: areas in which an explosive atmosphere is likely to occur in normal operation occasionally.

‘Zone 2’: areas in which an explosive atmosphere is not likely to occur in normal operation but, if it does occur, will persist for a short period only. These areas also include areas directly adjoining Zone 1 that are not separated from one another in a gas tight manner.
3.24 ‘certified safe type electrical equipment’ an electrical equipment which has been tested and approved by the competent authority regarding its safety of operation in an explosive atmosphere;

4. **Marine engineering terms**

4.1 ‘plane of maximum draught’: the water plane corresponding to the maximum draught at which the craft is authorised to navigate;

4.2 ‘safety clearance’: the distance between the plane of maximum draught and the parallel plane passing through the lowest point above which the craft is no longer deemed to be watertight;

4.3 ‘residual safety clearance’: the vertical clearance available, in the event of the vessel heeling over, between the water level and the lowest point of the immersed side, beyond which the vessel is no longer regarded as watertight;

4.4 ‘freeboard’ or ‘\( F \)’: the distance between the plane of maximum draught and a parallel plane passing through the lowest point of the gunwale or, in the absence of a gunwale, the lowest point of the upper edge of the ship’s side;

4.5 ‘residual freeboard’: the vertical clearance available, in the event of the vessel heeling over, between the water level and the upper surface of the deck at the lowest point of the immersed side or, if there is no deck, the lowest point of the upper surface of the fixed ship’s side;

4.6 ‘margin line’: an imaginary line drawn on the side plating not less than 10 cm below the bulkhead deck and not less than 10 cm below the lowest non-watertight point of the side plating. If there is no bulkhead deck, a line drawn not less than 10 cm below the lowest line up to which the outer plating is watertight shall be used;

4.7 ‘water displacement’ or ‘\( V \)’: the immersed volume of the vessel, in m\(^3\);

4.8 ‘displacement’ or ‘\( \Delta \)’: the total weight of the vessel, inclusive of cargo, in t;

4.9 ‘block coefficient’ or ‘\( C_B \)’: the ratio between the water displacement and the product of length \( L_{WL} \), breadth \( B_{WL} \) and draught \( T \);

4.10 ‘lateral plane above water’ or ‘\( A_V \)’: lateral plane of the vessel above the waterline in m\(^2\);

4.11 ‘bulkhead deck’: the deck to which the required watertight bulkheads are taken and from which the freeboard is measured;

4.12 ‘bulkhead’: a wall of a given height, usually vertical, partitioning the vessel and bounded by the bottom of the vessel, the plating or other bulkheads;

4.13 ‘transverse bulkhead’: a bulkhead extending from one side of the vessel to the other;

4.14 ‘wall’: a dividing surface, usually vertical;

4.15 ‘partition wall’: a non-watertight wall;

4.16 ‘length’ or ‘\( L \)’: the maximum length of the hull in m, excluding rudder and bowsprit;
4.17 ‘length overall’ or \( L_{OA} \): the maximum length of the craft in m, including all fixed installations such as parts of the steering system or power plant, mechanical or similar devices;

4.18 ‘length of waterline’ or \( L_{WL} \): the length of the hull in m, measured at the maximum draught;

4.19 ‘breadth’ or \( B \): the maximum breadth of the hull in m, measured to the outer edge of the shell plating (excluding paddle wheels, rub rails, and similar);

4.20 ‘breadth overall’ or \( B_{OA} \): the maximum breadth of the craft in m, including all fixed equipment such as paddle wheels, rub rails, mechanical devices or similar;

4.21 ‘breadth of waterline’ or \( B_{WL} \): breadth of the hull in m, measured from the outside of the side plating at the maximum draught line;

4.22 ‘height’ or \( H \): the shortest vertical distance in m between the lowest point of the hull or the keel and the lowest point of the deck on the side of the vessel;

4.23 ‘draught’ or \( T \): the vertical distance in m between the lowest point of the hull without taking into account the keel or other fixed attachments and the maximum draught line;

4.24 ‘draught overall or \( T_{OA} \): the vertical distance in m between the lowest point of the hull including the keel or other fixed attachments and the maximum draught line;

4.25 ‘forward perpendicular’: the vertical line at the forward point of the intersection of the hull with the maximum draught line;

4.26 ‘clear width of side deck’: the distance between the vertical line passing through the most prominent part of the hatch coaming on the side deck side and the vertical line passing through the inside edge of the slip guard (guard-rail, foot rail) on the outer side of the side deck;

5. **Steering system**

5.1 ‘steering system’: all the equipment necessary for steering the vessel, such as to ensure the manoeuvrability laid down in Chapter 5;

5.2 ‘rudder’: the rudder or rudders, with shaft, including the rudder quadrant and the components connecting with the steering apparatus;

5.3 ‘steering apparatus’: the part of the steering system which produces the movement of the rudder;

5.4 ‘drive unit’: the steering-apparatus drive, between the power source and the steering apparatus;

5.5 (left void);

5.6 ‘steering control’: the component parts and the circuitry for the operation of a power-driven steering control;
5.7 ‘steering apparatus drive unit’: the control for the steering apparatus, its drive unit and its power source;

5.8 ‘manual drive’: a system whereby manual operation of the hand wheel moves the rudder by means of a mechanical transmission, without any additional power source;

5.9 ‘manually-operated hydraulic drive’: a manual control actuating a hydraulic transmission;

5.10 ‘rate-of-turn regulator’: equipment which automatically produces and maintains a given rate of turn of the vessel in accordance with preselected values;

5.11 ‘wheelhouse designed for radar navigation by one person’: a wheelhouse arranged in such a way that, during radar navigation, the vessel can be manoeuvred by one person;

6. Properties of structural components and materials

6.1 ‘watertight’: a structural component or device so fitted as to prevent any ingress of water;

6.2 ‘spray-proof and weathertight’: a structural component or device so fitted that in normal conditions it allows only a negligible quantity of water to penetrate;

6.3 ‘gastight’: a structural component or device so fitted as to prevent the ingress of gas and vapours;

6.4 ‘non-combustible’: a substance which neither burns nor produces flammable vapours in such quantities that they ignite spontaneously when heated to approximately 750 °C;

6.5 ‘flame-retardant’: material which does not readily catch fire, or whose surface at least restricts the spread of flames pursuant to the test procedure referred to in Article 19.11(1)(c);

6.6 ‘self-extinguishing’ the characteristic of a burning substance whereby it extinguishes itself of its own accord within a short period once the ignition source has been removed, i.e. does not continue to burn;

6.7 ‘fire-resistance’: the property of structural components or devices as certified by the test procedure referred to in Article 19.11(1)(d);

6.8 ‘Code for Fire Test Procedures’: the International Code for the Application of Fire Test Procedures (FTP code) adopted under Resolution MSC.307(88)¹ by the Maritime Safety Committee of the International Maritime Organization (IMO);

7. Signal lights, navigation and information equipment

7.1 ‘signal lights’: light from navigation lights to indicate vessels;

7.2 ‘light signals’: light used to supplement optical or acoustic signals;

¹ MSC.307(88) adopted on 3 December 2010 - International Code for Application of Fire Test Procedures.
7.3 ‘navigational radar installation’: an electronic navigational aid for detecting and displaying the surroundings and traffic;

7.4 ‘Inland ECDIS’: a system used within the meaning of the current Inland ECDIS Standard for displaying electronic navigational charts for inland waters and associated information, that displays selected information from proprietary electronic navigational charts for inland waters and optionally information from other sensors of the craft;

7.5 ‘Inland ECDIS equipment’: an installation for displaying electronic navigational charts for inland waters that can be operated in two different modes: information mode and navigation mode;

7.6 ‘information mode’: use of Inland ECDIS for information purposes only without radar overlay;

7.7 ‘navigation mode’: use of Inland ECDIS with radar overlay for navigating a craft;

7.8 ‘Inland AIS equipment’: equipment fitted aboard a vessel and used within the meaning of the current VTT Standard;

7.9 ‘VTT standard’ the CCNR Standard ‘Vessel Tracking and Tracing Standard for Inland Navigation’ edition 1.2\(^1\) or the technical specifications defined by Implementing Regulation (EU) no. 689/2012\(^2\);

7.10 ‘Inland ECDIS standard’: the CCNR Standard ‘Electronic Chart Display and Information System for Inland Navigation’ edition 2.3\(^3\) or the technical specifications defined by Implementing Regulation (EU) no. 909/2013\(^4\);

7.11 ‘Test Standard for Inland AIS’: the CESNI Inland AIS Test Standard edition 2.0\(^5\);

8. Engines

(left void);

9. On-board sewage treatment plants

(left void);

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\(^1\) Vessel Tracking and Tracing Standard for Inland Navigation standard, Edition 1.2; Resolution CCNR 2013-II-23 dated 29 May 2013.


\(^3\) Electronic Chart Display and Information System for Inland Navigation (Inland ECDIS) Edition 2.3; CCNR Resolution 2012-II-20 dated 29 November 2012.


10. **Classification societies, experts, and competent persons**

10.1 'recognised classification society': a classification society that has been recognised in accordance with CCNR or EU procedures respectively.

10.2 'highest class': the highest class is allocated to a vessel where
- the hull, including the steering and manoeuvring apparatus as well as the anchors and anchor chains, comply with the rules established by a recognised classification society and has been built and tested under its supervision.
- the propulsion machinery as well as the auxiliary engines, the mechanical and electrical equipment, required for shipboard services, have been manufactured and tested in accordance with the classification society's rules and have been installed under its supervision; the unit as a whole will have successfully undergone post installation testing.

10.3 'expert': a person recognised by the competent authority or by an authorised institution, having specialist knowledge in the relevant area on the basis of his or her professional training and experience, fully conversant with the relevant rules and regulations and the generally accepted technical rules (e.g. EN Standards, relevant legislation, technical rules), and able to examine and give an expert assessment of the relevant systems and equipment;

10.4 'competent person': a person who has acquired sufficient knowledge in the relevant area on the basis of his or her professional training and experience and is sufficiently conversant with the relevant rules and regulations and the generally accepted technical rules (such as EN Standards, relevant legislation, technical rules) to be able to assess the operational safety of the relevant systems and equipment;

11. **Electrical equipment, installations and propulsion systems**

11.1 'power source' an energy carrier or energy converter used for producing useful energy. For rudder machinery propulsion systems the power supply to the steering drive unit and the steering apparatus produced by an on-board network, a battery, an accumulator or an internal combustion engine;

11.2 ‘electrical power source’ an energy source from which electric power is obtained;

11.3 ‘accumulator’ a rechargeable storage device for electrical energy on an electro-chemical basis;

11.4 ‘battery’ a non-rechargeable storage device for electrical energy on an electro-chemical basis;

11.5 ‘power electronics’ an installation, appliance, assembly or device for converting electrical energy with switching electronic devices or a system comprised thereof;
12. **Other terms**

12.1 ‘shipboard personnel’: all employees on board a passenger vessel who are not members of the crew;

12.2 ‘persons with reduced mobility’: persons facing particular problems when using public transport, such as the elderly and the handicapped and persons with sensory disabilities, persons in wheelchairs, pregnant women and persons accompanying young children;

12.3 ‘ADN’: the Regulations annexed to The European Agreement concerning the International Carriage of Dangerous Goods by Inland Waterways (ADN) in its current version;

12.4 ‘inland navigation vessel certificate’: Union certificate for inland navigation vessels or Rhine vessel inspection certificate, issued by the competent authority and which confirms compliance with the technical requirements.

**Article 1.02**

*Instructions for the application of this Standard*

The instructions annexed to this Standard aim to facilitate and standardise its application.
CHAPTER 2
PROCEDURE

(left void)
PART II
PROVISIONS REGARDING SHIPBUILDING, FITTING OUT AND EQUIPMENT

CHAPTER 3
SHIPBUILDING REQUIREMENTS

Article 3.01
Basic rules

Vessels shall be built in accordance with good shipbuilding practice.

Article 3.02
Strength and stability

1. The hull shall be sufficiently strong to withstand all of the stresses to which it is normally subjected.
   a) In the case of newly built vessels or major conversions affecting vessel strength, adequate strength shall be demonstrated by presenting the design calculation proof. That proof is not required where a classification certificate or an attestation from a recognised classification society is submitted.
   b) In the event of periodical inspection, the minimum thickness of the bottom, bilge and side plates of vessels made from steel shall be no less than the higher of the values resulting from the following formulae:
      1. for vessels that are longer than 40 m: \( t_{\text{min}} = f \cdot b \cdot c \cdot (2,3 + 0,04 L) \ [\text{mm}] \);
      for vessels not more than 40 m in length: \( t_{\text{min}} = f \cdot b \cdot c \cdot (1,5 + 0,06 L) \ [\text{mm}] \), however, not less than 3,00 mm.
      2. \( t_{\text{min}} = 0,005 \cdot a \sqrt{T} \ [\text{mm}] \).

   where:
   \( a \) = frame spacing (mm);
   \( f \) = frame spacing factor
   \( f = 1 \) for \( a \leq 500 \ [\text{mm}] \),
   \( f = 1 + 0,0013 \cdot (a - 500) \) for \( a > 500 \ [\text{mm}] \);
   \( b \) = factor for bottom, side or bilge plates
   \( b = 1,0 \) for bottom plates and side plates,
   \( b = 1,25 \) for bilge plates.
   \( f = 1 \) may be taken for the frame spacing when calculating the minimum thickness of the side plates. However, the minimum thickness of the bilge plates may in no case be less than that of the bottom plates and side plates.
   \( c \) = factor for the type of structure:
   \( c = 0,95 \) for vessels with double bottom and wing void, where the partition between wing void and hold is located vertically in line with the coaming,
   \( c = 1,0 \) for all other types of structure.
c) In longitudinally framed vessels with double bottom and wing voids, the minimum value calculated for the plate thickness in accordance with the formulae in (b) may be reduced to a calculated value certified by a recognised classification society for sufficient hull strength (longitudinal, lateral and local strength).

Plates shall be renewed if bottom, bilge or side plates are below the permissible value laid down in this way.

The minimum values calculated in accordance with the method are limit values taking account of normal, uniform wear, and provided that shipbuilding steel is used and that the internal structural components such as frames, frame floor, main longitudinal and transverse structural members are in a good state and that the hull shows no indication of any overloading of the longitudinal strength.

As soon as these values are no longer achieved, the plates in question shall be repaired or replaced. However, lesser thicknesses, of not more than 10% reduction from calculated values, are acceptable locally for small areas.

2. Where a material other than steel is used for the construction of the hull, it shall be proved by calculation that the hull strength (longitudinal, lateral and local strength) equals at least the strength that would result from the use of steel under the assumption of minimum thickness in accordance with (1). If a certificate of class or a declaration issued by a recognised classification society is presented, a proof by calculation may be dispensed with.

3. The stability of vessels shall correspond to their intended use.

**Article 3.03**

*Hull*

1. Bulkheads rising up to the deck or, where there is no deck, up to the gunwale, shall be installed at the following points:

   a) A collision bulkhead at a suitable distance from the bow in such a way that the buoyancy of the laden vessel is ensured, with a residual safety clearance of 100 mm if water enters the watertight compartment ahead of the collision bulkhead.

   As a general rule, the requirement referred to in (1) shall be considered to have been met if the collision bulkhead has been installed at a distance of between $0,04 L$ and $0,04 L + 2 \, m$ measured from the forward perpendicular in the plane of maximum draught.

   If this distance exceeds $0,04 L + 2 \, m$, the requirement set out in (1) shall be proved by calculation.

   The distance may be reduced to $0,03 L$. In that case the requirement referred to in (1) shall be proved by calculation on the assumption that the compartment ahead of the collision bulkhead and those adjacent have all been filled with water.


b) An aft-peak bulkhead at a suitable distance from the stern where the vessel length \( L \) exceeds 25 m in such a way that the buoyancy of the laden vessel is ensured, with a residual safety clearance of 100 mm if water enters the watertight compartment aft of the aft peak bulkhead.

As a general rule, the requirement referred to in the first subparagraph shall be considered to have been met if the aft peak bulkhead has been installed at a distance of between 1.4 m and \( 0.04L + 2 \) m measured from the aft point of the intersection of the hull with the maximum draught line.

If this distance is greater than \( 0.04L + 2 \) m, the requirement referred to in the first subparagraph must be proved by calculation.

The distance may be decreased to 1 m. In this case, the requirement referred to in the first subparagraph must be substantiated by calculation on the assumption that the compartment aft of the aft peak bulkhead and the immediately adjacent compartments have been filled with water.

2. No accommodation or installations needed for vessel safety or operation may be located ahead of the plane of the collision bulkhead or aft of the aft-peak bulkhead.

This requirement shall not apply to anchor gear or steering apparatus.

3. The accommodation, engine rooms and boiler rooms, and the workspaces forming part of these shall be separated from the holds by watertight transverse bulkheads that extend up to the deck.

4. The accommodation shall be separated from engine rooms, boiler rooms and holds in a gastight manner and shall be directly accessible from the deck. If no such access has been provided an emergency exit shall also lead directly to the deck.

5. The bulkheads specified in (1) and (3) and the separation of areas specified in (4) shall not contain any openings.

However, doors in the aft-peak bulkhead and penetrations, in particular for shafts and pipework, shall be permitted where they are so designed that the effectiveness of those bulkheads and of the separation of areas is not impaired. Doors in the aft-peak bulkhead shall be permitted only if it can be determined by remote monitoring in the wheelhouse whether they are open or closed and shall bear the following readily legible instruction on both sides:

‘Door to be closed immediately after use’.

6. The water inlets and discharges, and the pipework connected to these, shall be such that no unintentional ingress of water into the vessel is possible.

7. The fore-sections of vessels shall be built in such a way that the anchors neither wholly nor partly protrude beyond the side plating.
Article 3.04  
*Engine and boiler rooms, bunkers*

1. Engine or boiler rooms shall be arranged in such a way that the equipment therein can be operated, serviced and maintained easily and safely.

2. The liquid-fuel or lubricant bunkers and passenger rooms and accommodation may not have any common surfaces which are under the static pressure of the liquid when in normal service.

3. Walls, ceilings and doors of engine rooms, boiler rooms and bunkers shall be made of steel or another equivalent non-combustible material.

   Insulation material used in engine rooms shall be protected against the intrusion of fuel and fuel vapours.

   All openings in walls, ceilings, and doors of engine rooms, boiler rooms, and bunker rooms shall be such that they can be closed from outside the room. The locking devices shall be made from steel or an equivalent non-combustible material.

4. Engine and boiler rooms and other premises in which flammable or toxic gases can escape shall be capable of being adequately ventilated.

5. Companionways and ladders providing access to engine and boiler rooms and bunkers shall be firmly attached and be made of steel or another shock-resistant and non-combustible material.

6. Engine and boiler rooms shall have two exits of which one may be an emergency exit.

   The second exit may be dispensed with if:

   a) the total floor area (average length \times average width at the level of the floor plating) of the engine or boiler room does not exceed 35 m²; and

   b) the path between each point where servicing or maintenance operations are to be carried out and the exit, or foot of the companionway near the exit providing access to the outside, is not longer than 5 m; and

   c) a fire extinguisher is located at the servicing point that is furthest removed from the exit door and also, by way of derogation from Article 13.03(1)(e), where the installed power of the engines does not exceed 100 kW.

7. The permissible sound pressure level in the engine rooms shall not exceed 110 dB(A). The measuring points shall be selected as a function of the maintenance work needed during normal operation of the plant located therein.
CHAPTER 4
SAFETY CLEARANCE, FREEBOARD AND DRAUGHT MARKS

Article 4.01
Safety clearance

1. The safety clearance shall be at least 300 mm.

2. The safety clearance in the case of vessels whose openings cannot be closed by spray-proof and weathertight devices, and for vessels sailing with their holds uncovered, shall be increased in such a way that each of those openings shall be at least 500 mm from the plane of maximum draught.

Article 4.02
Freeboard

1. The freeboard of vessels with a continuous deck, without sheer and superstructures, shall be 150 mm.

2. The freeboard of vessels with sheer and superstructures shall be calculated using the following formula:

\[
F = 150 (1 - \alpha) - \frac{\beta_v \cdot S_{e_v} + \beta_a \cdot S_{e_a}}{15} \quad [\text{mm}]
\]

where:
\(\alpha\) is a correction coefficient that takes account of all of the superstructures involved;
\(\beta_v\) is a coefficient for correcting the effect of the forward sheer resulting from the presence of superstructures in the forward quarter of length \(L\) of the vessel;
\(\beta_a\) is a coefficient correcting the effect of the aft sheer resulting from the presence of superstructures in the aft quarter of length \(L\) of the vessel;
\(S_{e_v}\) is the effective forward sheer in mm;
\(S_{e_a}\) is the effective aft sheer in mm.

3. The coefficient \(\alpha\) is calculated using the following formula:

\[
\alpha = \frac{\sum le_a + \sum le_m + \sum le_v}{L}
\]

where:
\(le_m\) is the effective length, in m, of a superstructure located in the median part corresponding to half of length \(L\) of the vessel;
\(le_v\) is the effective length, in m, of a superstructure in the forward quarter of vessel length \(L\);
\(le_a\) is the effective length, in m, of a superstructure in the aft quarter of vessel length \(L\).
The effective length of a superstructure is calculated using the following formulae:

\[
le_m = l \cdot \left(2.5 \cdot \frac{b}{B} - 1.5\right) \cdot \frac{h}{0.36} \,[\text{m}]
\]

\[
le_v, \text{resp. } le_a = l \cdot \left(2.5 \cdot \frac{b}{B_1} - 1.5\right) \cdot \frac{h}{0.36} \,[\text{m}].
\]

where:
- \(l\) is the effective length, in m, of the superstructure involved;
- \(b\) is the width, in m, of the superstructure involved;
- \(B_1\) is the width of the vessel, in m, measured on the outside of the vertical sideplates at deck level halfway along the superstructure involved;
- \(h\) is the height, in m, of the superstructure involved. However, in the case of hatches, \(h\) is obtained by reducing the height of the coamings by half of the safety distance according to Article 4.01. In no case will a value exceeding 0.36 m be taken for \(h\).

If \(\frac{b}{B}\) or \(\frac{b}{B_1}\) is less than 0.6 the effective length \(le\) of the superstructure will be zero.

4. Coefficients \(\beta_v\) and \(\beta_a\) are calculated using the following formulae:

\[
B_v = 1 - \frac{3 \cdot le_v}{L}
\]

\[
B_a = 1 - \frac{3 \cdot le_a}{L}
\]

5. The effective aft/forward sheers \(Se_v/Se_a\) are calculated using the following formulae:

\[
Se_v = S_v \cdot p
\]

\[
Se_a = S_a \cdot p
\]

where:
- \(S_v\) is the actual forward sheer, in mm; however \(S_v\) shall not be taken to be more than 1000 mm;
- \(S_a\) is the actual aft sheer, in mm; however \(S_a\) may not be taken to be more than 500 mm;
- \(p\) is a coefficient calculated using the following formula:

\[
p = 4 \cdot \frac{x}{L}
\]

\(x\) is the abscissa, measured from the extremity of the point where the sheer is 0.25 \(S_v\) or 0.25 \(S_a\) (see figure).
However, coefficient $p$ will not be taken to be more than 1.

6. If $\beta_a \cdot S e_a$ is greater than $\beta_v \cdot S e_v$, the value of $\beta_v \cdot S e_v$ will be taken as being the value for $\beta_a \cdot S e_a$.

**Article 4.03**

*Minimum freeboard*

In view of the reductions referred to in Article 4.02 the minimum freeboard shall be not less than 0 mm.

**Article 4.04**

*Draught marks*

1. For the purpose of Article 4.04 and 4.05, Zone R is equivalent to Zone 3.

2. The plane of maximum draught shall be determined in such a way that the specifications concerning minimum freeboard and minimum safety clearance are both met. However, for safety reasons, the inspection body may lay down a greater value for the safety clearance or freeboard.

   The plane of maximum draught shall be determined at least for Zone 3.

3. The plane of maximum draught shall be indicated by means of highly visible, indelible draught marks.

4. The draught marks for Zone 3 shall consist of a rectangle 300 mm long and 40 mm deep, the base of which is horizontal and coincides with the plane of the maximum authorised draught. Any differing draught marks shall include such a rectangle.

5. Vessels shall have at least three pairs of draught marks, of which one pair shall be at 1/2 of the length $L$ and the two others located, respectively, at a distance from the bow and stern that is equal to roughly 1/6 of the length $L$.

   However,

   a) where a vessel is less than 40 m in length $L$, it will suffice to affix two pairs of marks at a distance from the bow and stern, respectively, that is equal to a quarter of the length $L$;

   b) where vessels are not intended for the carriage of goods, a pair of marks located roughly halfway along the vessel will suffice.
6. Marks or indications which cease to be valid following a further inspection shall be deleted or marked as being no longer valid under the supervision of the inspection body. If a draught mark should disappear, it may only be replaced under the supervision of an inspection body.

7. Where a vessel has been measured in implementation of the 1966 Convention on the Measurement of inland navigation vessels and the plane of the measurement marks meet the requirements of this Standard, the measurement marks shall take the place of the draught marks; this shall be mentioned in the inland navigation vessel certificate.

8. For vessels operating on zones of inland waterways other than Zone 3 (i.e. Zones 1, 2 or 4), the bow and stern pairs of draught marks relevant to this zone referred to in (4) shall be supplemented by adding a vertical line to this line or, in the case of several zones, several additional draught lines 150 mm long shall be affixed towards the bow, in relation to the draught mark for Zone 3.

This vertical line and the horizontal line shall be 30 mm thick. In addition to the draught mark towards the bow of the vessel, the relevant zone numbers shall be indicated in lettering 60 mm high × 40 mm deep (see Figure 1).
Article 4.05
*Maximum loaded draught of vessels whose holds are not always closed so as to be spray-proof and weathertight*

If the plane of maximum draught for Zone 3 of a vessel is determined by assuming that the holds may be closed in such a way as to make them spray-proof and weathertight, and if the distance between the plane of maximum draught and the upper edge of the coamings is less than 500 mm, the maximum draught for sailing with uncovered holds shall be determined.

The following statement shall be entered on the inland navigation vessel certificate:

'Where the hold hatches are totally or partly uncovered the vessel may only be loaded up to ... mm below the draught marks for Zone 3 / Zone R.'

Article 4.06
*Draught scales*

1. Vessels whose draught may exceed 1 m shall bear a draught scale on each of their sides towards the stern; they may bear additional draught scales.

2. The zero points on each draught scale shall be taken vertically to this within the plane running parallel to the plane of maximum draught passing through the lowest point of the hull or of the keel where such exists. The vertical distance above the zero point shall be graduated in decimetres. That graduation shall be located on each scale, from the unladen water line up to 100 mm above the maximum draught by means of punched or chiselled marks, and shall be painted in the form of a highly-visible band in two alternating colours. That graduation shall be identified by figures at a distance of every five decimetres marked next to the scale as well as at the top of the scale.

3. The two stern measurement scales affixed pursuant to the Convention referred to in Article 4.04(7), may replace the draught scales, provided that they include a graduation that meets the requirements plus, where appropriate, figures indicating the draught.

Article 4.07
*Specific requirements applicable to vessels navigating on zone 4 waterways*

1. By way of derogation from Article 4.01(1) and (2), the safety clearance of doors and openings other than hold hatches for vessels navigating on Zone 4 waterways is reduced as follows:
   a) for openings which can be closed spray-proof and weathertight, to 150 mm;
   b) for openings which cannot be closed spray-proof and weathertight, to 200 mm.

2. By way of derogation from Article 4.02, the minimum freeboard of vessels navigating on Zone 4 waterways is 0 mm, if the safety clearance according to (1) is respected.
CHAPTER 5
MANOEUVRABILITY

Article 5.01
General

1. Vessels and convoys shall display adequate navigability and manoeuvrability.
2. Unpowered vessels intended to be towed shall meet the specific requirements laid down by the inspection body.
3. Powered vessels and convoys shall meet the requirements set out in Articles 5.02 to 5.10.

Article 5.02
Navigation tests

1. Navigability and manoeuvrability shall be checked by means of navigation tests. Compliance with the requirements of Articles 5.06 to 5.10 shall, in particular, be examined.
2. The inspection body may dispense with all or part of the tests where compliance with the navigability and manoeuvrability requirements is proven in another manner.

Article 5.03
Test area

1. The navigation tests referred to in Article 5.02 shall be carried out on areas of inland waterways that have been designated by the competent authorities.
2. Those test areas shall be situated on a stretch of flowing or standing water that is if possible straight, at least 2 km long and sufficiently wide and is equipped with highly-distinctive marks for determining the position of the vessel.
3. It shall be possible for the inspection body to plot the hydrological data such as depth of water, width of fairway and average speed of the current in the navigation area as a function of the various water levels.

Article 5.04
Degree of loading of vessels and convoys during navigation tests

During navigation tests, vessels and convoys intended to carry goods shall be loaded to at least 70% of their tonnage and loading, distributed in such a way as to ensure a horizontal attitude as far as possible. If the tests are carried out with a lesser load the approval for downstream navigation shall be restricted to that loading.
Article 5.05  
*Use of on-board facilities for navigation test*

1. During the navigation test, all of the equipment referred to in items 34 and 52 of the inland navigation vessel certificate which may be actuated from the wheelhouse may be used, apart from anchors.

2. However, during the test involving turning into the current referred to in Article 5.10, bow anchors may be used.

Article 5.06  
*Prescribed (forward) speed*

1. Vessels and convoys shall achieve a speed in relation to the water of at least 13 km/h. That condition is not mandatory where pushers are operating solo.

2. The inspection body may allow derogations to vessels and convoys operating solely in estuaries and ports.

3. The inspection body shall check if the unladen vessel is capable of exceeding a speed of 40 km/h in relation to water. If this can be confirmed, the following entry shall be entered in item 52 of the inland navigation vessel certificate:

   ‘The vessel is capable of exceeding a speed of 40 km/h in relation to water.’

Article 5.07  
*Stopping capacity*

1. Vessels and convoys shall be able to stop facing downstream in good time while remaining adequately manoeuvrable.

2. Where vessels and convoys with a length $L$ of not more than 86 m and with a breadth $B$ of not more than 22.90 m the stopping capacity mentioned above may be replaced by turning capacity.

3. The stopping capacity shall be proved by means of stopping manoeuvres carried out within a test area as referred to in Article 5.03 and the turning capacity by turning manoeuvres in accordance with Article 5.10.

Article 5.08  
*Capacity for going astern*

Where the stopping manoeuvre required by Article 5.07 is carried out in standing water it shall be followed by a navigation test while going astern.
Article 5.09
Capacity for taking evasive action

Vessels and convoys shall be able to take evasive action in good time. That capacity shall be proven by means of evasive manoeuvres carried out within a test area as referred to in Article 5.03.

Article 5.10
Turning capacity

Vessels and convoys with a length $L$ of not more than 86 m or with a breadth $B$ of not more than 22.90 m shall be able to turn in good time.

That turning capacity may be replaced by the stopping capacity referred to in Article 5.07.

The turning capacity shall be proven by means of turning manoeuvres against the current.
CHAPTER 6
STEERING SYSTEM

Article 6.01
General requirements

1. Vessels shall be fitted with a reliable steering system which provides at least the manoeuvrability required by Chapter 5.

2. Powered steering systems shall be designed in such a way that the rudder cannot change position unintentionally.

3. The steering system as a whole shall be designed for permanent lists of up to 15° and ambient temperatures from -20 °C to +50 °C.

4. The component parts of the steering system shall be rugged enough to always be able to withstand the stresses to which they may be subjected during normal operation. No external forces applied to the rudder shall impair the operating capacity of the steering apparatus and its drive unit.

5. The steering system shall incorporate a powered drive unit if so required by the forces needed to actuate the rudder.

6. A steering apparatus with powered drive unit shall be protected against overloads by means of a system that restricts the torque applied by the drive unit.

7. The penetrations for the rudder stocks shall be so designed as to prevent the spread of water-polluting lubricants.

Article 6.02
Steering apparatus drive unit

1. If the steering apparatus has a powered drive unit, a second independent drive unit or an additional manual drive shall be present. In case of failure or malfunction of the drive unit of the rudder system, the second independent drive unit or the manual drive has to be in operation within 5 seconds.

2. If the second drive unit or manual drive is not placed in service automatically, it shall be possible to do so immediately by means of a single operation by the helmsman that is both simple and quick.

3. The second drive unit or manual drive shall ensure the manoeuvrability required by Chapter 5 as well.
Article 6.03

**Hydraulic steering apparatus drive unit**

1. No other power consumers may be connected to the hydraulic steering apparatus drive unit.

2. Hydraulic tanks shall be equipped with a warning system that monitors a dropping of the oil level below the lowest content level needed for safe operation.

3. The dimensions, design and arrangement of the pipework shall as far as possible exclude mechanical damage or damage resulting from fire.

4. Hydraulic hoses are:
   a) only permissible, if vibration absorption or freedom of movement of components makes their use inevitable;
   b) to be designed for at least the maximum service pressure;
   c) to be renewed at the latest every eight years.

5. Hydraulic cylinders, hydraulic pumps and hydraulic motors as well as electric motors shall be examined at the latest every eight years by a specialised firm and repaired if required.

Article 6.04

**Power source**

1. Steering systems fitted with two powered drive units shall have at least two power sources.

2. If the second power source for the powered steering apparatus is not constantly available while the vessel is under way, a buffer device carrying adequate capacity shall provide back-up during the period needed for start-up.

3. In the case of electrical power sources, no other power consumers may be supplied by the main power source for the steering system.

Article 6.05

**Manual drive**

1. The manual wheel shall not be driven by a powered drive unit.

2. Regardless of rudder position, a kick-back of the wheel shall be prevented when the manual drive is engaged automatically.
Article 6.06
*Rudder-propeller, water-jet, cycloidal-propeller and bow-thruster systems*

1. Where the thrust vectoring of rudder-propeller, water-jet, cycloidal-propeller or bow thruster installations is remotely actuated by electric, hydraulic or pneumatic means, there shall be two steering controls, each independent of the other, between the wheelhouse and the propeller- or thruster-installation which, *mutatis mutandis*, meet the requirements of Articles 6.01 to 6.05.

Such systems are not subject to this paragraph if they are not needed in order to achieve the manoeuvrability required by Chapter 5 or if they are only needed for the stopping test.

2. Where there are two or more rudder-propeller, water-jet or cycloidal-propeller installations that are independent of each other the second actuation system is not necessary if the vessel retains the manoeuvrability required by Chapter 5 if one of the systems fails.

Article 6.07
*Indicators and monitoring devices*

1. The rudder position shall be clearly displayed at the steering position. If the rudder-position indicator is electric it shall have its own power supply.

2. An optical and acoustic alarm shall be present at the steering position to signal the following:
   a) oil level of the hydraulic tanks falling under the lowest content level in accordance with Article 6.03(2) and decrease of service pressure of the hydraulic system;
   b) failure of the electrical supply for the steering control;
   c) failure of the electrical supply for the drive units;
   d) failure of the rate-of-turn regulator;
   e) failure of the required buffer devices.

Article 6.08
*Rate-of-turn regulators*

1. Rate-of-turn regulators and their components shall meet the requirements laid down in Article 10.20.

2. The proper functioning of the rate-of-turn regulator shall be displayed at the steering position by means of a green indicating light.

Any lack of or unacceptable variations in the supply voltage and an unacceptable decrease in the speed of rotation of the gyroscope shall be monitored.
3. Where, in addition to the rate-of-turn regulator, there are other steering systems, it shall be possible to clearly distinguish at the steering position which of these systems has been activated. It shall be possible to shift from one system to another immediately. The rate-of-turn regulator shall not have any influence on the steering systems.

4. The electricity supply to the rate-of-turn regulator shall be independent of other power consumers.

5. The gyroscopes, detectors and rate-of-turn indicators used in the rate-of-turn regulators shall meet the minimum requirements of the minimum specifications and test conditions concerning rate-of-turn displays for inland waterways, as laid down in Section II of Annex 5.

**Article 6.09**

**Testing**

1. The correct installation of the steering system shall be inspected by an inspection body. For this purpose the inspection body can require the following documents:
   a) description of the steering system;
   b) drawings of and information on the steering apparatus drive units and the steering control;
   c) information concerning the steering apparatus;
   d) electrical wiring diagram;
   e) description of the rate-of-turn regulator;
   f) operating and maintenance instructions for the steering system.

2. Operation of the entire steering system shall be checked by means of a navigation test. If a rate-of-turn regulator is installed it shall be checked that a predetermined course can be reliably maintained and that bends can be negotiated safely.

3. Power-driven steering systems shall be inspected by a competent person:
   a) before being put into service;
   b) after a failure;
   c) after any modification or repair;
   d) regularly at least every three years.

4. The inspection has to cover at least:
   a) a check of conformity with the approved drawings and at periodical inspections whether alterations in the steering system were made;
   b) a functional test of the steering system for all operational possibilities;
   c) a visual check and a tightness check of the hydraulic components, in particular valves, pipelines, hydraulic hoses, hydraulic cylinders, hydraulic pumps, and hydraulic strainers;
   d) a visual check of the electrical components, in particular relays, electric motors and safety devices;
   e) a check of the optical and acoustic control devices.

5. An inspection attestation, signed by the competent person, shall be issued, showing the date of inspection.
CHAPTER 7
WHEELHOUSE

Article 7.01
General

1. Wheelhouses shall be arranged in such a way that the helmsman may at all times perform his task while the vessel is under way.

2. Under normal operating conditions, sound pressure generated by the vessel and measured at the level of the helmsman's head at the steering position shall not exceed 70 dB(A).

3. Where a wheelhouse has been designed for radar navigation by one person, the helmsman shall be able to accomplish his task while seated and all of the display or monitoring instruments and all of the controls needed for operation of the vessel shall be arranged in such a way that the helmsman may use them comfortably while the vessel is under way without leaving his position or losing sight of the radar screen.

Article 7.02
Unobstructed view

1. There shall be an adequately unobstructed view in all directions from the steering position.

2. The area of obstructed vision for the helmsman ahead of the vessel in an unladen state with half of its supplies but without ballast shall not exceed 250 m.

   To further reduce any area of obstructed vision, only appropriate auxiliary means shall be used.

   Auxiliary means for reducing the area of obstructed vision may not be taken into account during the inspection.

3. The helmsman's field of unobstructed vision at his normal position shall be at least 240° of the horizon and at least 140° within the forward semicircle.

   No window frame, post or superstructure shall lie within the helmsman's usual axis of vision.

   Even in the case where a field of unobstructed vision of at least 240° of the horizon is provided, the inspection body may require other measures and in particular the installation of appropriate auxiliary means if no sufficiently unobstructed view is provided towards the rear.

   The lower edge of the side windows must be located as low as possible and the upper edge of the side and rear windows must be located as high as possible.

   In determining whether the requirements in this Article for visibility from the wheelhouse are met, the helmsman shall be assumed to have a height of eye of 1.65 m above the wheelhouse floor at the steering position.
4. The upper edge of the forward facing windows of the wheelhouse shall be high enough to allow a person at the steering position a clear forward view.

This requirement shall have been fulfilled when a person at the steering position with height of eye of 1.80 m have a clear forward view to at least 10° above the horizontal at eye-level height.

5. There shall in all weathers be appropriate means of providing a clear view through the front windows.

6. The glazing used in wheelhouses shall be made of safety glass and have a light transmission of at least 75 %.

To avoid reflections, the wheelhouse front windows must be glare-free or fitted so as to exclude reflections effectively.

The requirement of the second sentence shall have been fulfilled when the windows are inclined from the vertical plane at an angle of not less than 10° and not more than 25°.

Article 7.03

**General requirements concerning control, indicating and monitoring equipment**

1. Control equipment needed to operate the vessel shall be brought into its operating position easily. That position shall be unambiguously clear.

2. Monitoring instruments shall be easily legible. It shall be possible to dim their lighting down to their extinction. Light sources shall be neither intrusive nor impair the legibility of the monitoring instruments.

3. There shall be a system for testing the warning and indicating lights.

4. It shall be possible to clearly establish whether a system is in operation. If its functioning is indicated by means of an indicating light, this shall be green.

5. Any malfunctioning or failure of systems that require monitoring shall be indicated by means of red warning lights.

6. An audible warning shall sound at the same time that a red warning light lights up. Audible warnings may be given by a single, collective signal. The sound pressure level of that signal shall exceed the maximum sound pressure level of the ambient noise at the steering position by at least 3 dB(A).

7. The audible warning shall be capable of being switched off after a malfunction or failure has been acknowledged. Such shutdown shall not prevent the alarm signal from being triggered by other malfunctions. The red warning lights shall only go out when the malfunction has been corrected.

8. The monitoring and indicating devices shall be automatically switched to an alternative power source if their own power source fails.
Article 7.04
Specific requirements concerning control, indicating and monitoring equipment of main engines and steering system

1. It shall be possible to control and monitor the main engines and steering systems from the steering position. Main engines fitted with a clutch which can be actuated from the steering position, or driving a controllable pitch propeller which can be controlled from the steering position, need only to be capable of being started up and shut down from the engine room.

2. The control for each main engine shall take the form of a single lever which prescribes an arc within a vertical plane that is approximately parallel to the longitudinal axis of the vessel. Movement of that lever towards the bow of the vessel shall cause forward motion, whereas movement of the lever towards the stern shall cause the vessel to go astern. Clutch engagement and reversal of the direction of motion shall take place about the neutral position of that lever. The lever shall catch in the neutral position.

3. The direction of the propulsion thrust imparted to the vessel and the rotational speed of the propeller or main engines shall be displayed.

4. The indicating and monitoring devices required by Article 6.07(2), Article 8.03(2), and Article 8.05(13), shall be located at the steering position.

5. Vessels with wheelhouses designed for radar navigation by one person shall be steered by means of a lever. It shall be possible to move that lever easily by hand. The position of the lever in relation to the longitudinal axis of the vessel shall correspond precisely to the position of the rudder blades. It shall be possible to release hold of the lever in any given position without that of the rudder blades changing. The neutral position of the lever shall be clearly perceptible.

6. Where the vessel is fitted with bow rudders or special rudders, particularly for going astern, these shall be actuated in wheelhouses designed for radar navigation by one person by special levers which, mutatis mutandis, meet the requirements set out in (5).

That requirement shall also apply where, in convoys, the steering system fitted to craft other than those powering the convoy is used.

7. Where rate-of-turn regulators are used, it shall be possible for the rate-of-turn control to be released in any given position without altering the speed selected.

The control shall turn through a wide enough arc to guarantee adequately precise positioning. The neutral position shall be clearly perceptible from the other positions. It shall be possible to increase or decrease the level of illumination.

8. The remote-control equipment for the entire steering system shall be installed in a permanent manner and be arranged in such a way that the course selected is clearly visible. If the remote control equipment can be disengaged, it shall be equipped with an indicating device displaying the respective operational conditions ‘in service’ or ‘out of service’. The disposition and manipulation of the controls shall be functional.
For systems that are subsidiary to the steering system, such as active bow thrusters, remote-control equipment not permanently installed shall be acceptable provided that such a subsidiary installation can be activated by means of an override at any time within the wheelhouse.

9. In the case of rudder-propeller, water-jet, cycloidal-propeller and bow-thruster systems, equivalent devices shall be acceptable as control, indicating and monitoring devices.

The requirements set out in (1) to (8) shall apply, mutatis mutandis, in view of the specific characteristics and arrangements selected for the abovementioned active steering and propulsion units. In analogy to (2), each unit shall be controlled by a lever which moves in the form of an arc within a vertical plane that is approximately parallel to the direction of the thrust of the unit. From the position of the lever the direction of the thrust acting on the vessel shall be clear.

If rudder propeller or cycloidal-propeller systems are not controlled by means of levers, the inspection body may allow derogations from (2). These derogations shall be entered in item 52 of the inland navigation vessel certificate.

Article 7.05

**Navigation lights, light signals and sound signals**

1. Navigation lights, their casings and accessories shall bear the approval mark prescribed by Directive 2014/90/EU¹, as amended.

2. Current indicating lights or other equivalent devices, such as repeater lights, for monitoring the navigation lights shall be installed in the wheelhouse unless that monitoring can be performed direct from the wheelhouse.

3. In wheelhouses designed for radar navigation by one person, repeater lights shall be installed on the control panel in order to monitor the navigation lights and the light signals. Switches of navigation lights shall be included in the repeater lights or be adjacent to these and shall be clearly assigned to them.

   The arrangement and colour of the repeater lights for the navigation lights and light signals shall correspond to the actual position and colour of those lights and signals.

   The failure of a navigation light or light signal to function shall cause the corresponding repeater light either to go out or to provide a signal in another manner.

4. In wheelhouses designed for radar navigation by one person it shall be possible to activate the sound signals by a foot operated switch. That requirement shall not apply to the ‘do not approach’ signal in accordance with the applicable navigational authority regulations of the Member States.

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Article 7.06

*Navigation and information equipment*

1. Navigational radar installation and rate-of-turn indicators shall fulfil the requirements laid down in Annex 5. Compliance with these requirements shall be determined by a type-approval issued by the competent authority.

2. Inland ECDIS equipment which can be operated in navigation mode shall be regarded as navigational radar installation. It shall meet the requirements of the Inland ECDIS standard. The requirements of Annex 5 must be complied with.

3. Inland AIS equipment shall meet the requirements of the current Test Standard for Inland AIS. The requirements of Annex 5 must be complied with.

4. The rate-of-turn indicator shall be located ahead of the helmsman and within his field of vision.

5. In wheelhouses designed for radar navigation by one person:
   a) the radar screen shall not be shifted significantly out of the helmsman’s axis of view in its normal position;
   b) the radar image shall continue to be perfectly visible, without a mask or screen, whatever the lighting conditions outside the wheelhouse;
   c) the rate-of-turn indicator shall be installed directly above or below the radar image or be incorporated into this.

Article 7.07

*Radio telephony systems for vessels with wheelhouses designed for radar navigation by one person*

1. Where vessel wheelhouses have been designed for radar navigation by one person, reception from the vessel to vessel networks and that of nautical information shall be via a loudspeaker, and outgoing communications via a fixed microphone. Send/receive shall be selected by means of a push-button.

   It shall not be possible to use the microphones of those networks for the public correspondence network.

2. Where vessel wheelhouses designed for radar navigation by one person are equipped with a radio telephone system for the public correspondence network, reception shall be possible from the helmsman’s seat.
Article 7.08

*Internal communication facilities on board*

There shall be internal communication facilities on board vessels with a wheelhouse designed for radar navigation by one person.

It shall be possible to establish communication links from the steering position:

a) with the bow of the vessel or convoy;

b) with the stern of the vessel or convoy if no direct communication is possible from the steering position;

c) with the crew accommodation;

d) with the boatmaster’s cabin.

Reception at all positions of these internal communication links shall be via loudspeaker, and transmission shall be via a fixed microphone. The link with the bow and stern of the vessel or convoy may be of the radio-telephone type.

Article 7.09

*Alarm system*

1. There shall be an independent alarm system enabling the accommodation, engine rooms and, where appropriate, the separate pump rooms to be reached.

2. The helmsman shall have within reach an on/off switch controlling the alarm signal; switches which automatically return to the off position when released are not acceptable.

3. The sound pressure level for the alarm signal shall be at least 75 dB(A) within the accommodation area.

   In engine rooms and pump rooms the alarm signal shall take the form of a flashing light that is visible on all sides and clearly perceptible at all points.

Article 7.10

*Heating and ventilation*

Wheelhouses shall be equipped with an effective heating and ventilation system that can be regulated.

Article 7.11

*Stern-anchor operating equipment*

On board vessels and convoys whose wheelhouse has been designed for radar navigation by one person and exceeding 86 m in length or 22.90 m in breadth it shall be possible for the helmsman to drop the stern anchors from his position.
Article 7.12

_Elevating wheelhouses_

1. A mechanically powered elevating wheelhouse and its appliances shall be designed in such a way that the safety of persons on board is not endangered.

2. An elevating wheelhouse shall not endanger the stability of the vessel.

3. Operations carried out from the wheelhouse shall not be hindered during lifting and lowering. It shall be possible to enter and leave the wheelhouse safely, whatever its position.

4. It shall be possible to operate the lifting mechanism from inside the wheelhouse. The following indications shall be arranged at the steering position:
   a) voltage present,
   b) wheelhouse in lowest position,
   c) wheelhouse in highest position,
   d) wheelhouse locked in fixed position (if applicable).

5. The lifting mechanism shall enable the wheelhouse to stop in all positions. If the possibility exists to lock the wheelhouse in a certain position, the lifting mechanism shall be automatically disabled when locking takes place. Releasing the locks shall be possible under all operating conditions.

6. The lifting mechanism shall be designed in such a way that exceeding the terminal positions is not possible.

7. Arrangements shall be provided to avoid uncontrolled lowering of the wheelhouse. Appropriate protection features shall be installed to prevent the risk of injury which may result from lowering. All lowering operations shall automatically trigger an optical and a clearly audible acoustic warning signal.

8. Elevating wheelhouses shall be fitted with an emergency lowering system, which is independent from the normal lifting mechanism and can be used even in the event of a power failure. This emergency system shall be operated from inside the wheelhouse. When using the emergency system the lowering speed shall not be less than the lowering speed under normal conditions.

9. (left void)

10. Hydraulic hoses are:
   a) only permissible, if vibration absorption or freedom of movement of components makes their use inevitable;
   b) to be designed for at least the maximum service pressure;
   c) to be renewed at the latest every eight years.

11. Elevating wheelhouses and their appliances shall be inspected regularly, but at least once every twelve months, by a competent person. The safety of the installation is to be established by a visual check and a check on satisfactory operation.
12. Elevating wheelhouses and their appliances shall be inspected by an expert:
   a) before being put into service for the first time,
   b) before being put back into service after any major modification or repair, and
   c) regularly, at least every five years.

   In these inspections proof of adequate strength and stability shall be provided by calculations.

   An inspection attestation shall be issued, signed by the expert and showing the date of the inspection.

**Article 7.13**

*Entry in the inland navigation vessel certificate for vessels with wheelhouses designed for radar navigation by one person*

Where a vessel complies with the special provisions for wheelhouses designed for radar navigation by one person as set out in Articles 7.01(3), 7.04(5) and (6), 7.05(3) and (4), 7.06(2), 7.07, 7.08 and 7.11, the following entry shall be made in the inland navigation vessel certificate:

‘The vessel has a wheelhouse designed for radar navigation by one person’. 
CHAPTER 8
ENGINE DESIGN

Article 8.01
General

1. Engines and their ancillaries shall be designed, built and installed in accordance with best practice.

2. Pressure vessels dedicated for the operation of the vessel shall be checked by an expert to verify that they are safe for operation:
   a) before being put into service for the first time;
   b) before being put back into service after any modification or repair; and
   c) regularly, at least every five years.

   The inspection shall involve an internal and an external inspection. Compressed-air vessels the interior of which cannot be properly inspected, or the condition of which cannot be clearly established during the internal inspection, are required to undergo additional non-destructive testing or a hydraulic pressure test.

   An inspection attestation shall be issued, signed by the expert and showing the date of the inspection.

   Other installations requiring regular inspection, particularly steam boilers, other pressure vessels and their accessories, and lifts, shall meet the regulations applying in one of the Member States.

3. Only internal-combustion engines burning fuels having a flashpoint of more than 55 °C may be installed.

Article 8.02
Safety equipment

1. Engines shall be installed and fitted in such a way as to be adequately accessible for operation and maintenance and shall not endanger the persons assigned to those tasks. It shall be possible to make them secure against unintentional starting.

2. Main engines, auxiliaries, boilers and pressure vessels, and their accessories, shall be fitted with safety devices.

3. In case of emergency, it shall also be possible to shut down the motors driving the blower and suction fans from outside the space in which they are located, and from outside the engine room.

4. Where necessary, connections of pipes which carry fuel oil, lubricating oil, and oils used in power transmission systems, control and activating systems and heating systems shall be screened or otherwise suitably protected to avoid oil spray or leakages onto hot surfaces, into machinery air intakes, or other sources of ignition. The number of connections in such piping systems shall be kept to a minimum.
5. External high pressure fuel delivery pipes of diesel engines, between the high pressure fuel pumps and fuel injectors, shall be protected with a jacketed piping system capable of containing fuel from a high pressure pipe failure. The jacketed piping system shall include a means for collection of leakages and arrangements shall be provided for an alarm to be given of a fuel pipe failure, except that an alarm is not required for engines with no more than two cylinders. Jacketed piping systems need not be applied to engines on open decks operating windlasses and capstans.

6. Insulation of engine parts shall meet the requirements of Article 3.04(3), second subparagraph.

**Article 8.03**

*Propulsion systems*

1. It shall be possible to start, stop or reverse the ship's propulsion reliably and quickly.

2. The following areas shall be monitored by suitable devices which trigger an alarm once a critical level has been reached:
   a) the temperature of the cooling water of the main engines;
   b) the lubricating-oil pressure for the main engines and transmissions;
   c) the oil and air pressure of the reversing units of the main engines, reversible transmissions or propellers.

3. Where vessels have only one propulsion engine, that engine shall not be shut down automatically except in order to protect against overspeed.

4. Where vessels have only one propulsion engine, that engine may be equipped with an automatic device for the reduction of the engine speed only if an automatic reduction of the engine speed is indicated both optically and acoustically in the wheelhouse and the device for the reduction of the engine speed can be switched off from the helmsman's position.

5. Shaft bushings shall be designed in such a way as to prevent the spread of water-polluting lubricants.

**Article 8.04**

*Engine exhaust system*

1. The exhaust gases shall be completely ducted out of the vessel.

2. All suitable measures shall be taken to avoid ingress of the exhaust gases into the various compartments. Exhaust pipes passing through accommodation or the wheelhouse shall, within these, be covered by protective gas-tight sheathing. The gap between the exhaust pipe and this sheathing shall be open to the outside air.

3. The exhaust pipes shall be arranged and protected in such a way that they cannot cause a fire.

4. The exhaust pipes shall be suitably insulated or cooled in the engine rooms. Protection against physical contact may suffice outside the engine rooms.
Article 8.05
Fuel tanks, pipes and accessories

1. Liquid fuels shall be stored in steel tanks which are either an integral part of the hull or which are firmly attached to the hull. If so required by the design of the vessel, an equivalent material in terms of fire-resistance may be used. These requirements shall not apply to tanks having a capacity of no more than 12 litres that have been incorporated in auxiliaries during their manufacture. Fuel tanks shall not have common partitions with drinking-water tanks.

2. Fuel tanks and their pipework and other accessories shall be laid out and arranged in such a way that neither fuel nor fuel vapours may accidentally reach the inside of the vessel. Tank valves intended for fuel sampling or water drainage shall close automatically.

3. No fuel tanks may be located forward of the collision bulkhead or aft of the aft-peak bulkhead.

4. Fuel tanks and their fittings shall not be located directly above engines or exhaust pipes.

5. The filler orifices for fuel tanks shall be marked distinctly.

6. The orifice for the fuel tank filler necks shall be on the deck, except for the daily-supply tanks. The filler neck shall be fitted with a connection piece in accordance with European Standard EN 12827 : 1999.

Such tanks shall be fitted with a breather pipe terminating in the open air above the deck and arranged in such a way that no water ingress is possible. The cross-section of the breather pipe shall be at least 1,25 times the cross-section of the filler neck.

If tanks are interconnected, the cross-section of the connecting pipe shall be at least 1,25 times the cross-section of the filler neck.

7. Directly at tank outlets the pipework for the distribution of fuels shall be fitted with a quick-closing valve that can be operated from the deck, even when the rooms in question are closed.

If the operating device is concealed, the lid or cover shall not be lockable.

The operating device shall be marked in red. If the device is concealed it shall be marked with a symbol for the 'quick-closing valve on the tank' in accordance with Figure 9 of Annex 4 with a side length of at least 10 cm.

The first subparagraph shall not apply to fuel tanks mounted directly on the engine.

8. Fuel pipes, their connections, seals and fittings shall be made of materials that are able to withstand the mechanical, chemical and thermal stresses to which they are likely to be subjected. The fuel pipes shall not be subjected to any adverse influence of heat and it shall be possible to inspect them throughout their length.
9. Fuel tanks shall be provided with a suitable capacity-gauging device. Capacity-gauging devices shall be legible right up to the maximum filling level. Glass gauges shall be effectively protected against impacts, shall be fitted with an automatic closing device at their base and their upper end shall be connected to the tanks above their maximum filling level. The material used for glass gauges shall not deform under normal ambient temperatures. Sounding pipes shall not terminate in accommodation spaces. Sounding pipes terminating in an engine or boiler room shall be fitted with suitable self-closing devices.

10. a) Fuel tanks shall be safeguarded against fuel spills during bunkering by means of appropriate onboard technical devices which shall be entered in item 52 of the inland navigation vessel certificate.

b) If fuel is taken on from bunkering stations with their own technical devices to prevent fuel spills on board during bunkering, the equipment requirements in (a) and (11) shall no longer apply.

11. If fuel tanks are fitted with an automatic shut-off device, the sensors shall stop fuelling when the tank is 97 % full; this equipment shall meet the ‘failsafe’ requirements.

If the sensor activates an electrical contact, which can break the circuit provided by the bunkering station by a binary signal, it shall be possible to transmit the signal to the bunkering station by means of a watertight connection plug meeting the requirements of International Standard IEC 60309-1 : 2012 for 40 to 50 V DC, housing colour white, earthing contact position ten o’clock.

12. Fuel tanks shall be provided with openings having leak-proof closures that are intended to permit cleaning and inspection.

13. Fuel tanks directly supplying the propulsion engines and engines needed for navigation shall be fitted with a device emitting both visual and audible signals in the wheelhouse if their level of filling is not sufficient to ensure further safe operation.

Article 8.06

Storage of lubricating oil, pipes and accessories

1. Lubricating oil shall be stored in steel tanks which are either an integral part of the hull or which are firmly attached to the hull. If so required by the design of the vessel, an equivalent material in terms of fire-resistance may be used. These requirements shall not apply to tanks having a capacity of no more than 25 litres. Lubricating oil tanks shall not have common partitions with drinking-water tanks.

2. Lubricating oil tanks and their pipework and other accessories shall be laid out and arranged in such a way that neither lubricating oil nor lubricating oil vapour may accidentally reach the inside of the vessel.

3. No lubricating oil tanks may be located forward of the collision bulkhead.
4. Lubricating oil tanks and their fittings shall not be located directly above engines or exhaust pipes.

5. The filler orifices for lubricating oil tanks shall be marked distinctly.

6. Lubricating oil pipes, their connections, seals and fittings shall be made of materials that are able to withstand the mechanical, chemical and thermal stresses to which they are likely to be subjected. The pipes shall not be subjected to any adverse influence of heat and it shall be possible to inspect them throughout their length.

7. Lubricating oil tanks shall be provided with a suitable capacity-gauging device. Capacity-gauging devices shall be legible right up to the maximum filling level. Glass gauges shall be effectively protected against impacts, shall be fitted with an automatic closing device at their base and their upper end shall be connected to the tanks above their maximum filling level. The material used for glass gauges shall not deform under normal ambient temperatures. Sounding pipes shall not terminate in accommodation spaces. Sounding pipes terminating in an engine or boiler room shall be fitted with suitable self-closing devices.

Article 8.07

Storage of oils used in power transmission systems, control and activating systems and heating systems, pipes and accessories

1. Oils used in power transmission systems, control and activating systems and heating systems shall be stored in steel tanks which are either an integral part of the hull or which are firmly attached to the hull. If so required by the design of the vessel, an equivalent material in terms of fire-resistance may be used. These requirements shall not apply to tanks having a capacity of no more than 25 litres. Oil tanks according to sentence (1) shall not have common partitions with drinking-water tanks.

2. Oil tanks according to (1) and their pipework and other accessories shall be laid out and arranged in such a way that neither such oil nor such oil vapour may accidentally reach the inside of the vessel.

3. No oil tanks according to (1) may be located forward of the collision bulkhead.

4. Oil tanks according to (1) and their fittings shall not be located directly above engines or exhaust pipes.

5. The filler orifices for oil tanks according to (1) shall be marked distinctly.

6. Oil pipes according to (1), their connections, seals and fittings shall be made of materials that are able to withstand the mechanical, chemical and thermal stresses to which they are likely to be subjected. The pipes shall not be subjected to any adverse influence of heat and it shall be possible to inspect them throughout their length.
7. Oil tanks according to (1) shall be provided with a suitable capacity-gauging device. Capacity-gauging devices shall be legible right up to the maximum filling level. Glass gauges shall be effectively protected against impacts, shall be fitted with an automatic closing device at their base and their upper end shall be connected to the tanks above their maximum filling level. The material used for glass gauges shall not deform under normal ambient temperatures. Sounding pipes shall not terminate in accommodation spaces. Sounding pipes terminating in an engine or boiler room shall be fitted with suitable self-closing devices.

**Article 8.08**

**Bilge pumping and drainage systems**

1. It shall be possible to pump out each watertight compartment separately. However, that requirement shall not apply to watertight compartments that are normally sealed hermetically during operation.

2. Vessels requiring a crew shall be equipped with two independent bilge pumps which shall not be installed within the same space. At least one of these shall be motor driven. However, for vessels with a power of less than 225 kW or with a deadweight of less than 350 t, or where vessels not intended for the carriage of goods have a displacement of less than 250 m$^3$, one pump will suffice which can be either manually-operated or motor-driven.

Each of the required pumps shall be capable of use in each watertight compartment.

3. The minimum pumping capacity $Q_1$ of the first bilge pump shall be calculated using the following formula:

$$ Q_1 = 0,1 \cdot d_1^2 \, [l/min] $$

$d_1$ is calculated via the formula:

$$ d_1 = 1,5 \cdot \sqrt{L \,(B + H)} + 25 \, [mm] $$

The minimum pumping capacity $Q_2$ of the second bilge pump shall be calculated using the following formula:

$$ Q_2 = 0,1 \cdot d_2^2 \, [l/min] $$

$d_2$ is calculated using the formula:

$$ d_2 = 2 \cdot \sqrt{l \,(B + H)} + 25 \, [mm] $$

However, the value $d_2$ need not exceed value $d_1$.

For the calculation of $Q_2$ $l$ shall be taken to be the length of the longest watertight compartment.

In these formulae:

- $l$ is the length of the watertight compartment in question, in [m];
- $d_1$ is the calculated internal diameter of the main drainage pipe, in [mm];
- $d_2$ is the calculated internal diameter of the branch pipe, in [mm].
4. Where the bilge pumps are connected to a drainage system the drainage pipes shall have an internal diameter of at least $d_1$, in mm, and the branch pipes an internal diameter of at least $d_2$, in mm.

Where the vessels length $L$ is less than 25 m the values $d_1$ and $d_2$ may be reduced to 35 mm.

5. Only self-priming bilge pumps are permitted.

6. There shall be at least one suction on both the starboard and port sides of all flat-bottomed, drainable compartments that are wider than 5 m.

7. It may be possible to drain the aft peak via the main engine room by means of an easily accessible, automatically closable fitting.

8. Branch pipes of single compartments shall be connected to the main drainage pipe by means of a lockable non-return valve.

Compartments or other spaces that are capable of carrying ballast need to be connected to the drainage system only by means of a simple closing device. That requirement shall not apply to holds that are capable of carrying ballast. Such holds shall be filled with ballast water by means of ballast piping that is permanently installed and independent of the drainage pipes, or by means of branch pipes that can be connected to the main drainage pipe by flexible pipes or flexible adaptors. Water intake valves located in the bottom of the hold shall not be permitted for this purpose.

9. Hold bilges shall be fitted with gauging devices.

10. Where a drainage system incorporates permanently installed pipework the bilge-bottom drainage pipes intended to extract oily water shall be equipped with closures that have been sealed in position by an inspection body. The number and position of those closures shall be entered on the inland navigation vessel certificate.

11. Locking the closures in position shall be regarded as equivalent to sealing in accordance with (10). The key or keys for the locking of the closures shall be indicated accordingly and kept in a marked and easily accessible location in the engine room.

**Article 8.09**

*Oily water and used oil stores*

1. It shall be possible to store, on board, oily water accumulated during operation. The engine room bilge is considered to be a store for this purpose.

2. In order to store used oils there shall, in the engine room, be one or several specific receptacles whose capacity corresponds to at least 1,5 times the quantity of the used oils from the sumps of all of the internal combustion engines and transmissions installed, together with the hydraulic fluids from the hydraulic-fluid tanks.

The connections used in order to empty the receptacles referred to above shall comply with European Standard EN 1305 : 1996.

3. Where vessels are only used on short-haul operation the inspection body may grant exceptions from the requirements of (2).
Article 8.10
Noise emitted by vessels

1. The noise produced by a vessel under way, and in particular the engine air intake and exhaust noises, shall be damped by using appropriate means.

2. The noise generated by a vessel under way shall not exceed 75 dB(A) at a lateral distance of 25 m from the ship's side.

3. Apart from transhipment operations the noise generated by a stationary vessel shall not exceed 65 dB(A) at a lateral distance of 25 m from the ship's side.
CHAPTER 9
EMISSION OF GASEOUS AND PARTICULATE POLLUTANTS FROM INTERNAL COMBUSTION ENGINES

Article 9.00
Definitions

For the purposes of this Chapter, the following definitions shall apply

1. ‘internal combustion engine’: an energy converter other than a gas turbine designed to transform chemical energy (input) into mechanical energy (output) with an internal combustion process; it includes, where they have been installed, the emission control system and the communication interface (hardware and messages) between the engine’s electronic control unit(s) and any other control unit;

2. ‘type-approval’: the procedure whereby the competent authority certifies that an engine type or an engine family satisfies the relevant administrative provisions and technical requirements with regard to the levels of gaseous and particulate pollutants emitted by the engine(s);

3. ‘engine family’: an engine manufacturer’s grouping of engines which, through their design, have similar exhaust emission characteristics, and respect the applicable emission limit values;

4. ‘reference power’: the net power that is used to determine the applicable emission limit values for the engine;

5. ‘engine manufacturer’: any natural or legal person who is responsible to the approval authority for all aspects of the engine type-approval or authorisation process and for ensuring conformity of engine production, and who is also responsible for market surveillance concerns for the engines produced, whether or not they are directly involved in all stages of the design and construction of the engine which is the subject of the type-approval process;

6. ‘engine parameter protocol’: the document pursuant to Annex 6, in which all the parameters, together with changes, and including components and engine settings which affect the level of emission of gaseous and particulate pollutants from the engine are duly recorded.

Article 9.01
General provisions

1. The provisions of this Chapter shall apply to all internal combustion engines with a reference power that is greater than or equal to 19 kW installed on board craft.
2. Internal combustion engines comply with the requirements of Regulation (EU) 2016/1628\(^1\). Only internal combustion engines of categories
   a) IWP,
   b) IWA,
   c) NRE with reference power less than 560 kW or
   d) engines recognized equivalent according to Regulation (EU) 2016/1628 shall be installed. This compliance is established by means of a type approval certificate.

3. A copy of the type approval certificate, the engine manufacturer's instructions and the engine parameter protocol shall be kept on board.

4. The installation of replacement engines, as defined in Regulation (EU) 2016/1628, is prohibited.

5. For the purpose of discharging tasks pursuant to this Chapter, the inspection body may employ a technical service. Only technical services notified according to Regulation (EU) 2016/1628 are recognised for the purpose of this Standard.

**Article 9.02**

*Entry in the inland navigation vessel certificate*

The identification number, as well as the type-approval number where applicable, of all internal combustion engines on board the craft shall be entered in item 52 of the inland navigation vessel certificate.

**Article 9.03**

*Provisions for the installation of internal combustion engines*

The installation of engines in craft shall comply with the restrictions set out in the scope of the type approval.

**Article 9.04**

*Engine manufacturer's instructions*

1. The engine manufacturer’s instructions, to be drawn up by the engine manufacturer, shall specify the exhaust relevant components as well as adjustments and parameters, whereby continuous compliance with the exhaust gas emission limit values can be assumed.

2. The instructions contain at least the following details:
   a) type of engine and, where appropriate, engine family with an indication of the reference power and rated speed;
   b) list of the components and engine parameters of relevance in an exhaust gas emission context;

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c) unambiguous features to identify the permitted components of relevance in an exhaust gas emission context (e.g. part numbers appearing on the components);

d) engine parameters of relevance in an exhaust gas emission context such as setting ranges for the injection timing, permitted cooling water temperature, maximum exhaust gas backpressure.

**Article 9.05**

*Tests of the internal combustion engines*

1. At the time of the installation test and in the event of intermediate tests and special tests, the inspection body will inspect the current state of the engine with reference to the components, adjustments and parameters specified in the engine manufacturer’s instructions and the engine parameter protocol.

2. The results of the tests pursuant to (1) shall be registered in the engine parameter protocol in accordance with Annex 6.

3. If the installation, intermediate and special tests show that, in relation to their parameters, components and adjustable features, the internal combustion engines installed on board comply with the specifications set out in the documents covered by Article 9.01(3), it may be assumed that the exhaust gas and particulate emissions from the internal combustion engines comply with the limit values.

   If the inspection body finds that the internal combustion engine does not comply with the requirements set out in the documents covered by Article 9.01(3), it shall require that steps are taken to re-establish internal combustion engine conformity.

4. In the case of internal combustion engines with exhaust gas after treatment systems, checks shall be carried out to establish that these systems are functioning properly in the context of the installation, intermediate or special tests.

**Article 9.06**

*Installation tests*

1. After the installation of the internal combustion engine on board, but before it is brought into service, an installation test shall be carried out. This test, which forms part of the initial inspection of the craft, or of a special inspection by virtue of the relevant internal combustion engine having been installed, shall result either in the registration of the engine in the inland navigation vessel certificate to be issued for the first time or in the modification of the existing inland navigation vessel certificate.

2. During the installation test, the inspection body shall ensure that an engine installed on board still complies with the technical requirements with regard to the level of emissions of gaseous and particulate pollutants, even after undergoing modifications or adaptations since the issuing of the type-approval.
3. The inspection body may dispense with an installation test pursuant to (1), if an internal combustion engine having a reference power output $P_N$ of less than 130 kW is replaced by an internal combustion engine covered by the same type-approval according to Article 9.01(2). As a pre-condition, the inspection body shall be notified of the engine’s replacement and shall be provided with a copy of the type-approval document and details of the identification number of the newly installed engine. The inspection body shall make the appropriate amendments to item 52 of the inland navigation vessel certificate.

**Article 9.07**  
*Intermediate tests*

1. In the event of intermediate tests, the inspection body shall ensure that an engine installed on board still complies with the technical requirements with regard to the level of emissions of gaseous and particulate pollutants, even after undergoing modifications or adaptations since the installation test, have been undergone.

2. Intermediate tests on the internal combustion engine shall be carried out in the context of the craft periodical inspection.

**Article 9.08**  
*Special tests*

1. In the event of special tests, the inspection body shall ensure that an engine installed on board still complies with the technical requirements with regard to the level of emissions of gaseous and particulate pollutants, after each significant modification.

2. After each significant modification to an engine, where such modifications have the potential to affect the emission of gaseous and particulate pollutants from the internal combustion engine, a special test must invariably be carried out.

**Article 9.09**  
*Specific requirements concerning exhaust gas after treatment systems*

1. The exhaust gas after treatment systems shall not impair the safe operation of the craft, including propulsion system and power supply, nor block the exhaust system.

2. When the exhaust gas after treatment system of internal combustion engines, which ensure the main propulsion of a craft, is equipped with a bypassing device, the bypassing device must comply with the following conditions:
   a) In the event of a failure of the exhaust gas after treatment system, the activation of the bypassing device must allow the craft to continue to make steerageway under its own power.
   b) In the event of activation of the bypassing device, the by-pass device control system shall trigger an acoustic and optical alarm signal in the wheelhouse.
   c) A by-pass device control system shall record in nonvolatile computer memory all incidents of engine operation with use of the bypassing device. The information shall be readily available for the competent authorities.
3. When a control diagnostic system is installed according to Article 25(3)(f) of Regulation (EU) 2016/1628, the required alarms shall trigger an acoustic and optical alarm signal in the wheelhouse in case of malfunctions.

4. If an after-treatment system relies on the use of a reagent in order to reduce emissions, the required alarms shall alert crew to the need to refill the reagent tank before it is empty, or to replace the reagent if it does not meet the concentration specifications. When a control diagnostic system installed according to Article 25(3)(f) of Regulation (EU) 2016/1628 can activate power reduction of the internal combustion engine, the following requirements must be fulfilled:

a) The activation of the power reduction must allow the craft to continue to make steerageway under its own power.

b) In the event of activation of the power reduction, the control system shall trigger an acoustic and optical alarm signal in the wheelhouse.

5. The requirement of (1) shall be deemed to be fulfilled when the vessel is equipped with

a) a second independent propulsion system (even if that second system also includes an exhaust gas after treatment system) allowing the craft to continue to make steerageway under its own power; or

b) an after-treatment system with a bypass device according to (2).
CHAPTER 10
ELECTRICAL EQUIPMENT AND INSTALLATIONS

Article 10.01
General

1. When no special requirements are specified for certain parts of an electrical installation or an electrical equipment, the safety level shall be considered satisfactory when these parts have been installed in accordance with an approved European Standard or in accordance with the requirements of a recognised classification society.

The relevant documents must be submitted to the inspection body.

2. The following documents bearing the stamp of the inspection body shall be available on board:
   a) general plans concerning the entire electrical installation;
   b) plans of the main switchboards, the emergency switchboards, the distribution switchboards, showing the most important technical data such as amperage of the nominal current of fuses and switching devices;
   c) indications of power requirements for electrical service equipment;
   d) types of cables indicating conductor cross-sections;
   e) for electric vessel propulsion systems, switchboard plans as well as electric propulsion engine documentation;
   f) plans of electronic steering control, regulating, alarm and safety systems;
   g) control circuit plans.

For unmanned craft, these documents shall not be required to be on board but shall at all times be available with the owner.

3. The equipment and installations shall be designed for a permanent list of the craft of up to 15° and internal ambient temperatures from 0 °C to + 40 °C and on deck from −20 °C to + 40 °C. They shall be fully functional up to these limits.

4. Electrical equipment and installations shall be easily accessible and easy to maintain.

5. Electrical equipment and installations must be designed and installed so that vibrations which occur in normal service on board do not cause malfunctions or damage.

Article 10.02
Electricity supply systems

1. Where craft are fitted with an electrical installation, that installation shall have at least two power sources in such a way that where one power source fails the remaining source is able to supply the consumer equipment needed for the safe operation for at least 30 minutes.

2. Adequate sizing of the power supply shall be demonstrated by means of a power budget calculation. An appropriate utilisation factor may be taken into account.

3. Independently of (1) above, Article 6.04 shall apply to the power sources for the steering systems.
Article 10.03

Protection against access to hazardous parts, against solid foreign objects, against ingress of water

The type of minimum protection for parts of a permanent electrical installation shall be as set out in the following table:

<table>
<thead>
<tr>
<th>Location</th>
<th>Type of minimum protection in accordance with the European Standard EN 60529 : 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Generators</td>
</tr>
<tr>
<td>Operation rooms, engine rooms and rooms of steering apparatus</td>
<td>IP 22</td>
</tr>
<tr>
<td>Holds</td>
<td>IP 55</td>
</tr>
<tr>
<td>Accumulator rooms and paint lockers</td>
<td>IP 55</td>
</tr>
<tr>
<td>Unroofed decks and open steering positions</td>
<td>IP 55</td>
</tr>
<tr>
<td>Enclosed wheelhouse</td>
<td>IP 55</td>
</tr>
<tr>
<td>Accommodation, except sanitary and wet rooms</td>
<td>IP 22</td>
</tr>
<tr>
<td>Sanitary and wet rooms</td>
<td>IP 44</td>
</tr>
</tbody>
</table>

Comments:
\(^{(1)}\) Where equipment release large amounts of heat: IP 12.
\(^{(2)}\) Where the type of protection is not ensured by the equipment itself, the equipment location shall meet the conditions indicated in the table.
\(^{(3)}\) Certified safe type electrical equipment, for example installations
  a) allowed pursuant to the European Standards series EN 60079 in the version in force on 6 July 2017,
  b) lower minimum type of protection depending on design, e.g. certain types of fire detector.
If lighting devices or fire detectors are used in accumulator and paint lockers, both conditions must be complied with.

Article 10.04

Protection from explosion

1. Only electrical equipment that has been explosion proofed (certified safety) may be installed in rooms or areas where explosive atmosphere is likely to accumulate. This equipment must be tested and approved as to its operating safety in an explosive atmosphere by testing institution recognised on the basis of national provisions of one of the Member States.

The installation in these rooms or areas of switching devices for lighting appliances and other electrical equipment is to be avoided as far as possible. The explosion proofing shall take account of the characteristics of the atmosphere that is likely to arise (explosion-potential group, temperature class) and of the requirements of the relevant zone.
Information and restrictions in the approval certificates of the equipment must be observed.

The classification and evaluation of areas at risk of explosion is to be conducted and documented in accordance with International Standards EN 60079-10-1 : 2015 and EN 60079-10-2 : 2015.

2. The applicable rules for hazardous areas:
   a) In Zone 0 areas only intrinsically safe circuits (protection class Ex ia) in accordance with International Standard IEC 60079-11 : 2012 are permitted.
   b) In zone 1 areas only explosion-protected electrical equipment (certified safety) is permitted.
   c) In zone 2 areas measures need to be taken to protect electrical equipment that are appropriate to the type and intended purpose of the service equipment.
      Approval in these areas is restricted to:
      aa) explosion-protected electrical equipment (certified safety) or
      bb) service equipment, which in normal use do not produce any sparks and whose surfaces might come into contact with the outside air, do not reach impermissible temperatures, or
      cc) service equipment which is protected by pressurization or which is encapsulated in a vapour-proof manner (minimum protection class IP 55) and whose surfaces do not reach impermissible temperatures.

3. For dust explosion protection, the requirements shall apply according to European Standard EN 60079-10-2 : 2015.

**Article 10.05**

**Earthing**

1. Earthing shall be required for electrical installations with voltages in excess of 50 V.

2. Exposed metal parts which under normal operating conditions are not live, such as electrical system frames and casings of electrical installations and non mobile electrical equipment, shall be earthed separately if they are not so mounted as to be already in electrical contact with the craft's hull.

3. The casings of mobile electric equipment shall be earthed by means of an additional conductor not normally carrying current and incorporated in the supply cable. This requirement shall not apply when an isolation transformer is used or to equipment fitted with protective insulation (double insulation).
4. The cross-section of earth wires shall be at least equal to the values obtained from the following table:

<table>
<thead>
<tr>
<th>Cross-section of external conductors (S) [mm²]</th>
<th>Minimum cross-section of earthing conductors in insulated cables [mm²]</th>
<th>separately mounted [mm²]</th>
</tr>
</thead>
<tbody>
<tr>
<td>0,5 ≤ S ≤ 4</td>
<td>same cross-section as for the external conductor</td>
<td>4</td>
</tr>
<tr>
<td>4 &lt; S ≤ 16</td>
<td>same cross-section as for the external conductor</td>
<td>16</td>
</tr>
<tr>
<td>16 &lt; S ≤ 35</td>
<td>half of the cross-section of the external conductor</td>
<td>70</td>
</tr>
<tr>
<td>S &gt; 120</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
## Article 10.06

**Maximum permissible voltages**

1. The following voltages shall not be exceeded:

<table>
<thead>
<tr>
<th>Type of equipment or installation</th>
<th>Maximum permissible voltage</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Direct current</td>
</tr>
<tr>
<td>a) Power and heating installations, including the sockets for general use ................................</td>
<td>250 V</td>
</tr>
<tr>
<td>b) Installations for lighting, for communication, of orders and information, including the sockets for general use ....</td>
<td>250 V</td>
</tr>
<tr>
<td>c) Sockets for current supply to mobile electrical equipment used on open decks or in confined or damp metal-enclosed rooms other than boilers and tanks</td>
<td>50 V&lt;sup&gt;(1)&lt;/sup&gt;</td>
</tr>
<tr>
<td>1. general ...........................................</td>
<td>50 V&lt;sup&gt;(1)&lt;/sup&gt;</td>
</tr>
<tr>
<td>2. in the event of isolation transformer serving a single equipment being used ................................</td>
<td>-</td>
</tr>
<tr>
<td>3. in the event of equipment fitted with protective insulation (double insulation) being used ...................</td>
<td>250 V</td>
</tr>
<tr>
<td>4. In the event of use of residual current circuit-breaker ≤ 30mA ..........................................</td>
<td>-</td>
</tr>
<tr>
<td>d) Mobile electrical equipment (such as electrical devices of containers, engines, fans and pumps) which are not normally adjusted during operation whose exposed conductive parts are earthed by a protective conductor incorporated in the connecting cable and which are connected to the hull, not only by this protective conductor, but by their location or by another conductor ........................................</td>
<td>250 V</td>
</tr>
<tr>
<td>e) Sockets for current supply to mobile electrical equipment used in boilers and tanks ..................</td>
<td>50 V&lt;sup&gt;(1)&lt;/sup&gt;</td>
</tr>
</tbody>
</table>

Comments:

<sup>(1)</sup> When this voltage comes from higher voltage networks, a galvanic isolator (isolation transformer) must be used.

<sup>(2)</sup> The secondary electrical circuit must be insulated from the chassis for all polarities.
2. If the required protective measures are complied with, higher voltages are permissible:
   a) for power installations whose power so requires;
   b) for special installations on board such as radio and ignition devices.

3. The inspection body may allow other exceptions in justified cases if the required protective measures are complied with.

Article 10.07
Distribution systems

1. The following distribution systems are allowed for direct current and single-phase alternating current:
   a) 2-conductor systems of which one is earthed (L1/N/PE);
   b) single-conductor systems using the hull return principle, only for local installations (for example, starting installations for internal combustion engines) (L1/PEN);
   c) 2-conductor systems insulated from the hull (L1/L2/PE).

2. The following distribution systems are allowed for three-phase alternating current:
   a) 4-conductor systems with earthing of the neutral point, not using the hull return principle (L1/L2/L3/N/PE) = network (TN-S) or network (TT);
   b) 3-conductor system insulated from the hull (L1/L2/L3/PE) = network (IT);
   c) 3-conductor with earthing of the neutral point using the hull return principle except for terminal circuits (L1/L2/L3/PEN).

3. The inspection body may allow the use of other distribution systems.

Article 10.08
Connection to the shore or other external networks

1. The feed-in unit, that is the entire onboard equipment for transferring electrical power to the craft, must be designed as follows:
   a) Transfer from shoreside power supply systems:
      aa) For currents up to 125 A, the requirements of European Standards EN 15869-1 and EN 15869-3 in the version in force on 6 July 2017 are to be complied with.
      bb) For currents greater than 250 A, the requirements of European Standards EN 16840:2017 are to be complied with.
   b) In all other cases, the requirements of (2) to (9) shall apply. The requirements specified shall be deemed to have been complied with if the standards referred to in (a) are adhered to for the use in question.

2. Incoming supply lines from shore networks or other external networks to the installations of the onboard network shall have a permanent connection on board in the form of permanently fixed terminals or permanently fixed plug sockets. Cables connections shall not be subjected to any pulling load.

3. When the connection voltage exceeds 50 V, the hull shall have an earthing connection. The earthing connection should be specially marked.
4. The protective devices of the connections shall be capable of being locked so as to prevent the concurrent operation of the onboard network generators and the shore network or another external network. A brief period of concurrent operation shall be permitted when changing from one system to another without a break in voltage.

5. The connection shall be protected against short circuits and overloads.

6. The main switchboard shall indicate whether the connection is live.

7. Indicator devices shall be installed to allow comparison of polarity in the case of direct current and phase sequence in the case of alternating current between the connection and the onboard network.

8. A panel on the connection shall indicate:
   a) the measures required to make the connection;
   b) the kind of current and the nominal voltage and, for alternating current, the frequency.

9. If sockets devices rated at more than 16 A are used, steps shall be taken to ensure that connection and disconnection can take place only when the line is dead.

**Article 10.09**

*Power supply to other craft*

1. When power is applied to other craft, a separate connection must exist.

2. Article 10.08(2) and (4) to (9) shall apply *mutatis mutandis*.

**Article 10.10**

*Generators, engines and transformers*

1. Generators driven by the main engine, the propeller shaft or by an auxiliary set intended for another function shall be designed as a function of the range of rotational speeds which can occur during operation.

2. Transformers must be installed in well-ventilated locations or in well-ventilated rooms.

3. Primary and secondary windings of transformers shall be executed in an electrically isolated manner. Autotransformers are excluded hereof.

4. Corresponding tappings of the nominal voltage shall be provided for setting the secondary voltage of transformers. Autotransformers are excluded hereof.

5. Engines, generators, and transformers shall be provided by the manufacturer with a plate, which in addition to the company name, the machine's serial number and the rated power must contain the essential rating data.
Article 10.11  
*Batteries, Accumulators and their charging devices*

1. Accumulators shall be accessible and so arranged as not to shift with movements of the craft. They shall not be placed where they will be exposed to excessive heat, extreme cold, spray, steam or vapour.

2. Accumulators shall not be installed in the wheelhouse, accommodation lounges and holds and in the case of passenger vessels in passenger rooms, cabins and galleys. The first sentence shall not apply for accumulators:
   a) in mobile equipment; or
   b) with charging power of less than 0.2 kW.

3. Accumulators requiring a charging power of more than 2.0 kW shall be installed in a special room. If placed on deck, they may also be enclosed in a cupboard. If gas can escape from accumulators, this room or cupboard must be mechanically ventilated to the open deck (supply and exhaust air).

4. The charging power is calculated on the basis of the maximum charging current and the nominal voltage of the accumulator, taking into account the characteristic charging curves of the charging device.

5. Accumulators requiring a charging power not exceeding 2.0 kW may also be installed below decks in a cupboard or chest. They may also be installed without casing in an engine room, electrical service room or any other well-ventilated place provided that they are protected against falling objects and dripping water.

6. The interior surfaces of all rooms, cupboards or chests, as well as shelving or other components intended for accumulators shall be protected against the harmful effects of the electrolyte.

7. Provision shall be made for effective ventilation when accumulators are installed in a closed room, cupboard or chest. Mechanical ventilation shall be provided for charging power of more than
   a) 2.0 kW for nickel-cadmium accumulators;
   b) 3.0 kW for lead accumulators.

The air shall enter at the bottom and be discharged at the top so that a total evacuation of gases is ensured.

Ventilation ducts shall not include devices which obstruct the air flow such as stop valves.

8. The required air throughput \( Q \) shall be calculated by the following formula:

\[
Q = f \cdot I_{gas} \cdot n \quad [m^3/h].
\]

where

- \( f = 0.11 \) for accumulators with liquid electrolytes;
- \( f = 0.03 \) for accumulators with enclosed cells (electrolyte immobilised in gel, non-woven fibrous material);
- \( I_{gas} = \frac{1}{4} \) of the maximum current of the charging device in A;
- \( n \) = number of cells in series circuit.
In the case of buffer accumulators of the onboard network, other methods of calculation taking into account the characteristic charging curve of the charging devices may be accepted by the inspection body, provided that these methods are based on the provisions of recognised classification societies or on relevant standards.

9. Where natural ventilation is used, the cross-section of the air ducts shall be sufficient for the required air throughput on the basis of an air flow velocity of 0.5 m/s. However, the cross section shall have a minimum value of
   a) 80 cm² for lead accumulators;
   b) 120 cm² for nickel-cadmium accumulators.

10. Where mechanical ventilation is used, a fan shall be provided, preferably with an exhauster device; its motor shall be clear of the gas stream and the air stream. Fans shall be of a construction precluding the production of sparks through contact between a blade and the fan casing and shall avoid any electrostatic charges.

11. A symbol for ‘Fire, naked flame and smoking prohibited’ in accordance with figure 2 of Annex 4 with a diameter of at least 10 cm shall be affixed to the doors or covers of accumulator rooms, cupboard or chest.

12. Charging devices must basically be designed so that discharged accumulators can be recharged within a maximum of 15 hours to 80 % of their nominal capacity, without exceeding the amperage of maximum permissible charge rate.

13. Only automatic charging devices which correspond to the charging characteristics of the accumulator type must be used.

14. For the simultaneous supply of consumer equipment while charging, the power requirements of the consumer equipment must be taken into account when selecting the charger. A charging voltage of up to a maximum of 120 % of the rated voltage must be observed irrespective of the current power requirements.

15. The requirements of European Standard EN 62619 in the version in force on 6 July 2017 and EN 62620 : 2015 shall apply for lithium-ion accumulators.

16. Accumulator management systems for monitoring the accumulators are to be used if possible. Lithium-ion accumulators must be equipped with such systems.

These systems shall at a minimum comprise the following functionality:
   a) cell protection (short-circuit, external, internal, overcurrent, deep discharge, etc.);
   b) charge control, provided this is not by means of the charger;
   c) load management;
   d) determination of the charge level;
   e) balancing of the cells;
   f) thermal management.

Depending on use, if possible, they should also feature the following functionality:
   g) determination of ageing, remaining capacity, internal resistance etc.;
   h) communication (e.g. with inverters and control devices);
i) authentication and identification;

j) history.

17. For batteries, (1) to (12) and (16) shall apply *mutatis mutandis*.

**Article 10.12**

*Switchgear and controlgear*

1. **Switchboards**
   
a) Equipment, switches, fuses and switchboard instruments shall be arranged visibly and shall be accessible for maintenance and repair. Terminals for voltages up to 50 V and for voltages greater than 50 V shall be kept separate and marked appropriately.

b) For all switches and equipment, marker plates indicating the circuit shall be affixed to switchboards. The nominal amperage and the circuit shall be indicated for fuses.

c) When equipment with an operating voltage greater than 50 V are installed behind doors, the current-carrying components of these equipment shall be protected against accidental contact while the doors are open.

d) The materials of switchboards shall have suitable mechanical strength, be durable, flame-retardant, self-extinguishing and not be hygroscopic.

e) If low voltage - high rupturing capacity fuses are installed in switchboards, accessories and equipment for bodily protection shall be available for installing and removing such fuse-links.

2. **Switches, protective devices**
   
a) Generator circuits and consumer equipment circuits shall be protected against short circuits and overcurrent on all non-earthed conductors. Switching devices triggered by short-circuiting and overload or fuses (with fuse-links) may be used for this purpose. Circuits supplying the steering system according to Article 6.04 and their control circuits shall be protected only against short circuits. When circuit-breaker include thermal release, these shall be neutralised or set at not less than twice the nominal amperage.

b) Outputs to consumer equipment from the main switchboard shall include a load switch or circuit-breaker for nominal amperage greater than 16 A.

c) Consumer equipment required for propelling the vessel, for the steering systems according to Chapter 6, for the rudder position indicators, for navigation or for safety systems as well as consumer equipment, with a nominal amperage greater than 16 A shall be supplied by separate circuits.

d) The circuits of consumer equipment required for propelling and manoeuvring the vessel shall be supplied directly by the main switchboard.

e) Switching devices shall be selected on the basis of nominal amperage, thermal or dynamic strength and their breaking capacity. Switches shall simultaneously cut off all live conductors. The switching position shall be easily identifiable.

f) Fuse-links must be of the enclosed-melt type and be made of porcelain or an equivalent material. It shall be possible to change them without any danger of contact.
3. Measuring and monitoring devices
   a) Generator, accumulator and distribution circuits shall be equipped with measuring and monitoring devices required for the safe operation of the installation.
   b) Non-earthed networks where the voltage is higher than 50 V must include a device for monitoring insulation equipped with an optical and acoustic alarm. In secondary installations such as control circuits, this device for monitoring insulation may be dispensed with.

4. Placement of switchboards
   a) Switchboards shall be placed in accessible and sufficiently ventilated rooms, in order to be protected against water and mechanical damage. Piping and air ducts shall be so arranged that in the event of leakage the switchgear and controlgear cannot be damaged. If they have imperatively to be installed near switchboards, pipes shall not have removable connections in the vicinity.
   b) Cupboards and wall recesses in which unprotected switching devices are installed shall be of a flame-retardant material or protected by a metal or other non-flammable sheathing.
   c) When the voltage is greater than 50 V, gratings or insulating mats shall be placed in front of the main switchboard where the operator sits.

Article 10.13
Emergency circuit-breaker devices
For oil burners, oil and fuel pumps, oil and fuel separators and engine room ventilators, emergency circuit-breaker devices shall be installed in central location outside the rooms containing the equipment.

Article 10.14
Installation fittings

1. Cable entries shall be sized as a function of the cables to be connected and be appropriate to the types of cable used.

2. Sockets for distribution circuits at different voltages or frequencies shall be impossible to confuse.

3. Switches shall simultaneously switch all non-earthed conductors within a circuit. However, single-pole switches within non-earthed circuitry shall be permitted in accommodation-lighting circuits apart from in laundries, bathrooms, washrooms and other rooms with wet facilities.

4. Where amperage exceeds 16 A it shall be possible to lock the sockets by means of a switch in such a way that the plug can only be inserted and withdrawn with the power switched off.
Article 10.15

Cables, insulated cables and cable systems

1. Cables shall be flame-retardant, self-extinguishing and resistant to water and oil.

   In accommodation, the inspection body may authorise the use of other types of cables, provided they are effectively protected, flame-retardant or self-extinguishing.

   For determining that electric cables are flame-retardant, shall
   a) the requirements of European Standards series EN 60332-1 and EN 60332-3 in the version in force on 6 July 2017 or
   b) equivalent regulations of one of the Member States
   be recognised.

2. Conductors wires of cables used for power and lighting circuits shall have a minimum cross-section of 1,5 mm² per conductor.

3. Metal armouring, shielding and sheathing of cables shall not, under normal operating conditions, be used as conductors or for earthing.

4. Metal shielding and sheathing of cables in power and lighting installations shall be earthed at least at one end.

5. The cross-section of conductor wires shall comply with the final permissible maximum temperature of conductor wires (maximum permissible amperage) and permissible voltage drop. Such a drop between the main switchboard and the least favourable point of the installation shall not be more than 5 % of nominal voltage for lighting and more than 7 % for power or heating installations.

6. Cables shall be protected against mechanical damage.

7. Cable connections must be protected against mechanical load and against pulling load

8. When cables pass through bulkheads or decks, the mechanical strength, water tightness and required fire protection properties (e.g. non-combustible, flame-retardant, fire-resistant) of these bulkheads and decks shall not be affected by these cable penetrations.

9. Terminations and connections of all conductors must be designed so that the original electrical, mechanical, fire protection properties (e.g. non-combustible, flame-retardant, fire-resistant) of the cable are preserved. This requirement shall have been fulfilled if the terminations and the connections meet:
   a) the International Standard IEC 60092-352 : 2005 number 3.28 in conjunction with Annex D of the standard or
   b) equivalent regulation or Standard recognised by one of the Member States.
   The number of cable connections must be restricted to a minimum.

   For repaired or replaced cables, sentence 1 shall have been fulfilled if the cable connections comply with International Standard IEC 60092-352 : 2005 number 3.28 in conjunction with annex D of the standard, or rules recognised by a Member state as being equivalent.
10. Cables connected to elevating wheelhouses shall be sufficiently flexible and be fitted with insulation with sufficient flexibility at temperatures down to -20 °C and resistant to steam and vapour, ultraviolet rays and ozone.

11. When setting up cable harness penetrations, the fire protection properties of the partition must not be impaired. This shall be deemed to be met if the cables meet the provisions of the European Standard series EN 60332-3 in the version in force on 6 July 2017 or one of the rules recognised as an equivalent by a Member State. If this is not the case, fire stop equipment must be provided in the penetrations for long cable harnesses (more than 6 m vertical and 14 m horizontal) if the cables are not completely enclosed by cable ducts.

12. Cables from an emergency electrical power source to consumer equipment must be laid as far as possible in the safe area.

13. The running of cables through areas with high ambient temperatures should be avoided. If this is not possible,
   a) the ambient temperatures must be taken into account when determining the maximum permissible amperage or
   b) the cable must be protected against damage by heat and fire.

14. Main and emergency power supply cables must not run through the same room. The inspection body may waive this requirement if:
   a) main and emergency power supply cables are laid as far apart from each other as possible or
   b) the emergency power supply cables are fire-resistant. This requirement shall have been fulfilled if they satisfy the requirements of the International Standards series IEC 60331 in the version in force on 6 July 2017.

Article 10.16
Lighting installations

1. Lighting appliances shall be so installed that the heat they emit cannot set fire to nearby inflammable objects or components.

2. Lighting appliances on open decks shall be so installed as not to impede the recognition of signal lights.

3. When two or more lighting appliances are installed in an engine room or boiler room, they shall be distributed between at least two circuits. This requirement shall also apply to rooms where cooling machinery, hydraulic machinery or electric motors are installed.

Article 10.17
Navigation lights

1. Switchboards for navigation lights shall be installed in the wheelhouse. They shall be supplied by a separate cable from the main switchboard or by two independent secondary networks.

2. Navigation lights shall be supplied, protected and controlled separately from the navigation lights switchboard.
3. A failure of the device according to Article 7.05(2) shall not impair the operation of the navigation lights which it monitors.

4. Several signal lamps forming a functional unit and installed together at the same point may be jointly supplied, controlled and monitored. The monitoring device shall be capable of identifying the failure of any one of these lights. It shall not be possible to use both light sources in a double light (two lights mounted one above the other in the same housing) simultaneously.

**Article 10.18**

*Power electronics*

1. A separate device for disconnecting from the mains must be provided for each power electronics system. The combination fuse – switch may be used for consumer equipment up to a nominal current of 315 A. In all other cases, a circuit-breaker must be provided on the network side.

2. The power electronics should be readily accessible for repairs and measurements. Appropriate devices must be provided for checking functions and detecting malfunctions.

3. Control and signal electronics must be galvanically separated from power circuits.

4. Converter systems must ensure safe operation even with the largest permissible voltage and frequency fluctuations. For impermissibly high frequency and/or voltage variations in the supply voltage, the system must switch itself off or remain in a safe operating condition.

5. Electric charges in assemblies should be reduced to a voltage below 50V in less than 5 seconds after disconnecting from the network. If longer discharge times are required, a warning sign must be affixed to the device.

6. The failure of external control signals, must not lead to a dangerous condition.

7. Power electronics must be designed and installed in such a way that the failure of control voltages cannot lead to threats or damage to the system or device where the power electronics is installed, or to the overall system.

8. In installation which is required for propulsion and manoeuvrability as well as safety of the crew, craft or cargo, components must be provided for monitoring the individual power electronic assemblies and subsystems in order to facilitate error detection in the event of a malfunction and prevent the existence of undetected errors.

9. The monitoring of the power electronics must detect errors with certainty and prevent them from remaining unrecognised.

10. Except for components, only power electronics that have undergone type examination may be used. If the power electronics feature protective and monitoring devices, the examination must also include proof of the response thresholds and coordinated interaction of all protective and monitoring equipment. The type examination report is to be included with the system documentation.
Article 10.19

Alarm and safety systems for mechanical installations

Alarm and safety systems for monitoring and protecting mechanical equipment shall meet the following requirements:

1. Alarm systems:

   Alarm systems shall be so constructed that a failure in the alarm system cannot result in a failure of the equipment or installation being monitored.

   Binary transmitters shall be designed on the rest-current principle or on the supervised operating current principle.

   Optical alarms shall remain visible until the malfunction has been remedied; an alarm with acknowledgement shall be distinguishable from an alarm without acknowledgement. Each alarm shall also comprise an acoustic signal. It shall be possible to switch off acoustic alarms. Switching off one alarm signal shall not prevent another signal from being set off by another causes.

   Exceptions shall be permitted by the inspection body in the case of alarm systems comprising less than five measurement points.

2. Safety systems:

   Safety systems shall be designed to halt or slow down the operation of the affected installation or to signal a permanently manned station to do so before a critical state is reached.

   Binary transmitters shall be designed according to the operating current principle.

   If safety systems are not designed to be self-monitoring, their operation must be verifiable.

   Safety systems must be independent of other systems.

Article 10.20

Test conditions for electronic installations

1. General

   The test conditions in (2) shall apply only to electronic equipment that are necessary for the steering system and the craft's propulsion machinery, including their ancillaries.

2. Test conditions

   a) The stresses arising from the following tests shall not cause electronic equipment to be damaged or to malfunction. The tests in accordance with relevant International Standards, such as IEC 60092-504 : 2016, apart from the cold-condition test, shall be carried out with the equipment switched on. These tests shall include checking of proper operation.
b) Variations in voltage and frequency

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Variations continuous</th>
<th>Variations Short-duration</th>
</tr>
</thead>
<tbody>
<tr>
<td>General</td>
<td>Frequency</td>
<td>± 5 %</td>
</tr>
<tr>
<td></td>
<td>voltage</td>
<td>± 10 %</td>
</tr>
<tr>
<td>Battery operation</td>
<td>voltage</td>
<td>± 30 % / - 25 %</td>
</tr>
</tbody>
</table>

c) Heating test

The sample is brought up to a temperature of 55 °C within a half-hour period. After that temperature has been reached it is maintained for 16 hours. An operating test is then carried out.

d) Cold-condition test

The sample is switched off and cooled to -25 °C and held at that temperature for two hours. The temperature is then raised to 0 °C and an operating test is carried out.

e) Vibration test

The vibration test shall be carried out along the three axes at the resonance frequency of the equipment or of components for the period of 90 minutes in each case. If no clear resonance emerges the vibration test shall be carried out at 30 Hz.

The vibration test shall be carried out by sinusoidal oscillation within the following limits:

General:

\[ f = 2,0 \text{ to } 13,2 \text{ Hz}; \quad a = \pm 1 \text{ mm} \]  
\[ (\text{amplitude}\ a = 1/2 \text{ the vibration width}) \]

\[ f = 13,2 \text{ Hz to } 100 \text{ Hz}; \quad \text{acceleration} \pm 0,7 \text{ g}. \]

Service equipment intended to be fitted to internal combustion engines or steering apparatus shall be tested as follows:

\[ f = 2,0 \text{ to } 25 \text{ Hz}; \quad a = \pm 1,6 \text{ mm} \]  
\[ (\text{amplitude}\ a = 1/2 \text{ the vibration width}) \]

\[ f = 25 \text{ Hz to } 100 \text{ Hz}; \quad \text{acceleration} \pm 4 \text{ g}. \]

The sensors intended to be installed in internal combustion engine exhaust pipes may be exposed to considerably higher stresses. Account shall be taken of this during the tests.

4. The electromagnetic compatibility test shall be carried out on the basis of European Standards EN 61000-4-2 : 2009, EN 61000-4-3 : 2010, EN 61000-4-4 : 2012, at test degree number 3.

5. Proof that the electronic equipment is adequate for these test conditions shall be provided by their manufacturer. A certificate by a recognised classification society is also considered as a proof.
Article 10.21

Electromagnetic compatibility

The operation of the electric and electronic installations shall not be impaired by electromagnetic interference. General measures shall, with equal importance, extend to:

a) disconnection of the transmission paths between the source of interference and affected equipment;

b) reducing the causes of disturbance at their source;

c) reducing the sensitivity of affected equipment to interference.
CHAPTER 12
ELECTRONIC EQUIPMENT AND SYSTEMS

(left void)
CHAPTER 13
EQUIPMENT

Article 13.01
Anchor equipment

1. Vessels intended for the carriage of goods, apart from ship-borne lighters whose length $L$ does not exceed 40 m, shall be equipped with bow anchors whose total mass $P$ is obtained using the following formula:

$$ P = k \cdot B \cdot T \ [kg] $$

where

$k$ is a coefficient that takes account of the relationship between length $L$ and beam $B$, and of the type of vessel:

$$ k = c \frac{L}{\sqrt{B}} $$

for lighters, however, $k = c$ will be taken;

$c$ is an empirical coefficient given in the following table:

<table>
<thead>
<tr>
<th>Dead-weight tonnage [t]</th>
<th>Coefficient $c$</th>
</tr>
</thead>
<tbody>
<tr>
<td>up to 400 inclusive</td>
<td>45</td>
</tr>
<tr>
<td>from 400 to 650 inclusive</td>
<td>55</td>
</tr>
<tr>
<td>from 650 to 1000 inclusive</td>
<td>65</td>
</tr>
<tr>
<td>more than 1000</td>
<td>70</td>
</tr>
</tbody>
</table>

On vessels whose dead-weight tonnage is not greater than 400 t and which, owing to their design and intended purpose, are used only on predetermined short-haul sections, the inspection body may accept that only two-thirds of total mass $P$ is required for the bow anchors.

2. a) Passenger vessels and vessels not intended for the carriage of goods, apart from pushers, shall be fitted with bow anchors whose total mass $P$ is obtained using the following formula:

$$ P = k \cdot B \cdot T \ [kg] $$

b) By way of derogation from (a) and having regard to the applicable navigational authority regulations for certain areas of navigation in the Member States, for passenger vessels, for whose bow anchors the total mass $P$ has been obtained by the following formula it shall be entered in item 52 of the inland navigation certificate that the total mass of the bow anchors meets the requirements set out in Article 13.01(2)(b):

$$ P = k \cdot B \cdot T + 4 A_f \ [kg] $$
where:

\[ k \] is the coefficient corresponding to (1), but where in order to obtain the value of the empirical coefficient \( c \) the water displacement in \( \text{m}^3 \) entered in the inland navigation vessel certificate shall be taken instead of the deadweight tonnage.

\[ A_f \] is the frontal projected area exposed to the wind, in \( \text{m}^2 \).

3. Vessels referred to in (1) whose length \( L \) does not exceed 86 m shall be equipped with stern anchors whose total mass is equal to 25 % of mass \( P \).

Vessels whose length \( L \) exceeds 86 m shall be equipped with stern anchors whose total mass is equal to 50 % of mass \( P \) calculated in accordance with (1) or (2).

Stern anchors are not required for:
a) vessels for which the stern anchor mass will be less than 150 kg; in the case of vessels referred to in (1), final subparagraph, the reduced mass of the bow anchors shall be taken into account;
b) lighters.

4. Vessels intended to propel rigid convoys which do not exceed 86 m in length shall be equipped with stern anchors whose total mass is equal to 25 % of maximum mass \( P \) calculated in accordance with (1) for the formations (considered to be a nautical unit) permitted and entered in the inland navigation vessel certificate.

Vessels intended to propel rigid convoys which exceed 86 m in length downstream shall be equipped with stern anchors whose total mass is equal to 50 % of the maximum mass \( P \) calculated in accordance with (1) for the formations (considered to be a nautical unit) permitted and entered in the inland navigation vessel certificate.

5. The anchor masses established in accordance with (1) to (4) may be reduced for certain special anchors.

6. The total mass \( P \) specified for bow anchors may be distributed between one or two anchors. It may be reduced by 15 % where the vessel is equipped with only a single bow anchor and the hawse pipe is located amidships.

The total mass required for stern anchors for pushers and vessels whose maximum length exceeds 86 m may be distributed between one or two anchors.

The mass of the lightest anchor shall not be less than 45 % of that total mass.

7. Cast iron anchors shall not be permitted.

8. On anchors their mass shall be indicated in characters which stand out in relief in a durable manner.

9. Anchors having a mass in excess of 50 kg shall be equipped with windlasses.

10. Each bow anchor chain shall have a minimum length of:
   a) 40 m for vessels whose length \( L \) does not exceed 40 m;
   b) at least 10 m longer than the length \( L \) of the vessel where this is between 30 and 50 m;
   c) 60 m for vessels whose length \( L \) exceeds 50 m.
Each of the stern anchor chains shall be at least 40 m long. However, where vessels need to stop facing downstream they shall have stern anchor chains that are each at least 60 m in length.

11. The minimum breaking load \( R \) of the anchor chains shall be calculated using the following formulae:
   a) anchors having a mass up to 500 kg:
      \[
      R = 0.35 \cdot P'[kN]
      \]
   b) anchors having a mass of more than 500 kg and not exceeding 2000 kg:
      \[
      R = \left( 0.35 - \frac{P' - 500}{15000} \right) P'[kN]
      \]
   c) anchors having a mass of more than 2000 kg:
      \[
      R = 0.25 \cdot P'[kN]
      \]
   where
   \( P' \) is the theoretical mass of each anchor determined in accordance with (1) to (4) and (6).

   The breaking load of anchor chains shall be stated according to a standard in force in one of the Member States.

12. Where the anchors have a mass greater than that required by (1) to (6), the breaking load of the anchor chain shall be determined as a function of the actual anchor mass.

   In cases where heavier anchors with correspondingly stronger anchor chains are aboard, only the minimum masses and minimum breaking loads required according to (1) to (6) and (11) shall be entered in the inland navigation vessel certificate.

13. Connecting pieces (swivels) between anchor and chain shall withstand a tensile load 20\% higher than the breaking load of the corresponding chain.

14. The use of cables instead of anchor chains is permitted. The cables shall have the same breaking load as that required for chains, but shall be 20\% longer.

\textbf{Article 13.02}  
\textit{Other equipment}

1. At least the following equipment in accordance with the applicable navigational authority regulations in force in one of the Member States shall be onboard:
   a) radio-telephone equipment;
   b) appliances and devices necessary for emitting visual and acoustic signals and for marking the vessel;
   c) stand-alone back-up lights for the prescribed mooring lights.
2. The following receptacles must also be present:
   a) a marked receptacle for domestic waste;
   b) separate, marked receptacles, with sealing covers, made of steel or another sturdy, non-flammable material, of adequate size but holding at least 10 litres, for the collection of
      aa) oily cleaning cloths;
      bb) hazardous or pollutant solid wastes;
      cc) hazardous or pollutant liquid wastes;
      and, inasmuch as they may arise, for the collection of
      dd) slops;
      ee) other oily or greasy waste.

3. In addition, the equipment shall include at least:
   a) mooring cables;
      Vessels shall be equipped with three mooring cables. Their minimum lengths shall be at least the following:
      first cable: \( L + 20 \) m but not more than 100 m,
      second cable: 2/3 of the first cable,
      third cable: 1/3 of the first cable.
      The shortest cable is not required on board vessels whose \( L \) is less than 20 m.
      The cables shall have a breaking load \( R_s \) that is calculated using the following formulae;
      \[
      \begin{align*}
      &\text{for } L \cdot B \cdot T \text{ up to } 1000 \text{ m}^3: R_s = 60 + \frac{L \cdot B \cdot T}{10} \text{ [kN]}; \\
      &\text{for } L \cdot B \cdot T \text{ exceeding } 1000 \text{ m}^3: R_s = 150 + \frac{L \cdot B \cdot T}{100} \text{ [kN]}. \\
      \end{align*}
      \]
      For the required cables a certificate in accordance with European Standard EN 10204 : 2004, under No 3.1, shall be on board.
      These cables may be replaced by ropes having the same length and breaking load. The minimum breaking load of these ropes shall be indicated in a certificate.
   b) towing cables;
      Tugs shall be equipped with a number of cables that are suitable for their operation.
      However, the main cable shall be at least 100 m long and have a breaking load, in kN, not less than one third of the total power, in kW, of the main engine(s).
      Motor vessels and pushers that are also able to tow shall be equipped with a towing cable that is at least 100 m long and whose breaking load, in kN, is not less than one quarter of the total power, in kW, of the main engine(s).
   c) a heaving line;
   d) a boarding gangway at least 0.40 m wide and 4 m long whose side edges are defined by a brightly-coloured strip; that gangway shall be equipped with a handrail. The inspection body may permit shorter gangways for small vessels;
   e) a gaff hook;
f) an appropriate first-aid kit with a content in accordance with a relevant standard of a Member State. The first-aid kit shall be kept in an accommodation room or in the wheelhouse and be stored in such a way that it is easily and safely accessible if necessary. If first-aid kits are stored under cover, the cover shall be marked by a symbol for first-aid kit according to Figure 8 of Annex 4, having a side length of at least 10 cm;

g) a pair of binoculars, 7 × 50 or with larger lens diameter;

h) a notice concerning the rescue and revival of persons overboard;

i) a searchlight that can be operated from the wheelhouse.

4. There shall be an embarkation stairway or ladder on vessels whose side height above the unladen waterline exceeds 1.50 m.

**Article 13.03**

*Portable fire extinguishers*

1. There shall be at least one portable fire extinguisher in accordance with the European Standards EN 3-7 : 2007 and EN 3-8 : 2007 at each of the following places:

   a) in the wheelhouse;

   b) close to each entrance from the deck to accommodation spaces;

   c) close to each entrance to service spaces which are not accessible from the accommodation spaces and which contain heating, cooking or refrigeration equipment using solid or liquid fuels or liquefied gas;

   d) at each entrance to engine rooms and boiler rooms;

   e) at suitable points below deck in engine rooms and boiler rooms such that no position in the space is more than 10 metres walking distance away from an extinguisher.

2. For the portable fire extinguishers required by (1), only powder type extinguishers with a content of at least 6 kg or other portable extinguishers with the same extinguishing capacity may be used. They shall be suitable for Class A, B and C fires.

   By way of derogation on vessels with no liquefied gas installations, spray foam fire extinguishers using aqueous film-forming foam (AFFF-AR) frost proof to -20 °C are permissible even if they are unsuitable for Class C fires. These fire extinguishers shall have a minimum capacity of 9 litres.

   All extinguishers shall be suitable to extinguish fires in electrical systems of up to 1000 V.

3. In addition powder, water or foam fire extinguishers may be used which are suitable at least for the class of fire most likely to occur in the room for which they are intended.

4. Portable fire extinguishers with CO₂ as the extinguishing agent may be used only for extinguishing fires in galleys and electrical installations. The content of these fire extinguishers shall be no more than 1 kg per 15 m³ of the room in which they are made available for use.
5. Portable fire extinguishers shall be checked at least every two years by a competent person. An inspection label shall be affixed to the fire extinguisher, signed by the competent person and showing the date of the inspection.

6. If portable fire extinguishers are installed in such a way that they are out of sight, the panel covering them shall be identified by a symbol for fire extinguishers as shown in Figure 3 of Annex 4, and having a side length of at least 10 cm.

**Article 13.04**

*Permanently installed firefighting systems for protecting accommodation spaces, wheelhouses and passenger rooms*

1. For protecting accommodation spaces, wheelhouses and passenger rooms, only suitable automatic pressurised water sprinklers are admitted as permanently installed fire-fighting systems.

2. Installation or conversion of the systems shall be carried out only by specialised firms.

3. The systems shall be made of steel or equivalent non-combustible materials.

4. The systems shall be able to spray water at a rate of at least 5 l/m$^2$ per minute over the area of the largest room to be protected.

5. Systems spraying smaller quantities of water shall have a type-approval pursuant to IMO Resolution A.800 (19) or another Standard recognised by one of the Member States. Type-approval shall be carried out by a recognised classification society or an accredited testing institution. The accredited testing institution shall comply with the European Standard EN ISO/IEC 17025 : 2005.

6. The systems shall be checked by an expert:
   a) before being put into service for the first time;
   b) before being put back into service after they have been triggered;
   c) before being put back into service after any major modification or repair; and
   d) regularly, at least every two years.

   Inspections as referred to in (d) may also be carried out by a competent person from a competent firm specialising in fire extinguishing systems.

7. When carrying out the check in accordance with (6), the expert or competent person shall verify whether the systems meet the requirements of this Article.

   The check shall at least include:
   a) external inspection of the entire system;
   b) functional testing of the safety systems and nozzles;
   c) functional testing of the pressure tanks and pumping system.

---

1 IMO Resolution A.800 (19) adopted on 23 November 1995 - Revised Guidelines for Approval of Sprinkler Systems Equivalent to that referred to in SOLAS Regulation II-2/12.
8. An inspection attestation, signed by the expert or competent person, shall be issued, showing the date of inspection.

9. The number of installed systems shall be entered in the inland navigation vessel certificate.

**Article 13.05**

*Permanently installed firefighting systems for protecting engine rooms, boiler rooms and pump rooms*

1. **Extinguishing agents**

   For protecting engine rooms, boiler rooms and pump rooms, the following extinguishing agents may be used in permanently installed fire-fighting systems:
   
a) $\text{CO}_2$ (carbon dioxide);
b) HFC 227 ea (heptafluoropropane);
c) IG-541 (52 % nitrogen, 40 % argon, 8 % carbon dioxide);
d) FK-5-1-12 (Dodecafluoro-2-methylpentane-3-on);
e) Water.

2. **Ventilation, air intake**

   a) Combustion air for the propulsion engines shall not be extracted from rooms that are to be protected by permanently installed fire-fighting systems. This shall not apply where there are two mutually independent and hermetically separated main engine rooms or if next to the main engine room there is a separate engine room with a bow thruster, ensuring that the vessel can continue to make steerageway under its own power in the event of fire in the main engine room.

   b) Any forced ventilation present in the room to be protected shall switch off automatically if the fire-fighting system is triggered.

   c) There shall be devices available with which all apertures which can allow air to enter or gas to escape from the room to be protected can be quickly closed. It shall be clearly recognisable whether they are open or closed.

   d) The air escaping from pressure relief valves in the compressed-air tanks installed in engine rooms shall be conveyed to the open air.

   e) Over- or under-pressure resulting from the inflow of extinguishing agent shall not destroy the components of the surrounding partitions of the room to be protected. It shall be possible for the pressure to equalise without danger.

   f) Protected rooms shall have a facility for extracting the extinguishing agent and the combustion gases. Such facilities shall be capable of being operated from positions outside the protected rooms and which must not be made inaccessible by a fire within such spaces. If there are permanently installed extractors, it shall not be possible for these to be switched on while the fire is being extinguished.

3. **Fire alarm system**

   The room to be protected shall be monitored by means of an appropriate fire alarm system. The alarm shall be noticeable in the wheelhouse, the accommodation spaces and the room to be protected.
4. Piping system
   a) The extinguishing agent shall be conveyed to the room to be protected and distributed there by means of a fixed piping system. Inside the room to be protected the piping and associated fittings shall be made of steel. Tank connecting pipes and expansion joints shall be exempt from this provided the materials used have equivalent properties in case of fire. Pipes shall be both internally and externally protected against corrosion.
   b) Outlet nozzles shall be dimensioned and fitted such that the extinguishing agent is evenly distributed. In particular the extinguishing agent shall also be effective beneath the floor plates.

5. Triggering device
   a) Fire-fighting systems with automatic triggering shall not be permissible.
   b) It shall be possible to trigger the fire-fighting system from a suitable place outside the room to be protected.
   c) Triggering devices shall be installed in such a way that they can be operated even in case of a fire and in the event of damage by fire or explosion in the room to be protected the necessary quantity of extinguishing agent can still be conveyed. Non-mechanical triggering devices shall be powered from two different mutually independent power sources. These power sources shall be located outside the room to be protected. Control lines in the room to be protected shall be designed so as to remain functional for at least 30 minutes in the event of fire. This requirement shall be fulfilled in the case of electric wiring if it complies with the International Standard IEC 60331-21 : 1999.
   If triggering devices are installed in such a way that they are out of sight the panel covering them shall be identified by the ‘fire-fighting installation’ symbol as shown in Figure 6 of Annex 4, having a side length of at least 10 cm, and the following text in red lettering on a white background:

   'Feuerlöschseinrichtung
    Installation d'extinction
    Brandblusinstallatie
    Fire-fighting installation'.
   d) If the fire-fighting system is intended for the protection of several rooms, the triggering devices for each room have to be separate and clearly identified.
   e) Next to each triggering device operating instructions in one of the languages of the Member States shall be posted up visibly and indelibly. They shall contain, in particular, instructions regarding:
      aa) triggering of the fire-fighting system;
      bb) the need for checking to ensure that all persons have left the room to be protected;
      cc) action to be taken by the crew when the firefighting system is triggered and when accessing the protected room after triggering or flooding, in particular with regard to the possible presence of dangerous substances;
      dd) action to be taken by the crew in the case of failure of the fire-fighting system.
   f) The operating instructions shall point out that before the fire-fighting system is triggered combustion engines drawing air from the room to be protected are to be shut down.
6. Warning system
   a) Permanently installed fire-fighting systems shall be provided with acoustic and optical warning systems.
   b) The warning system shall be set off automatically as soon as the fire-fighting system is first triggered. The warning signal shall sound for an appropriate time before the extinguishing agent is released and it shall not be possible to switch it off.
   c) Warning signals shall be clearly visible in the rooms to be protected and outside the accesses to them and clearly audible even under operating conditions producing the loudest inherent noise. They shall be clearly distinct from all other acoustic and optical signals in the room to be protected.
   d) The acoustic warning signals shall be clearly audible in the adjacent rooms even when connecting doors are closed and under operating conditions producing the loudest inherent noise.
   e) If the warning system is not self-monitoring as regards short-circuits, wire breaks and voltage drops, it shall be possible to check that it is working properly.
   f) At every entrance to a room that can be supplied with extinguishing agent, a clearly visible notice shall be put up bearing the following text in red lettering on a white background:

   ‘Vorsicht, Feuerlöscheinrichtung!
   Bei Ertönen des Warnsignals (Beschreibung des Signals) den Raum sofort verlassen!
   Attention, installation d’extinction d’incendie !
   Quitter immédiatement ce local au signal (description du signal) !
   Let op, brandblusinstallatie!
   Bij het in werking treden van het alarmsignaal (omschrijving van het signaal) deze ruimte onmiddellijk verlaten!
   Warning, fire-fighting installation!
   Leave the room as soon as the warning signal sounds (description of signal)!’

7. Pressure tanks, fittings and pressure pipes
   a) Pressure tanks, fittings and pressure pipes shall comply with the provisions in force in one of the Member States.
   b) Pressure tanks shall be installed in accordance with the manufacturer's instructions.
   c) Pressure tanks, fittings and pressure pipes are not to be installed in accommodation spaces.
   d) The temperature in cabinets and installation spaces containing pressure tanks shall not exceed 50 °C.
   e) Cabinets or installation spaces on deck shall be firmly fixed in place and have air vents which are to be arranged in such a way that in the event of a leak in the pressure tank no gas can escape into the interior of the vessel. Direct connections to other rooms are not permitted.
8. Quantity of extinguishing agent

If the quantity of extinguishing agent is intended for protecting more than one room, the total amount of extinguishing agent available does not need to be greater than the quantity necessary for the largest room to be protected.

9. Installation, inspection and documentation

a) The system shall be installed or converted only by a firm specialising in fire-fighting systems. The requirements specified by the extinguishing agent manufacturer and the system manufacturer (product data sheet, safety data sheet) are to be complied with. Maintenance, in particular of the condition of the spray nozzles, shall be carried out regularly in accordance with the instructions of the system manufacturer or producer of the extinguishing agent (data sheet).

b) The system shall be checked by an expert:
   aa) before being put into service for the first time;
   bb) before being put back into service after it has been triggered;
   cc) before being put back into service after any major modification or repair; and
   dd) regularly, at least every two years.

Inspections as referred to in (dd) may also be carried out by a competent person from a competent firm specialising in fire extinguishing systems.

c) In the inspection the expert or competent person shall check whether the system meets the requirements of this Article.

d) The inspection shall cover at least the following:
   aa) external inspection of the entire installation;
   bb) tightness check on pipes;
   cc) functional checking of control and triggering systems;
   dd) checking of tank pressure and content;
   ee) checking of tightness and facilities for locking the room to be protected;
   ff) checking the fire alarm system;
   gg) checking the warning system.

e) An inspection attestation shall be issued, signed by the expert and showing the date of the inspection.

f) The number of permanently installed fire-fighting systems shall be entered in the inland navigation vessel certificate.

10. CO₂ fire-fighting systems

Fire-fighting systems using CO₂ as the extinguishing agent shall comply with the following provisions in addition to the requirements under (1) to (9):

a) CO₂ containers shall be housed outside the room to be protected in a space or cabinet hermetically separated from other rooms. The doors to these installation spaces and cabinets shall open outwards, be lockable and bear on the outside a symbol for ‘General danger warning’ in accordance with Figure 4 of Annex 4, at least 5 cm in height, together with the marking ‘CO₂’ in the same colour and with the same height.

b) Installation spaces below decks for CO₂ containers shall be accessible only from the open air. These spaces shall have their own adequate artificial ventilation system with extraction ducts, completely separate from other ventilation systems on board.
c) The CO₂ containers shall not be filled to more than 0,75 kg/l. The specific volume of unpressurised CO₂ gas is to be taken as 0,56 m³/kg.

d) The volume of CO₂ for the room to be protected shall be at least 40 % of its gross volume. It shall be possible to supply this volume within 120 seconds, and to check whether supply has been completed.

e) Opening the container valves and operating the flood valve shall be by separate control operations.

f) The appropriate time mentioned under (6)(b) shall be at least 20 seconds. There shall be a reliable device to ensure the delay before delivery of the CO₂ gas.

11. HFC-227ea — fire-fighting systems

Fire-fighting systems using HFC-227ea as the extinguishing agent shall comply with the following provisions in addition to the requirements under (1) to (9):

a) If there are several rooms to be protected, each with a different gross volume, each room shall be provided with its own fire-fighting system.

b) Each container of HFC-227ea that is installed in the room to be protected shall be equipped with a pressure relief valve. This shall harmlessly release the contents of the container into the room to be protected if the container is exposed to the effects of fire and the fire-fighting system has not been triggered.

c) Each container shall be fitted with a device for checking the gas pressure.

d) The containers shall not be filled to more than 1,15 kg/l. The specific volume of the unpressurised HFC-227ea is to be taken as 0,1374 m³/kg.

e) The volume of HFC-227ea for the room to be protected shall be at least 8 % of the room's gross volume. This volume shall be supplied within 10 seconds.

f) The HFC-227ea containers shall be provided with a pressure monitor which triggers an acoustic and optical alarm signal in the wheelhouse in the event of an unauthorised loss of propellant. If there is no wheelhouse, this alarm signal shall be given outside the room to be protected.

g) After flooding, the concentration in the room to be protected shall not exceed 10,5 %.

h) The fire-fighting system shall not contain any parts made of aluminium.

12. IG-541 — fire-fighting systems

Fire-fighting systems using IG-541 as the extinguishing agent shall comply with the following provisions in addition to the requirements under (1) to (9):

a) If there are several rooms to be protected, each with a different gross volume, each room shall be provided with its own fire-fighting system.

b) Each container of IG-541 that is installed in the room to be protected shall be equipped with a pressure relief valve. This shall harmlessly release the contents of the container into the room to be protected if the container is exposed to the effects of fire and the fire-fighting system has not been triggered.

c) Each container shall be fitted with a device for checking the contents.
d) the filling pressure of the container shall not exceed 200 bar at + 15 °C.
e) the volume of IG-541 for the room to be protected shall be at least 44 % and no more than 50 % of the room’s gross volume. This volume shall be supplied within 120 seconds.

13. FK-5-1-12 — firefighting systems

Firefighting systems using FK-5-1-12 as the extinguishing agent shall comply with the following provisions in addition to the requirements under (1) to (9):

a) If there are several rooms to be protected, each with a different gross volume, each room shall be provided with its own firefighting system.

b) Each container of FK-5-1-12 installed in the room to be protected shall be equipped with an overpressure relief valve. The pressure relief valve shall harmlessly release the contents of the container into the room to be protected if the container is exposed to the effects of fire and the fire-fighting system has not been triggered.

c) Each container shall be fitted with a device for checking the gas pressure.

d) The containers shall not be filled to more than 1,00 kg/l. The specific volume of the unpressurised FK-5-1-12 is to be taken as 0,0719 m$^3$/kg.

e) The volume of FK-5-1-12 for the room to be protected shall be at least 5,5 % of the room’s gross volume. This volume shall be supplied within 10 seconds.

f) The FK-5-1-12 containers shall be provided with a pressure monitor which triggers an acoustic and optical alarm signal in the wheelhouse in the event of an unauthorised loss of propellant. If there is no wheelhouse, this alarm signal shall be given outside the room to be protected.

g) After flooding, the concentration in the room to be protected shall not exceed 10,0 %.

14. Fire-fighting systems using water as the extinguishing agent

Fire-fighting systems using water as the extinguishing agent may only release this agent into the room to be protected in the form of a water mist. The droplet size must be between 5 and 300 microns.

In addition to the requirements laid down in (1) to (7) and (9), (8) applies mutatis mutandis, these fire-fighting systems must comply with the following provisions:

a) The fire-fighting system shall have a type-approval pursuant to MSC/Circ. 1165$^1$ or another Standard recognised by one of the Member States. Type-approval shall be carried out by a recognised classification society or an accredited testing institution. The accredited testing institution shall comply with the European Standard for general requirements for the competence of testing and calibrating laboratories (EN ISO/IEC 17025 : 2005).

b) The fire-fighting system must be sized according to the largest of the rooms to be protected and must be able to spray water continuously into the room for a minimum of 30 minutes.

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$^1$ Circular MSC/Circ. 1165 – Revised guidelines for the approval of equivalent water-based fire-extinguishing systems for machinery spaces and cargo pump-rooms – adopted on 10 June 2005 and as amended by MSC/Circ.1269, MSC/Circ.1386 and MSC/Circ.1385.
c) The pumps, their switching mechanisms and the valves that are required in order for the system to operate should be installed in a room outside the rooms to be protected. The room in which they are installed should be separated from adjacent by at least type A30 partition walls.

d) The fire-fighting system must be completely full of water at all times at least as far as the trip valves and be under the required initial operating pressure. The water supply pumps must be automatically initiated when the system is triggered. The system must feature a continuously operating water supply. Measures must be taken to ensure impurities do not affect system operation.

e) The capacity and design of the system's pipe network must be based on an hydraulic calculation.

f) The number and arrangement of nozzles must ensure sufficient distribution of water in the rooms to be protected. The spray nozzles must be located so as to ensure that the water mist is distributed throughout the room to be protected, especially in those areas where there is a higher risk of fire, including behind the fittings and beneath the floor.

g) The fire-fighting system's electrical components in the room to be protected must at a minimum comply with protection class IP54. The system shall feature two independent energy sources with automatic switching. One of the power sources must be located outside the room to be protected. Each power source should on its own be capable of ensuring the operation of the system.

h) The fire-fighting system must feature redundant pumps.

i) The fire-fighting system must be equipped with a monitoring device which triggers an alarm signal in the wheelhouse in the following cases:
   - drop in water tank level (if fitted),
   - power supply failure,
   - loss of pressure in the low pressure system pipework,
   - loss of pressure in the high pressure circuit,
   - when the system is activated.

j) The documents required for the installation, functional testing and documentation of the installation referred to in (9) must include at a minimum:
   - a schematic diagram of the system showing the sections of pipe work and the types of spray nozzle,
   - the hydraulic calculation referred to in (d),
   - the manufacturer's technical documentation covering all aspects of the installation,
   - the maintenance manual.

**Article 13.06**

*Permanently installed firefighting systems for protecting objects*

Permanently installed firefighting systems for protecting objects are prohibited.
Article 13.07
Ship's boats

1. The following craft shall carry a ship's boat according to European Standard EN 1914 : 2016:
   a) motor vessels and barges exceeding 150 t deadweight;
   b) tugs and pushers with a water displacement of more than 150 m³;
   c) floating equipment;
   d) passenger vessels.

2. It shall be possible for one person to launch such ship's boats safely within five minutes from the first manual action necessary. If a powered launching device is used this shall be such that safe, quick launching shall not be impaired if its power supply fails.

3. Inflatable ship's boats shall be inspected according to manufacturer's instructions.

Article 13.08
Lifebuoys and lifejackets

1. On board craft there shall be at least three lifebuoys:
   - in accordance with European Standard EN 14144 : 2003; or

They shall be ready for use and attached to the deck at appropriate points without being attached to their mounting. At least one lifebuoy shall be in the immediate vicinity of the wheelhouse and shall be equipped with a self-igniting, battery-powered light that will not be extinguished in water.

2. A personalised, automatically inflatable life jacket shall be within reach of every person who is regularly on board a craft. Such life jackets shall conform to:

Non-inflatable lifejackets in accordance with these Standards shall also be admissible for children.

3. Lifejackets shall be inspected in accordance with the manufacturer's instructions.
CHAPTER 14
SAFETY AT WORK STATIONS

Article 14.01
General

1. Vessels shall be built, arranged and equipped in such a way as to enable persons to work and move about in safety in passageways.

2. Permanently installed facilities that are necessary for working on board shall be arranged, laid out and secured in such a way as to permit safe and easy operation, use and maintenance. If necessary, mobile or high-temperature components shall be fitted with protective devices.

Article 14.02
Protection against falling

1. Decks and side decks shall be flat and at no point be likely to cause tripping; it shall be impossible for puddles to form.

2. Decks, side decks, engine room floors, landings, stairways and the tops of side deck bollards shall have non-slip surfaces.

3. The tops of side deck bollards and obstacles in passageways, such as the edges of steps, shall be painted in a colour contrasting with the surrounding deck.

4. The outer edges of decks and side decks, as well as work stations where persons might fall more than 1 m, shall be fitted with bulwarks or coamings that are at least 0,90 m high or with a continuous guard rail in accordance with European Standard EN 711 : 2016. Where the shipside guard rails are retractable,
   a) a continuous handrail 0,02 to 0,04 m in diameter shall additionally be secured to the coaming at a height of 0,7 to 1,1 m; and
   b) signs in accordance with Annex 4, Figure 10, at least 15 cm in diameter, shall be affixed in clearly visible positions at the point where the side deck begins.

5. By way of derogation from (4), in the case of lighters and barges without accommodation, bulwarks or guard rails shall not be required where:
   a) foot rails have been fitted to the outer edges of the decks and side decks;
   b) handrails in accordance with (4)(a) have been fitted to the coamings; and
   c) signs in accordance with Annex 4, Figure 10, at least 15 cm in diameter, have been affixed in clearly visible positions on deck.

6. By way of derogation from (4), in the case of vessels with flush- or trunk-decks it shall not be required that guard rails be fitted directly on the outer edges of those decks, or on side decks where:
   a) the passageways run over those decks;
b) the passageways and work stations on those decks are surrounded by fixed guard rails in accordance with EN 711: 2016; and

c) signs in accordance with Annex 4, Figure 10, at least 15 cm in diameter, have been affixed in clearly visible positions at the transitions to areas unprotected by guard rails.

7. At work stations where there is danger of falling more than 1 m the inspection body may require appropriate fittings and equipment to ensure safe working.

Article 14.03
Dimensions of work stations

Work stations shall be large enough to provide every person working in them with adequate freedom of movement.

Article 14.04
Side decks

1. The clear width of a side deck shall be at least 0,60 m. That figure may be reduced to 0,50 m at certain points that are necessary for the operation of the vessel such as deck-washing valves. It may be reduced to 0,40 m at bollards and cleats.

2. Up to a height of 0,90 m above the side deck, the clear width of the side deck may be reduced to 0,50 m provided that the clear width above, between the outer edge of the hull and the inner edge of the hold, is not less than 0,65 m.

3. The requirements of (1) and (2) shall apply up to a height of 2,00 m above the side deck.

Article 14.05
Access to work stations

1. Points of access and passageways for the movement of persons and objects shall be of sufficient size and so arranged that:
   a) in front of the access opening, there is sufficient room not to impede movement;
   b) the clear width of the passageway shall be appropriate for the intended use of the working space and shall be not less than 0,60 m, except in the case of craft less than 8 m wide, where it may be reduced to 0,50 m;
   c) the clear height of the passageway including the sill is not less than 1,90 m.

2. Doors shall be so arranged that they can be opened and closed safely from either side. They shall be protected against accidental opening or closing.
3. Appropriate stairs, ladders or steps shall be installed in accesses, exits and passageways where there is more than a 0,50 m difference in floor level.

4. Work stations which are manned continuously shall be fitted with stairs if there is a difference in floor level of more than 1,00 m. This requirement shall not apply to emergency exits.

5. Vessels with holds shall have at least one permanently installed means of access at each end of each hold.

By way of derogation from the first sentence the permanently installed means of access may be dispensed with if at least two movable ladders are provided which reach at least 3 rungs over the hatch coaming at an angle of inclination of 60°.

**Article 14.06**

*Exits and emergency exits*

1. The number, arrangement and dimensions of exits, including emergency exits, shall be in keeping with the purpose and dimensions of the relevant space. Where one of the exits is an emergency exit, it shall be clearly marked as such.

2. Emergency exits or windows or the covers of skylights to be used as emergency exits shall have a clear opening of not less than 0,36 m$^2$, and the smallest dimension shall be not less than 0,50 m.

**Article 14.07**

*Ladders, steps and similar devices*

1. Stairs and ladders shall be securely fixed. Stairs shall be not less than 0,60 m wide and the clear width between handrails shall be not less than 0,60 m; steps shall be not less than 0,15 m deep; steps shall have non-slip surfaces and stairs with more than three steps shall be fitted with handrails.

2. Ladders and separately attached rungs shall have a clear width of not less than 0,30 m; rungs shall be not more than 0,30 m apart and the distance between rungs and structures shall be not less than 0,15 m.

3. Ladders and separately attached rungs shall be clearly recognisable from above and shall be equipped with safety handles above exit openings.

4. Movable ladders shall be at least 0,40 m wide, and at least 0,50 m wide at the base; it shall be possible to ensure that they will not topple or skid; the rungs shall be securely fixed in the uprights.

**Article 14.08**

*Interior rooms*

1. The dimensions, arrangement and layout of interior work stations shall be in keeping with the work to be carried out and shall meet the health and safety requirements. They shall be equipped with sufficient non-dazzle lighting and with sufficient ventilation arrangements. If necessary, they shall be fitted with heating appliances capable of maintaining an adequate temperature.
2. The floors of interior working spaces shall be solid and durable, and shall be designed not to cause tripping or slipping. Openings in decks and floors shall, when open, be secured against the danger of falling. Windows and skylights shall be so arranged and fitted that they can be operated and cleaned safely.

**Article 14.09**

*Protection against noise and vibration*

1. Working spaces shall be so situated, equipped and designed that employees are not exposed to harmful vibrations.

2. Permanent working spaces shall, in addition, be so constructed and sound-proofed that the health and safety of employees are not affected by noise.

3. For employees who are likely to be exposed to noise levels exceeding 85 dB(A) every day, individual acoustic protection devices shall be available. In working spaces where noise levels exceed 90 dB(A) it shall be indicated that wearing of acoustic protection devices is mandatory by a symbol ‘Wear acoustic protection device’ with a diameter of at least 10 cm in accordance with Figure 7 of Annex 4.

**Article 14.10**

*Hatch covers*

1. Hatch covers shall be easily accessible and safe to handle. Hatch-cover components weighing more than 40 kg shall be designed to slide or pivot or be fitted with mechanical opening devices. Hatch covers operated by lifting gear shall be fitted with adequate and easily accessible attachment devices. Non-interchangeable hatch covers and upper sills shall be clearly marked to show the hatches to which they belong and their correct position on those hatches.

2. Hatch covers shall be secured against being tilted by the wind or by loading gear. Sliding covers shall be fitted with catches to prevent accidental horizontal movement of more than 0.40 m; they shall be capable of being locked in their final position. Appropriate devices shall be fitted to hold stacked hatch covers in position.

3. The power supply for mechanically operated hatch covers shall be cut off automatically when the control switch is released.

4. Hatch covers shall be capable of bearing the loads to which they are likely to be subjected: Hatch covers designed to be walked on shall be capable of bearing concentrated loads of at least 75 kg. Hatch covers not designed to be walked on shall be marked as such. Hatch covers designed to receive deck cargo shall have the permissible load in t/m\(^2\) marked on them. Where supports are needed to achieve the maximum permissible load this shall be indicated in an appropriate place; in this case the relevant drawings shall be kept on board.
Article 14.11
Winches

1. Winches shall be designed in such a way as to enable work to be carried out safely. They shall be fitted with devices that prevent unintentional load release. Winches that do not lock automatically shall be fitted with a brake that is adequate to deal with their tractive force.

2. Hand-operated winches shall be fitted with devices to prevent kickback of the crank. Winches that are both power- and manually driven shall be designed in such a way that the motive-power control cannot actuate the manual control.

Article 14.12
Cranes

1. Cranes shall be built in accordance with best practice. The forces arising during their operation shall be safely transmitted into the vessel's structure; they shall not impair its stability.

2. A manufacturer's plate containing the following information shall be affixed to cranes:
   a) manufacturer's name and address;
   b) the CE marking, together with the year of manufacture;
   c) series or type reference;
   d) where applicable, serial number.

3. The maximum permissible loadings shall be permanently marked in a clearly legible manner on cranes.

   Where a crane's safe working load does not exceed 2000 kg, it will be sufficient if the safe working load at the maximum reach is permanently marked in a clearly legible manner on the crane.

4. The presence of devices to protect against crushing or shearing hazards is mandatory. The outer parts of the crane shall leave a minimum safety clearance of 0,50 m relative to fixed superstructure in workstations and passageways.

5. It shall be possible to protect power driven cranes against unauthorised use. It shall only be possible to start these up from the crane's driving position. The control shall be of the automatic-return type (buttons without stops); their operating direction shall be unambiguously clear.

   If the motive power fails, it shall not be possible for the load to drop in an uncontrolled manner. Unintentional crane movements shall be prevented.

   Any upward movement of the hoisting device and any exceeding of the safe working load shall be limited by an appropriate device. Any downward movement of the hoisting device shall be limited if under any envisaged operating conditions at the moment of attaching the hook there can be less than two cable windings on the drum. The corresponding counter movement shall still be possible after the automatic limiting devices have been actuated.
The breaking load of the cables for running rigging shall correspond to five times the cable's permissible loading. The cable construction shall be faultless and the design shall be suitable for use on cranes.

6. Cranes shall be inspected by an expert:
   a) before being put into service for the first time;
   b) before being put back into service after any major modification or repair, and
   c) regularly, at least every ten years.

   In this inspection proof of adequate strength and stability shall be provided by calculations and an on-board load test.

   Where a crane's safe working load does not exceed 2000 kg the expert may decide that the proof by calculation may be fully or partly replaced by a test with a load 1.25 times the safe working load carried out over the full working range.

   An inspection attestation shall be issued, signed by the expert and showing the date of the inspection.

7. Cranes shall be checked regularly and in any case at least every year, by a competent person. During that inspection the safe working condition of the crane shall be determined by a visual check and an operating check.

   An inspection attestation shall be issued, signed by the competent person and showing the date of the inspection.

8. Cranes with a safe working load exceeding 2000 kg, or which are used for transhipment of cargo, or which are mounted on board lifting jacks, pontoons and other floating equipment or worksite craft shall in addition meet the requirements of one of the Member States.

9. The crane manufacturer's operating instructions shall be kept on board. These shall include at least the following information:
   a) operating range and function of the controls;
   b) maximum permissible safe working load as a function of the reach;
   c) maximum permissible inclination of the crane;
   d) assembly and maintenance instructions;
   e) general technical data.

Article 14.13
Storing flammable liquids

To store flammable liquids with a flash point of less than 55 °C there shall be a ventilated cupboard made of non-combustible material on deck. On its outside there shall be a symbol 'Fire, naked flame and smoking prohibited' with a diameter of at least 10 cm in accordance with Figure 2 of Annex 4.
CHAPTER 15
ACCOMMODATION

Article 15.01
General

1. Vessels shall have accommodation for the persons lodging habitually on board, and at least for the minimum crew.

2. Accommodation shall be so designed, arranged and fitted out as to meet the health, safety and comfort needs of those on board. It shall be of safe and easy access and adequately insulated against heat and cold.

3. The inspection body may authorise exceptions to the provisions of this Chapter if the health and safety of those on board are ensured by other means.

4. The inspection body shall enter on the inland navigation vessel certificate any restrictions on the vessel's daily operating periods and its operating mode resulting from the exceptions referred to in (3).

Article 15.02
Special design requirements for accommodation

1. It shall be possible to ventilate accommodation adequately even when the doors are closed; in addition, communal living quarters shall receive adequate daylight and, as far as possible, provide a view out.

2. Where there is no deck-level access to the accommodation and the difference in level is 0,30 m or more the accommodation shall be accessible by means of stairs.

3. In the fore-section of the vessel no floor shall be more than 1,20 m below the plane of maximum draught.

4. Communal living quarters and sleeping cabins shall have at least two exits which are as far apart from each other as possible and which serve as escape routes. One exit may be designed as an emergency exit. This does not apply to rooms with an exit leading directly onto the deck or into a corridor which serves as an escape route, provided the corridor has two exits apart from each other leading to port and starboard. Emergency exits, which may include skylights and windows, shall have a clear opening of at least 0,36 m² and a shortest side no less than 0,50 m and permit rapid evacuation in an emergency. Insulation and cladding of escape routes shall be made of flame-retardant materials and the usability of escape routes shall be guaranteed at all times by appropriate means such as ladders or separately attached rungs.

5. Accommodation shall be protected against inadmissible noise and vibration. Sound pressure levels shall not exceed:
   a) 70 dB(A) in communal living quarters;
   b) 60 dB(A) in sleeping cabins. This provision shall not apply to vessels operating exclusively outside the rest periods of the crew as laid down by Member States' national regulations.

The restriction of the daily operating period mentioned in (b) shall be entered on the inland navigation vessel certificate.
6. Headroom in the accommodation shall be not less than 2,00 m.

7. As a general rule, vessels shall have at least one communal living room partitioned off from the sleeping cabins.

8. The free floor area of communal living quarters shall be not less than 2 m² per person, and in any event not less than 8 m² in total (not counting furniture, except tables and chairs).

9. The cubic capacity of private living quarters and sleeping cabin shall be not less than 7 m³ each.

10. The volume of airspace per person shall be at least 3,5 m³ in private living quarters. In sleeping cabins it shall be at least 5 m³ for the first occupant and at least 3 m³ for each additional occupant (not counting volume of furniture). Sleeping cabins shall, as far as possible, be intended for no more than two persons. Berths shall be not less than 0,30 m above the floor. Where one berth is placed over another, the headroom above each berth shall be not less than 0,60 m.

11. Doors shall have an opening whose upper edge is at least 1,90 m above deck or above the floor and a clear width of at least 0,60 m. The prescribed height may be achieved by means of sliding or hinged covers or flaps. Doors shall open to the outside and it shall be possible to open them from either side. Sills shall not be more than 0,40 m high, but shall nonetheless comply with the provisions of other safety regulations.

12. Stairways shall be permanently fixed and safely negotiable. They shall be deemed to be so when:
   a) they are at least 0,60 m wide;
   b) the tread is at least 0,15 m deep;
   c) the steps are non-slip; and
   d) stairways with more than three steps are fitted with at least one handrail or handle.

13. Pipes carrying dangerous gases or liquids, and particularly those under such a high pressure that a leak could pose a danger to persons, shall not be located in the accommodation or in corridors leading to the accommodation. This does not apply for the pipes of liquefied gas installations for domestic purposes, and to steam pipes and hydraulic system pipes, provided they are fitted in metal sleeves

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**Article 15.03**

**Sanitary installations**

1. At least the following sanitary installations shall be provided in vessels with accommodation:
   a) one toilet per accommodation unit or per six crew members. It shall be possible to ventilate these with fresh air;
   b) one washbasin with waste pipe and connected up to hot and cold potable water per accommodation unit or per four crew members;
   c) one shower or bath connected up to hot and cold potable water per accommodation unit or per six crew members.
2. The sanitary installations shall be in close proximity to the accommodation. Toilets shall not have direct access to galleys, mess rooms or combined communal living quarters/galleys.

3. Toilet compartments shall have a floor space of at least 1 m$^2$, not less than 0.75 m wide and not less than 1.10 m long. Toilet compartments in sleeping cabins for no more than two persons may be smaller. Where a toilet contains a wash basin and/or shower, the floor space shall be increased at least by the floor space occupied by the wash basin and/or shower (or bath).

**Article 15.04**

**Galleys**

1. Galleys may be combined with communal living quarters.

2. Galleys shall comprise:
   a) a cooker;
   b) a sink with waste connection;
   c) a supply of potable water;
   d) a refrigerator;
   e) sufficient storage and working space.

3. The eating area of combined galleys/communal living quarters shall be large enough to accommodate the number of crew normally using it at the same time. Seats shall be not less than 0.60 m wide.

**Article 15.05**

**Potable water installations**

1. Vessels with accommodation shall have a potable water installation. Potable water tank filling apertures and potable water hoses shall be marked as being intended exclusively for potable water. Potable water filler necks shall be installed above the deck.

2. Potable water installations shall:
   a) on their inner surfaces be made of a material which resists corrosion and poses no physiological danger;
   b) be free of pipe sections where a regular flow of water is not guaranteed, and
   c) be protected against excessive heating.

3. In addition to (2), potable water tanks shall:
   a) have a capacity of at least 150 litres per person normally living on board, and at least per crew member;
   b) have a suitable, lockable opening to enable the inside to be cleaned;
   c) have a water level indicator;
   d) have ventilation pipes which lead to the open air or are fitted with appropriate filters.
4. Potable water tanks shall not share walls with other tanks. Potable water pipes shall not pass through tanks containing other liquids. Connections are not permitted between the potable water supply system and other pipes. Pipes carrying gas or liquids other than potable water shall not pass through potable water tanks.

5. Potable water pressure vessels shall operate only on uncontaminated compressed air. Where it is produced by means of compressors, appropriate air filters and oil separators shall be installed directly in front of the pressure vessel unless the water and the air are separated by a diaphragm.

**Article 15.06**

*Heating and ventilation*

1. It shall be possible to heat accommodation in accordance with its intended use. Heating installations shall be appropriate for the weather conditions which may arise.

2. It shall be possible to ventilate the communal living quarters and sleeping cabins adequately even when the doors are closed. Ventilation shall ensure adequate air circulation in all climatic conditions.

3. The accommodation shall be so designed and arranged as to prevent as far as possible the entry of foul air from other areas of the vessel such as engine rooms or holds; where forced-air ventilation is used, the intake vents shall be so placed as to satisfy the above requirements.

**Article 15.07**

*Other accommodation installations*

1. Each crew member living on board shall have an individual berth and an individual clothes locker fitted with a lock. The internal measurements of the berth shall be not less than 2,00 m long and 0,90 m wide.

2. Suitable places for storing and drying work clothes shall be provided, but not in the sleeping quarters.

3. All accommodation areas shall be fitted with electric lighting. Additional lamps using gas or liquid fuel may only be used in communal living quarters. Lighting devices using liquid fuel shall be made of metal and shall burn only fuels with a flash point above 55 °C or commercial paraffin oil. They shall be placed or attached so as not to constitute a fire hazard.
CHAPTER 16  
FUEL-FIRED HEATING, COOKING AND REFRIGERATING EQUIPMENT

Article 16.01  
General

1. Heating, cooking and refrigeration equipment running on liquefied gas shall meet the requirements of Chapter 17.

2. Heating, cooking and refrigeration equipment, together with its accessories, shall be so designed and installed that it is not dangerous even in the event of overheating. It shall be so installed that it cannot overturn or be moved accidentally.

3. The equipment referred to in (2) shall not be installed in areas in which substances with a flash point below 55 °C are used or stored. No flues from these installations may pass through such areas.

4. The supply of air necessary for combustion shall be ensured.

5. Heating appliances shall be securely connected to flues, which shall be fitted with suitable cowls or devices affording protection against the wind. They shall be arranged in such a manner as to permit cleaning.

Article 16.02  
Use of liquid fuels, oil-fired equipment

1. Heating, cooking and refrigeration equipment which uses liquid fuel may be operated only with fuels whose flash point is above 55 °C.

2. By way of derogation from (1), cooking appliances and heating and refrigeration appliances fitted with burners with wicks and running on commercial paraffin oil may be permitted in the accommodation and wheelhouse provided the capacity of the fuel tank does not exceed 12 litres.

3. Appliances fitted with burners with wicks shall be:
   a) fitted with a metal fuel tank whose filling aperture may be locked and which has no soft-solder joints below the maximum filling level, and shall be designed and installed in such a way that the fuel tank cannot be opened or emptied accidentally;
   b) capable of being lit without the aid of another liquid fuel; and
   c) so installed as to ensure the safe evacuation of combustion gases.

Article 16.03  
Vaporising oil burner stoves and atomising oil burner heating appliances

1. Vaporising oil burner stoves and atomising oil burner heating appliances shall be built in accordance with best practice.
2. Where a vaporising oil burner stove or an atomising oil burner heating appliance is installed in an engine room, the air supply to the heating appliance and the engines shall be so designed that the heating appliance and the engines can operate properly and safely independently of one another. Where necessary, there shall be a separate air supply. The equipment shall be installed in such a way that no flame from the burner can reach other parts of the engine room installations.

Article 16.04
Vaporising oil burner stoves

1. It shall be possible to light vaporising oil burner stoves without the aid of another combustible liquid. They shall be fixed above a metal drip pan which encompasses all the fuel-carrying parts, whose sides are at least 20 mm high and which has a capacity of at least 2 litres.

2. For vaporising oil burner stoves installed in an engine room, the sides of the metal drip pan prescribed in (1) shall be at least 200 mm high. The lower edge of the vaporising burner shall be located above the edge of the drip pan. In addition, the upper edge of the drip pan shall extend at least 100 mm above the floor.

3. Vaporising oil burner stoves shall be fitted with a suitable regulator which, at all settings, ensures a virtually constant flow of fuel to the burner and which prevents any fuel leak should the flame go out. Regulators shall be considered suitable which function properly even when exposed to vibration and inclined up to 12° and which, in addition to a level-regulating float, have
   a) a second float which closes off the fuel supply safely and reliably when the permitted level is exceeded, or
   b) an overflow pipe, but only if the drip pan has sufficient capacity to accommodate at least the contents of the fuel tank.

4. Where the fuel tank of a vaporising oil burner stove is installed separately:
   a) the drop between the tank and the burner feed may not exceed that laid down in the manufacturer's operating instructions;
   b) it shall be so installed as to be protected from unacceptable heating;
   c) it shall be possible to interrupt the fuel supply from the deck.

5. The flues of vaporising oil burner stoves shall be fitted with a device to prevent draught inversion.

Article 16.05
Atomising oil burner heating appliances

Atomising oil burner heating appliances shall in particular meet the following requirements:

a) adequate ventilation of the burner shall be ensured before the fuel is supplied;

b) the fuel supply shall be regulated by a thermostat;

c) the fuel shall be ignited by an electric device or by a pilot flame;
d) a flame monitoring device shall cut off the fuel supply when the flame goes out;
e) the main switch shall be placed at an easily accessible point outside the installation room.

**Article 16.06**  
*Forced-air heating appliances*

Forced-air heating appliances consisting of a combustion chamber around which the heating air is conducted under pressure to a distribution system or to a room shall meet the following requirements:

a) If the fuel is atomised under pressure the combustion air shall be supplied by a blower;
b) The combustion chamber shall be well ventilated before the burner can be lit. Ventilation may be considered complete when the combustion air blower continues to operate after the flame has gone out;
c) The fuel supply shall be automatically cut off if:
   the fire goes out;
   the supply of combustion air is not sufficient;
   the heated air exceeds a previously set temperature; or
   the power supply of the safety devices fails;
   In the above cases the fuel supply shall not be re-established automatically after being cut off;
d) It shall be possible to switch off the combustion air and heating air blowers from outside the room where the heating appliance is located;
e) Where heating air is drawn from outside, the intake vents shall be located as far as possible above the deck. They shall be installed in such a manner that rain and spray water cannot enter;
f) Heating air pipes shall be made of metal;
g) It shall not be possible to close the heating air outlet apertures completely;
h) It shall not be possible for any leaking fuel to reach the heating air pipes;
i) It shall not be possible for forced-air heating appliances to draw their heating air from an engine room.

**Article 16.07**  
*Solid fuel heating*

1. Solid fuel heating appliances shall be placed on a metal plate with raised edges such that no burning fuel or hot cinders fall outside the plate.

   This requirement does not apply to appliances installed in compartments built of non-combustible materials and intended solely to house boilers.

2. Solid fuel boilers shall be fitted with thermostatic controls to regulate the flow of combustion air.

3. A means by which cinders can be quickly doused shall be placed in the vicinity of each heating appliance.
CHAPTER 17
LIQUEFIED GAS INSTALLATIONS FOR DOMESTIC PURPOSES

Article 17.01
General

1. Liquefied gas installations consist essentially of a supply unit comprising one or more gas receptacles, and of one or more pressure regulators, a distribution system and a number of gas-consuming appliances.

Spare and empty receptacles not in the supply unit shall not be considered part of the installation. Article 17.05 shall apply to them mutatis mutandis.

2. Installations may be operated only with commercial propane.

Article 17.02
Installations

1. Liquefied gas installations shall be suitable throughout for use with propane and shall be built and installed in accordance with best practice.

2. Liquefied gas installations may be used only for domestic purposes in the accommodation and the wheelhouse, and for corresponding purposes on passenger vessels.

3. There may be a number of separate installations on board. A single installation shall not be used to serve accommodation areas separated by a hold or a fixed tank.

4. No part of a liquefied gas installation shall be located in the engine room.

Article 17.03
Receptacles

1. Only receptacles with an approved content of between 5 and 35 kg are permitted. In the case of passenger vessels, the inspection body may approve the use of receptacles with a larger content.

2. The receptacles must satisfy the current requirements in one of the Member States.

These receptacles shall bear the official stamp certifying that they have been accepted following the required tests.

Article 17.04
Location and arrangement of supply units

1. Supply units shall be installed on deck in a freestanding or wall cupboard located outside the accommodation in a position such that it does not interfere with movement on board. They shall not, however, be installed against the fore or aft bulwark. The cupboard may be a wall cupboard set into the superstructure provided that it is gastight and can only be opened from outside the superstructure. It shall be so located that the distribution pipes leading to the gas consumption points are as short as possible.
No more receptacles may be in operation simultaneously than are necessary for the functioning of the installation. Several receptacles may be connected only if a reversing coupler is used. Up to four receptacles may be connected per supply unit. The number of receptacles on board, including spare receptacles, shall not exceed six per installation.

Up to six receptacles may be connected on passenger vessels with galleys or canteens for passengers. The number of receptacles on board, including spare receptacles, shall not exceed nine per installation.

Pressure regulators, or in case of two-stage regulation the first pressure regulator, shall be fitted to a wall in the same cupboard as the receptacles.

2. Supply units shall be so installed that any leaking gas can escape from the cupboard into the open without any risk of it penetrating inside the vessel or coming into contact with a source of ignition.

3. Cupboards shall be constructed of flame-retardant materials and shall be sufficiently ventilated by apertures in the top and bottom. Receptacles shall be placed upright in the cupboards in such a way that they cannot overturn.

4. Cupboards shall be so built and placed that the temperature of the receptacles cannot exceed 50 °C.

5. The words ‘Liquefied gas’ and a ‘Fire, naked flame and smoking prohibited’ symbol at least 10 cm in diameter in accordance with Figure 2 of Annex 4 shall be affixed to the outer wall of the cupboard.

**Article 17.05**

*Spare and empty receptacles*

Spare and empty receptacles not located in the supply unit shall be stored outside the accommodation and the wheelhouse in a cupboard built in accordance with Article 17.04.

**Article 17.06**

*Pressure regulators*

1. Gas-consuming appliances may be connected to receptacles only through a distribution system fitted with one or more pressure regulators to bring the gas pressure down to the utilisation pressure. The pressure may be reduced in one or two stages. All pressure regulators shall be set permanently at a pressure determined in accordance with Article 17.07.

2. The final pressure regulators shall be either fitted with or immediately followed by a device to protect the pipe automatically against excess pressure in the event of a malfunctioning of the pressure regulator. It shall be ensured that in the event of a leak in the protection device any leaking gas can escape into the open without any risk of it penetrating inside the vessel or coming into contact with a source of ignition; if necessary, a special pipe shall be fitted for this purpose.

3. The protection devices and vents shall be protected against the entry of water.
Article 17.07
Pressure

1. Where two-stage regulating systems are used, the mean pressure shall be not more than 2.5 bar above atmospheric pressure.

2. The pressure at the outlet from the last pressure regulator shall be not more than 0.05 bar above atmospheric pressure, with a tolerance of 10%.

Article 17.08
Piping and flexible tubes

1. Pipes shall consist of permanently installed steel or copper tubing.

   However, pipes connecting with the receptacles shall be high-pressure flexible tubes or spiral tubes suitable for propane. Gas-consuming appliances may, if not permanently installed, be connected by means of suitable flexible tubes not more than 1 m long.

2. Pipes shall be able to withstand any stresses, in particular regarding corrosion and strength, which may occur under normal operating conditions on board and their characteristics and layout shall be such that they ensure a satisfactory flow of gas at the appropriate pressure to the gas-consuming appliances.

3. Pipes shall have as few joints as possible. Both pipes and joints shall be gastight and shall remain gastight despite any vibration or expansion to which they may be subjected.

4. Pipes shall be readily accessible, properly fixed and protected at every point where they might be subject to impact or friction, particularly where they pass through steel bulkheads or metal walls. The entire surface of steel pipes shall be treated against corrosion.

5. Flexible pipes and their joints shall be able to withstand any stresses which may occur under normal operating conditions on board. They shall be installed in such a way that they are free of tension, cannot be heated excessively and can be inspected over their entire length.

Article 17.09
Distribution system

1. It shall be possible to shut off the entire distribution system by means of a main valve which is at all times easily and rapidly accessible.

2. Each gas-consuming appliance shall be supplied by a separate branch of the distribution system, and each branch shall be controlled by a separate closing device.
3. Valves shall be fitted at points where they are protected from the weather and from impact.

4. An inspection connection shall be fitted after each pressure regulator. It shall be ensured using a closing device that in pressure tests the pressure regulator is not exposed to the test pressure.

**Article 17.10**

*Gas-consuming appliances and their installation*

1. The only appliances that may be installed are propane-consuming appliances approved in one of the Member States and equipped with devices that effectively prevent the escape of gas in the event of either the flame or the pilot light being extinguished.

2. Appliances shall be so placed and connected that they cannot overturn or be accidentally moved and any risk of accidental wrenching of the connecting pipes is avoided.

3. Heating and water-heating appliances and refrigerators shall be connected to a flue for evacuating combustion gases into the open air.

4. The installation of gas-consuming appliances in the wheelhouse is permitted only if the wheelhouse is so constructed that no leaking gas can escape into the lower parts of the craft, in particular through the penetrations for control lines to the engine room.

5. Gas-consuming appliances may be installed in sleeping quarters only if combustion is independent of ambient air in the quarters.

6. Gas-consuming appliances in which combustion depends on ambient air shall be installed in rooms which are sufficiently large.

**Article 17.11**

*Ventilation and evacuation of combustion gases*

1. In rooms containing gas-consuming appliances in which combustion depends on ambient air, fresh air shall be supplied and combustion gases evacuated by means of ventilation apertures of adequate dimensions, with a clear section of at least 150 cm\(^2\) per aperture.

2. Ventilation apertures shall not have any closing device and shall not lead to sleeping quarters.

3. Evacuation devices shall be so designed as to ensure the safe evacuation of combustion gases. They shall be reliable in operation and made of non-combustible materials. Their operation shall not be affected by forced ventilation.
Article 17.12

Operating instructions

An operating instruction shall be affixed on board in a suitable place. It shall contain at least the following:

‘The valves of receptacles not connected to the distribution system shall be closed, even if the receptacles are presumed empty’;

‘Flexible pipes shall be replaced as soon as their condition so requires’;

‘All gas-consuming appliances shall be connected or the corresponding connecting pipes shall be sealed’.

Article 17.13

Acceptance test

Liquefied gas installations shall be checked by an expert, in order to verify whether the installation conforms to the requirements of this Chapter:

a) before being put into service for the first time;

b) before being put back into service after any major modification or repair; and

c) on every renewal of the attestation referred to in Article 17.15.

An inspection attestation shall be issued, signed by the expert and showing the date of the inspection. A copy of the inspection attestation shall be submitted to the inspection body.

Article 17.14

Test conditions

Tests on the installation shall be carried out under the following conditions:

1. Medium-pressure pipes between the closing device, referred to in Article 17.09 (4), of the first pressure regulator and the valves fitted before the final pressure regulator:
   a) pressure test, carried out with air, an inert gas or a liquid at a pressure 20 bar above atmospheric pressure;
   b) tightness test, carried out with air or an inert gas at a pressure 3,5 bar above atmospheric pressure.

2. Pipes at the service pressure between the closing device, referred to in Article 17.09 (4), of the only pressure regulator or the final pressure regulator and the valves fitted before the gas-consuming appliances:
   tightness test, carried out with air or an inert gas at a pressure of 1 bar above atmospheric pressure.

3. Pipes situated between the closing device, referred to in Article 17.09 (4), of the only pressure regulator or the final pressure regulator and the controls of gas-consuming appliances:
   tightness test at a pressure of 0,15 bar above atmospheric pressure.
4. In the tests referred to in (1)(b), (2) and (3), the pipes are deemed gastight if, after sufficient
time to allow for equalisation with ambient temperature, no decrease in the test pressure is
observed during a further 10 minute test period.

5. Receptacle connectors, pipe joints and other fittings subjected to the pressure in the
receptacles, and joints between pressure regulators and the distribution pipe:
   tightness test, carried out with a foaming substance, at the service pressure.

6. All gas-consuming appliances shall be brought into service at the nominal capacity and shall be
tested for satisfactory and undisturbed combustion at different capacity settings.
   Flame failure devices shall be checked to ensure that they operate satisfactorily.

7. After the test referred to in (6), it shall be verified for each gas-consuming appliance connected
to a flue, whether, after five minutes' operation at the nominal capacity, with windows and doors
closed and the ventilation devices in operation, any combustion gases are escaping into the
room through the air intake.
   If there is a more than momentary escape of such gases, the cause shall immediately be
detected and remedied. The appliance shall not be approved for use until all defects have been
eliminated.

**Article 17.15**

*Attestation*

1. The inland navigation vessel certificate shall include an attestation to the effect that all liquefied
gas installations conform to the requirements of this Chapter.

2. The attestation will be issued by the inspection body following the acceptance test referred to in
   Article 17.13.

3. The attestation shall be valid for a period not exceeding three years. It may be renewed only
   after a further acceptance test carried out in accordance with Article 17.13.

   Exceptionally, where the owner of a vessel or his representative submits a reasoned request,
   the inspection body may extend the validity of the attestation for not more than three months
   without carrying out the acceptance test referred to in Article 17.13. Such extension shall be
   entered in the inland navigation vessel certificate.
CHAPTER 18
ON-BOARD SEWAGE TREATMENT PLANTS

Article 18.00
Definitions

In this Chapter:

1. 'on-board sewage treatment plant': a sewage treatment plant of compact design for treating the quantities of domestic waste water accruing on board;

2. ‘type approval’: the decision whereby the competent authority confirms that an on-board sewage treatment plant satisfies the technical requirements of Chapter 18;

3. 'special test': the procedure carried in accordance with Article 18.09 whereby the competent authority ensures that the on-board sewage treatment plant operated in a craft satisfies the requirements of Chapter 18;

4. 'manufacturer': the person or body who is responsible to the competent authority for all aspects of the type approval procedure and for ensuring conformity of production. This person or body does not have to be involved in all stages of the construction of the on-board sewage treatment plant. If the on-board sewage treatment plant is converted by modifications or retrofitting after its original manufacture for use on a craft for the purposes of the Chapter 18, the person or body having carried out the modifications or retrofitting is considered as the manufacturer;

5. 'information document': the document set out in Annex 7, Section II that lists the information to be supplied by an applicant;

6. 'information folder': the complete set of data, drawings, photographs or other documents supplied by the applicant to the technical service or the competent authority as prescribed in the information document;

7. 'information package': the information folder plus any test reports or other documents that the technical service or the competent authority have added to the information folder in the course of their duties;

8. 'type approval certificate' the document drawn up in accordance with Annex 7, Section III with which the competent authority certifies the type approval;

9. 'on-board sewage treatment plant parameters record': the document drawn up in accordance with Annex 7, Section VIII which records all parameters, including components of and adjustments to the on-board sewage treatment plant having an effect on the level of sewage treatment, including modifications thereto;

10. 'manufacturer's guide to checking the components and parameters relevant to sewage treatment': the document compiled in accordance with Article 18.09(4) for the purpose of implementing the special test;

11. 'domestic waste water': waste water from galleys, dining rooms, washrooms and laundries and faecal water;

12. 'sewage sludge': residues accruing from operation of a sewage treatment plant on board a craft.
Article 18.01
General provisions

1. This Chapter applies to all on-board sewage treatment plants which are installed on board of craft.

2. a) On-board sewage treatment plants shall comply with the following limit values during the type test:

Table 1: Limit values to be observed in operation in the outflow of the on-board sewage treatment plant (test plant) during the type test

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Concentration (Stage II)</th>
<th>Sample</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biochemical oxygen demand (BOD₅)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ISO 5815-1 and 5815-2 : 2003¹</td>
<td>20 mg/l</td>
<td>24h composite sample, homogenised</td>
</tr>
<tr>
<td></td>
<td>25 mg/l</td>
<td>Random sample, homogenised</td>
</tr>
<tr>
<td>Chemical oxygen demand (COD)²</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ISO 6060 : 1989¹</td>
<td>100 mg/l</td>
<td>24h composite sample, homogenised</td>
</tr>
<tr>
<td></td>
<td>125 mg/l</td>
<td>Random sample, homogenised</td>
</tr>
<tr>
<td>Total organic carbon (TOC)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>EN 1484 : 1997¹</td>
<td>35 mg/l</td>
<td>24h composite sample, homogenised</td>
</tr>
<tr>
<td></td>
<td>45 mg/l</td>
<td>Random sample, homogenised</td>
</tr>
</tbody>
</table>

¹ Member States may implement equivalent procedures.
² Instead of the chemical oxygen demand (COD) the total organic carbon (TOC) may also be referred to for the check.
b) On-board sewage treatment plants shall comply with the following control values during operation:

Table 2: Control values to be observed in the outflow of the on-board sewage treatment plant during operation

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Concentration (Stage II)</th>
<th>Sample</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biochemical oxygen demand (BOD_5)</td>
<td>25 mg/l</td>
<td>Random sample, homogenised</td>
</tr>
<tr>
<td>Chemical oxygen demand (COD)</td>
<td>125 mg/l</td>
<td>Random sample, homogenised</td>
</tr>
<tr>
<td>ISO 6060 (1989)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total organic carbon (TOC)</td>
<td>45 mg/l</td>
<td>Random sample, homogenised</td>
</tr>
<tr>
<td>EN 1484 (1997)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1) Member States may implement equivalent procedures.
2) Instead of the chemical oxygen demand (COD) the total organic carbon (TOC) may also be referred to for the check.

3. Processes using products containing chlorine are not admissible.

It is equally inadmissible to dilute domestic waste water so as to reduce the specific load and thereby also enable disposal

4. Adequate arrangements shall be made for storage, preservation (if necessary), and discharge of the sewage sludge. This shall also include a management plan for the sewage sludge.

5. The limit values set out in Table 1 in (2)(a) shall be confirmed by a type test and determined by a type approval. The type approval shall be certified in a type approval certificate. Before installing the on-board sewage treatment plant, a copy of the type approval a certificate is to be sent to the Inspection body. A copy of the type approval certificate and the on-board sewage treatment plant parameters record shall be carried on board.

6. After the on-board sewage treatment plant has been installed on board a performance test shall be carried out by the manufacturer before scheduled service begins. The on-board sewage treatment plant shall be entered in item 52 of the inland navigation vessel certificate with the following plant particulars:
   a) name;
   b) type-approval number;
   c) serial number;
   d) year of construction.
7. Any significant modification to an on-board sewage treatment plant that has an effect on the sewage treatment shall always be followed by a special test in accordance with Article 18.09(3).

8. For the purpose of discharging tasks pursuant to this Chapter, the competent authority may employ a technical service.

9. The on-board sewage treatment plant shall be regularly maintained in accordance with the manufacturer's instructions in order to ensure that it is in perfect working order. A maintenance log corroborating such maintenance shall be carried on board.

Article 18.02
Application for type approval

1. An application for type approval for an on-board sewage treatment plant type shall be submitted by the manufacturer to the competent authority. An information folder in accordance with Article 18.00(6) and the draft of an on-board sewage treatment plant parameters record in accordance with Article 18.00(9), as well as the draft of a manufacturer's guide to checking the components and parameters relevant to sewage treatment for that on-board sewage treatment plant type in accordance with Article 18.00(10) shall be enclosed with the application. For the type test the manufacturer shall demonstrate a prototype of the on-board sewage treatment plant.

2. If, in a particular application for type approval for an on-board sewage treatment plant type, the competent authority finds that the application submitted with regard to the presented plant prototype is not representative of the characteristics of this type of on-board sewage treatment plant as described in Annex 7, Section II, Appendix 1 another, if necessary additional, prototype, to be designated by the competent authority, shall be supplied for approval in accordance with (1).

3. No application for type approval for an on-board sewage treatment plant type may be submitted to more than one competent authority. A separate application shall be submitted for each on-board sewage treatment plant type to be approved.

Article 18.03
Type approval procedure

1. The competent authority to which the application is submitted shall issue the type approval for the on-board sewage treatment plant type which corresponds to the descriptions in the information folder and satisfies the requirements of this Chapter. The fulfilling of these requirements will be examined in accordance with Annex 7, Section IX.

2. For each on-board sewage treatment plant type that it type-approves, the competent authority shall complete all relevant parts of the type approval certificate, the model for which is to be found in Annex 7, Section III, and shall compile or verify the contents of the index to the information package. Type approval certificates shall be numbered in accordance with the method described in Annex 7, Section IV. The completed type approval certificate and its Annexes shall be delivered to the applicant.
3. If the on-board sewage treatment plant to be approved can only fulfil its function or only has specific properties in conjunction with other components of the craft in which it is to be installed and if for this reason compliance with one or more requirements can only be checked if the on-board sewage treatment plant to be approved is operated together with other real or simulated components of the craft, the scope of the type approval for this on-board sewage treatment plant shall be limited accordingly. In such cases, all restrictions on use and all installation requirements shall be detailed in the type approval certificate for that plant type.

Article 18.04
Amendment of type approvals

1. The competent authority which issued the type approval shall make the necessary arrangements to ensure that it is informed of any change in the particulars appearing in the information package.

2. The application for amendment or extension of a type approval shall be made exclusively to the competent authority which issued the original type approval.

3. Should characteristics of the on-board sewage treatment plant as described in the information package have been modified, the competent authority shall:
   a) issue revised pages of the information package as necessary, marking each revised page to show clearly the nature of the change and the date of re-issue. Whenever revised pages are issued, the index to the information package which is attached to the type approval certificate shall also be updated accordingly;
   b) issue a revised type approval certificate (with an extension number) if any information on it (excluding its annexes) has changed or if the minimum requirements of this Chapter have changed since the original approval date. The revised type approval certificate shall clearly show the reason for its modification and the date of the re-issue

   Should the competent authority which issued the type approval find that new trials or tests are justified owing to a modification made to the information package, it shall notify the manufacturer of this fact and issue the documents specified above only after new trials or tests have been successfully completed.
Article 18.05
Conformity of the type-approval

1. The manufacturer shall affix to each on-board sewage treatment plant manufactured in conformity with the type approval the markings as defined in Annex 7, Section I, including the type approval number.

2. Should the type approval contain limitations of usage in accordance with Article 18.03(3), the manufacturer shall enclose detailed information on these limitations and all installation requirements with each unit manufactured.

3. If requested by the competent authority which issued the type approval, the manufacturer shall provide a list of the serial numbers of all on-board sewage treatment plants which have been manufactured in accordance with the requirements set out in this Chapter since the last report, or since the point at which these provisions first came into force, within 45 days after the end of each calendar year, and immediately after each additional date specified by the competent authority. The list shall set out the correlations between the serial numbers, the corresponding on-board sewage treatment plant types and the type approval numbers. Furthermore, the list shall also include particular information for those cases where the manufacturer discontinues production of a type-approved on-board sewage treatment plant type. Should the competent authority not demand the regular provision of such a list from the manufacturer, the manufacturer shall retain the data recorded for a period of at least 40 years.

Article 18.06
Checking of serial numbers

1. The competent authority issuing a type approval shall ensure that the serial numbers of the on-board sewage treatment plants manufactured in conformity with the requirements of this Chapter are registered and checked. It may collaborate with other authorities as defined in this Chapter and with the competent authorities of the Member States.

2. An additional check of the serial numbers may take place in conjunction with the check on conformity of production with requirements laid down in Article 18.07.

3. In relation to the checking of the serial numbers, the manufacturer or their authorised representatives located in the Member States shall, if requested, promptly supply the competent authority with all necessary information relating to their direct purchasers as well as the serial numbers of those on-board sewage treatment plants which have been reported as manufactured in accordance with Article 18.05(3).

4. Should a manufacturer be unable to comply with the requirements set out in Article 18.05 when requested to do so by the competent authority, the approval for the on-board sewage treatment plant type concerned may be withdrawn. In such a case the notification procedure specified in Article 18.08(4) shall be used.
Chapter 18
On-board sewage treatment plants

Article 18.07
Conformity of production

1. The competent authority issuing a type approval shall ascertain in advance that suitable arrangements have been made to ensure effective checking of conformity of production in respect of the requirements of Annex 7, Section I. It may collaborate with other authorities as defined in this Chapter and with the competent authorities of the Member States.

2. The competent authority which has issued a type approval shall ascertain that the arrangements specified in (1) in respect of the provisions of Annex 7, Section I continue to be sufficient and that every on-board sewage treatment plant provided with a type approval number in accordance with the requirements of this Chapter continues to correspond to the description in the type approval certificate and its annexes for the type-approved on-board sewage treatment plant type. It may collaborate with other authorities as defined in this Chapter and with the competent authorities of the Member States.

3. The competent authority may recognise comparable tests carried out by other competent authorities as equivalent to the provisions of (1) and (2).

Article 18.08
Non-conformity with the type-approved on-board sewage treatment plant type

1. Non-conformity with the type-approved on-board sewage treatment plant type shall be deemed to exist when there are deviations from the characteristics in the type approval certificate or, as the case may be, from the information package which have not been approved in accordance with Article 18.04(3) by the competent authority which issued the type approval.

2. Should the competent authority which has issued a type approval find that on-board sewage treatment plants do not conform with the on-board sewage treatment plant type for which it issued the approval, it shall take the necessary measures to ensure that on-board sewage treatment plants in production again conform with the type-approved on-board sewage treatment plant type. The competent authority which found the non-conformity shall inform the other competent authorities of the measures taken, which may extend to withdrawal of the type approval.

3. If a competent authority is able to demonstrate that on-board sewage treatment plants provided with a type approval number do not conform with the type-approved on-board sewage treatment plant type, it may require the competent authority which issued the type approval to have the on-board sewage treatment plant type that is in production checked for conformity with the type-approved on-board sewage treatment plant type. Such action shall be taken within six months of the date of the request.
Article 18.09

Random sample measurement / Special test

1. No later than three months after the commissioning of the craft or, in the case of retrofitting of the on-board sewage treatment plant, after it has been installed and the appropriate performance test has been carried out, the competent authority shall take a random sample during operation of the craft in order to check the values set out in Article 18.01(2), Table 2.

At irregular intervals the competent authority shall carry out functionality checks on the on-board sewage treatment plant by means of random sample measurements to check the values set out in Article 18.01(2), Table 2.

Should the competent authority find that the values of the random sample measurements do not conform with the values set out in Article 18.01(1), Table 2, it may demand:

a) that the defects in the on-board sewage treatment plant be remedied so as to ensure that it runs properly;
b) that the on-board sewage treatment plant be made to conform with the type approval again; or
c) that a special test be carried out in accordance with (3).

Once the non-conformities have been remedied and the on-board sewage treatment plant has been made to conform with the type approval again, the competent authority may carry out new random sample measurements.

If the defects are not remedied or the conformity of the on-board sewage treatment plant with the specifications of the type approval is not restored, the competent authority shall seal the on-board sewage treatment plant and inform the inspection body to make an entry to that effect in item 52 of the inland navigation vessel certificate.

2. The random samples shall be measured in accordance with the specifications of Article 18.01(2), Table 2.

3. Should the competent authority find any discrepancies in the on-board sewage treatment plant indicating a deviation from the type approval, the competent authority shall carry out a special test to determine the present state of the on-board sewage treatment plant in relation to the components specified in the on-board sewage treatment plant parameters record, the calibration and the setting of the parameters of the on-board sewage treatment plant.

Should the competent authority come to the conclusion that the on-board sewage treatment plant is not in conformity with the type-approved on-board sewage treatment plant type, it may take the following actions:

a) demand that
   aa) the conformity of the on-board sewage treatment plant be restored; or
   bb) the type approval in accordance with Article 18.04 be amended accordingly; or
b) order measurement in accordance with the test procedure as set out in Annex 7, Section IX.

If conformity is not restored or the type approval is not amended accordingly, or if it becomes apparent from the measurements made in accordance with (b) that the limit values laid down in Article 18.01(2)(a), Table 1 are not complied with, the competent authority shall seal the on-board sewage treatment plant and inform the inspection body to make an entry to that effect in item 52 of the inland navigation vessel certificate.
4. The tests in accordance with (3) shall be carried out on the basis of the manufacturer’s guide to checking the components and parameters of the on-board sewage treatment plant relevant to sewage treatment. This guide, which shall be compiled by the manufacturer and approved by a competent authority, shall specify the treatment-relevant components as well as settings, dimensioning criteria and parameters to be applied in order to ensure that the values set out in Article 18.01(2), Tables 1 and 2 are continuously maintained. It shall include at least the following information:

a) a specification of the on-board sewage treatment plant type with a process description and an indication of whether waste-water storage tanks are to be installed upstream of the on-board sewage treatment plant;

b) a list of the components specific to sewage treatment;

c) the design and dimensioning criteria, dimensioning specifications and regulations applied.

d) a schematic representation of the on-board sewage treatment plant with identifying features of the approved treatment-relevant components (e.g. part numbers on the components).

5. An on-board sewage treatment plant that has been sealed in accordance with (3) 3rd sentence may be brought back into service only after a special test in accordance with (3), first subparagraph.

**Article 18.10 Competent authorities and technical services**

The technical services responsible shall satisfy the European Standard EN ISO/IEC 17025 : 2005, taking the following conditions into account:

a) manufacturers of on-board sewage treatment plants cannot be recognised as technical services;

b) for the purposes of this Chapter a technical service may, with the agreement of the competent authority, make use of facilities external to its own laboratory.
PART III
SPECIAL PROVISIONS

CHAPTER 19
SPECIAL PROVISIONS APPLICABLE TO PASSENGER VESSELS

Article 19.01
General provisions

1. The following provisions shall not apply:
   a) Article 3.02(1)(b);
   b) Articles 4.01 to 4.03;
   c) Article 8.08(2), second sentence, and (7);
   d) Article 10.14(3), second sentence, for rated voltages of over 50V.

2. The following items of equipment are prohibited on passenger vessels:
   a) lamps powered by liquefied gas or liquid fuel according to Article 15.07(3) 2nd sentence;
   b) devices fitted with wick burners according to Article 16.02(2) and (3);
   c) vaporising oil-burner stoves according to Article 16.04;
   d) solid fuel heating appliances and boilers according to 16.07;
   e) liquefied gas devices according to Chapter 17.

3. Vessels without their own power cannot be licensed for passenger transport.

4. On passenger vessels, areas shall be provided for use by persons with reduced mobility, according to the provisions of this Chapter.

5. By way of derogation from Article 7.02(2) first sentence, the area of obstructed vision for the helmsman ahead of the vessel in an unladen state with half of its supplies but without ballast shall not exceed two vessel lengths or 250 m, whichever is less.

6. By way of derogation from article 7.02(3) third subparagraph, a passenger vessel shall be equipped with appropriate auxiliary means when no sufficiently unobstructed view is provided towards the rear. If these auxiliary means do not allow unobstructed view at night, a corresponding restriction shall be entered in item 52 of the inland navigation vessel certificate.

Article 19.02
Hull

1. In the course of the periodical inspections, the thickness of the outside plating of steel passenger vessels shall be determined as follows:
   a) the minimum thickness $t_{\text{min}}$ of the bottom, bilge and side plating of the outer hull of passenger vessels is determined in accordance with the larger value of the following formulae:
      
      $t_{1\text{min}} = 0.006 \cdot a \cdot \sqrt{T} [mm]$;
      
      $t_{2\text{min}} = f \cdot 0.55 \cdot \sqrt{L_F} [mm]$.
In these formulae:

\[ f = 1 + 0.0013 \cdot (a - 500); \]

\[ a = \text{longitudinal or transverse frame spacing (mm)}, \text{and where the frame spacing is less than 400 mm, } a = 400 \text{ mm should be entered;} \]

b) it is permissible to fall short of the minimum value determined in accordance with (a) above for the plate thickness in cases where the permitted value has been determined and certified on the basis of a mathematical proof for the sufficient strength (longitudinal, transverse and local) of the vessel's hull;

c) at no point of the outside plating shall the thickness calculated in accordance with (a) or (b) above be less than 3 mm;

d) plate renewals shall be carried out when bottom, bilge or side plate thicknesses have fallen short of the minimum value determined in accordance with (a) or (b), in conjunction with (c) above.

2. The number and position of bulkheads shall be selected such that, in the event of flooding, the vessel remains buoyant according to Article 19.03(7) to (13). Every portion of the internal structure which affects the efficiency of the subdivision of such vessels shall be watertight, and shall be of a design which will maintain the integrity of the subdivision.

3. For determining the positioning of the collision bulkhead and aft-peak bulkhead, the provisions of Article 3.03(1) shall apply, but the reference value to be used shall be the length of waterline \( L_{WL} \) rather than the length.

4. A transverse bulkhead may be fitted with a bulkhead recess, if all parts of this recess lie within the safe area.

5. The bulkheads, which are taken into account in the damage stability calculation according to Article 19.03(7) to (13), shall be watertight and be installed up to the bulkhead deck. Where there is no bulkhead deck, these bulkheads shall extend to a height at least 0.20 m above the margin line.

6. The number of openings in these bulkheads shall be kept as low as is consistent with the type of construction and normal operation of the vessel. Openings and penetrations shall not have a detrimental effect on the watertight function of the bulkheads.

7. Collision bulkheads shall have no openings and no doors.

8. Bulkheads separating the engine rooms from passenger rooms or crew and shipboard personnel accommodation shall have no doors.

9. Manually operated doors without remote control in bulkheads referred to in (5), are permitted only in areas not accessible to passengers. They shall:
   a) remain closed at all times and be opened only temporarily to allow access;
   b) be fitted with suitable devices to enable them to be closed quickly and safely;
   c) display the following notice on both sides of the doors:
      ‘Close door immediately after passing through’.

10. Doors in bulkheads referred to in (5) that are open for long periods shall comply with the following requirements:
    a) they shall be capable of being closed from both sides of the bulkhead and from an easily accessible point above the bulkhead deck;
b) after being closed by remote control the door shall be such that it can be opened again locally and closed safely. Closure shall not be impeded by carpeting, foot rails or other obstructions;
c) the time taken for the remote-controlled closure process shall be at least 30 seconds but not more than 60 seconds;
d) during the closure procedure an automatic acoustic alarm shall sound by the door;
e) the door drive and alarm shall also be capable of operating independently of the on-board power supply. There shall be a device at the location of the remote control that displays whether the door is open or closed.

11. Doors in bulkheads referred to in (5), and their actuators shall be located in the safe area.

12. There shall be a warning system in the wheelhouse to indicate which of the doors in bulkheads referred to in (5) are open.

13. Open-ended piping and ventilation ducts shall be offset in such a way that, in any conceivable flooding, no additional spaces or tanks are flooded through them.
   a) If several compartments are openly connected by piping or ventilation ducts, such piping and ducts shall, in an appropriate place, be lead above the waterline corresponding to the worst possible flooding.
   b) Piping need not meet the requirement under (a) if shut-off devices are fitted in the piping where it passes through the bulkheads and which can be remotely controlled from a point above the bulkhead deck.
   c) Where a pipework system has no open outlet in a compartment, the pipework shall be regarded as intact in the event of this compartment being damaged, if it runs within the safe area and is more than 0.50 m from the bottom of the vessel.

14. Remote controls of bulkhead doors according to (10) and shut-off devices according to (13)(b) above the bulkhead deck shall be clearly indicated as such.

15. Where double bottoms are fitted, their height shall be at least 0.60 m, and where wing voids are fitted, their width shall be at least 0.60 m.

16. Windows may be situated below the margin line if they are watertight, cannot be opened, possess sufficient strength and conform to Article 19.06(14).

**Article 19.03**

**Stability**

1. The applicant shall prove by a calculation based on the results from the application of a standard for intact stability that the intact stability of the vessel is appropriate. All calculations shall be carried out free to trim and sinkage. The lightship data taken into account for the stability calculation shall be determined by means of a heeling test.
2. The intact stability shall be proven for the following standard loading conditions:
   a) at the start of the voyage:
      100 % passengers, 98 % fuel and fresh water, 10 % waste water;
   b) during the voyage:
      100 % passengers, 50 % fuel and fresh water, 50 % waste water;
   c) at the end of the voyage:
      100 % passengers, 10 % fuel and fresh water, 98 % waste water;
   d) unladen vessel:
      no passengers, 10 % fuel and fresh water, no waste water.

For all standard loading conditions, the ballast tanks shall be considered as either empty or full in accordance with normal operational conditions.

In addition, the requirement of section 3(d) shall be proved for the following loading condition:
100 % passengers, 50 % fuel and fresh water, 50 % waste water, all other liquid (including ballast) tanks are considered filled to 50 %.

3. The proof of adequate intact stability by means of a calculation shall be produced using the following definitions for the intact stability and for the standard loading conditions mentioned in (2)(a) to (d):
   a) the maximum righting lever $h_{\text{max}}$ shall occur at a heeling angle of $\varphi_{\text{max}} \geq (\varphi_{\text{mom}} + 3^\circ)$ and shall not be less than 0,20 m. However, in case $\varphi_f < \varphi_{\text{max}}$ the righting lever at the downflooding angle $\varphi_f$ shall not be less than 0,20 m;
   b) the downflooding angle $\varphi_f$ shall not be less than $(\varphi_{\text{mom}} + 3^\circ)$;
   c) the area $A$ under the curve of the righting levers shall, depending on the position of $\varphi_f$ and $\varphi_{\text{max}}$, reach at least the following values:

<table>
<thead>
<tr>
<th>Case</th>
<th>Condition</th>
<th>$A$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>$\varphi_{\text{max}} \leq 15^\circ$ or $\varphi_f \leq 15^\circ$</td>
<td>0,05 m · rad up to the smaller of the angles $\varphi_{\text{max}}$ or $\varphi_f$</td>
</tr>
<tr>
<td>2</td>
<td>$15^\circ &lt; \varphi_{\text{max}} &lt; 30^\circ$</td>
<td>$0,035 + 0,001 \cdot (30 - \varphi_{\text{max}})$ m · rad up to the angle $\varphi_{\text{max}}$</td>
</tr>
<tr>
<td>3</td>
<td>$15^\circ &lt; \varphi_f &lt; 30^\circ$</td>
<td>$0,035 + 0,001 \cdot (30 - \varphi_f)$ m · rad up to the angle $\varphi_f$</td>
</tr>
<tr>
<td>4</td>
<td>$\varphi_{\text{max}} \geq 30^\circ$ and $\varphi_f \geq 30^\circ$</td>
<td>$0,035$ m · rad up to the angle $\varphi = 30^\circ$</td>
</tr>
</tbody>
</table>

Where:
- $h_{\text{max}}$ is the maximum lever;
- $\varphi$ the heeling angle;
- $\varphi_f$ the downflooding angle, that is the heeling angle, at which openings in the hull, in the superstructure or deck houses which cannot be closed so as to be watertight, submerge;
- $\varphi_{\text{mom}}$ the maximum heeling angle according to (e);
- $\varphi_{\text{max}}$ the heeling angle at which the maximum righting lever occurs;
- $A$ the area under the curve of the righting levers;
d) the initial metacentric height, $GM_o$, corrected by the free surface effect in liquid tanks, shall not be less than 0,15 m;

e) in each of the following two cases the heeling angle $\varphi_{mom}$ shall not exceed 12°:

aa) in application of the heeling moment due to persons and wind according to sections 4 and 5;

bb) in application of the heeling moment due to persons and turning according to sections 4 and 6;

f) for a heeling moment resulting from moments due to persons, wind and turning according to (4), (5) and (6), the residual freeboard shall be not less than 0,20 m;

g) for vessels with windows or other openings in the hull located below the bulkhead decks and not closed watertight, the residual safety clearance shall be at least 0,10 m on the application of the three heeling moments resulting from subparagraph (f).

4. The heeling moment due to one-sided accumulation of persons $M_p$ shall be calculated according to the following formula:

$$M_p = g \cdot P \cdot y = g \sum P_i \cdot y_i \text{[kNm]}$$

$P =$ total mass of persons on board in [t], calculated by adding up the maximum permitted number of passengers and the maximum number of shipboard personnel and crew under normal operating conditions, assuming an average mass per person of 0,075 t;

$y =$ lateral distance of centre of gravity of total mass of persons $P$ from centre line in [m];

$g =$ acceleration of gravity ($g = 9,81 \text{ m/s}^2$);

$P_i =$ mass of persons accumulated on area $A_i$;

$$P_i = n_i \cdot 0,075 \cdot A_i \text{[t]}$$

where

$A_i =$ area occupied by persons in [m$^2$];

$n_i =$ number of persons per square meter:

$$n_i = 3,75 \text{ for free deck areas and deck areas with movable furniture; for deck areas with fixed seating furniture such as benches, } n_i \text{ shall be calculated by assuming an area of } 0,50 \text{ m in width and } 0,75 \text{ m in seat depth per person;}$$

$y_i =$ lateral distance of geometrical centre of area $A_i$ from centre line in [m].

The calculation shall be carried out for an accumulation of persons both to starboard and to port.

The distribution of persons shall correspond to the most unfavourable one from the point of view of stability. Cabins shall be assumed unoccupied for the calculation of the persons’ moment.

For the calculation of the loading cases, the centre of gravity of a person shall be taken as 1 m above the lowest point of the deck at $0,5LWL$, ignoring any deck curvature and assuming a mass of 0,075 t per person.
A detailed calculation of deck areas which are occupied by persons may be dispensed with if the following values are used:

\[ P = 1,1 \cdot F_{\text{max}} \cdot 0,075 \text{ for day trip vessels} \]
\[ 1,5 \cdot F_{\text{max}} \cdot 0,075 \text{ for cabin vessels} \]

where

\[ F_{\text{max}} = \text{ maximum permitted number of passengers on board} \]

\[ y = B/2 \text{ in [m]}. \]

5. The heeling moment due to wind pressure \( M_w \) shall be calculated as follows:

\[ M_w = p_w \cdot A_w \cdot \left( L_w + T \right) \left[ kNm \right] \]

where:

\[ p_w = \text{ the specific wind pressure of 0,25 kN/m}^2; \]

\[ A_w = \text{ lateral plane of the vessel above the plane of draught according to the considered loading condition in [m}^2; \]

\[ l_w = \text{ distance of the centre of gravity of the lateral plane } A_w \text{ from the plane of draught according to the considered loading condition in [m].} \]

In calculating the lateral plane, account shall be taken of the intended enclosure of the deck by awnings and similar mobile installations.

6. The moment due to centrifugal force \( M_{dr} \), caused by the turning of the vessel, shall be calculated as follows:

\[ M_{dr} = c_{dr} \cdot C_B \cdot v^2 \cdot \frac{D}{L_{WL}} \cdot \left( KG - T \right) \left[ kNm \right] \]

where

\[ C_{dr} = \text{ a coefficient of 0,45}; \]

\[ C_B = \text{ block coefficient (if not known, taken as 1,0)}; \]

\[ v = \text{ maximum speed of the vessel in [m/s]}; \]

\[ KG = \text{ distance between the centre of gravity and the keel line in [m].} \]

For passenger vessels with propulsion systems according to Article 6.06, \( M_{dr} \) shall be derived from full-scale or model tests or else from corresponding calculations.

7. The applicant shall prove by a calculation that the damage stability of the vessel is appropriate. The calculation of the final state of flooding should be based on the method of “lost buoyancy” and the interim states of flooding should be calculated on the basis of the method of “added mass”. All calculations shall be carried out free to trim and sinkage.

8. Buoyancy of the vessel in the event of flooding shall be proven for the standard loading conditions specified in (2). Accordingly, mathematical proof of sufficient stability shall be determined for the three intermediate stages of flooding (25, 50 and 75 % of flood build-up) and for the final stage of flooding.
9. Passenger vessels shall comply with the one-compartment status and the two-compartment status.

The following assumptions concerning the extent of damage shall be taken into account in the event of flooding:

<table>
<thead>
<tr>
<th>Dimension of the side damage</th>
<th>1-compartment status</th>
<th>2-compartment status</th>
</tr>
</thead>
<tbody>
<tr>
<td>longitudinal $l$ [m]</td>
<td>$0,10 \cdot L_{WL}$, however not less than 4,00 m</td>
<td>$0,05 \cdot L_{WL}$, however not less than 2,25 m</td>
</tr>
<tr>
<td>transverse $b$ [m]</td>
<td>$B/5$</td>
<td>0,59</td>
</tr>
<tr>
<td>vertical $h$ [m]</td>
<td>from vessel bottom to top without delimitation</td>
<td>0,59; pipework installed according to Article 19.02(13)(c), shall be deemed intact</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Dimension of the bottom damage</th>
<th>1-compartment status</th>
<th>2-compartment status</th>
</tr>
</thead>
<tbody>
<tr>
<td>longitudinal $l$ [m]</td>
<td>$0,10 \cdot L_{WL}$, however not less than 4,00 m</td>
<td>$0,05 \cdot L_{WL}$, however not less than 2,25 m</td>
</tr>
<tr>
<td>transverse $b$ [m]</td>
<td>$B/5$</td>
<td></td>
</tr>
<tr>
<td>vertical $h$ [m]</td>
<td>0,59</td>
<td></td>
</tr>
</tbody>
</table>

a) For 1-compartment status the bulkheads can be assumed to be intact if the distance between two adjacent bulkheads is greater than the damage length. Longitudinal bulkheads at a distance of less than $B/3$ to the hull, measured perpendicular to the centre line from the shell plating at the maximum draft shall not be taken into account for calculation purposes. A bulkhead recess in a transverse bulkhead that is longer than 2,50 m, is considered a longitudinal bulkhead.

b) For 2-compartment status each bulkhead within the extent of damage will be assumed to be damaged. This means that the position of the bulkheads shall be selected in such a way as to ensure that the passenger vessel remains buoyant after flooding of two or more adjacent compartments in the longitudinal direction.

c) The lowest point of every non-watertight opening (e.g. doors, windows, access hatchways) shall lie at least 0,10 m above the damaged waterline. The bulkhead deck shall not be immersed in the final stage of flooding.

d) Permeability is assumed to be 95 %. If it is proven by a calculation that the average permeability of any compartment is less than 95 %, the calculated value can be used instead.

The values to be adopted shall not be less than:

<table>
<thead>
<tr>
<th>Lounges</th>
<th>95 %</th>
</tr>
</thead>
<tbody>
<tr>
<td>Engine and boiler rooms</td>
<td>85 %</td>
</tr>
<tr>
<td>Luggage and store rooms</td>
<td>75 %</td>
</tr>
<tr>
<td>Double bottoms, fuel bunkers, ballast and other tanks, depending on whether, according to their intended purpose, they are to be assumed to be full or empty for the vessel floating at the plane of maximum draught</td>
<td>0 % or 95 %</td>
</tr>
</tbody>
</table>

e) If damage of a smaller dimension than specified above produces more detrimental effects with respect to heeling or loss of metacentric height, such damage shall be taken into account for calculation purposes.
10. For all intermediate stages of flooding referred to in (8), the following criteria shall be met:
   a) The heeling angle $\varphi$ at the equilibrium position of the intermediate stage in question shall not exceed 15°.
   b) Beyond the heel in the equilibrium position of the intermediate stage in question, the positive part of the righting lever curve shall display a righting lever value of $GZ \geq 0,02\, m$ before the first unprotected opening becomes immersed or a heeling angle $\varphi$ of 25° is reached.
   c) Non-watertight openings shall not be immersed before the heel in the equilibrium position of the intermediate stage in question has been reached.
   d) The calculation of the free surface effect in all intermediate stages of flooding shall be based on the gross surface area of the damaged compartments.

11. During the final stage of flooding, the following criteria shall be met taking into account the heeling moment in accordance with (4):
   a) The heeling angle $\varphi_E$ shall not exceed 10°.
   b) Beyond the equilibrium position the positive part of the righting lever curve shall display a righting lever value of $GZ_R \geq 0,02\, m$ with an area $A \geq 0,0025\, m \cdot \text{rad}$. These minimum values for stability shall be met until the immersion of the first unprotected opening or in any case before reaching a heeling angle of 25°.

Where:
- $\varphi_E$ is the heeling angle in the final stage of flooding taking into account the moment in accordance with section 4;
- $\varphi_m$ is the angle of vanishing stability or the angle at which the first unprotected opening immerses or 25°; whichever is less is to be used;
- $GZ_R$ is the remaining righting lever in the final stage of flooding taking into account the moment in accordance with section 4;
- $GZ_K$ is the heeling lever resulting from the moment in accordance with section 4.
c) Non-watertight openings shall not be immersed before the equilibrium position has been reached. If such openings are immersed before this point, the rooms affording access are deemed to be flooded for damage stability calculation purposes.

12. The shut-off devices which shall be able to be closed watertight shall be marked accordingly.

13. If cross-flood openings to reduce asymmetrical flooding are provided, they shall meet the following conditions:
   a) for the calculation of cross-flooding, IMO Resolution A.266 (VIII)\(^1\) shall be applied;
   b) they shall be self-activating;
   c) they shall not be equipped with shut-off devices;
   d) the total time allowed for compensation shall not exceed 15 minutes.

**Article 19.04**

*Safety clearance and freeboard*

1. The safety clearance shall be at least equal to the sum of:
   a) the additional lateral immersion, which, measured on the outside plating, is resulting from the permissible heeling angle according to Article 19.03(3)(e); and
   b) the residual safety clearance according to Article 19.03(3)(g).

For vessels without a bulkhead deck, the safety clearance shall be at least 0,50 m.

2. The freeboard shall be at least equal to the sum of:
   a) the additional lateral immersion, which, measured on the outside plating, is resulting from the heeling angle according to Article 19.03(3)(e); and
   b) the residual freeboard according to Article 19.03(3)(f).

However, the freeboard shall be at least 0,30 m.

3. The plane of maximum draught is to be set so as to ensure compliance with the safety clearance according to (1), and the freeboard according to (2) and Articles 19.02 and 19.03.

4. For safety reasons, the inspection body may stipulate a greater safety clearance or a greater freeboard.

**Article 19.05**

*Maximum permitted number of passengers*

1. The inspection body shall set the maximum permitted number of passengers and shall enter this number on the inland navigation vessel certificate.

2. The maximum permitted number of passengers shall not exceed any of the following values:
   a) number of passengers for whom the existence of an evacuation area according to Article 19.06(8), has been proven;

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\(^1\) IMO Resolution A.266 (VIII) adopted on 20 November 1973 - Recommendation on a Standard Method for Establishing Compliance with the Requirements for Cross-Flooding Arrangements in Passenger Ships.
b) number of passengers that has been taken into account for the stability calculation according to Article 19.03;
c) number of available beds for passengers on cabin vessels used for voyages including overnight stays.

3. For cabin vessels which are also used as day trip vessels, the number of passengers shall be calculated for use both as a day trip vessel and as a cabin vessel and entered on the inland navigation vessel certificate.

4. The maximum permitted number of passengers shall be displayed on clearly legible and prominently positioned notices on board the vessel.

Article 19.06
Passenger rooms and areas

1. Passenger rooms shall:
   a) on all decks, be located aft of the level of the collision bulkhead and, if they are below the bulkhead deck, forward of the level of the aft-peak bulkhead; and
   b) be separated from the engine and boiler rooms in a gas-tight manner.

   Deck areas which are enclosed by awnings or similar mobile installations not only above but also fully or partially to the side must satisfy the same requirements as enclosed passenger rooms.

2. Cupboards and rooms referred to in Article 14.13 and intended for the storage of flammable liquids shall be outside the passenger area.

3. The number and width of the exits of passenger rooms shall comply with the following requirements:
   a) Rooms or groups of rooms designed or arranged for 30 or more passengers or including berths for 12 or more passengers shall have at least two exits. On day trip vessels one of these two exits can be replaced by two emergency exits. Rooms, with the exception of cabins, and groups of rooms that have only one exit, shall have at least one emergency exit.
   b) If rooms are located below the bulkhead deck, one of the exits can be a watertight bulkhead door, according to Article 19.02(10), leading into an adjacent compartment from which the upper deck can be reached directly. The other exit shall lead directly or, if permitted in accordance with (a), as an emergency exit into the open air, or to the bulkhead deck. This requirement does not apply to individual cabins.
   c) Exits according to (a) and (b) shall be suitably arranged and shall have a clear width of at least 0,80 m and also a clear height of at least 2,00 m. For doors of passenger cabins and other small rooms, the clear width can be reduced to 0,70 m.
   d) In the case of rooms or groups of rooms intended for more than 80 passengers the sum of the widths of all exits intended for passengers and which shall be used by them in an emergency shall be at least 0,01 m per passenger.
   e) If the total width of the exits is determined by the number of passengers, the width of each exit shall be at least 0,005 m per passenger.
f) Emergency exits shall have a shortest side at least 0,60 m long or a minimum diameter of 0,70 m. They shall open in the direction of escape and be marked on both sides.

g) Exits of rooms intended for use by persons with reduced mobility shall have a clear width of at least 0,90 m. Exits normally used for embarking and disembarking persons with reduced mobility shall have a clear width of at least 1,50 m.

4. Doors of passenger rooms shall comply with the following requirements:

a) With the exception of doors leading to connecting corridors, they shall be capable of opening outwards or be constructed as sliding doors.

b) Cabin doors shall be made in such a way that they can also be unlocked from the outside at any time.

c) Powered doors shall open easily in the event of failure of the power supply to this mechanism.

d) For doors intended for use by persons with reduced mobility, there shall be from the direction from which the door opens, a minimum clearance of 0,60 m between the inner edge of the doorframe on the lock side and an adjacent perpendicular wall.

5. Connecting corridors shall comply with the following requirements:

a) They shall have a clear width of at least 0,80 m. If they lead to rooms used by more than 80 passengers, they shall comply with the provisions mentioned in (3)(d) and (e) regarding the width of the exits leading to connecting corridors.

b) Their clear height shall be not less than 2,00 m.

c) Connecting corridors intended for use by persons with reduced mobility shall have a clear width of 1,30 m. Connecting corridors more than 1,50 m wide shall have handrails on either side.

d) Where a part of the vessel or a room intended for passengers is served by a single connecting corridor, the clear width thereof shall be at least 1,00 m.

e) Connecting corridors shall be free of steps.

f) They shall lead only to open decks, rooms or staircases.

g) Dead ends in connecting corridors shall be not longer than two meters.

6. In addition to the provisions of (5), escape routes shall also comply with the following requirements:

a) Stairways, exits and emergency exits shall be so disposed that, in the event of a fire in any given area, the other areas may be evacuated safely.

b) The escape routes shall lead by the shortest route to evacuation areas according to (8).

c) Escape routes shall not lead through engine rooms or galleys.

d) There shall be no rungs, ladders or the like installed at any point along the escape routes.

e) Doors to escape routes shall be constructed in such a way as not to reduce the minimum width of the escape route referred to in (5)(a) or (d).

f) Escape routes and emergency exits shall be clearly signed. The signs shall be lit by the emergency lighting system.

7. Escape routes and emergency exits shall have a suitable safety guidance system.
8. For all persons on board, there shall be muster areas available which satisfy the following requirements:
   a) The total area of the muster areas $A_S$ shall correspond to at least the following value:
      - Day trip vessels: $A_S = 0.35 \cdot F_{\text{max}} [m^2]$
      - Cabin vessels: $A_S = 0.45 \cdot F_{\text{max}} [m^2]$
      where $F_{\text{max}}$ = maximum permitted number of passengers on board.
   b) Each individual muster or evacuation area shall be larger than 10 m$^2$.
   c) The muster areas shall be clear of furniture, whether movable or fixed.
   d) If movable furniture is located in a room in which muster areas are defined, it shall be secured appropriately to avoid slipping.
   e) If fixed seats or benches are located in a room in which muster areas are defined, the corresponding number of persons need not be taken into account when calculating the total area of muster areas according to (a). However, the number of persons for whom fixed seats or benches in a certain room are taken into account must not exceed the number of persons for whom muster areas are available in this room.
   f) Life-saving appliances shall be easily accessible from the evacuation areas.
   g) It shall be possible to evacuate people safely from these evacuation areas, using either side of the vessel.
   h) The muster areas shall lie above the margin line.
   i) The muster and evacuation areas are to be shown as such in the safety plan and signposted on board the vessel.
   j) The provisions of (d) and (e) shall also apply to free decks on which muster areas are defined.
   k) If collective life-saving appliances complying with Article 19.09(5), are available on board, the number of persons for whom such appliances are available may be disregarded when calculating the total surface area of the muster areas referred to in (a).
   l) However, in all cases where reductions according to (e), (j) and (k) are applied, the total area according to (a) shall be sufficient for at least 50 % of the maximum permitted number of passengers.

9. Stairs and their landings in the passenger areas shall comply with the following requirements:
   a) They shall be constructed in accordance with European Standard EN 13056 : 2000.
   b) They shall have a clear width of at least 0,80 m or, if they lead to connecting corridors or areas used by more than 80 passengers, at least 0,01 m per passenger.
   c) They shall have a clear width of at least 1,00 m if they provide the only means of access to a room intended for passengers.
   d) Where there is not at least one staircase on each side of the vessel in the same room, they shall lie in the safe area.
   e) In addition, stairs intended for use by persons with reduced mobility shall comply with the following requirements:
      aa) the gradient of the stairs shall not exceed 38°;
      bb) the stairs shall have a clear width of at least 0,90 m;
cc) spiral staircases are not allowed;

dd) the stairs shall not run in a direction transverse to the vessel;

ee) the handrails of the stairs shall extend approximately 0,30 m beyond the top and bottom of the stairs without restricting traffic routes;

ff) handrails, front sides of at least the first and the last step as well as the floor coverings at the ends of the stairs shall be colour highlighted.

Lifts intended for persons with reduced mobility, and lifting equipment, like stairlifts or lifting platforms, shall be constructed according to a relevant standard or a regulation of a Member State.

10. Parts of the deck intended for passengers, and which are not enclosed, shall comply with the following requirements:

   a) They shall be surrounded by a fixed bulwark or guard rail at least 1,00 m high or a railing according to the European Standard EN 711 : 2016, construction type PF, PG or PZ. Bulwarks and railings of decks intended for use by persons with reduced mobility shall be at least 1,10 m high.

   b) Openings and equipment for embarking or disembarking and also openings for loading or unloading shall be such that they can be secured and have a clear width of at least 1,00 m. Openings, used normally for the embarking or disembarking of persons with reduced mobility, shall have a clear width of at least 1,50 m.

   c) If the openings and equipment for embarking or disembarking cannot be observed from the wheelhouse, appropriate auxiliary means shall be provided.

11. The parts of the vessel not intended for passengers, in particular access to the wheelhouse, to the winches and to the engine rooms, shall be such that they can be secured against unauthorised entry. At any such access, a symbol corresponding to Figure 1 in Annex 4 shall be displayed in a prominent position.

12. Gangways shall be constructed in accordance with European Standard EN 14206 : 2003. By way of derogation from Article 13.02(3)(d), their length can be less than 4 m.

13. Passageways intended for use by persons with reduced mobility shall have a clear width of 1,30 m and be free of doorsteps and sills more than 0,025 m high. Walls in passageways intended for use by persons with reduced mobility shall be equipped with handrails at a height of 0,90 m above the floor.

14. Glass doors and walls in passageways and also window panes shall be manufactured from pre-stressed glass or laminated glass. They may also be made from a synthetic material, provided this is authorised for use in a fire-protection context.

   Transparent doors and transparent walls extending as far as the floor in passageways shall be prominently marked.
15. Superstructures (or their roofs) consisting entirely of panoramic panes, shelters created by awnings, or similar mobile installations, together with their substructures, may only be constructed in such a manner that the way in which they are built and the materials employed pose no risk of injury to persons on board in the event of damage.

16. Potable water systems shall, at least, comply with the requirements of Article 15.05.

17. There shall be toilets available for passengers. At least one toilet shall be fitted for use by persons with reduced mobility according to a relevant standard or a regulation of a Member State and shall be accessible from areas intended for use by persons with reduced mobility.

18. Cabins without an opening window shall be connected to a ventilation system.

19. *Mutatis mutandis*, rooms in which crew members or shipboard personnel are accommodated shall comply with the provisions of this Article.

**Article 19.07**
*Propulsion system*

1. In addition to the main propulsion system, vessels shall be equipped with a second independent propulsion system so as to ensure that, in the event of a breakdown affecting the main propulsion system, the vessel can continue to make steerageway under its own power.

2. The second independent propulsion system shall be placed in a separate engine room. If both engine rooms have common partitions, these shall be built according to Article 19.11(2).

**Article 19.08**
*Safety devices and equipment*

1. All passenger vessels shall have internal communication facilities according to Article 7.08. Such facilities shall also be available in the operation rooms and, where there is no direct communication from the steering position, in the access and muster areas for passengers as referred to in Article 19.06(8).

2. All passenger areas shall be reachable via a loudspeaker system. The system shall be designed in such a way as to ensure that the information transmitted can be clearly distinguished from background noise. Loudspeakers are optional where direct communication between the wheelhouse and the passenger area is possible.

3. The vessel shall be equipped with an alarm system. The system shall include:
   a) an alarm system enabling passengers, crew members and shipboard personnel to alert the vessel's command and crew.
      This alarm should be given only in areas assigned to the vessel's command and to the crew; it should only be possible for the vessel's command to stop the alarm. The alarm shall be capable of being triggered from at least the following places:
      aa) in each cabin;
bb) in the corridors, lifts and stairwells, with the distance to the nearest trigger not exceeding 10 m and with at least one trigger per watertight compartment;

cc) in lounges, dining rooms and similar recreation rooms;

dd) in toilets, intended for use by persons with reduced mobility;

ee) in engine rooms, galleys and similar rooms where there is a fire risk;

ff) in the cold-storage rooms and other store rooms.

The alarm triggers shall be installed at a height above the floor of 0,85 m to 1,10 m;

b) an alarm system enabling the vessel's command to alert passengers.

This alarm shall be clearly and unmistakably audible in all rooms accessible to passengers. It shall be capable of being triggered from the wheelhouse and from a location that is permanently staffed;

c) an alarm system enabling the vessel's command to alert the crew and shipboard personnel, referred to in Article 7.09(1).

The alarm system shall also reach the recreation rooms for the shipboard personnel, the cold-storage rooms and other store rooms.

Alarm triggers shall be protected against unintentional use.

4. Each watertight compartment shall be fitted with a bilge level alarm.

5. Two motor-driven bilge pumps shall be provided.

6. A bilge pumping system with permanently installed pipe work shall be available.

7. Cold-storage room doors, even when locked, shall also be capable of being opened from the inside.

8. Where CO₂ bar-systems are situated in rooms below deck these rooms shall be fitted with an automatic ventilation system which turns itself on automatically when the door or hatch to the room is opened. The ventilation ducts shall run down to 0,05 m from the floor of this room.

9. In addition to the first-aid kit according to Article 13.02(2)(f), further first-aid kits shall be provided in sufficient number. The first-aid kits and their storage shall comply with the requirements set out in Article 13.02(2)(f).

**Article 19.09**

*Life-saving equipment*

1. In addition to the lifebuoys specified in Article 13.08(1), all parts of the deck intended for passengers and not enclosed shall be equipped with suitable lifebuoys, which shall be positioned on both sides of the vessel not more than 20 m apart. Lifebuoys shall be considered as suitable if they comply with

   - the European Standard EN 14144 : 2003; or

Half of all the prescribed lifebuoys shall be fitted with a buoyant cord at least 30 m long with a diameter of 8 to 11 mm. The other half of the prescribed lifebuoys shall be fitted with a self-igniting, battery-powered light which will not be extinguished in water.

2. In addition to the lifebuoys referred to in (1), individual life-saving equipment according to Article 13.08(2) shall be within reach for all shipboard personnel. For shipboard personal not responsible for undertaking duties according to the safety rota non-inflatable or semi-automatically inflatable lifejackets according to the Standards mentioned in Article 13.08(2) are allowed.

3. Passenger vessels shall have appropriate equipment to enable persons to be transferred safely to shallow water, to the bank or to another craft.

4. In addition to the life-saving equipment referred to in (1) and (2), individual life-saving equipment according to Article 13.08(2), shall be available for 100 % of the maximum permitted number of passengers. Non-inflatable or semi-automatically inflatable lifejackets according to the Standards mentioned in Article 13.08(2), are also allowed.

5. The term ‘collective life-saving appliances’ covers ship's boats according to Article 13.07 and life rafts.

Life rafts shall:

a) bear a notice indicating their purpose and the number of persons for whom they are approved;

b) offer adequate seating space for the permitted number of persons;

c) provide a buoyancy of at least 750 N per person in fresh water;

d) be provided with a rope linked to the passenger vessel to prevent them drifting away;

e) be made of suitable materials and be resistant to oil, oil products and temperatures up to 50 °C;

f) assume and maintain a stable trim and, in this respect, be fitted with appropriate devices enabling them to be grabbed by the indicated number of persons;

g) be fluorescent orange in colour or have fluorescent surfaces, visible from all sides, of at least 100 cm²;

h) be such that they can be released from their stowed position and put overboard quickly and safely by one person, or can float free from their stowed position;

i) be provided with appropriate means of evacuation from the evacuation areas referred to in Article 19.06(8), onto the life rafts if the vertical distance between the deck of the evacuation areas and the plane of maximum draught is greater than 1 m.

6. Additional collective life-saving appliances are items of life-saving equipment which ensure the buoyancy of several persons in the water. These shall:

a) bear a notice indicating their purpose and the number of persons for whom they are approved;

b) provide a buoyancy of at least 100 N per person in fresh water;

c) be made of suitable materials and be resistant to oil, oil products and to temperatures of up to 50 °C;
d) assume and maintain a stable trim and, in this respect, be fitted with appropriate devices enabling them to be grabbed by the indicated number of persons;

e) be fluorescent orange in colour or have fluorescent surfaces, visible from all sides, of at least 100 cm²;

f) be such that they can be released from their stowed position and put overboard quickly and safely by one person, or can float free from their stowed position.

7. Inflatable collective life-saving appliances shall in addition:
   a) comprise at least two separate air compartments;
   b) inflate automatically or by manual command when launched;
   c) assume and maintain a stable trim irrespective of the load to be supported, even when only half the air compartments are inflated.

8. The life-saving appliances shall be stowed on board in such a way that they can be reached easily and safely when required. Concealed storage places shall be clearly marked.

9. Life-saving equipment shall be inspected according to the manufacturer's instructions.

10. The ship's boat shall be equipped with an engine and a searchlight.

11. A suitable stretcher shall be available.

**Article 19.10**

*Electrical Equipment*

1. Only electrical equipment shall be permitted for lighting.

2. Article 10.16(3), shall also apply additionally for passenger rooms.

3. For the following rooms and locations, adequate lighting and emergency lighting shall be provided:
   a) locations where life-saving equipment is stored and where such equipment is normally prepared for use;
   b) escape routes, access for passengers, including gangways, entrances and exits, connecting corridors, lifts and accommodation area companionways, cabin areas and accommodation areas;
   c) markings on the escape routes and emergency exits;
   d) in other areas intended for use by persons with reduced mobility;
   e) operation rooms, engine rooms, steering equipment rooms and their exits;
   f) wheelhouse;
   g) emergency electrical power source room;
   h) points at which extinguishers and fire extinguishing equipment controls are located;
   i) areas in which passengers, shipboard personnel and crew muster in the event of danger.
4. There shall be an emergency power plant, consisting of an emergency electrical power source and emergency switchboard, which, in the event of a failure of the supply to the following electrical equipment, can immediately take over as their replacement supply, where the equipment does not have its own electrical power source:
   a) navigation lights;
   b) audible warning devices;
   c) emergency lighting in accordance with (3);
   d) radiotelephone installations;
   e) alarm, loudspeaker and on-board message communications systems;
   f) searchlights according to Article 13.02(2)(i);
   g) fire alarm system;
   h) other safety equipment such as automatic pressurised sprinkler systems or fire extinguishing pumps;
   i) lifts and lifting equipment within the meaning of Article 19.06(9), second sentence.

5. The light fittings for the emergency lighting shall be marked as such.

6. The emergency power plant shall be installed outside the main engine room, outside the rooms housing the power sources referred to in Article 10.02(1), and outside the room where the main switchboard is located; it shall be separated from these rooms by partitions according to Article 19.11(2).

   Cables feeding the electrical installations in the event of an emergency shall be installed and routed in such a way as to maintain the continuity of supply of these installations in the event of fire or flooding. These cables shall never be routed through the main engine room, galleys or rooms where the main power source and its connected equipment is installed, except insofar as it is necessary to provide emergency equipment in such areas.

   The emergency power plant shall be installed either above the margin line or as far away as possible from the power sources according to Article 10.02(1), so as to ensure that, in the event of flooding in accordance with Article 19.03(9), it is not flooded at the same time as these power sources.

7. The following are admissible for use as an emergency electrical power source:
   a) auxiliary generator sets with their own independent fuel supply and independent cooling system which, in the event of a power failure, turn on and take over the supply of power within 30 seconds automatically or, if they are located in the immediate vicinity of the wheelhouse or any other location permanently manned by crew members, can be turned on manually;
   b) accumulator batteries, which, in the event of a power failure, turn on automatically or, if they are located in the immediate vicinity of the wheelhouse or any other location permanently manned by crew members, can be turned on manually. They shall be capable of powering the abovementioned power consumers throughout the prescribed period without recharging and without an unacceptable voltage reduction.
8. The projected operating period for the emergency power supply is to be defined according to the purpose of the passenger vessel. It shall not be less than 30 minutes.

9. The insulation resistances and the earthing for electrical systems shall be tested on the occasion of periodical inspections.

10. The power sources according to Article 10.02(1), shall be independent of each other.

11. A failure of the main or emergency power equipment shall not mutually affect the operational safety of the installations.

**Article 19.11**

*Fire protection*

1. The suitability for fire protection of materials and components shall be established by an accredited test institution on the basis of appropriate test methods.
   a) The test institution shall satisfy:
      aa) the Code for Fire Test Procedures; or
   b) The recognised test methods for determining the non-flammability of materials are:
      aa) Annex 1, Part 1, of the Code for Fire Test Procedures; and
      bb) the equivalent regulations of one of the Member States.
   c) The recognised test methods for determining that a material is flame-retardant are:
      aa) the respective requirements laid down in Annex 1, Parts 5 (Test for surface flammability - Test for surface materials and primary deck coverings), 7 (Test for vertically supported textiles and films), 8 (Test for upholstered furniture) and 9 (Test for bedding components) of the Code for Fire Test Procedures; and
      bb) the equivalent regulations of one of the Member States.
   d) The recognised test methods for determining fire resistance are:
      aa) Annex 1, Part 3, of the Code for Fire Test Procedures, and
      bb) the equivalent regulations of one of the Member States.
   e) The inspection body may, in accordance with the Code for Fire Test Procedures, prescribe a test on a sample partition in order to ensure compliance with the provisions of (2) on resistivity and temperature increase.
2. **Partitions**
   
a) between rooms shall be designed in accordance with the following tables:
   
   aa) Table for partitions between rooms, in which no pressurised sprinkler systems according to Article 13.04 are installed

<table>
<thead>
<tr>
<th>Rooms</th>
<th>Control centres</th>
<th>Stairwells</th>
<th>Muster areas</th>
<th>Lounges</th>
<th>Engine rooms</th>
<th>Galleys</th>
<th>Store rooms</th>
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<tbody>
<tr>
<td>Control centres</td>
<td>-</td>
<td>A0</td>
<td>A0/B15 1)</td>
<td>A30</td>
<td>A60</td>
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<td>A30/A60 5)</td>
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<td>Stairwells</td>
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<td>A0</td>
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<td>A60</td>
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<td>A30/A60 5)</td>
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<td>Lounges</td>
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<td>Engine rooms</td>
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<td>Galleys</td>
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<td>A30/B15 6)</td>
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<tr>
<td>Store rooms</td>
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</tbody>
</table>

1) Partitions between control centres and internal muster areas shall correspond to Type A0, but external muster areas only to Type B15.

2) Partitions between lounges and internal muster areas shall correspond to Type A30, but external muster areas only to Type B15.

3) Partitions between cabins, partitions between cabins and corridors and vertical partitions separating lounges according to (10) shall comply with Type B15, for rooms that are fitted with pressurised sprinkler systems, they shall comply with Type B0. Partitions between cabins and saunas shall comply with Type A0, for rooms that are fitted with pressurised sprinkler systems, they shall comply with type B15.

4) Partitions between engine rooms according to Articles 19.07 and 19.10 (6) shall comply with Type A60; in other cases they shall comply with Type A0.

5) Partitions between store rooms for the storage of flammable liquids and control centres and muster areas shall comply with Type A60, for rooms fitted with pressurised sprinkler systems A30.

6) B15 is sufficient for partitions between galleys, on the one hand, and cold-storage rooms and food store rooms, on the other.
b) Type A partitions are bulkheads, walls and decks which satisfy the following requirements:
   aa) They are made of steel or of another equivalent material;
   bb) They are appropriately stiffened;
   cc) They are insulated with an approved non-combustible material such that the average temperature on the side facing away from the fire rises to not more than 140 °C above the initial temperature and at no point, including the gaps at the joints, does a temperature increase of more than 180 °C above the initial temperature occur within the following specified periods:
      Type A60  60 minutes
      Type A30  30 minutes
      Type A0   0 minutes;
   dd) they are constructed in such a way as to prevent the transmission of smoke and flames until the end of the one-hour standard fire test;

c) Type B partitions are bulkheads, walls, decks, ceilings or facings that meet the following requirements:
   aa) they are made of an approved non-combustible material. Furthermore, all materials used in the manufacture and assembly of partitions shall be non-combustible, except for the facing, which shall be at least flame retardant;
   bb) they demonstrate an insulation value such that the average temperature on the side facing away from the fire rises to not more than 140 °C above the initial temperature and at no point, including the gaps at the joints, does a temperature increase of more than 225 °C above the initial temperature occur within the following specified periods:
      Type B15  15 minutes
      Type B0   0 minutes;
   cc) they are constructed in such a way as to prevent the transmission of flames until the end of the first half hour of the standard fire test.

3. Paints, lacquers and other surface treatment products as well as deck coverings used in rooms except engine rooms and store rooms shall be flame-retardant. Carpets, fabrics, curtains and other hanging textile materials as well as upholstered furniture and components of bedding shall be flame-retardant if the rooms in which they are located are not equipped with a pressurised sprinkler system according to Article 13.04.

4. Lounge ceilings and wall claddings, including their substructures, shall, where these lounges do not have a pressurised sprinkler system in accordance with Article 13.04, be manufactured from non-combustible materials with the exception of their surfaces, which shall be at least flame-retardant. The first sentence shall not apply to saunas.

5. Furniture and fittings in lounges which serve as muster areas shall, where the rooms do not have a pressurised sprinkler system according to Article 13.04, be manufactured from non-combustible materials.
6. Paints, lacquers and other materials used on exposed internal surfaces shall not produce excessive amounts of smoke or toxic substances. This shall be proven in accordance with the Code for Fire Test Procedures.

7. Insulation materials in lounges shall be non-combustible. This does not apply to insulations used on coolant-carrying pipes. The surfaces of the insulation materials used on these pipes shall be at least flame-retardant.

8. Awnings and similar mobile installations with which deck areas are fully or partially enclosed and their substructures shall be at least flame-retardant.

9. Doors in partitions according to (2) shall satisfy the following requirements:
   a) They shall satisfy the same requirements set out in (2) as the partitions themselves.
   b) They shall be self-closing in the case of doors in partition walls according to (10) or in the case of enclosures around engine rooms, galleys and stairwells.
   c) Self-closing doors which remain open in normal operation shall be such that they can be closed from a location permanently occupied by shipboard personnel or crew members; Once a door has been remotely closed, it shall be possible to reopen and close it safely on the spot.
   d) Watertight doors according to Article 19.02 need not be insulated.

10. Walls according to (2) shall be continuous from deck to deck or end at continuous ceilings, which satisfy the same requirements as referred to in (2).

11. The following passenger areas shall be divided by vertical partitions as referred to in (2):
    a) passenger areas with a total surface area of more than 800 m$^2$;
    b) passenger areas in which there are cabins, at intervals of not more than 40 m.

   The vertical partitions shall be smoke-tight under normal operating conditions and shall be continuous from deck to deck.

12. Hollows above ceilings, beneath floors and behind wall claddings shall be separated at intervals of not more than 14 m by non-combustible draught stops which, even in the event of fire, provide an effective fireproof seal.

13. Stairs shall be made of steel or another equivalent non-combustible material.

14. Internal stairs and lifts shall be encapsulated at all levels by walls according to (2). The following exceptions are permissible:
   a) a staircase connecting only two decks does not need to be encapsulated, if on one of the decks the staircase is enclosed according to (2);
b) in a lounge, stairs need not be encapsulated if they are located entirely within the interior of this room, and
   aa) if this room extends over only two decks, or
   bb) if there is a pressurised sprinkler system according to Article 13.04 installed in this room on all decks, this room has a smoke extraction system according to (16) and the room has access on all decks to a stairwell.

15. Ventilation systems and air supply systems shall satisfy the following requirements:
   a) they shall be designed in such a way as to ensure that they themselves do not cause the spread of fire and smoke;
   b) openings for air intake and extraction and air supply systems shall be such that they can be closed off;
   c) ventilation ducts shall be made from steel or an equivalent non-combustible material and be securely connected to each other and to the superstructure of the vessel;
   d) when ventilation ducts with a cross-section of more than 0.02 m² are passed through partitions according to (2) of Type A or partitions according to (10), they shall be fitted with automatic fire dampers which can be operated from a location permanently manned by shipboard personnel or crew members;
   e) ventilation systems for galleys and engine rooms shall be separated from ventilation systems which supply other areas;
   f) air extraction ducts shall be provided with lockable openings for inspection and cleaning. These openings shall be located close to the fire dampers;
   g) built-in ventilators shall be such that they can be switched off from a central location outside the engine room.

16. Galleys shall be fitted with ventilation systems and stoves with extractors. The air extraction ducts of the extractors shall satisfy the requirements according to (14) and, additionally, be fitted with manually operated fire dampers at the inlet openings.

17. Control centres, stairwells and internal muster areas shall be fitted with natural or mechanical smoke extraction systems. Smoke extraction systems shall satisfy the following requirements:
   a) they shall offer sufficient capacity and reliability;
   b) they shall comply with the operating conditions for passenger vessels;
   c) if smoke extraction systems also serve as general ventilators for the rooms, this shall not hinder their function as smoke extraction systems in the event of a fire;
   d) smoke extraction systems shall have a manually operated triggering device;
   e) mechanical smoke extraction systems shall additionally be such that they can be operated from a location permanently occupied by shipboard personnel or crew members;
   f) natural smoke extraction systems shall be fitted with an opening mechanism, operated either manually or by a power source inside the extraction system;
   g) manually operated triggering devices and opening mechanisms shall be accessible from inside or outside the room being protected.
18. Lounges not constantly supervised by shipboard personnel or crew members, galleys, engine rooms and other rooms presenting a fire risk shall be connected to an appropriate fire alarm system. The existence of a fire and its exact whereabouts shall be automatically displayed at a location permanently manned by shipboard personnel or crew members.

**Article 19.12 Fire-fighting**

1. In addition to the portable extinguishers according to Article 13.03, at least the following portable extinguishers shall be available on board:
   a) one portable extinguisher for every 120 m\(^2\) of gross floor area in passenger rooms;
   b) one portable extinguisher per group of 10 cabins, rounded upwards;
   c) one portable extinguisher in each galley and in the vicinity of any room in which flammable liquids are stored or used. In galleys the extinguishing agent shall also be suitable for fighting fat fires.

These additional fire extinguishers shall meet the requirements laid down in Article 13.03 (2), and be installed and distributed on the vessel so that, in the event of a fire starting at any point and at any time, a fire extinguisher can be reached immediately. In every galley and also in hairdressing salons and perfumeries, there shall be a fire blanket to hand.

2. Passenger vessels shall be provided with a hydrant system consisting of:
   a) two motor-driven fire extinguishing pumps of sufficient capacity, at least one of which is permanently installed;
   b) one fire extinguisher line with a sufficient number of hydrants with permanently connected fire extinguisher hoses at least 20 m in length and fitted with a nozzle capable of producing both a mist and a jet of water and incorporating a shut-off facility.

3. Hydrant systems shall be designed and dimensioned in such a way that:
   a) any point of the vessel can be reached from at least two hydrants in different places, each with a single hose length of not more than 20 m;
   b) the pressure at the hydrants is at least 300 kPa; and
   c) on all decks a water jet length of at least 6 m can be attained.

If a hydrant cupboard is provided, an ‘extinguisher hose’ symbol similar to that shown in Figure 5 in Annex 4, of at least 10 cm side length, shall be affixed to the outside of the cupboard.

4. Hydrant valves with screw threads or cocks shall be such that they can be set so that each of the fire extinguisher hoses can be separated and removed during operation of the fire extinguishing pumps.

5. Fire extinguisher hoses in the internal area shall be rolled up on an axially connected reel.

6. Materials for fire-fighting equipment shall either be heat-resistant or shall be suitably protected against failure to work when subjected to high temperatures.

7. Pipes and hydrants shall be arranged in such a way that the risk of freezing is avoided.
8. The fire extinguishing pumps shall:
   a) be installed or housed in separate rooms;
   b) be such that they can be operated independently of each other;
   c) each be capable, on all decks, of maintaining the necessary pressure at the hydrants and achieving the requisite length of water jet;
   d) be installed forward of the aft-peak bulkhead.

   Fire extinguishing pumps may also be used for general purposes.

9. Engine rooms shall be fitted with a permanently fitted fire extinguishing system according to Article 13.05.

10. On cabin vessels there shall be:
   a) two self-contained breathing apparatus sets corresponding to European Standard EN 137 : 2006 of Type 2 with full-face masks corresponding to European Standard EN 136 : 1998;
   b) two sets of equipment consisting of at least a protective suit, helmet, boots, gloves, axe, crowbar, torch and safety-line,
   c) four smoke hoods.

   **Article 19.13**

   **Safety organisation**

1. A safety rota shall be provided on board passenger vessels. The safety rota describes the duties of the crew and the shipboard personnel in the following eventualities:
   a) breakdown;
   b) fire on board;
   c) evacuation of passengers;
   d) person overboard.

   Specific safety measures for persons with reduced mobility shall be taken into consideration.

   The crew members and shipboard personnel designated in the safety rota should be assigned their various duties, depending on the posts they occupy. Special instructions to the crew shall ensure that, in the event of danger, all doors and openings in the watertight bulkheads referred to in Article 19.02 will be hermetically closed immediately.

2. The safety rota includes a safety plan, in which at least the following are clearly and precisely designated:
   a) areas intended for use by persons with reduced mobility;
   b) escape routes, emergency exits and muster and evacuation areas;
   c) life-saving equipment and ship's boats;
   d) fire extinguishers and fire extinguishing and pressurised sprinkler systems;
   e) other safety equipment;
   f) the alarm system referred to in Article 19.08(3)(a);
g) the alarm system referred to in Article 19.08(3)(b) and (c);

h) the bulkhead doors referred to in Article 19.02(5), and the position of their controls, as well as the other openings referred to in Article 19.02(9), (10) and (13), and Article 19.03(12);

i) doors referred to in Article 19.11(9);

j) fire dampers;

k) fire alarm system;

l) emergency power plant;

m) ventilation system control units;

n) shore connections;

o) fuel line shut-offs;

p) liquefied gas installations;

q) public address systems;

r) radiotelephone equipment;

s) first-aid kits.

3. The safety rota according to (1) and the safety plan according to (2) shall:

   a) be duly stamped by the inspection body, and
   b) be prominently displayed at an appropriate point on each deck.

4. A code of conduct for passengers shall be posted up in each cabin and also a simplified safety plan containing only the information referred to in (2)(a) to (f).

   This code of conduct shall include at least:

   a) designation of emergencies:
      aa) fire;
      bb) flooding;
      cc) general hazard;
   b) description of the various alarm signals;
   c) instructions concerning the following:
      aa) escape routes;
      bb) what to do;
      cc) need to keep calm;
   d) instructions concerning the following:
      aa) smoking;
      bb) use of fire and naked flame;
      cc) opening windows;
      dd) use of certain items of equipment.

   These details shall be posted up in Dutch, English, French and German.
Article 19.14  
**Waste water collection and disposal facilities**

1. Passenger vessels shall be equipped with collection tanks for domestic waste water in accordance with (2) of this Article or appropriate on-board sewage treatment plants in accordance with Chapter 18.

2. Waste water collection tanks shall have sufficient capacity. Tanks shall be fitted with a device to indicate their content level. There shall be on-board pumps and pipes for emptying the tanks, whereby waste water can be passed from both sides of the vessel. It shall be possible to pass waste water from other vessels onwards.

   The pipes shall be fitted with a discharge connection according to European Standard EN 1306 : 1996.

Article 19.15  
**Derogations for certain passenger vessels**

1. Passenger vessels authorised to carry up to a maximum of 50 passengers and with a length $L_{WL}$ of not more than 25 m shall prove adequate stability after damage according to Article 19.03 (7 to 13) or, as an alternative, prove that they comply with the following criteria after symmetrical flooding:
   a) the immersion of the vessel shall not exceed the margin line and
   b) the residual metacentric height $GM_r$ shall not be less than 0,10 m.

   The necessary residual buoyancy shall be assured through the appropriate choice of material used for the construction of the hull or by means of highly cellular foam floats, solidly attached to the hull. In the case of vessels with a length of more than 15 m, residual buoyancy can be ensured by a combination of floats and subdivision complying with the 1-compartment status according to in Article 19.03.

2. For passenger vessels in accordance with (1) the inspection body may permit minor derogations from the clear height required in Article 19.06(3)(c) and (5)(b). The derogation shall not be more than 5 %. In the case of derogations the relevant parts shall be indicated by colour.

3. By way of derogation from Article 19.03(9), passenger vessels with a length $L$ of not more than 45 m and authorised to carry up to a maximum of 250 passengers do not need to have 2-compartment status.

4. The inspection body may waive the application of Article 13.07 in the case of passenger vessels authorised to carry up to a maximum of 250 passengers and with a length $L_{WL}$ of not more than 25 m, provided they are equipped with a platform, accessible from each side of the vessel, directly above the line of flotation, so as to enable persons to be recovered from the water. Passenger vessels may be equipped with a comparable installation, subject to the following conditions:
   a) one person alone shall be able to operate the installation;
   b) mobile installations are allowed;
   c) the installations shall be outside the danger area of the propulsion systems; and
   d) effective communication shall be possible between the boatmaster and the person in charge of the installation.
5. The inspection body may waive the application of Article 13.07 in the case of passenger vessels authorised to carry up to a maximum of 600 passengers and with a length $L$ of not more than 45 m, provided they are equipped with a platform according to (4), first sentence, or with an equivalent installation according to (4), second sentence. In addition, the passenger vessel shall have:
   a) a rudder propeller, a cycloidal propeller or a water jet as main propulsion, or
   b) a main propulsion system with two propulsion units, or
   c) a main propulsion system and a bow-thruster.

6. By way of derogation from Article 19.02(9), passenger vessels with a length $L$ of not more than 45 m and authorised to carry at most a number of passengers corresponding to the length of the vessel in metres are allowed to have on board, in the passenger area, a manually controlled bulkhead door without remote control according to Article 19.02(5), if:
   a) the vessel has only one deck;
   b) this door is accessible directly from the deck and is not more than 10 m away from the deck;
   c) the lower edge of the door opening lies at least 30 cm above the floor of the passenger area, and
   d) each of the compartments divided by the door is fitted with a bilge level alarm.

7. On passenger vessels in accordance with (7), by way of derogation from Article 19.06(6)(c), one escape route may lead through a galley, as long as there is a second escape route available.

8. For passenger vessels with a length $L$ of not more than 45 m the following shall not apply: Article 19.01(2)(e), when the liquefied gas installations are fitted with suitable gas warning equipment for CO concentrations posing a health risk and for potentially explosive mixtures of gas and air.

9. The following provisions shall not apply to passenger vessels with a length $L_{WL}$ of not more than 25 m:
   a) Article 19.04(1), last sentence;
   b) Article 19.06(6)(c), for the galleys, as long as a second escape route is available;
   c) Article 19.07.

10. For cabin vessels with a length $L$ of not more than 45 m, Article 19.12(10), shall not apply, provided smoke-hoods in a number corresponding to the number of berths are readily accessible in each cabin.
CHAPTER 20
SPECIAL PROVISIONS APPLICABLE TO PASSENGER SAILING VESSELS NOT NAVIGATING ON THE RHINE (ZONE R)

Article 20.01
Application of Parts II and III

In addition to the provisions of Parts II and III, the requirements in this Chapter shall apply to passenger sailing vessels not navigating on the Rhine (Zone R).

Article 20.02
Exceptions for certain passenger sailing vessels

1. For passenger sailing vessels having an $L_{WL}$ not exceeding 45 m and a maximum permissible number of passengers not exceeding $L_{WL}$ in whole meters, the following provisions shall not apply:
   a) Article 3.03(7), provided that anchors are not stored in hawse pipes;
   b) Article 13.02(2)(d), with regard to length;
   c) Article 19.08(3)(a);
   d) Article 19.15(8).

2. By way of derogation from (1), the number of passengers may be raised to 1.5 times the $L_{WL}$ in whole meters, if sails, rigging and deck fittings so permit.

Article 20.03
Stability requirements for vessels under sail

1. For the calculation of the heeling moment according to Article 19.03(3), the furled sails shall be taken into account when determining the centre of gravity of the vessel.

2. Taking into consideration all loading conditions according to Article 19.03(2), and using a standard arrangement of sails, the heeling moment caused by wind pressure shall not be so high as to exceed a heeling angle of 20°. At the same time
   a) a constant wind pressure of 0.07 kN/m$^2$ shall be applied for the calculation;
   b) the residual safety clearance shall be at least 100 mm, and
   c) the residual freeboard shall not be negative.

3. The righting lever of static stability shall:
   a) reach its maximum value $h_{max}$ at a heeling angle $\phi_{max}$ of 25° or over;
   b) amount to at least 0.20 m at a heeling angle of 30° or over;
   c) be positive at a heeling angle of up to 60°.

4. The area A under the curve of the righting lever shall not be less than
   a) $0.055 \, m \cdot rad$ up to 30°;
   b) $0.09 \, m \cdot rad$ up to 40° or at the angle $\phi_f$ at which an unprotected opening reaches the water surface and which is less than 40°.
Between
  c) 30° and 40°, or
  d) 30° and the angle \( \varphi_f \) at which an unprotected opening reaches the water surface and
      which is less than 40°,

  this area shall not be less than \( 0,03 \, m \cdot \text{rad} \).

**Article 20.04**

*Shipbuilding and mechanical requirements*

1. By way of derogation from Article 6.01(3), and Article 10.01(3), the equipment must be designed
   for permanent lists of up to 20°.

2. By way of derogation from Article 19.06(5)(a) and Article 19.06(9)(b), the inspection body may,
   in the case of passenger sailing vessels not more than 25 m long, authorise a clear width of less
   than 0,80 m for connecting corridors and companionways. However, the clear width shall be at
   least 0,60 m.

3. By way of derogation from Article 19.06(10)(a), the inspection body may, in specific cases,
   authorise the use of removable guard rails in areas where this is necessary for controlling the
   sails.

4. Within the meaning of Article 19.07, sails rank as a main propulsion system.

5. By way of derogation from Article 19.15(6)(c), the height of the lower edge of the door opening
   may be reduced to 0,20 m above the floor of the passenger area. Once opened, the door shall
   close and lock automatically.

6. If there is a possibility of the propeller idling while the vessel is under sail, any endangered parts
   of the propulsion system shall be protected against potential damage.

**Article 20.05**

*Rigging in general*

1. The parts of the rigging shall be arranged in such a way as to prevent unacceptable chafing.

2. If a material other than wood is used or if special types of rigging are used, such a design shall
   guarantee equivalent levels of safety with the dimensions and strength values laid down in this
   Chapter.

   As proof of sufficient strength:
   a) a strength calculation shall be carried out; or
   b) a certificate has been obtained from a recognised classification society; or
   c) dimensioning shall be based on the procedures set out in a recognised regulatory framework (e.g. Middendorf, Kusk-Jensen).

   The proof shall be presented to the inspection body.
**Article 20.06**  
*Masts and spars in general*

1. All spars shall be made of high-quality material.

2. Wood for masts shall:
   a) be free of knot concentrations;
   b) be free of sapwood within the required dimensions;
   c) as far as possible be straight-grained;
   d) contain as little as possible twisted growth.

3. If the chosen timber is either pitch pine or Oregon pine of quality level ‘clear and better’, the diameters in the tables reproduced in Articles 20.07 to 20.12 can be reduced by 5 %.

4. If the timbers used for masts, topmasts, yardarms, booms and bowsprits are not round in cross-section, such timbers must be of equivalent strength.

5. Mast pedestals, mast trunks and fastenings on deck, on floor-plates and on stem or stern shall be constructed in such a way that they can either absorb the forces they are subjected to or transfer them to other connected parts of the structure.

6. Depending on the stability of the vessel and the external forces it is subjected to and also the distribution of the available sail area, the inspection body may, on the basis of the dimensions laid down in Articles 20.07 to 20.12, allow reductions in the cross-sections of the spars and, where appropriate, of the rigging. Proof shall be submitted in accordance with Article 20.05(2).

7. If the vessel’s period of oscillation/period of roll, in seconds, is less than three quarters of its breadth, in metres, the dimensions set out in Articles 20.07 to 20.12 shall be increased. Proof shall be submitted in accordance with Article 20.05(2).

8. In the tables reproduced in Articles 20.07 to 20.12 and 20.14, possible intermediate values shall be interpolated.

**Article 20.07**  
*Special provisions for masts*

1. Wooden masts shall meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Length [m]</th>
<th>Diameter on deck [cm]</th>
<th>Diameter on the cross-tree [cm]</th>
<th>Diameter on the mast cap [cm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>20</td>
<td>17</td>
<td>15</td>
</tr>
<tr>
<td>11</td>
<td>22</td>
<td>17</td>
<td>15</td>
</tr>
<tr>
<td>12</td>
<td>24</td>
<td>19</td>
<td>17</td>
</tr>
<tr>
<td>13</td>
<td>26</td>
<td>21</td>
<td>18</td>
</tr>
<tr>
<td>14</td>
<td>28</td>
<td>23</td>
<td>19</td>
</tr>
<tr>
<td>15</td>
<td>30</td>
<td>25</td>
<td>21</td>
</tr>
<tr>
<td>16</td>
<td>32</td>
<td>26</td>
<td>22</td>
</tr>
<tr>
<td>17</td>
<td>34</td>
<td>28</td>
<td>23</td>
</tr>
<tr>
<td>18</td>
<td>36</td>
<td>29</td>
<td>24</td>
</tr>
<tr>
<td>19</td>
<td>39</td>
<td>31</td>
<td>25</td>
</tr>
</tbody>
</table>

\[^1\] Distance from the cross-tree to the deck.
Chapter 20

Special provisions applicable to passenger sailing vessels not navigating on the Rhine (Zone R)

If a mast has two yards, the diameters shall be increased by at least 10 %.

If a mast has more than two yards, the diameters shall be increased by at least 15 %.

In the case of masts fitted through the deck, the diameter at the mast foot shall be at least 75 % of the diameter of the mast at deck level.

2. Mast fittings, mast bands, cross-trees and mast caps shall be sufficiently strongly dimensioned and attached.

### Article 20.08

**Special provisions for topmasts**

1. Wooden topmasts shall meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Length [m]</th>
<th>Diameter at the foot [cm]</th>
<th>Half-length diameter [cm]</th>
<th>Diameter at fitting [cm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>8</td>
<td>7</td>
<td>6</td>
</tr>
<tr>
<td>5</td>
<td>10</td>
<td>9</td>
<td>7</td>
</tr>
<tr>
<td>6</td>
<td>13</td>
<td>11</td>
<td>8</td>
</tr>
<tr>
<td>7</td>
<td>14</td>
<td>13</td>
<td>10</td>
</tr>
<tr>
<td>8</td>
<td>16</td>
<td>15</td>
<td>11</td>
</tr>
<tr>
<td>9</td>
<td>18</td>
<td>16</td>
<td>13</td>
</tr>
<tr>
<td>10</td>
<td>20</td>
<td>18</td>
<td>15</td>
</tr>
<tr>
<td>11</td>
<td>23</td>
<td>20</td>
<td>16</td>
</tr>
<tr>
<td>12</td>
<td>25</td>
<td>22</td>
<td>17</td>
</tr>
<tr>
<td>13</td>
<td>26</td>
<td>24</td>
<td>18</td>
</tr>
<tr>
<td>14</td>
<td>28</td>
<td>25</td>
<td>20</td>
</tr>
<tr>
<td>15</td>
<td>31</td>
<td>27</td>
<td>21</td>
</tr>
</tbody>
</table>

If square sails are attached to a topmast, the dimensions set out in the table shall be increased by 10 %.

---

1. **Total length of the topmast, without the masthead.**
2. **Diameter of the topmast at the level of the masthead fitting.**
2. The overlap between the topmast and the mast shall be at least 10 times the required foot diameter of the topmast.

**Article 20.09**  
*Special provisions for bowsprits*

1. Wooden bowsprits shall meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Length [^1] [m]</th>
<th>Diameter at stem [^2] [cm]</th>
<th>Half-length diameter [cm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>14,5</td>
<td>12,5</td>
</tr>
<tr>
<td>5</td>
<td>18</td>
<td>16</td>
</tr>
<tr>
<td>6</td>
<td>22</td>
<td>19</td>
</tr>
<tr>
<td>7</td>
<td>25</td>
<td>23</td>
</tr>
<tr>
<td>8</td>
<td>29</td>
<td>25</td>
</tr>
<tr>
<td>9</td>
<td>32</td>
<td>29</td>
</tr>
<tr>
<td>10</td>
<td>36</td>
<td>32</td>
</tr>
<tr>
<td>11</td>
<td>39</td>
<td>35</td>
</tr>
<tr>
<td>12</td>
<td>43</td>
<td>39</td>
</tr>
</tbody>
</table>

2. The inboard section of the bowsprit shall have a length of at least four times the diameter of the bowsprit at the stem.

3. The diameter of the bowsprit at its head shall be at least 60 % of the diameter of the bowsprit at the stem.

**Article 20.10**  
*Special provisions for jib-booms*

1. Wooden jib-booms shall meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Length [^2] [m]</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diameter at the stem [cm]</td>
<td>7</td>
<td>10</td>
<td>14</td>
<td>17</td>
<td>21</td>
<td>24</td>
<td>28</td>
<td>31</td>
<td>35</td>
</tr>
</tbody>
</table>

2. The diameter of the jib-boom at its head shall be at least 60 % of the diameter at the stem.

---

\[^1\] Total length of the bowsprit.  
\[^2\] Total length of the jib-boom.


**Article 20.11**  
**Special provisions for main booms**

1. Wooden main booms shall meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Length [m]</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
<th>11</th>
<th>12</th>
<th>13</th>
<th>14</th>
<th>15</th>
<th>16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diameter [cm]</td>
<td>14</td>
<td>15</td>
<td>16</td>
<td>17</td>
<td>18</td>
<td>20</td>
<td>21</td>
<td>23</td>
<td>24</td>
<td>25</td>
<td>26</td>
<td>27</td>
</tr>
</tbody>
</table>

2. The diameter at the swivel pin shall be at least 72% of the diameter specified in the table.

3. The diameter at the clew shall be at least 85% of the diameter specified in the table.

4. Measured from the mast, the greatest diameter shall be at two thirds of the length.

5. Where:
   a) there is an angle of less than 65° between the main boom and the after leech and the main sheet is attached to the end of the boom, or
   b) the attachment point of the sheet is not abreast of the clew,

   The inspection body may, according to Article 20.05(2), require a greater diameter.

6. For sail areas of less than 50 m², the inspection body may authorise reductions in the dimensions set out in the table.

**Article 20.12**  
**Special provisions for gaffs**

1. Wooden gaffs shall meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Length [m]</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diameter [cm]</td>
<td>10</td>
<td>12</td>
<td>14</td>
<td>16</td>
<td>17</td>
<td>18</td>
<td>20</td>
</tr>
</tbody>
</table>

2. The unsupported length of the gaff shall be not more than 75%.

3. The breaking strength of the crowfoot shall be at least equal to 1.2 times the breaking strength of the peak halyard.

4. The top angle of the crowfoot shall be a maximum of 60°.

5. If, by way of derogation from (4), the top angle of the crowfoot is greater than 60°, the tensile strength shall be adjusted to accommodate the forces that will then occur.

6. For sail areas of less than 50 m², the inspection body may authorise reductions in the dimensions set out in the table.

---

1 Total length of the main boom.  
2 Total length of the gaff.
Article 20.13

General provisions for standing and running rigging

1. Standing and running rigging shall comply with the strength requirements set out in Articles 20.14 and 20.15.

2. Wire cable connections may take the form of:
   a) splicings,
   b) compression sleeves, or
   c) sealing sleeves.

Splicings shall be marled and ends shall be whipped.

3. Eye splices shall be provided with thimbles.

4. Ropes shall be routed in such a way as not to obstruct entrances and companionways.

Article 20.14

Special provisions for standing rigging

1. Forestays and shrouds shall meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Mast length¹ [m]</th>
<th>11</th>
<th>12</th>
<th>13</th>
<th>14</th>
<th>15</th>
<th>16</th>
<th>17</th>
<th>18</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tensile strength of the forestay [kN]</td>
<td>160</td>
<td>172</td>
<td>185</td>
<td>200</td>
<td>220</td>
<td>244</td>
<td>269</td>
<td>294</td>
</tr>
<tr>
<td>Tensile strength of the shrouds [kN]</td>
<td>355</td>
<td>415</td>
<td>450</td>
<td>485</td>
<td>525</td>
<td>540</td>
<td>630</td>
<td>720</td>
</tr>
<tr>
<td>Number of shroud cables and ropes per side</td>
<td>3</td>
<td>3</td>
<td>3</td>
<td>3</td>
<td>3</td>
<td>3</td>
<td>4</td>
<td>4</td>
</tr>
</tbody>
</table>

2. Backstays, topmasts, flying jib-stays, jib-booms and bowsprit shrouds shall meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Mast length² [m]</th>
<th>&lt;13</th>
<th>13-18</th>
<th>&gt;18</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tensile strength of the backstay [kN]</td>
<td>89</td>
<td>119</td>
<td>159</td>
</tr>
<tr>
<td>Tensile strength of the topmast [kN]</td>
<td>89</td>
<td>119</td>
<td>159</td>
</tr>
<tr>
<td>Length of topmast [m]</td>
<td>&lt;6</td>
<td>6-8</td>
<td>&gt;8</td>
</tr>
<tr>
<td>Tensile strength of the flying jib-stay [kN]</td>
<td>58</td>
<td>89</td>
<td>119</td>
</tr>
<tr>
<td>Length of jib-boom [m]</td>
<td>&lt;5</td>
<td>5-7</td>
<td>&gt;7</td>
</tr>
<tr>
<td>Tensile strength of the bow sprit shrouds [kN]</td>
<td>58</td>
<td>89</td>
<td>119</td>
</tr>
</tbody>
</table>

¹ Distance from the top or cross-tree to the deck.
² Distance from the top or cross-tree to the deck.
3. The preferred rope design shall be based on Rope Construction Method $6 \times 7$ FE in the strength class $1550 \text{ N/mm}^2$. Alternatively, at the same strength class, Construction Method $6 \times 36$ SE or $6 \times 19$ FE may be used. Because of the higher elasticity of Construction Method $6 \times 19$, the tensile strengths given in the table shall be increased by 10 %. Use of a different rope design shall be permitted provided it has comparable properties.

4. If rigid rigging is used, the tensile strengths shown in the table shall be increased by 30 %.

5. For rigging, only approved forks, round eyes and bolts may be used.

6. Bolts, forks, round eyes and turnbuckles shall be capable of being properly secured.

7. The tensile strength of the bobstay shall be at least 1.2 times the tensile strength of the respective jib-stay and flying jib-stay.

8. For vessels with less than $30 \text{ m}^3$ water displacement, the inspection body may permit the reductions in tensile strengths shown in the table set out below:

<table>
<thead>
<tr>
<th>Water displacement divided by the number of masts [m$^3$]</th>
<th>Reduction [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td>&gt;20 to 30</td>
<td>20</td>
</tr>
<tr>
<td>10 to 20</td>
<td>35</td>
</tr>
<tr>
<td>&lt; 10</td>
<td>60</td>
</tr>
</tbody>
</table>

**Article 20.15**

**Special provisions for running rigging**

1. For running rigging, fibre ropes or steel wire ropes shall be used. The minimum tensile strength and the diameter for running rigging shall, in relation to the sail area, meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Type of running rigging</th>
<th>Rope material</th>
<th>Sail area [m$^2$]</th>
<th>Minimum tensile strength [kN]</th>
<th>Diameter of rope [mm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Staysail halyards</td>
<td>Steel wire</td>
<td>up to 35</td>
<td>20</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td></td>
<td>&gt; 35</td>
<td>38</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td>Fibre (polypropylene - PP)</td>
<td>Rope diameter of at least 14 mm and one rope sheave for every 25 m$^2$ or part thereof</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gaff sail halyards</td>
<td>Steel wire</td>
<td>up to 50</td>
<td>20</td>
<td>6</td>
</tr>
<tr>
<td>Top sail halyards</td>
<td></td>
<td>&gt; 50 to 80</td>
<td>30</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td></td>
<td>&gt; 80 to 120</td>
<td>60</td>
<td>10</td>
</tr>
<tr>
<td></td>
<td></td>
<td>&gt; 120 to 160</td>
<td>80</td>
<td>12</td>
</tr>
<tr>
<td></td>
<td>Fibre (PP)</td>
<td>Rope diameter of at least 18 mm and one rope sheave for every 30 m$^2$ or part thereof</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Type of running rigging

<table>
<thead>
<tr>
<th>Type of running rigging</th>
<th>Rope material</th>
<th>Sail area [m²]</th>
<th>Minimum tensile strength [kN]</th>
<th>Diameter of rope [mm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Staysail sheets</td>
<td>Fibre (PP)</td>
<td>up to 40</td>
<td>14</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>&gt; 40</td>
<td>18</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

For sail areas of more than 30 m², the sheet shall take the form of a tackle or shall be capable of being operated by a winch.

<table>
<thead>
<tr>
<th>Type of running rigging</th>
<th>Rope material</th>
<th>Sail area [m²]</th>
<th>Minimum tensile strength [kN]</th>
<th>Diameter of rope [mm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gaff-/Top-sail sheets</td>
<td>Steel wire</td>
<td>&lt; 100</td>
<td>60</td>
<td>10</td>
</tr>
<tr>
<td></td>
<td></td>
<td>100 to 150</td>
<td>85</td>
<td>12</td>
</tr>
<tr>
<td></td>
<td></td>
<td>&gt; 150</td>
<td>116</td>
<td>14</td>
</tr>
<tr>
<td></td>
<td>Fibre (PP)</td>
<td>Rope diameter of at least 18 mm and at least three rope sheaves. Where the sail area is greater than 60 m², one rope sheave per 20 m²</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2. Running rigging forming part of the staying shall have a tensile strength which corresponds to that of the respective stay or shrouds.

3. If materials other than those stated in (1) are used, the strength values given in the table in (1) shall be complied with.

Fibre ropes of polyethylene shall not be used.

**Article 20.16**

*Fittings and parts of the rigging*

1. If steel wire ropes or fibre ropes are used, the diameters of the rope sheaves (measured from centre of rope to centre of rope) shall meet the following minimum requirements:

<table>
<thead>
<tr>
<th>Steel wire [mm]</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
<th>11</th>
<th>12</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fibre [mm]</td>
<td>16</td>
<td>18</td>
<td>20</td>
<td>22</td>
<td>24</td>
<td>26</td>
<td>28</td>
</tr>
<tr>
<td>Rope sheave [mm]</td>
<td>100</td>
<td>110</td>
<td>120</td>
<td>130</td>
<td>145</td>
<td>155</td>
<td>165</td>
</tr>
</tbody>
</table>

2. By way of derogation from (1), the diameter of the rope sheaves may be equal to six times the diameter of the steel wire, provided that the steel wire does not constantly run over sheaves.

3. The tensile strength of the fittings (e.g. forks, round eyes, turnbuckles, eye-plates, bolts, rings and shackles) shall be compatible with the tensile strength of the standing or running rigging that is attached to them.

4. The fastenings of stay and shroud futtocks shall be designed to take up the forces they are subjected to.

5. Only one shackle, along with the relevant stay or shroud, may be attached to each eye.

6. Blocks of halyards and topping lifts shall be securely fastened to the mast, and the revolving crowfeet used for this purpose shall be in good condition.

7. Attachments of eye-bolts, cleats, belaying pins and fife-rails shall be designed to cope with the forces they are subjected to.
**Article 20.17**

*Sails*

1. It shall be ensured that sails can be taken in simply, swiftly and safely.
2. The sail area shall be appropriate for the type of vessel and the water displacement.

**Article 20.18**

*Equipment*

1. Vessels that are fitted with a jib-boom or a bowsprit shall have a jib-net and an adequate number of appropriate holding and tensioning devices.
2. The equipment according to (1) may be dispensed with if the jib-boom or bowsprit is equipped with a hand becket and a foot rope adequately dimensioned to allow for the attachment of a safety harness to be carried on board.
3. For work on the rigging, a boatswain’s chair shall be provided.

**Article 20.19**

*Testing*

1. The rigging shall be tested by the inspection body every 2,5 years. As a minimum, the test shall cover the following:
   a) the sails, including leeches, clews and reef eyes;
   b) the state of the masts and spars;
   c) the state of the standing and running rigging together with cable wire connections;
   d) facilities for taking in the sail swiftly and safely;
   e) the secure fastening of blocks of halyards and topping lifts;
   f) the fastening of mast trunks and other fastening points for standing and running rigging that are attached to the vessel;
   g) the winches for operating the sails;
   h) other facilities fitted for the purposes of sailing, such as lee-boards and the fittings for operating them;
   i) the measures taken to prevent the chafing of the spars, the running and standing rigging and the sails;
   j) the equipment according to Article 20.18.
2. That part of the wooden mast passing through the deck and located below the deck shall be re-examined at intervals to be determined by the inspection body, but at the very least on the occasion of each periodical inspection. The mast shall be extracted for this purpose.
3. A certificate of the last inspection carried out in accordance with (1) and issued, dated and signed by the inspection body, shall be carried on board.
CHAPTER 21
SPECIAL PROVISIONS APPLICABLE TO CRAFT INTENDED TO FORM PART OF A PUSHED OR TOWED CONVOY OR OF A SIDE-BY-SIDE FORMATION

Article 21.01
Craft suitable for pushing

1. Craft which are to be used for pushing purposes shall incorporate a suitable pushing device. They shall be designed and equipped in such a way as to:
   a) enable crews easily and safely to cross over to the pushed craft with the coupling devices connected;
   b) enable them to occupy a fixed position in relation to the coupled craft and
   c) prevent relative movement between the craft themselves.

2. If the craft are joined together with cables the pusher craft shall be equipped with at least two special winches or equivalent coupling devices for tensioning the cables.

3. The coupling devices shall enable a rigid assembly to be formed with the pushed craft.

   Where convoys consist of a pusher craft and a single pushed craft the coupling devices may permit controlled articulation. The necessary drive units shall easily absorb the forces to be transmitted and shall be capable of being controlled easily and safely. Articles 6.02 to 6.04 shall apply mutatis mutandis to such drive units.

4. The collision bulkhead referred to in Article 3.03(1)(a), can be dispensed with for pushers.

Article 21.02
Craft suitable for being pushed

1. The following shall not apply to lighters without steering system, accommodation, engine or boiler rooms:

   a) Chapters 5 to 7 and 15;

   b) Article 8.08(2) to (8), Article 13.02 and Article 13.08(1).

   If steering systems, accommodation, engine or boiler rooms are present the relevant requirements of this Standard shall apply to them.

2. In addition, ship-borne lighters whose length $L$ does not exceed 40 m shall meet the following requirements:

   a) collision bulkheads referred to in Article 3.03(1), can be dispensed with if their front faces are able to bear a load at least 2.5 times that set for the collision bulkheads on inland waterway vessels with the same draught and built in accordance with the requirements of a recognised classification society;

   b) by way of derogation from Article 8.08(1), compartments of the double bottom to which access is difficult do not have to be drainable unless their volume exceeds 5 % of the water displacement of the ship-borne lighter at the maximum authorised loaded draught.

3. Craft intended for being pushed shall be fitted with coupling devices ensuring a safe connection to other craft.
Article 21.03
Craft suitable for propelling side-by-side formations

Craft intended to propel side-by-side formations shall be equipped with bollards or equivalent devices which, as a result of their number and arrangement, enable the formation to be coupled in a safe manner.

Article 21.04
Craft suitable for being propelled in convoys

Craft intended to be propelled in convoys shall be equipped with coupling devices, bollards or equivalent devices which, as a result of their number and arrangement, ensure a safe connection to other craft in the convoy.

Article 21.05
Craft suitable for towing

1. Craft intended for towing shall meet the following requirements:
   a) The towing devices shall be arranged in such a way that their use does not compromise the safety of the craft, crew or cargo.
   b) Tugging and towing craft shall be fitted with a tow hook which shall be capable of being released safely from the wheelhouse; this shall not apply if the design or other fittings prevent capsizing.
   c) Towing devices shall consist of winches or a tow hook. The towing devices shall be located ahead of the propeller plane. This requirement shall not apply to craft that are steered by their propulsion units such as rudder propellers or cycloidal propellers.
   d) By way of derogation from the requirements of (c), for craft solely giving — in accordance with the applicable navigational authority regulations of the Member States — towing assistance to motorised craft, a towing device such as a bollard or an equivalent device shall suffice. (b) shall apply mutatis mutandis.
   e) Where the towing cables could snag on the stern of the vessel, deflector hoops with cable catchers shall be provided.

2. Craft of length $L$ exceeding 86 m shall not be authorised for towing downstream.

Article 21.06
Navigation tests on convoys

1 In order to authorise a pusher or motor vessel to propel a rigid convoy, and to enter this on the inland navigation vessel certificate, the inspection body shall decide which formations are to be presented and shall conduct the navigation tests referred to in Article 5.02 with the convoy in the formation(s) applied for, which the inspection body regards to be the least favourable one(s). The requirements set out in Articles 5.02 to 5.10 shall be met by this convoy.

The inspection body shall check that the rigid connection of all craft in the convoy is maintained during the manoeuvres required by Chapter 5.
2 If during the navigation tests referred to in (1) there are specific installations on board the craft that are being either pushed or propelled side-by-side, such as the steering system, propulsion units or manoeuvring equipment, or articulated couplings in order to meet the requirements set out in Articles 5.02 to 5.10, the following shall be entered on the inland navigation vessel certificate for the craft propelling the convoy: formation, position, name and unique European vessel identification number of those craft which are fitted with the specific installations used.

**Article 21.07**

*Entries on the inland navigation vessel certificate*

1 If a craft is intended to propel a convoy, or be propelled in a convoy, its compliance with the relevant requirements as set out in Articles 21.01 to 21.06 shall be entered on the inland navigation vessel certificate.

2 The following information shall be entered on the inland navigation vessel certificate for the propelling craft:
   a) the convoys and formations that have been accepted;
   b) the types of coupling;
   c) the maximum coupling forces determined, and
   d) where appropriate, the minimum breaking load of the coupling cables for the longitudinal connection and also the number of cable windings.
CHAPTER 22
SPECIAL PROVISIONS APPLICABLE TO FLOATING EQUIPMENT

Article 22.01
General

For construction and equipment of floating equipment Chapters 3, 7 to 17 and 21 shall apply. Floating equipment with its own means of propulsion shall also meet the requirements of Chapters 5 and 6. Propulsion units permitting only short-haul operation shall not constitute own means of propulsion.

Article 22.02
Derogations

1.  
   a) Article 3.03(1) and (2), shall apply *mutatis mutandis*;
   b) Article 7.02 shall apply *mutatis mutandis*;
   c) the maximum sound pressure levels prescribed by Article 15.02 (5), second sentence, may be exceeded while the floating equipment's working gear is operating, provided that, during service, nobody sleeps on board at night;
   d) the inspection body may grant exceptions to the other requirements concerning shipbuilding, fitting out and equipment provided that equivalent safety is proven in every case.

2.  The inspection body may dispense with the application of the following requirements:
   a) Article 13.01(1), shall not apply if during operation of floating equipment that equipment can be securely anchored by means of a working anchor or piles. However, floating equipment with its own means of propulsion shall have at least one anchor meeting the requirements in Article 13.01 (1), where an empirical coefficient $k$ is taken to be equal to 45, and $T$ is taken to be equal to the height $H$;
   b) Article 15.02(1), second part of sentence, if the accommodation can be adequately lit by means of electricity.

3.  In addition, the following shall apply:
   a) for Article 8.08(2), second sentence, the bilge pump shall be motor driven;
   b) for Article 8.10(3), the noise may exceed 65 dB(A) at a lateral distance of 25 m from the ship's side of any stationary floating equipment while its working gear is operating;
   c) for Article 13.03(1), at least one further portable extinguisher is required if working gear not permanently attached to the craft is placed on the deck;
   d) for Article 17.02(2), in addition to the liquefied-gas equipment for domestic use, there may also be other liquefied-gas facilities. Those facilities and their accessories shall meet the requirements of one of the Member States.
Article 22.03
Additional requirements

1. Floating equipment on which persons are present during operation shall be fitted with a general alarm system. The alarm signal shall be clearly distinguishable from other signals and, within accommodation and at all work stations, shall produce a sound pressure level that is at least 5 dB(A) higher than the maximum local sound pressure level. It shall be possible to actuate the alarm system from the wheelhouse and the main operating stations.

2. Working equipment shall have sufficient strength to withstand the loads it is subjected to and shall meet the requirements of one of the Member States of the CCNR or of Directive 2006/42/EC, as amended.

3. The stability and strength of working equipment and, where appropriate, its attachments, shall be sufficient to withstand the forces resulting from the expected heel, trim and movement of the floating equipment.

4. If loads are lifted by means of hoists the maximum authorised load deriving from stability and strength shall be prominently displayed on panels on deck and at the operating stations. If the lifting capacity can be increased by connecting additional floats the values authorised both with and without these additional floats shall be clearly stated.

Article 22.04
Residual safety clearance

1. For the purposes of this Chapter and by way of derogation from Article 1.01, residual safety clearance means the shortest vertical distance between surface of the water and the lowest part of the floating equipment beyond which it is no longer watertight, taking into account trim and heel resulting from the moments referred to in Article 22.07(4) to (9).

2. The residual safety clearance is sufficient according to Article 22.07(1), for any spray-proof and weathertight aperture if it is at least 300 mm.

3. At an aperture that is not spray-proof and weathertight the residual safety clearance shall be at least 400 mm.

Article 22.05
Residual freeboard

1. For the purposes of this Chapter and by way of derogation from Article 1.01, residual freeboard means the smallest vertical distance between the surface of the water and the upper surface of the deck at its edge taking into account trim and heel resulting from the moments referred to in Article 22.07 (4) to (9).

2. The residual freeboard is sufficient according to Article 22.07(1), if it is at least 300 mm.

3. The residual freeboard may be reduced if it is proven that the requirements of Article 22.08 have been met.

4. Where the shape of a floating object differs perceptibly from that of a pontoon, as in the case of a cylindrical floating object, or where the cross-section of a floating object has more than four sides, the inspection body may require or authorise a residual freeboard that differs from (2). This shall also apply to floating equipment consisting of several floating objects.

**Article 22.06**

**Heeling test**

1. The proof of stability according to Articles 22.07 and 22.08 shall be based on a heeling test that has been carried out in a proper manner.

2. If during a heeling test it is not possible to achieve adequate heeling angles, or if the heeling test causes unreasonable technical difficulties, this may be replaced by a calculation of the craft's centre of gravity and weight. The result of the weight calculation shall be checked by measuring the draught, and the difference shall not exceed ± 5%.

**Article 22.07**

**Proof of stability**

1. It shall be confirmed that, when taking into account the loads applied during operation of the working gear and whilst under way, the residual freeboard and the residual safety clearance are sufficient. For that purpose the sum of the trim and heeling angles shall not exceed 10° and the bottom of the float shall not emerge.

2. The proof of stability shall include the following data and documents:
   a) scale drawings of floating objects and working gear and the detailed data relating to these that are needed to provide proof of stability, such as content of the tanks, openings providing access to the inside of the vessel;
   b) hydrostatic data or curves;
   c) righting lever curves for static-stability to the extent required in accordance with (5) below or Article 22.08;
   d) description of the operating conditions together with the corresponding data concerning weight and centre of gravity, including its unladen state and the equipment situation as regards transport;
   e) calculation of the heeling, trimming and righting moments, with a specification of the trim and heeling angles and the corresponding residual freeboard and residual safety clearances;
   f) a compilation of the results of the calculation with a specification of the limits for operation and the maximum loads.

3. The proof of stability shall be based on at least the following load assumptions:
   a) specific mass of the dredging products for dredgers:
      sands and gravels: 1,5 t/m³,
      very wet sands: 2,0 t/m³,
      soil, on average: 1,8 t/m³,
      mixture of sand and water in the ducts: 1,3 t/m³;
b) for clamshell dredgers, the values given under (a) shall be increased by 15%;
c) for hydraulic dredgers the maximum lifting power shall be considered.

4. The proof of stability shall take account of the moments resulting from:
   a) load;
   b) asymmetric structure;
   c) wind pressure;
   d) turning whilst under way of self-propelled floating equipment;
   e) cross current, if necessary;
   f) ballast and provisions;
   g) deck loads and, where appropriate, cargo;
   h) free surfaces of liquids;
   i) inertia forces;
   j) other mechanical equipment.

   The moments which may act simultaneously shall be added up.

5. The moment caused by the wind pressure shall be calculated in accordance with the following formula:

\[ M_W = c \cdot P_W \cdot A_W \cdot \left( l_W + \frac{T}{2} \right) \text{[kNm]} \]

where:
- \( c \) = shape-dependent coefficient of resistance
- \( P_W \) = specific wind pressure; this shall uniformly be taken to be 0.25 kN/m²;
- \( A_W \) = lateral plane of the vessel above the plane of draught according to the considered loading condition in [m²];
- \( l_W \) = distance of the centre of gravity of the lateral plane \( A_W \) from the plane of draught according to the considered loading condition in [m].

6. In order to determine the moments due to turning whilst under way according to (4)(d) for self-propelled floating equipment, the formula set out in Article 19.03(6) shall be used.

7. The moment resulting from cross current according to (4)(e) shall be taken into account only for floating equipment which is anchored or moored across the current while operating.

8. The least favourable extent of tank filling from the point of view of stability shall be determined and the corresponding moment introduced into the calculation when calculating the moments resulting from liquid ballast and liquid provisions according to (4)(f).

9. The moment resulting from inertia forces according to (4)(i) shall be given due consideration if the movements of the load and the working gear are likely to affect stability.
10. The righting moments for floating objects with vertical side walls may be calculated using the following formula

\[ M_R = 10 \cdot D \cdot \overline{MG} \cdot \sin \varphi \ [kNm] \]

where:
\( \overline{MG} \) = metacentric height, in m;
\( \varphi \) = heeling angle in degrees.

That formula shall apply up to heeling angles of 10° or up to a heeling angle corresponding to immersion of the edge of the deck or emergence of the edge of the bottom; the smallest angle shall be decisive. The formula may be applied to slanting side walls up to heeling angles of 5°; the limit conditions set out in (3) to (9) shall also apply.

If the particular shape of the floating object(s) does not permit such simplification the righting lever curves according to (2)(c) shall be required.

**Article 22.08**

*Proof of stability in the case of reduced residual freeboard*

If a reduced residual freeboard according to Article 22.05(3), is used, it shall be proven for all operating conditions that:

a) after correction for the free surfaces of liquids, the metacentric height is not less than 0.15 m;
b) for heeling angles between 0° and 30°, there is a righting lever of at least

\[ h = 0.30 - 0.28 \cdot \varphi_n [m] \]

\( \varphi_n \) being the heeling angle from which the righting lever curve displays negative values (range of stability); it shall not be less than 20° or 0.35 rad and shall not be introduced into the formula for more than 30° or 0.52 rad, taking the radian (rad) \((1° = 0.01745 \text{ rad})\) for the unit of \( \varphi_n \);
c) the sum of the trim and heeling angles does not exceed 10°;
d) a residual safety clearance meeting the requirements in Article 22.04 remains;
e) a residual freeboard of at least 0.05 m remains;
f) for heeling angles between 0° and 30°, a residual righting lever of at least

\[ h = 0.20 - 0.23 \cdot \varphi_n [m] \]

remains, where \( \varphi_n \) is the heeling angle from which the righting lever curve displays negative values; it shall not be introduced into the formula for more than 30° or 0.52 rad.

Residual righting lever means the maximum difference existing between 0° and 30° of heel between the righting lever curve and the heeling lever curve. If an opening towards the inside of the vessel is reached by the water at a heeling angle less than that corresponding to the maximum difference between the lever curves, the lever corresponding to that heeling angle shall be taken into account.
Article 22.09
*Draught marks and draught scales*

Draught marks and draught scales shall be affixed in accordance with Articles 4.04 and 4.06.

Article 22.10
*Floating equipment without proof of stability*

1. The application of Articles 22.04 to 22.08 may be dispensed with for floating equipment:
   
   a) whose working gear can in no way alter their heeling or trim, and
   
   b) where any displacement of the centre of gravity can be absolutely excluded.

2. However,
   
   a) at maximum load the safety clearance shall be at least 300 mm and the freeboard at least 150 mm; and
   
   b) for apertures which cannot be closed spray-proof and weathertight the safety clearance shall be at least 500 mm.
CHAPTER 23
SPECIAL PROVISIONS APPLICABLE TO WORKSITE CRAFT

Article 23.01
Operating conditions

Worksite craft designated as such in the inland navigation vessel certificate may navigate outside worksites only when unladen. That restriction shall be entered on the inland navigation vessel certificate.

For this purpose worksite craft shall have an attestation issued by the competent authority indicating the duration of works and the geographical boundaries of the worksite in which the craft may be operated.

Article 23.02
Application of Part II

Unless otherwise specified in this Chapter, the construction and equipment of worksite craft shall be in line with Chapters 3 to 17 of Part II.

Article 23.03
Derogations

1. a) Article 3.03(1), shall apply mutatis mutandis;
   b) Chapters 5 and 6 shall apply mutatis mutandis where the craft is self-propelled;
   c) Article 13.02(2)(a) and (b), shall apply mutatis mutandis;
   d) the inspection body may grant exceptions to the other requirements concerning shipbuilding, fitting out and equipment provided that equivalent safety is proven in every case.

2. The inspection body may dispense with the following provisions:
   a) Article 8.08(2) to (8), if no crew is required;
   b) Article 13.01(1) and (3), if the worksite craft can be securely anchored by means of working anchors or piles. However, self-propelled worksite craft shall be equipped with at least one anchor meeting the requirements set out in Article 13.01(1), where an empirical coefficient $k$ is taken to be equal to 45, and $T$ is taken to be equal to the height $H$;
   c) Article 13.02(1)(c), if the worksite craft is not self-propelled.
Article 23.04

Safety clearance and freeboard

1. If a worksite craft is used as a reclamation barge or a hopper barge the safety clearance outside the hold area shall be at least 300 mm and the freeboard at least 150 mm. The inspection body may permit a smaller freeboard if proof by calculation is provided that stability is sufficient for a cargo having a specific mass of 1,5 t/m³ and that no side of the deck reaches the water. The effect of liquefied cargo shall be taken into account.

2. The provisions of Articles 4.01 and 4.02 shall apply mutatis mutandis to worksite craft not covered by (1). The inspection body may determine values departing from the above for safety clearance and freeboard.

Article 23.05

Ship's boats

Worksite craft shall not be required to have a ship's boat where:

a) they are not self-propelled or
b) a ship's boat is available elsewhere on the worksite.

That derogation shall be entered on the inland navigation vessel certificate.
CHAPTER 24
SPECIAL PROVISIONS APPLICABLE TO TRADITIONAL CRAFT

Article 24.01
Application of Parts II and III

1. Traditional craft shall be built according to good shipbuilding practice in compliance with the technical conditions of the chosen historical period.

The state of the shipbuilding, fitting out and equipment of the traditional craft shall be at least in accordance with the national regulations of the Member State in which the traditional craft was originally operated at the historical date chosen.

2. All component parts, fitting out and equipment not included in the state of the shipbuilding, fitting out and equipment of the chosen time period shall meet all applicable provisions of Parts II and III of this Standard.

3. In the event of deviations from the currently applicable provisions of this Standard, the inspection body shall establish, as a balancing compensation, an equivalent level of safety in consideration of the traditional craft's technical functioning and also its visual impression. These deviations are only permissible where they are necessary due to the need to preserve the craft's historic character.

Article 24.02
Recognition and entry in the inland navigation vessel certificate

1. The recognition of a craft as a traditional craft requires
   a) an initial inspection before an inland navigation vessel certificate is first issued or
   b) a special inspection before issuing an amended inland vessel certificate following the conversion or the change of intended purpose of a craft to a traditional craft.

2. In addition to the regulatory documents required, the following documents shall be submitted to the inspection body with the application for inspection:
   a) the expert report by an expert of a heritage conservation authority with corresponding expertise in the field, or by a traditional craft expert, issued in response to an inspection, which has been carried out not more than three months before the time of the application, certifying that the requirements of Article 24.01 (1) are met, that suitability for a use pursuant to Article 1.01 (1.29) is assumed and that the craft is correspondingly worthy of being preserved;
   b) the operations concept;
   c) a safety concept based on the operations concept pursuant to (b);
   d) the traditional craft’s documentation, consisting of the following parts:
      aa) details of the state of shipbuilding, fitting out and equipment of the craft presented for inspection, by means of relevant descriptions, drawings, photographs and other documentation;
      bb) list of the requirements applicable at the chosen historical date and copies thereof as far as these are necessary for justification of deviations from the requirements of parts II and III of this Standard;
cc) proof that the state of shipbuilding, fitting out and equipment is appropriate to operate the craft as a traditional craft;

dd) drawings, plans, calculations and evidence pursuant to this Standard. These have to comply with the state applicable of the craft at the time of the submission of the application;

ee) list of deviations in the state of shipbuilding, fitting out and equipment pursuant to the details in (aa) from the requirements of this Standard applicable at the time of the submission of the application;

ff) data on the traditional craft’s crew at the chosen historic time period.

3. The applicant applies for the historical date for the traditional craft; this date must not be chosen before the date when the craft was first put into service. In the case of a replica of a traditional craft, the historical date can be seen from the expert report in accordance with (2)(a).

4. On the basis of the above documentation and the inspection in accordance with (1), the inspection body assess conformity in accordance with Article 1.01(1.29) and Article 24.01 and the recognition as “traditional craft” is entered in item 2 of the inland navigation vessel certificate.

The assessment of conformity may not take place against the judgement of the traditional craft expert and against the expert report pursuant to (2)(a).

5. In addition to the entry ‘traditional craft’, the ‘traditional craft’ Annex shall be issued pursuant to the model of Annex 3, Section V. The following entry shall be entered in item 52 of the inland navigation vessel certificate:

‘See Traditional Craft Annex.’

6. If non-conformities to the version of this Standard applicable at the time of submission of the application are detected in the documentation referred to in (2)(d)(ee), or during the inspection referred to in (1), then

a) only the crew or persons who are on official business on board can remain on the craft while under way, and

b) operation of machines or mechanically-driven equipment on board which are be easily accessible is permitted only if no one is on board, except the crew or persons who are on official business on board.

The following entry shall be entered in item 52 of the inland navigation vessel certificate.

‘Persons other than the crew or persons who are on official business on board may only stay on board when the craft is securely moored and freely-accessible machines and mechanically-driven equipment are not in operation’.
Article 24.03

Other provisions and requirements

1. The inspection body shall determine, in accordance with the operations and safety concepts in accordance with Article 24.02(2)(b) and (c), as well as the state of the shipbuilding, fitting out and equipment of the traditional craft:
   a) the minimum manning level and the crew's qualifications;
   b) the permissible number of persons which may be reduced to the minimum manning level;
   c) restrictive conditions for the stay of persons other than crew members on board;
   d) the permissible load which may be reduced to 'zero';
   e) the permissible area of operation;
   f) the meteorological restrictions;
   g) the nautical restrictions;
   h) further restrictive conditions.

2. In the case of periodic inspections, the inspection body may modify the conditions in accordance with Article 24.03(1) and Article 24.01(3) on the basis of the further development of the technical regulations of this Standard. These modifications shall be entered in the traditional craft annex of the inland navigation vessel certificate.
CHAPTER 25
SPECIAL PROVISIONS APPLICABLE TO SEA-GOING VESSELS

Article 25.01
Provisions for the Rhine (Zone R)

1. Seagoing vessels navigating on the Rhine shall comply with the relevant national and international provisions concerning technical requirements as regards construction, equipment and the seagoing vessels' environment and must be able to corroborate this by means of the necessary certificates.

2. Seagoing vessels shall comply with the the following provisions of this Standard in addition to the requirements specified in (1):
   a) Chapter 5;
   b) to Chapter 6:
      Article 6.01(1) and Article 6.02(1) and (2);
   c) to Chapter 7:
      Article 7.01(2), Article 7.02(1) and (3), (1) and (3), Article 7.05(2),
      Article 7.13 for seagoing vessels designed for radar navigation by one person;
   d) to Chapter 8
      Article 8.03(3) for seagoing vessels when an automatic shut-off device can be switched off from the steering position; Article 8.05(13), Article 8.08(10), Article 8.09(1) and (2) and Article 8.10.
      Locking the closures in position prescribed in Article 8.08(10) shall be regarded as equivalent to sealing the closures of the bilge system through which the oily water can be pumped out of the vessel. The required key or keys must be kept in a central location which shall be marked accordingly.
      An oil discharge monitoring and control system complying with Regulation 16 of MARPOL 73/78 shall be deemed equivalent to locking the closures in position prescribed in Article 8.08(10). The existence of the monitoring and control system must be attested by an international oil pollution prevention (IOPP) certificate that complies with MARPOL 73/78.
      If the IOPP attestation reveals that the vessel is equipped with collecting tanks enabling all the oily water and oily residues to be retained on board, Article 8.09(2) must be deemed to have been complied with;
   e) to Chapter 10:
      Article 10.17;
   f) to Chapter 13:
      Articles 13.01 and 13.02(1);
   g) Chapter 21,
      for sea-going vessels permitted to form part of a convoy;
   h) Chapter 27:
      Chapter 27 shall be deemed to have been complied with when stability complies with current IMO Resolutions, the corresponding stability-related documents have been endorsed by the competent authority and the containers are secured in the customary maritime navigation manner.
CHAPTER 26
SPECIAL PROVISIONS APPLICABLE TO RECREATIONAL CRAFT

Article 26.01
Application of Part II

1. Recreational craft shall meet the following requirements:
   a) from Chapter 3:
      Article 3.01, Article 3.02(1)(a) and (2), Article 3.03(1)(a) and (6), and Article 3.04(1);
   b) Chapter 5;
   c) from Chapter 6:
      Article 6.01(1), and Article 6.08;
   d) from Chapter 7:
      Article 7.01(1) and (2), Article 7.02, Article 7.03(1) and (2), Article 7.04(1), Article 7.05(2), Article 7.13 if there is a wheelhouse designed for radar navigation by one person;
   e) from Chapter 8:
      Article 8.01(1) and (2), Article 8.02(1) and (2), Article 8.03(1) and (3), Article 8.04, Article 8.05(1) to (10) and (13), Article 8.06, Article 8.07, Article 8.08(1), (2), (5), (7) and (10), Article 8.09(1), and Article 8.10;
   f) Chapter 9;
   g) from Chapter 10:
      Article 10.01(1), mutatis mutandis;
   h) from Chapter 13:
      Article 13.01(2), (3) and (5) to (14), Article 13.02(1)(a) to (c), and (3)(a) and (e) to (h), Article 13.03(1)(a), (b) and (d): however, there shall be at least two fire extinguishers on board; Article 13.03(2) to (6), Article 13.04, Articles 13.05, 13.07, and 13.08;
   i) Chapter 16;
   j) Chapter 17.

2. For recreational craft subject to Directive 2013/53/EU, initial inspection and periodical inspections only extend to:
   a) Article 6.08, if there is a rate-of-turn regulator;
   b) Article 7.01(2), Article 7.02, Article 7.03(1), and Article 7.13, if there is a wheelhouse designed for radar navigation by one person;
   c) Article 8.01(2), Article 8.02(1), Article 8.03(3), Article 8.05(5), Article 8.08(2), and Article 8.10;

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d) Article 13.01(2), (3), (6) and (14), Article 13.02(1)(b) and (c), (3)(a) and (e) to (h), Article 13.03 (1)(b) and (d) and (2) to (6), and Article 13.08;

e) Chapter 16;

f) from Chapter 17:
   aa) Article 17.12;
   bb) Article 17.13; the acceptance test after putting into service of the liquefied gas installation shall be carried out in accordance with the requirements of Directive 2013/53/EU, and an inspection attestation shall be submitted to the inspection body;
   cc) Articles 17.14 and 17.15; the liquefied gas installation shall be in accordance with the requirements of Directive 2013/53/EU;
   dd) Chapter 17 entirely, if the liquefied gas installation is fitted after placing on the market of the recreational craft.
CHAPTER 27
SPECIAL PROVISIONS APPLICABLE TO VESSELS CARRYING CONTAINERS

Article 27.01
General

1. The provisions of this Chapter shall apply to vessels carrying containers where stability documents are required in accordance with applicable navigational authority regulations of the Member States.

   Stability documents shall be checked, or submitted elsewhere for checking, and duly stamped by an inspection body.

2. Stability documents shall provide the boatmaster with comprehensible information on vessel stability for each loading condition.

   Stability documents shall include at least the following:
   a) information on the permissible stability coefficients, the permissible \( K_G \) values or the permissible heights for the centre of gravity of the cargo;
   b) data concerning spaces that can be filled with ballast water;
   c) forms for checking stability;
   d) instructions for use or an example of a calculation for use by the boatmaster.

3. For vessels where it is optional whether containers are carried non-secured or secured, separate calculation methods shall be applied for proof of stability both for transport of non-secured and secured cargoes of containers.

4. A cargo of containers shall only be considered to be secured if each individual container is firmly attached to the hull of the vessel by means of container guides or securing equipment and its position cannot alter during the voyage.

Article 27.02
Limit conditions and method of calculation for proof of stability for the transport of non-secured containers

1. All methods of calculating vessel stability in the case of non-secured containers shall meet the following limit conditions:
   a) Metacentric height \( M_G \) shall be not less than 1,00 m.
   b) Under the joint action of the centrifugal force resulting from the vessel's turning, wind pressure and the free surfaces of liquids, the heeling angle shall not exceed 5° and the edge of the deck shall not be immersed.
   c) The heeling lever resulting from the centrifugal force caused by the vessel's turning shall be determined in accordance with the following formula:

   \[
   h_{KZ} = c_{KZ} \cdot \frac{v^2}{L_{WL}} \cdot \left( K_G - \frac{T}{2} \right) \ [m]
   \]
where:

- $c_kZ$ parameter ($c_kZ = 0.04$) [s$^2$/m];
- $v$ the maximum speed of the vessel in relation to the water [m/s];
- $\bar{K}G$ height of centre of gravity of the laden vessel above its base [m];
- $T'$ draught of the laden vessel [m].

d) The heeling lever resulting from the wind pressure shall be determined in accordance with the following formula:

$$h_{KW} = c_{KW} \cdot \frac{A'}{D'} \cdot \left( l_w + \frac{T'}{2} \right) [m]$$

where:

- $c_{KW}$ parameter ($c_{KW} = 0.025$) [t/m$^2$];
- $A'$ lateral plane above water with the vessel laden [m$^3$];
- $D'$ displacement of the laden vessel [t];
- $l_w$ height of the centre of gravity of the lateral plane $A'$ above the respective waterline [m];
- $T'$ draught of the laden vessel [m].

e) The heeling lever resulting from the free surfaces of rainwater and residual water within the hold or the double bottom shall be determined in accordance with the following formula:

$$h_{Kfo} = \frac{c_{Kfo}}{D'} \cdot \sum \left( b \cdot l \cdot (b - 0.55 \sqrt{D}) \right) [m]$$

where:

- $c_{Kfo}$ parameter ($c_{Kfo} = 0.015$) [t/m$^2$];
- $b$ width of hold or section of the hold in question [m];
- $l$ length of hold or section of the hold in question [m];
- $D'$ displacement of the laden vessel [t].

f) Half of the fuel and fresh water supply shall be taken into account for each loading condition.

2. The stability of a vessel carrying non-secured containers shall be considered to be sufficient if the effective $\bar{K}G$ does not exceed the $\bar{K}G_{zul}$ resulting from the following formulae. The $\bar{K}G_{zul}$ shall be calculated for various displacements covering the entire range of draughts:

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*The hold sections providing free surfaces that are exposed to water arise from the longitudinal and/or tranverse water-tight compartmentalisation that forms independent sections.*
a) \[
\overline{K_G}_{zul} = \frac{KM + \frac{B_{WL}}{2F} \cdot \left( Z \cdot \frac{T_m}{Z} - h_{KW} - h_{kFO} \right)}{\frac{B_{WL}}{2F} \cdot Z + 1} [m]
\]

No value less than 11,5 \((11,5 = 1/\tan5°)\) shall be taken for \(\frac{B_{WL}}{2F}\)

b) \[
\overline{K_G}_{zul} = KM - 1,00 [m]
\]

The lowest value of \(\overline{K_G}_{zul}\) in accordance with formula (a) or (b) shall be decisive.

Within the formulae:

- \(\overline{K_G}_{zul}\) maximum permissible height of the laden vessel's centre of gravity above its base [m];
- \(KM\) height of the metacentre above the base [m] in accordance with the approximation formula in (3);
- \(F\) respective effective freeboard at \(\frac{1}{2} L\) [m];
- \(Z\) parameter for the centrifugal force resulting from turning
  \[Z = \frac{(0,7 \cdot v)^2}{9,81 \cdot 1,25 L_{WL}} = 0,04 \cdot \frac{v^2}{L_{WL}}\]
  - \(v\) maximum speed of the vessel in relation to the water [m/s];
  - \(T_m\) respective average draught [m];
  - \(h_{KW}\) heeling lever resulting from lateral wind pressure according to \((1)(d)\) [m];
  - \(h_{kFO}\) sum of the heeling levers resulting from the free surfaces of liquids according to \((1)(e)\) [m].

3. Approximation formula for \(KM\):

Where no sheet of hydrostatic curves is available the value \(KM\) for the calculation in accordance with (2) and Article 27.03 (2), may be determined by the following approximation formulae:

a) for vessels in the shape of a pontoon

\[
KM = \frac{B_{WL}^2}{(12,5 - \frac{T_m}{T})} \cdot \frac{T_m}{2} [m]
\]

b) for other vessels

\[
KM = \frac{B_{WL}^2}{(12,7 - 1,2 \cdot \frac{T_m}{T})} \cdot \frac{T_m}{2} [m]
\]
**Article 27.03**

*Limit conditions and method of calculation for proof of stability for the transport of secured containers*

1. All methods of calculating vessel stability in the case of secured containers shall meet the following limit conditions:
   a) Metacentric height $\bar{M}_G$ shall be not less than 0.50 m.
   b) Under the joint action of the centrifugal force resulting from the vessel's turning, wind pressure and the free surfaces of liquids, no hull opening shall be immersed.
   c) The heeling levers resulting from the centrifugal force due to the vessel's turning, the wind pressure and the free surfaces of liquids shall be determined in accordance with the formulae referred to in Article 27.02(1)(c) to (e).
   d) Half of the fuel and fresh water supply shall be taken into account for each loading condition.

2. The stability of a vessel carrying secured containers shall be considered to be sufficient if the effective $\bar{K}_G$ does not exceed $\bar{K}_G_{zul}$ resulting from the following formulae that has been calculated for various displacements covering the entire range of draughts.

   a) $\bar{K}_G_{zul} = \frac{\bar{K}_M - \frac{l_{\perp}}{2V}(1 - 1.5 \frac{F'}{F}) + 0.75 \frac{BWL}{F'}(Z \cdot \frac{T_m}{3} - h_{KW} - h_{KF0})}{0.75 \cdot \frac{BWL}{F'} \cdot Z + 1} [m]$  
   
   No value less than 6.6 shall be taken for $\frac{BWL}{F'}$ and no value less than 0 for $\frac{l_{\perp}}{2V} \cdot (1 - 1.5 \frac{F'}{F})$

   b) $\bar{K}_G_{zul} = \bar{K}_M - 0.50 [m]$  
   
   The lowest value for $\bar{K}_G_{zul}$ in accordance with formula (a) or (b) shall be decisive.

   Within these formulae, apart from the terms defined previously:
   - $l_{\perp}$ transverse moment of inertia of water line area at $T_m$ [$m^4$] (for the approximation formula see (3));
   - $i$ transverse moment of inertia of the water line area parallel to the base, at height $T_m + \frac{2}{3} F'[m^4]$
   - $\forall$ water displacement of the vessel at $T_m$ [$m^3$];
   - $F'$ ideal freeboard
   
   $F' = H' - T_m [m]$ or $F' = \frac{a \cdot BWL}{2 \cdot b} [m]$, the lowest value shall be decisive;
the vertical distance between the lower edge of the opening that is first immersed in the event of heeling and the water line in the vessel's upright position [m];

$b$ distance from that same opening from the centre of the vessel [m];

$H'$ ideal side height $H' = H + \frac{q}{0.9 \cdot L \cdot B_{WL}}$ [m];

$q$ sum of the volumes of the deckhouses, hatches, trunk decks and other superstructures up to a maximum height of 1.0 m above $H$ or up to the lowest aperture in the volume under consideration, the lowest value being decisive. Parts of volumes located within a range of $0.05 \cdot L$ from the extremities of the vessel shall not be taken into account [m$^3$].

3. Approximation formula for $I$

Where there is no sheet of hydrostatic curves available the value for the transverse moment of inertia $I$ of the water line area may be calculated by the following approximation formulae:

a) for vessels in the shape of a pontoon

$$I = \frac{B^2_{WL} \cdot \forall}{\left(12.5 - \frac{T_m}{T_H}\right) \cdot T_m} \quad [m^4]$$

b) for other vessels

$$I = \frac{B^2_{WL} \cdot \forall}{\left(12.7 - 1.2 \cdot \frac{T_m}{T_H}\right) \cdot T_m} \quad [m^4]$$

Article 27.04

Procedure for assessing stability on board

The procedure for assessing stability may be determined by the documents referred to in Article 27.01(2).
CHAPTER 28
SPECIAL PROVISIONS APPLICABLE TO CRAFT LONGER THAN 110 M

Article 28.01
Application of Part II

In addition to Part II and III, Articles 28.02 to 28.04 shall apply to craft whose length \( L \) exceeds 110 m.

Article 28.02
Strength

Sufficient hull strength in accordance with Article 3.02(1)(a) (longitudinal, lateral and local strength) shall be verified by a attestation issued by a recognised classification society.

Article 28.03
Buoyancy and stability

1. (2) to (10) shall apply to craft whose length \( L \) exceeds 110 m, with the exception of passenger vessels.

2. The basic values for the stability calculation, the vessel's lightweight and the location of the centre of gravity, shall be determined by means of a heeling test carried out in accordance with Annex 1 to IMO Resolution MSC.267(85)\(^1\).

3. The applicant shall prove by a calculation that the damage stability of the vessel is appropriate. The calculation of the final state of flooding should be based on the method of 'lost buoyancy' and the intermediate states of flooding should be calculated on the basis of the method of 'added mass'. All calculations shall be carried out free to trim and sinkage.

Sufficient buoyancy and stability of the vessel in the event of flooding shall be proven with a cargo corresponding to its maximum draught and evenly distributed among all the holds and with maximum supplies and fully fuelled.

For diversified cargo, the stability calculation shall be performed for the most unfavourable loading condition. This stability calculation shall be carried on board.

For this purpose, mathematical proof of sufficient stability shall be determined for the intermediate stages of flooding (25 \%, 50 \% and 75 \% of final stage of flooding, and, where appropriate, for the stage immediately prior to transverse equilibrium) and for the final stage of flooding, in the loading conditions specified above.

4. The following assumptions shall be taken into consideration for the damaged condition:
   a) Extent of side damage:
      longitudinal extent: at least 0,10 \( L \),
      transverse extent: 0,59 m,
      vertical extent: from the bottom upwards without limit.

\(^1\) MSC.267(85) adopted on 4 December 2008 - International Code on Intact Stability.
b) Extent of bottom damage:
   longitudinal extent: at least 0,10 \( L \),
   transverse extent: 3,00 m,
   vertical extent: from the base 0,39 m upwards, the sump excepted.

c) Any bulkheads within the damaged area shall be assumed damaged, which means that the subdivision shall be chosen so that the vessel remains afloat after the flooding of two or more adjacent compartments in the longitudinal direction. For the main engine room only the one compartment standard need be taken into account, i.e. the end bulkheads of the engine room shall be assumed as not damaged. For bottom damage, adjacent athwart ship compartments shall also be assumed as flooded.

d) Permeability
   Permeability shall be assumed to be 95 %.
   If a calculation proves that the average permeability of a compartment is less than 95 %, the calculated value may be used instead.
   The values used shall not be less than:

   | Engine and operation rooms | 85 % |
   | Cargo holds               | 70 % |
   | Double bottoms, fuel tanks, ballast tanks, etc. depending on whether, according to their function, they have to be assumed as full or empty for the vessel floating at the maximum permissible draught | 0 or 95 %. |


e) The calculation of free surfaces effect in intermediate stages of flooding shall be based on the gross surface area of the damaged compartments.

5. For all intermediate stages of flooding referred to in (3), the following criteria shall be met:
   a) The heeling angle \( \varphi \) at the equilibrium position of the intermediate stage in question shall not exceed 15° (5° where containers are not secured).
   b) Beyond the heel in the equilibrium position of the intermediate stage in question, the positive part of the righting lever curve shall display a righting lever value of \( GZ \geq 0,02 \) m (0,03 m where containers are not secured) before the first unprotected opening becomes immersed or a heeling angle \( \varphi \) of 27° is reached (15° where containers are not secured).
   c) Non-watertight openings shall not be immersed before the heel in the equilibrium position of the intermediate stage in question has been reached.

6. During the final stage of flooding, the following criteria shall be met:
   a) The lower edge of non-watertight openings (e.g., doors, windows, access hatches) shall be not less than 0,10 m above the damaged waterline.
   b) The heeling angle \( \varphi \) at the equilibrium position shall not exceed 12° (5° where containers are not secured).
c) Beyond the heel in the equilibrium position of the intermediate stage in question, the positive part of the righting lever curve shall display a righting lever value of $GZ_R \geq 0.05\, m$ and the area under the curve shall reach at least $0.0065\, m \cdot rad$ before the first unprotected opening becomes immersed or a heeling angle $\varphi$ of $27^\circ$ ($10^\circ$ where containers are not secured) is reached.

d) If non-watertight openings are immersed before the equilibrium position is reached, the rooms affording access shall be deemed flooded for the purposes of the damage stability calculation.

7. If cross-flood openings to reduce asymmetrical flooding are provided, the following conditions shall be met:
   a) for the calculation of cross-flooding, IMO Resolution A.266 (VIII) shall be applied;
   b) they shall be self-acting;
   c) they shall not be equipped with shut-off devices;
   d) the total time allowed for equalisation shall not exceed 15 minutes.

8. If openings through which undamaged compartments may additionally become flooded are capable of being closed watertight, the shut-off devices shall bear the following readily legible instruction on both sides:

   'Close immediately after passage'.

9. The proof by calculation in accordance with (3) to (7) shall be considered to have been provided if damage stability calculations in accordance with Part 9 of the ADN are produced with a positive result.

10. Where necessary in order to meet the requirements in (3), the plane of maximum draught shall be re-established.
Article 28.04
Additional requirements

1. Craft whose length $L$ exceeds 110 m shall:
   a) be fitted with a multi-propeller propulsion system, with at least two independent engines of equal power and a bow thruster that is controlled from the wheelhouse and is also effective when the craft is in an unladen state;
      or
   have a single-propeller propulsion system and a bow thruster that is controlled from the wheelhouse with its own power supply and which is also effective when the craft is in an unladen state and makes it possible for the craft to proceed under its own power in the event of a breakdown of the main propulsion system;
   b) be fitted with a navigational radar installation, together with a rate-of-turn indicator in accordance with Article 7.06(1);
   c) have a permanently-installed bilge pumping system in accordance with Article 8.08;
   d) meet the requirements of Article 31.09(1).

2. By way of derogation from (1) and having regard to the applicable navigational authority regulations for certain areas of navigation in the Member States, for craft, except passenger vessels, with a length $L$ of more than 110 m, which:
   a) comply with (1)(a) to (d);
   b) are capable of being separated, in the event of an accident, in the middle third of the craft without the use of heavy salvage equipment while the separated parts of the craft shall remain afloat after separation;
   c) are provided with a proof that shall be carried on board and which is issued by a recognised classification society regarding the buoyancy, trim position and stability of the separate parts of the craft, indicating the degree of loading above which buoyancy of the two parts is no longer ensured;
   d) are built as double-hull vessels in accordance with the ADN, where for motor vessels sections 9.1.0.91 to 9.1.0.95, and for motor tankers paragraph 9.3.2.11.7 and sections 9.3.2.13 to 9.3.2.15 of Part 9 of the ADN shall apply;
   e) are fitted with a multi-propeller propulsion system in accordance with (1)(a), first half sentence;

   it shall be entered in item 52 of the inland navigation vessel certificate that they comply with all the requirements of (a) to (e).

3. By way of derogation from (1) and having regard to the applicable navigational authority regulations for certain areas of navigation in the Member States, for passenger vessels with a length $L$ of more than 110 m which:
   a) comply with (1)(a) to (d);
   b) are built or converted for their highest class under the supervision of a recognised classification society, in which case compliance shall be confirmed by means of a attestation issued by the classification society. Maintaining class is not necessary;
c) have a double bottom with a height of at least 600 mm and subdivision to ensure that, in the event of flooding of any two adjacent watertight compartments, the vessel does not immerse lower than the margin line and a residual safety clearance of 100 mm remains, or
   have a double bottom with a height of at least 600 mm and a double hull with a distance of at least 800 mm between the side wall of the vessel and the longitudinal bulkhead;

d) be fitted with a multi-propeller propulsion system with at least two independent engines of equal power and a bow thruster system which can be operated from the wheelhouse and which operates longitudinally as well as transversely;

e) allow the stern anchors to be operated directly from the wheelhouse;

it shall be entered in item 52 of the inland navigation vessel certificate that they comply with all the requirements of (a) to (e).
CHAPTER 29
SPECIAL PROVISIONS APPLICABLE TO HIGH-SPEED VESSELS

Article 29.01
General

1. High-speed vessels shall not be constructed as cabin vessels.

2. The following installations are prohibited on board high-speed vessels:
   a) appliances fitted with wick burners according to Article 16.02;
   b) vaporising oil burner stoves according to Articles 16.03 and 16.04;
   c) solid-fuel heating appliances according Article 16.07;
   d) liquefied gas installations according to Chapter 17.

3. High-speed vessels shall be constructed and classified under the supervision and in accordance with the applicable rules of a recognised classification society which has special rules for high-speed vessels. The class shall be maintained.

Article 29.02
Application of Parts II and III

1. Notwithstanding (2), Chapters 3 to 19 shall apply to high-speed vessels, with the exception of the following provisions:
   a) Article 3.04(6), second subparagraph;
   b) Article 8.08(2), second sentence;
   c) Article 14.02(4), second and third sentences;
   d) Article 15.02(4), second sentence;
   e) Article 19.06(3)(a), second sentence.

2. By way of derogation from Article 19.02(9), and Article 19.15(7), all doors in watertight bulkheads shall be capable of being remotely controlled.

3. By way of derogation from Article 6.02(1), in case of failure or malfunctioning of the steering apparatus drive unit a second independent steering apparatus drive unit or a manually operated drive unit shall come into operation without time delay.

4. In addition to the requirements of (1) to (3), high-speed vessels shall meet the requirements of Articles 29.03 to 29.10.
Article 29.03
Seats and safety belts

Seats shall be available for the maximum number of passengers permitted on board. Seats shall be fitted with safety belts. Safety belts may be dispensed with where suitable impact protection is provided or where they are not required under Chapter 4, part 6, of the HSC Code 2000.

Article 29.04
Freeboard

By way of derogation from Articles 4.02 and 4.03, the freeboard shall be at least 500 mm.

Article 29.05
Buoyancy, stability and subdivision

For high-speed vessels, sufficient proof shall be provided for:

a) buoyancy and stability characteristics adequate for safety where the craft is operated in the displacement mode, both when intact and when damaged;

b) stability characteristics and stabilising systems adequate for safety where the craft is operated in the dynamic buoyancy phase and the transition phase;

c) stability characteristics adequate for safety where the craft is operated in the dynamic buoyancy phase and the transitional phase, and allow to transfer the craft safely to displacement mode in case of any system malfunction.

Article 29.06
Wheelhouse

1. Arrangement

   a) By way of derogation from Article 7.01(1), wheelhouses shall be arranged in such a way that the helmsman and a second member of the crew may at all times perform their tasks while the vessel is under way.

   b) The steering position shall be arranged so as to accommodate work stations for the persons mentioned in (a). The instruments for navigation, manoeuvring, monitoring and communication and other important operating controls shall be sufficiently close together to allow a second member of the crew as well as the helmsman to obtain the necessary information and to operate the controls and installations as necessary while seated. The following requirements shall apply in all cases:

      aa) the steering position for the helmsman shall be arranged so as to allow radar navigation by one person;

      bb) the second member of the crew shall have his own radar screen (slave) at his work station and shall be able to intervene from his work station to transmit information and control the propulsion of the vessel.

   c) The persons mentioned in (a) shall be able to operate the installations mentioned in (b) without any hindrance, including when safety belts are properly worn.
2. Unobstructed view
   a) By way of derogation from Article 7.02(2), the area of obstructed vision for the helmsman in a seated position ahead of the vessel shall not exceed one vessel length, irrespective of the loading conditions.
   b) By way of derogation from Article 7.02(3), the sum of the blind sector arcs from dead ahead to 22.5° abaft the beam, on either side, shall not exceed 20°. Each individual blind sector shall not exceed 5°. The sector of visibility between two blind sectors shall not be less than 10°.

3. Instruments
   Panels of control and monitoring instruments for the installations mentioned in Article 29.10 shall be in separate and clearly marked positions in the wheelhouse. This shall also apply, where appropriate, to controls for launching collective life-saving equipment.

4. Lighting
   Red light shall be used for areas or pieces of equipment which shall be lit during use.

5. Windows
   Reflections shall be avoided. A means for avoiding dazzle by sunlight shall be provided.

6. Surface materials
   The use of reflective surface materials in the wheelhouse shall be avoided.

**Article 29.07**

*Additional equipment*

High-speed craft shall have the following equipment:
   a) a navigational radar installation and rate-of-turn indicator according to Article 7.06(1); and
   b) readily accessible individual life-saving equipment installed conforming to Article 19.09(4).

**Article 29.08**

*Enclosed areas*

1. General

Public rooms and accommodation and the equipment they contain shall be designed so that any person making proper use of those facilities will not suffer injury during a normal and emergency start or stop, or during manoeuvring in normal cruise and in failure or malfunction conditions.
Special provisions applicable to high-speed vessels

Chapter 29

2. Communication
   a) For the purpose of informing passengers of safety measures, all passenger vessels shall be fitted with optic and acoustic installations visible and audible to everyone on board.
   b) The installations described under (a) shall enable the boatmaster to give instructions to passengers.
   c) Every passenger shall have access to instructions for emergency situations close to their seat, including a plan of the vessel showing all exits, escape routes, emergency equipment, life-saving equipment and instructions for the use of lifejackets.

Article 29.09

Exits and escape routes

Exits and escape routes shall satisfy the following requirements:
   a) There shall be easy, safe and quick access from the steering position to rooms and accommodation accessible to the public.
   b) Escape routes leading to emergency exits shall be clearly and permanently marked.
   c) All exits shall be properly marked. The operation of the opening mechanism shall be obvious from the outside and the inside.
   d) The escape routes and emergency exits shall have a suitable safety guidance system.
   e) Sufficient space for a member of the crew shall be left next to exits.

Article 29.10

Fire protection and fire-fighting

1. Corridors, rooms and accommodation accessible to the public and also galleys and engine rooms shall be connected to an appropriate fire alarm system. Any fire and its location shall be indicated automatically in a place permanently manned by shipboard personnel or crew members.

2. Engine rooms shall be equipped with a permanently installed fire-fighting system according to Article 13.05.

3. Rooms and accommodation accessible to the public and their escape routes shall be equipped with a pressurised water sprinkler system according to Article 13.04. It shall be possible to drain the used water rapidly and directly to the outside.
CHAPTER 30
SPECIAL PROVISIONS APPLICABLE TO CRAFT EQUIPPED WITH PROPULSION OR AUXILIARY SYSTEMS OPERATING ON FUELS WITH A FLASHPOINT EQUAL TO OR LOWER THAN 55 °C

Article 30.01
General

1. For the purpose of this chapter “propulsion and auxiliary systems” means any system using fuel, including:
   a) fuel tanks, and tank connections,
   b) gas preparation systems,
   c) piping and valves,
   d) engines and turbines,
   e) control, monitoring and safety systems.

2. By way of derogation from Article 8.01(3) and Article 8.05(1), (6), (9), (11) and (12) and the provisions of chapter 9 propulsion and auxiliary systems operating on fuels with a flashpoint equal to or lower than 55 °C may be installed on craft provided that the requirements for these fuels laid down in this Chapter and Annex 8 have been complied with.

3. Propulsion and auxiliary systems according to (2) shall be constructed and installed under the supervision of the inspection body.

4. For the purpose of discharging tasks pursuant to this chapter, the inspection body may employ a technical service in accordance with Article 30.07.

5. Before commissioning of a propulsion or auxiliary system according to (2), the following documents shall be submitted to the inspection body:
   a) a risk assessment according to Annex 8,
   b) a description of the propulsion or auxiliary system,
   c) drawings of the propulsion or auxiliary system,
   d) a diagram of the pressure and temperature within the system,
   e) an operating manual containing all applicable procedures, intended for practical use of the system,
   f) a safety rota according to Article 30.03,
   g) a copy of the inspection certificate referred to in article 30.02(4).

6. A copy of the documents mentioned in (5) shall be carried on board.
Article 30.02
Testing

1. Propulsion and auxiliary systems operating on fuels with a flashpoint equal to or lower than 55 °C shall be inspected by an inspection body:
   a) before commissioning,
   b) after any modification or repair,
   c) regularly, at least once a year.

   The relevant instructions of the manufacturers shall be taken into account in the process.

2. The inspections referred to in (1)(a) and (c), have to cover at least:
   a) a check of conformity of the propulsion and auxiliary systems with the approved drawings and in the case of subsequent checks, whether alterations in the propulsion or auxiliary system were made,
   b) if necessary a functional test of the propulsion and auxiliary systems for all operational possibilities,
   c) a visual check and a tightness check of all system components, in particular valves, pipelines, hoses, pistons, pumps and filters,
   d) a visual check of the electrical and electronic appliances of the installation,
   e) a check of the control, monitoring, and safety systems.

3. The inspections referred to in (1)(b) shall include the concerned parts of (2).

4. For each inspection according to (1), an inspection attestation shall be issued showing the date of inspection.

Article 30.03
Safety organisation

1. A safety rota shall be provided on board craft equipped with propulsion or auxiliary systems operating on fuel with a flashpoint equal to or lower than 55 °C. The safety rota shall include safety instructions according to (2) and a safety plan according to (3) of the craft.

2. These safety instructions shall include at least the following information:
   a) emergency shutdown of the system,
   b) measures to be taken in the event of accidental release of liquid or gaseous fuel, for instance during bunkering,
   c) measures to be taken in the event of fire or other incidents on board,
   d) measures to be taken in the event of collision,
   e) use of safety equipment,
   f) raising the alert,
   g) evacuation procedures.
3. The safety plan shall include at least the following information:
   a) hazardous areas,
   b) escape routes, emergency exits and gastight rooms,
   c) life-saving equipment and ship’s boats,
   d) fire extinguishers, fire-fighting systems and sprinkler systems,
   e) alarm systems,
   f) emergency circuit-breakers’ controls,
   g) fire dampers,
   h) emergency power sources,
   i) ventilation system controls,
   j) controls for fuel supply lines,
   k) safety equipment.

4. The safety rota shall:
   a) be duly stamped by the inspection body, and
   b) be prominently displayed at one or more appropriate points on board.

Article 30.04
(left void)

Article 30.05
Marking

Operation rooms and system components shall be appropriately marked so that it is clear for what fuels they are being used.

Article 30.06
Independent propulsion

In the event of an automatic shutdown of the propulsion system or parts of the propulsion system, the craft shall be able to make steerageway under its own power.

Article 30.07
Technical services

1. The technical services shall satisfy the European Standard EN ISO 17020 : 2012.

2. Manufacturers and distributors of propulsion or auxiliary systems, or parts of these systems, cannot be recognised as technical services.

3. The technical service’s expertise must comply with the relevant requirements from Annex 8.

4. The monitoring and testing according to Articles 30.01 and 30.02 may be performed by different technical services provided that all the expertise described in (3) is taken into account in the process.
Chapter 30

Special provisions applicable to craft equipped with propulsion or auxiliary systems operating on fuels with a flashpoint equal to or lower than 55°C.
CHAPTER 31
SPECIAL PROVISIONS APPLICABLE TO VESSELS SAILING WITH MINIMUM CREW

Article 31.01
Vessels’ equipment

For motor vessels, pushers, pushed convoys and passenger vessels, compliance with, or failure to comply with, the provisions of Article 31.02 or Article 31.03 shall be entered in item 47 of the inland navigation vessel certificate by the inspection body.

Article 31.02
Standard S1

1. The propulsion systems shall be arranged in such a way that speed can be changed and the direction of the propeller thrust reversed from the steering position.

   It shall be possible for the auxiliary engines needed for operational purposes to be switched on or off from the steering position, unless this is done automatically or the engines run continuously during each voyage.

2. In the critical areas of,
   - the temperature of the main-engine cooling water,
   - the lubricating-oil pressure for the main engines and transmissions,
   - the oil and air pressure of the main-engine reversing units, reversible transmissions or propellers,
   - the bilge levels in the main engine room,
   there shall be monitoring by means of instruments which set off optical and acoustic signals in the wheelhouse in the event of malfunction. The acoustic alarm signals may be combined in one audible warning device. They may be switched off as soon as the malfunction has been acknowledged. The visual alarm signals may only be switched off when the malfunctions which set them off have been corrected.

3. The fuel shall be supplied and main engines cooled automatically.

4. The steering system shall be able to be operated by one person, even in maximum draught, without requiring particular force.

5. It shall be possible to give the optical and acoustic signals in accordance with the applicable navigational authority regulations of the Member States, as appropriate, from the steering position of vessels under way.

6. Where there is no direct communication between the steering position and the fore-section, the aft, the accommodation and the engine rooms, a voice communication system shall be provided. For communication with the engine rooms, this may be in the form of an optical or acoustic signal.

7. The operation of cranks and similar revolving parts of lifting devices shall not require a force of more than 160 N.
8. The towing winches entered in the inland navigation vessel certificate shall be motorised.

9. The bilge and deck-wash pumps shall be motorised.

10. The principal control units and monitoring instruments shall be ergonomically arranged.

11. The equipment required under Article 6.01(1), shall be capable of being remotely operated from the steering position.

**Article 31.03**  
**Standard S2**

Standard S1 and additionally equipped with:

1. For motor vessels operating separately:
   
a bow thruster which can be operated from the steering position;

2. For motor vessels propelling in side-by-side formation:
   
a bow thruster which can be operated from the steering position;

3. For motor vessels propelling pushed convoys made up of the motor vessel itself and a craft in front:
   
hydraulic or electrically operated coupling winches. This equipment is, however, not required if the foremost vehicle in the pushed convoy is equipped with a bow thruster which can be operated from the steering position of the pushing motor vessel;

4. For pushers propelling a pushed convoy:
   
hydraulic or electric coupling winches. This equipment is, however, not required if the foremost craft in the pushed convoy is equipped with a bow thruster which can be operated from the steering position of the pusher;

5. For passenger vessels:
   
a bow thruster which can be operated from the steering position. This equipment is, however, not required if the propulsion system and steering system of the passenger vessel guarantee equal manoeuvrability.
PART IV
TRANSITIONAL PROVISIONS

CHAPTER 32
TRANSITIONAL PROVISIONS FOR CRAFT NAVIGATING ON THE RHINE (ZONE R)

Article 32.01
Applicability of transitional provisions to craft which are already in service

1. The provisions of Articles 32.02 to 32.04 apply only to craft in possession of a valid Rhine vessel inspection certificate:
   a) first issued according to the Rhine Vessel Inspection Regulations in force on 31 December 1994, or
   b) renewed at least once before the 31 December 1994, or
   c) which were under construction or undergoing conversion on 31 December 1994.

2. For craft not covered by (1), the provisions of Article 32.05 apply.

Article 32.02
Transitional provisions for craft which are already in service

1. Craft that do not fully comply with the requirements of this Standard shall:
   a) be adapted to comply with those provisions in accordance with the transitional provisions listed in the table below, and
   b) until their adaptation, comply with the Rhine Vessel Inspection Regulations in force on 31 December 1994.

In the event of a new inland navigation vessel certificate being issued to a craft as defined in Article 32.01(1), the Rhine vessel inspection certificate shall be submitted as corroboration, the Rhine vessel inspection certificate shall be withdrawn and the date the Rhine vessel inspection certificate was issued according to the Rhine Vessel Inspection Regulations in force on 31 December 1994 shall be entered in item 52 of the new inland navigation vessel certificate as follows:

“A Rhine vessel inspection certificate according to the Rhine Vessel Inspection Regulations in force on 31 December 1994 was issued on: …”
2. The following definitions apply in the table below:

'N.R.C.': the provision does not apply to craft which are already operating, unless the parts concerned are replaced or converted, i.e. the provision applies only to Newly-built craft and to the Replacement or Conversion of the parts or areas concerned. If existing parts are replaced by replacement parts using the same technology and of the same type, this does not constitute replacement 'R' within the meaning of the transitional provisions.

'Issue or renewal of the inland navigation vessel certificate': the provision must be complied with the time of the next issue or renewal of the inland navigation vessel certificate after the date indicated.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CHAPTER 3</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.03</td>
<td>(1)(a)</td>
<td>Situation of collision bulkhead</td>
</tr>
<tr>
<td></td>
<td>(1)(b)</td>
<td>Situation of aft-peak bulkhead</td>
</tr>
<tr>
<td></td>
<td>(2)</td>
<td>Accommodation forward of the collision bulkhead</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Accommodation aft of the aft-peak bulkhead</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Safety equipment</td>
</tr>
<tr>
<td></td>
<td>(4)</td>
<td>Gastight separation of accommodations from engine rooms, boiler rooms and holds</td>
</tr>
<tr>
<td></td>
<td>(5), 2nd subparagraph</td>
<td>Monitoring of doors in aft-peak bulkhead</td>
</tr>
<tr>
<td></td>
<td>(7)</td>
<td>Anchors not protruding in fore-sections of vessels</td>
</tr>
<tr>
<td>3.04</td>
<td>(6)</td>
<td>Exits of engine rooms</td>
</tr>
<tr>
<td><strong>CHAPTER 5</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.06</td>
<td>(1) 1st sentence</td>
<td>Minimum speed</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>----------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>-----------------------</td>
</tr>
<tr>
<td><strong>CHAPTER 6</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6.01</td>
<td>(1) Manoeuvrability required by Chapter 5</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td></td>
<td>(3) Permanent lists and ambient temperatures</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td></td>
<td>(7) Design of rudder stocks</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>6.02</td>
<td>(1) Presence of separate hydraulic tanks</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td></td>
<td>Duplicated pilot valves in case of hydraulic drive units</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2020</td>
</tr>
<tr>
<td></td>
<td>Separated pipework for the second drive unit in case of hydraulic drive units</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2020</td>
</tr>
<tr>
<td></td>
<td>(2) Separated pipework for the second drive unit in case of hydraulic drive units</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td></td>
<td>(3) Manoeuvrability required by Chapter 5 ensured by second drive unit or manual drive</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>6.03</td>
<td>(1) Connection of other consumers to hydraulic steering apparatus drive unit.</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>6.05</td>
<td>(1) Wheel of manual drive not driven by powered drive unit</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>6.06</td>
<td>(1) Two independent steering controls</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>6.07</td>
<td>(2)(a) Level alarm of the hydraulic tanks and alarm of the service pressure</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>6.08</td>
<td>(1) Requirements for electrical equipment according to Article 10.20</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
</tbody>
</table>

**CHAPTER 7**

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.02</td>
<td>(3) 2nd subparagraph Unobstructed view in the helmsman's usual axis of vision</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(6)</td>
<td>Minimal light transmission</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td></td>
<td>N.R.C. for vessels with tinted windows satisfying the following conditions:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- The windows are tinted green and exhibit a minimum light transmission of 60 %,</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- The wheelhouse ceiling is so designed as to preclude reflections on the windows,</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Light sources in the wheelhouse must be steplessly dimmable or capable of being switched off,</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- All reasonable measures to avoid other reflections have been taken.</td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td>Safety glass design</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>7.03</td>
<td>(7) Shutdown of alarms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate, unless the wheelhouse has been designed for radar navigation by one person.</td>
</tr>
<tr>
<td>(8)</td>
<td>Automatic switch to another power source</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
</tr>
<tr>
<td>7.04</td>
<td>Control of each main engine</td>
<td>Unless wheelhouses have been designed for radar navigation by one person: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010 for other engines or 1.1.2035 if the direction of motion can be achieved directly.</td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td>1.1.2010</td>
</tr>
<tr>
<td>(3)</td>
<td>Display</td>
<td>Unless wheelhouses have been designed for radar navigation by one person: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010 for other engines.</td>
</tr>
<tr>
<td>(9) 3rd sentence</td>
<td>Control by a lever</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010 for other engines.</td>
</tr>
<tr>
<td>(9) 4th sentence</td>
<td>Clearly show the direction of the thrust</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010 for other engines.</td>
</tr>
<tr>
<td>7.05</td>
<td>Navigation lights, their casings, accessories and light sources</td>
<td>Navigation lights, their casings, accessories, and light sources that fulfill the requirements for colour and light intensity of lights on board, and for the admission of navigation lights for navigation on the Rhine, as of 30 November 2009 may still be used.</td>
</tr>
<tr>
<td>(1)</td>
<td></td>
<td>1.1.2010</td>
</tr>
<tr>
<td>7.06</td>
<td>Rate-of-turn indicators, which have received an approval before 1st January 1990</td>
<td>Rate-of-turn indicators, which have received an approval before 1st January 1990 and have been installed before 1st January 2000, may be maintained and used until renewal of the inland navigation vessel certificate after 1st January 2015, if there is a valid installation certificate pursuant to Directive 2006/87/EC(^1) or Resolution CCNR 1989-II-35.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval after 1st January 1990.</td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval on or after 1st January 1990, pursuant to the minimum requirements and test conditions for radar installations used for navigation on the Rhine, as well as the minimum requirements and test conditions for rate-of-turn indicators used for navigation on the Rhine, may continue to be installed and used if there is a valid installation certificate pursuant to this Standard, Directive 2006/87/EC or Resolution CCNR 1989-II-35.</td>
</tr>
<tr>
<td></td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval after 31st December 2006.</td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval on or after 31st December 2006, pursuant to the minimum requirements and test conditions of the Directive 2006/87/EC, may continue to be installed and used if there is a valid installation certificate pursuant to this Standard or Directive 2006/87/EC.</td>
</tr>
<tr>
<td></td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval after 1st December 2009.</td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval on or after 1st December 2009, pursuant to the minimum requirements and test conditions of the Resolution CCNR 2008-II-11 may continue to be installed and used if there is a valid installation certificate pursuant to this Standard or Resolution CCNR 2008-II-11.</td>
</tr>
<tr>
<td>(3)</td>
<td>Inland AIS equipment</td>
<td>Inland AIS equipment with a type approval according to Edition 1.0 and 1.01 of the Test Standard for Inland AIS and installed before the 1st December 2015 may continue to be used.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Inland AIS equipment which has received an approval on or after 19 October 2012, pursuant to the requirements of the Test Standard for Inland AIS, Edition 2.0, adopted by Resolution CCNR 2012-II-20 may continue to be installed and used.</td>
</tr>
<tr>
<td>7.09</td>
<td>Alarm system</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010.</td>
</tr>
<tr>
<td>7.12</td>
<td>(4) 2nd sentence</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td></td>
<td>(5) Stopping and locking</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2025.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>(6)</td>
<td>Automatic deactivation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2025</td>
</tr>
<tr>
<td>(7) 1st and 2nd</td>
<td>Arrangements and protection features</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2025</td>
</tr>
<tr>
<td>(7) 3rd sentence</td>
<td>Optical signal</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>(8)</td>
<td>Emergency lowering system</td>
<td>If hydraulic lowering is not possible: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2040</td>
</tr>
<tr>
<td>(12)(c)</td>
<td>Testing</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
</tbody>
</table>
<pre><code>                                                                                             | If mathematical proof cannot be provided, the inspection body may recognise other suitable proof as equivalent.                                     |
</code></pre>
<p>| CHAPTER 8             |                                                                         |                                                                                                                                                        |
| 8.01                  | (3) Only internal-combustion engines burning fuels having a flashpoint   | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015                                                                 |
|                       | of more than 55 °C                                                     |                                                                                                                                                        |
| 8.02                  | (1) Securing of engines against unintentional starting                  | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010                                                                 |
|                       |                                                                         |                                                                                                                                                        |
|                       | (4) Screening of pipe                                                   | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2025                                                                 |
| 8.03                  | (2) Monitoring devices                                                  | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010                                                                 |
|                       |                                                                         |                                                                                                                                                        |
|                       | (4) Automatic protection against overspeed display and switch off        | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010                                                                 |
|                       |                                                                         |                                                                                                                                                        |
|                       | (5) Design of shaft bushing                                            | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015                                                                 |</p>
## Article and paragraph

### Content

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>8.05</strong></td>
<td></td>
</tr>
<tr>
<td>(1) Steel tanks for liquid fuels</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(3) No fuel tanks located forward of the collision bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>(4) No fuel tanks aft of the aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>(4) No fuel tanks and their fittings above engines or exhaust pipes</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>(6), 3rd to 5th sentences Installation and measurements of breather pipes and connection pipes</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2020</td>
</tr>
<tr>
<td>(7), 1st sentence Quick-closing valve on the tank operated from deck, even when the rooms in question are closed</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(9), 2nd sentence Capacity-gauging devices to be legible up to maximum filling level</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>(13) Filling level control not only for main engines but also other engines needed for safe operation of the vessel</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td><strong>8.06</strong></td>
<td></td>
</tr>
<tr>
<td>Tanks for lubricating oil, pipes and accessories</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
</tr>
<tr>
<td>8.07</td>
<td>Tanks for oils in power transmission systems, control and activating systems and heating systems, pipes and accessories</td>
</tr>
<tr>
<td>8.08</td>
<td>Simple closing device not sufficient for connection of ballast spaces to drainage pipes for holds capable of carrying ballast</td>
</tr>
<tr>
<td>(9)</td>
<td>Gauging devices in hold bilges</td>
</tr>
<tr>
<td>8.09</td>
<td>Installations for the collection of oily water and used oil stores</td>
</tr>
<tr>
<td>8.10</td>
<td>Emission limit of 65 dB(A) for stationary vessels</td>
</tr>
</tbody>
</table>

**CHAPTER 9**

For engines which are already installed onboard and non type-approved, only Article 9.02 applies.

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>9.01</td>
<td>General provisions</td>
<td>For engines complying with the type-approval and installation provisions in force at the date of installation: N.R.</td>
</tr>
<tr>
<td>9.06</td>
<td>Installation test</td>
<td></td>
</tr>
</tbody>
</table>

**CHAPTER 10**

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>10.01</td>
<td>Required documents must be submitted to the Inspection body</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
</tbody>
</table>

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1 The transitional provision applicable to Article 8.10(3) is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 01 December 2014: '8.10(3) / Emission limit of 65 dB(A) for stationary vessels / N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015'.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(2)(b)</td>
<td>Plans of main, emergency and distribution switchboards must be on board</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>(2)(e)</td>
<td>Switchboard plans as well as electric propulsion engine documentation</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(2)(f)</td>
<td>Plans for electronic systems</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(2)(g)</td>
<td>Control circuit plans</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(3)</td>
<td>Ambient temperatures inside and on deck</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>10.02</td>
<td>Power supply systems</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>10.03</td>
<td>Type of protection according to installation location</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.04</td>
<td>Protection from explosion</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.05</td>
<td>Cross-section of earthing conductors</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>10.06</td>
<td>Three-phase alternating current</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.08</td>
<td>Compliance with European Standards EN 15869-1, EN 15869-3 and EN 16840</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.10</td>
<td>Installation of transformers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(3)</td>
<td>Separate primary and secondary windings of transformers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(4)</td>
<td>Tappings on the secondary windings of transformers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>(5)</td>
<td>Plate with manufacturer and power of engines, generators, transformers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.11</td>
<td>(3) Ventilation of service rooms and cupboard to the open deck</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(7) Ventilation of enclosed rooms, cupboard or chest, in which</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td></td>
<td>accumulators are installed</td>
<td></td>
</tr>
<tr>
<td>(12)</td>
<td>Measurement of charging devices</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(13)</td>
<td>Automatic chargers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(14)</td>
<td>Maximum charging voltage</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(15)</td>
<td>Standards EN 62619 and EN 62620 for lithium-ion accumulators</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(16)</td>
<td>Accumulator management system</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.12</td>
<td>(2)(d) Direct power supply for consumer equipment for vessel propulsion</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>system and manoeuvring</td>
<td>1.1.2015</td>
</tr>
<tr>
<td></td>
<td>(3)(b) Device for monitoring insulation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1.1.2010</td>
</tr>
<tr>
<td>10.13</td>
<td>Emergency circuit-breaker devices</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1.1.2010</td>
</tr>
<tr>
<td>10.14</td>
<td>(3), second sentence Prohibition of single pole switches in washrooms,</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>bathrooms and other wet rooms</td>
<td>1.1.2010</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>10.15</td>
<td>(2) Minimum cross-section per conductor of 1.5 mm²</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td></td>
<td>(10) Cables connected to elevating wheelhouses</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td></td>
<td>(11) Cable harness penetrations</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(12) Cables from an emergency electrical power source to consumer equipment</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(13) Cable in areas with high ambient temperatures</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(14) Installation of main and emergency power supply cables</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.16</td>
<td>(3) Second sentence</td>
<td>Second circuit, N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>10.18</td>
<td>(1) Device for disconnecting from the mains</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(2) Accessibility</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(3) Galvanic separation of control and power circuits</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(4) Operating with voltage and frequency variations</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(5) Discharge time when disconnected from mains</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(6) Response upon failure of external control signals</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(7) Response upon failure of control voltages</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>------------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>(8)</td>
<td>Error detection and prevention of undetected errors</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(9)</td>
<td>Monitoring</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(10)</td>
<td>Type examination</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.19</td>
<td>Alarm and safety systems for mechanical installations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>10.20</td>
<td>Test conditions for electronic installations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>10.21</td>
<td>Electromagnetic compatibility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
</tbody>
</table>

**CHAPTER 13**

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>13.01</td>
<td>Anchor equipment</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>13.02 (2)(b)</td>
<td>Receptacles made of steel or another sturdy, non-flammable material and holding at least 10 litres</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>13.03 (1)</td>
<td>European Standard</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>(2)</td>
<td>Suitability for Class A, B and C fires</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>(4)</td>
<td>Relation of CO₂ content and size of room</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>13.04</td>
<td>Permanently installed fire-fighting systems in accommodation spaces, wheelhouses and passenger rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
</tr>
</tbody>
</table>
| 13.05                 | Permanently installed fire-fighting systems in engine rooms, boiler rooms and pump rooms | a) Permanent CO₂ fire-fighting systems installed before 1 October 1980 may remain in use until the renewal of the inland navigation certificate after 1.1.2035, if they comply with the requirements of Article 7.03(5) of the Rhine Vessel Inspection Regulations in force on 1 April 1976 (Protocol CCNR 1975-I-23).  
  
b) Permanent CO₂ fire-fighting systems installed between 1 April 1992 and 31 December 1994 may remain in use until the renewal of the inland navigation certificate vessel after 1.1.2035, if they comply with the requirements of Article 7.03(5) of the Rhine Vessel Inspection Regulations in force on 31 December 1994.  
  
c) Recommendations of the CCNR issued between 1 April 1992 and 31 December 1994 with regard to Article 7.03(5) of the Rhine Vessel Inspection Regulations in force on 31 December 1994 remain valid until renewal of the inland navigation vessel certificate after after 1.1.2035.  
  
d) Article 13.05(2)(a) is only applicable until the renewal of the inland navigation vessel certificate after 1.1.2035 if those installations have been installed in vessels laid down after 1 October 1992. |
| 13.07¹ | Application of the European Standard to ship’s boat | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2020 |
| 13.08 (2) | Inflatable life jackets | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010  
  
Life jackets which have been on board on 30.9.2003 may be used until the renewal of the inland navigation vessel certificate after 1.1.2010 |

¹ The transitional provision applicable to Article 13.07 is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: ‘13.07 / Application of the European standard to ship’s boat / N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015’.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CHAPTER 14</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14.02  (4)</td>
<td>Equipping of the outer edges of decks, side decks and other work stations</td>
<td>N.R.C.¹</td>
</tr>
<tr>
<td>14.04  (1)</td>
<td>Clear width of side decks</td>
<td>For vessels of ( B &gt; 7.30 , \text{m} ), N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035²</td>
</tr>
<tr>
<td>14.04  (2)</td>
<td>Side deck guardrails</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>14.05  (1)</td>
<td>Access to work stations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>14.05  (4)</td>
<td>Stairs in work stations which are manned continuously</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>14.06  (2)</td>
<td>Exits and emergency exits</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>14.07  (1)</td>
<td>Ladders, steps and similar devices</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>14.07  2° sentence</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14.10   (1)</td>
<td>Hatch covers</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>14.11   (1)</td>
<td>Winches</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
</tbody>
</table>

¹ However at the latest on renewal of the inland navigation vessel certificate after 1.1.2015, the crafts must comply with the following requirements:
 - The outer edges of the decks, as well as work stations where persons might fall more than 1 m, shall be fitted with bulwarks or coamings that are at least 0.70 m height or with continuous guard rails in accordance with European Standard EN 711 : 1995, comprising a handrail, intermediate rail at knee height and foot rail.
 - Side decks shall be fitted with a foot rail and continuous handrail that is secured to the coaming. Coaming handrails shall not be required where sidedecks are fitted with non-retractable shipside guard rails.

² For vessels laid down after 31.12.1994 and for vessels in service, the requirement shall apply under the following conditions:
 - Should the entire hold area be replaced, the requirements of article 14.04 must be complied with. In the event of modifications affecting the entire length of the side deck area and modifying the clear width of the side deck,
   a) article 14.04 must be complied with when the clear width of the side deck to a height of 0.90 m, available before the modification, has to be reduced
   b) the clear width of the side deck to a height of 0.90 m or the clear width above that height, available before the modification, must not be reduced if their dimensions are less than those specified in article 14.04.
### Article and paragraph

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>14.12 (2)</td>
<td>Manufacturer’s plate</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>14.12 (4) first sentence</td>
<td>Safety devices</td>
<td>N.R.C. no later than after the first renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>14.12 (4) second sentence</td>
<td>Safety clearance</td>
<td>N.R.C. at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>Should this provision not be achievable in practice after this date has expired, locations within workstations and passageways where the safety clearance is less than 0,50 m must be clearly marked as such.</td>
<td></td>
</tr>
<tr>
<td>14.12 (5)</td>
<td>Safety in operation</td>
<td>N.R.C. no later than after the first renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>14.12 (9)</td>
<td>Operating instructions</td>
<td>N.R.C. no later than after the first renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>If, after this date, operating instructions can no longer be obtained from the manufacturer, they will be written by a specialist. Thereafter, as of the first test in accordance with Article 14.12(6)(c), these operating instructions will be approved by the expert conducting this test.</td>
<td></td>
</tr>
</tbody>
</table>

### CHAPTER 15

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>15.01 (1)</td>
<td>Accommodation for the persons lodging habitually on board</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>15.02 (3)</td>
<td>Situation of floors</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>15.02 (4)</td>
<td>Communal living quarters and sleeping cabins</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>15.02 (6)</td>
<td>Headroom in the accommodation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>15.02 (8)</td>
<td>Free floor area of communal living quarters</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>--------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>(9)</td>
<td>Cubic capacity of rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>(10)</td>
<td>Volume of airspace per person</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>(11)</td>
<td>Size of doors</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>(12)(a) and (b)</td>
<td>Situation of stairs</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>(13)</td>
<td>Pipes carrying dangerous gases or liquids</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>15.03</td>
<td>Sanitary installations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>15.04</td>
<td>Galleys</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>15.06</td>
<td>Heating and ventilation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>15.07</td>
<td>(1) 2nd sentence Other accommodation installations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
</tbody>
</table>

**CHAPTER 18**

<p>| 18.01                 | Limit/control values and type approvals                                  | N.R.C., as long as                                                                 |
|                       |                                                                         | a) the limit and control values do not exceed the values according to values of step II by more than the factor 2, |
|                       |                                                                         | b) the on-board sewage treatment plant has a manufacturer's or expert's certificate confirming that it can cope with the typical loading patterns on board the craft and |
|                       |                                                                         | c) a system of sewage sludge management is in place for it which is appropriate to the conditions of operating a sewage treatment plant aboard a passenger vessel. |</p>
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>On-board sewage treatment plants which have received an approval on or</td>
<td>On-board sewage treatment plants which have received an approval on or after 1&lt;sup&gt;st&lt;/sup&gt; December 2011, pursuant to requirements of the Resolution CCNR 2010-II-27 (Step II), may continue to be installed and used.</td>
</tr>
<tr>
<td></td>
<td>after 1&lt;sup&gt;st&lt;/sup&gt; December 2011, pursuant to requirements of the</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Resolution CCNR 2010-II-27 (Step II), may continue to be installed and</td>
<td></td>
</tr>
<tr>
<td></td>
<td>used.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>On-board sewage treatment plants which have received an approval on or</td>
<td></td>
</tr>
<tr>
<td></td>
<td>after 10 January 2013, pursuant to requirements of the Directive 2012/49/EU (Step II), may continue to be installed and used.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>CHAPTER 19</td>
<td></td>
</tr>
<tr>
<td>19.01</td>
<td>(2)(d) Prohibition of solid fuel heaters according to Article 16.07</td>
<td>The provision does not apply to craft with solid fuel engines (steam engines).</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(2)(e) Prohibition of liquefied gas installations according to Chapter 17</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The transitional provision applies only if alarm systems are fitted in accordance with Article 19.15(8).</td>
</tr>
<tr>
<td></td>
<td>(5) and (6) Obstructed vision ahead of the vessel 2 vessel lengths if</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>less than 250 m Sufficient view astern</td>
<td></td>
</tr>
<tr>
<td>19.02</td>
<td>(2) Number and position of bulkheads</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(3) Situation of aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td></td>
<td></td>
<td>This requirement does not apply to passenger vessels that due to the compliance with the basic requirements for the two-compartment status set out in article 19.03(9) or comply with the requirements of article 19.07 achieve an equivalent level of safety and manoeuvrability.</td>
</tr>
<tr>
<td></td>
<td>(5) 2&lt;sup&gt;nd&lt;/sup&gt; sentence Margin line if no bulkhead deck</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td></td>
<td>For passenger vessels which have been laid down before 1.1.1996, N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>-----------------------</td>
</tr>
<tr>
<td>(10)(c)</td>
<td>Time for closure process</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(15)</td>
<td>Minimum height of double bottoms, width of wing voids</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>19.03</td>
<td>(1) to (6) Intact stability</td>
<td>N.R.C., and when the maximum number of passengers is raised, at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>(7) and (8) Damage stability</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(9)</td>
<td>Damage stability Vertical extent of damage to the bottom of the boat</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045 N.R.C. applicable for vessels with watertight decks on a minimum distance of 0,50 m and less then 0,60 m of the bottom of vessels that obtained a first inland navigation vessel certificate before 31.12.2005</td>
</tr>
<tr>
<td></td>
<td>Two-compartment status</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(10) to (13)</td>
<td>Damage stability</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>19.05</td>
<td>(2)(a) Number of passengers for whom the existence of an evacuation area according to Article 19.06(8) has been proven</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>(2)(b) Number of passengers that has been taken into account for the stability calculation according to Article 19.03</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
</tr>
<tr>
<td>19.06</td>
<td>1. 1st sentence</td>
<td>Passenger rooms on all decks aft of the collision bulkhead and, as long as they are below the bulkhead deck, forward of the aft peak bulkhead N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>3(c) 1st sentence</td>
<td>Clear height of exits N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>3(2) 2nd sentence</td>
<td>Clear width of doors of passenger cabins and other small rooms N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>3 f) 1st sentence</td>
<td>Size of emergency exits N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>3(g)</td>
<td>Exits intended for use by persons with reduced mobility N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>4(d)</td>
<td>Doors intended for use by persons with reduced mobility N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>5</td>
<td>Requirements for connecting corridors N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>6(b)</td>
<td>Escape routes to evacuation areas N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>6(c)</td>
<td>No escape routes through galleys N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2020</td>
</tr>
<tr>
<td></td>
<td>6(d)</td>
<td>No rungs, ladders or the like installed along the escape routes N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>7</td>
<td>Suitable safety guidance system N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
</tbody>
</table>

1 The transitional provision applicable to Article 19.06(6)(c) is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: '19.06(6)(c) / No Escape routes through galleys / N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015'.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(8)</td>
<td>Requirements for muster areas</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(9)</td>
<td>Requirements for stairs and their landings in the passenger areas</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(10)(a) 1st sentence</td>
<td>Railing according to European Standard</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(10)(a) 2nd sentence</td>
<td>Height of bulwarks and railings of decks intended for use by persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(10)(b) 2nd sentence</td>
<td>Clear width of openings used normally for the embarking or disembarking of persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(13)</td>
<td>Passageways and walls in passageways intended for use by persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(14) 1st sentence</td>
<td>Design of glass doors and walls in passageways and window panes</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(15)</td>
<td>Requirements for superstructures that consist totally or partly of panoramic windows</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(17) 2nd sentence</td>
<td>Requirements for toilets fitted for use by persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(18)</td>
<td>Ventilation system for cabins without an opening window</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
</tr>
<tr>
<td>(19)</td>
<td>Requirements of Article 19.06 for rooms in which crew members or shipboard personnel are accommodated</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>19.07^1</td>
<td>Separate engine room</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2020</td>
</tr>
<tr>
<td>19.08 (3)(a)^2</td>
<td>Alarm system requirements for passengers, crew members or shipboard personnel to alert passenger vessels' command and crew</td>
<td>For day-trip vessels, the requirement applies N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2020</td>
</tr>
<tr>
<td>(6)</td>
<td>Permanently installed bilge system</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>19.10 (2)</td>
<td>Article 10.16(3) also applicable to passageways and recreation rooms for passengers</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(3)</td>
<td>Adequate emergency lighting</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(4)</td>
<td>Emergency power plant</td>
<td>For day-trip vessels with $L_W$ of 25 m or less, the provision applies at N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(4)(f)</td>
<td>Emergency supply for searchlights according to Article 13.02(2)(i)</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
</tbody>
</table>

1. The transitional provision applicable to Article 19.07 is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: ‘19.07 / Requirements concerning the propulsion system / N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015’.

2. The transitional provision applicable to Article 19.08(3) is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: ‘19.08(3) / Alarm system requirements / N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015’ and ‘19.08(3)(c) / Alarm system for alerting the crew and shipboard personnel by the vessel's command / For cruise vessels, the regulation applies N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2007’.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(4)(i)</td>
<td>Emergency supply for lifts and lifting equipment according to Article 19.06(9), second sentence</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(6) 1st sentence</td>
<td>Partitions according to Article 19.11(2).</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(6) 2nd and 3rd sentence</td>
<td>Installation of cables</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(6) 4th sentence</td>
<td>Emergency power plant above the margin line</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>19.11 (1)</td>
<td>Suitability for fire protection of materials and components</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td></td>
<td>For materials and components approved according to the International Code for Application of Fire Test Procedures (FTP code) adopted under Resolution MSC.61(67)(^1) : N.R.C.</td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td>Design of partitions</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(3)</td>
<td>Paints, lacquers and other surface treatment products as well as deck coverings used in rooms except engine rooms and store rooms shall be flame-retardant</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>(4)</td>
<td>Lounge ceilings and wall claddings manufactured from non-combustible material</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(5)</td>
<td>Furniture and fittings in muster areas manufactured from non-combustible material</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
</tbody>
</table>

\(^1\) MSC.61(67) adopted on 5 December 1996 - International Code for Application of Fire Test Procedures.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(6)</td>
<td>Tested according to Code</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(7)</td>
<td>Insulation materials in lounges</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(9)</td>
<td>Requirements for doors in partitions</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(11)</td>
<td>Partitions</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(12)</td>
<td>Draught stops</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(13)</td>
<td>Stairs made of steel or another equivalent non-combustible material</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(14)</td>
<td>Internal stairs encapsulated at all levels by walls according to (2).</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(15)</td>
<td>Ventilation systems and air supply systems</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(16)</td>
<td>Ventilation systems in galleys and stoves with extractors</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>(17)</td>
<td>Control centres, stairwells, muster areas and smoke extraction systems</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>19.12</td>
<td>(8)(d) Installation of fire extinguishing pumps</td>
<td>Both pumps: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>(9)</td>
<td>Fire-extinguishing system in engine rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
</tr>
<tr>
<td>19.14 (1)</td>
<td>Waste water collection tanks and disposal facilities</td>
<td>For cabin vessels with no more than 50 berths and for day-trip vessels: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>19.14 (2)</td>
<td>Requirements for waste water collection tanks</td>
<td>For cabin vessels with no more than 50 berths and for day-trip vessels with no more than 50 passengers: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
<tr>
<td>19.15 (1)</td>
<td>Damage stability</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2045</td>
</tr>
</tbody>
</table>

**CHAPTER 21**

| 21.01 (2)             | Special winches or equivalent coupling devices to be used for pushing purposes | For craft certified before 1.1.1995 for pushing without proper securing equipment: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035 |
| (3) last sentence     | Requirements for drive units | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035 |

**CHAPTER 22**

| 22.02 (3)             | Additional requirements | The same transitional provisions as those indicated under the relevant article apply. |

**CHAPTER 25**

| 25.01                 | Application of Articles 7.01(2), 8.05(13) and 8.10 | For seagoing vessels not intended for the carriage of substances referred to in the ADN and the keel of which was laid before 1.10.1987: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015 |
| Application of Article 8.09(2) | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010 |

**CHAPTER 26**

| 26.01                 | For recreational craft built before 1.1.1995: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035 |
Article 32.03  
Additional transitional provisions for craft which were laid down on or before 1 April 1976

1. In addition to the transitional provisions of the Article 32.02, the following provisions may be applied to craft which were laid down on or before 1 April 1976.

2. The following definitions apply in the table below:

'R.C.': the provision does not apply to craft which are already operating, unless the parts concerned are replaced or converted, i.e. the provision applies only to the Replacement or Conversion of the parts or areas concerned. If existing parts are replaced by replacement parts using the same technology and of the same type, this does not constitute replacement 'R' within the meaning of the transitional provisions.

'Issue or renewal of the inland navigation vessel certificate': the provision must be complied with the time of the next issue or renewal of the inland navigation vessel certificate after the date indicated.

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CHAPTER 3</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.04</td>
<td>(2)</td>
<td>Common surfaces of bunkers and accommodation and passenger rooms</td>
</tr>
<tr>
<td></td>
<td>(7)</td>
<td>Maximum permissible sound pressure level</td>
</tr>
<tr>
<td><strong>CHAPTER 4</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.01</td>
<td>(1)</td>
<td>Safety clearance</td>
</tr>
<tr>
<td>4.02</td>
<td></td>
<td>Freeboard</td>
</tr>
<tr>
<td>4.03</td>
<td></td>
<td>Minimum freeboard</td>
</tr>
</tbody>
</table>

1 The transitional provision applicable to Article 3.04(7) is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: '3.04(7) / Maximum permissible sound pressure level / R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015'.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CHAPTER 7</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7.01</td>
<td>(2)</td>
<td>Sound pressure generated by the vessel</td>
</tr>
<tr>
<td><strong>CHAPTER 8</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8.08</td>
<td>(3) and (4)</td>
<td>Minimum pumping capacity and internal diameter of drainage pipes</td>
</tr>
<tr>
<td>8.10</td>
<td>(2)</td>
<td>Noise generated by a vessel under way</td>
</tr>
<tr>
<td><strong>CHAPTER 10</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10.01</td>
<td>(1)</td>
<td>Requirements for electrical equipment</td>
</tr>
<tr>
<td></td>
<td>1st sentence, (2) to (4)</td>
<td></td>
</tr>
<tr>
<td>10.03</td>
<td></td>
<td>Protection against physical contact, intrusion of solid objects and the ingress of water</td>
</tr>
<tr>
<td>10.06</td>
<td></td>
<td>Maximum permissible voltages</td>
</tr>
<tr>
<td>10.10</td>
<td></td>
<td>Generators, engines and transformers</td>
</tr>
<tr>
<td>10.11</td>
<td>(2)</td>
<td>Installation of accumulators</td>
</tr>
<tr>
<td>10.12</td>
<td></td>
<td>Switchgear and controlgear</td>
</tr>
</tbody>
</table>

1. The transitional provision applicable to Article 7.01(2) is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 01 December 2014: ‘7.01(2) / Sound pressure generated by the vessel / R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015’.

2. The transitional provision applicable to Article 8.10(2) is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: ‘8.10(2) / Noise produced by vessel under way / R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015’.

3. The transitional provision applicable to Article 10.01 is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: ‘10.01 / Requirements for electrical equipment / R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015’.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>10.14</td>
<td>Installation fittings</td>
<td>R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>10.15</td>
<td>Cables</td>
<td>R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>10.17</td>
<td>Navigation lights</td>
<td>R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td><strong>CHAPTER 15</strong></td>
<td></td>
</tr>
<tr>
<td>15.02</td>
<td>(5) Noise and vibration in accommodations</td>
<td>R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td><strong>CHAPTER 19</strong></td>
<td></td>
</tr>
<tr>
<td>19.02</td>
<td>(3) Situation of collision and aft-peak bulkheads</td>
<td>R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>(5), (6) 1st sentence, (7) to (11), and (13)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Margin line if no bulkhead deck</td>
<td>R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>(16) Watertight windows</td>
<td></td>
</tr>
<tr>
<td>19.04</td>
<td>Safety clearance, freeboard, immersion measures</td>
<td>R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>19.05</td>
<td>Number of passengers</td>
<td>Renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>19.10</td>
<td>(4), (6), (7), (8) and (11) Emergency power plant</td>
<td>R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
</tbody>
</table>

1 The transitional provision applicable to Article 15.02(5) is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: ‘15.02(5) / Noise and vibration in accommodations / Renewal of the inland navigation vessel certificate after 1.1.2015’.
3. Article 19.11(3) first sentence and (6) applies to day-trip vessels laid down on or before 1 April 1976 until the first renewal of the inland navigation vessel certificate after 1.1.2045, with the proviso that only paints, varnishes, coatings and other materials used on surfaces facing escape routes and other materials for the surface treatment of panels must be fire-resistant and that smoke or toxic vapours may not develop to any dangerous extent.

4. Article 19.11(12) applies to day-trip vessels laid down on or before 1 April 1976 until the renewal of the inland navigation vessel certificate after 1.1.2045, with the proviso that it is sufficient if, instead of stairs in the form of a load-bearing steel assembly, the stairs serving as an escape route are designed in such a way that they remain useable, in the event of a fire, for about the same time as stairs in the form of a load-bearing steel assembly.

**Article 32.04**

*Other transitional provisions*

1. The provisions of this article apply in addition to the transitional provisions of the Articles 32.02 and 32.03.

2. For craft the minimum freeboard of which was determined in accordance with Article 4.04 of the Rhine Vessel Inspection Regulations as applicable on 31 March 1983, the inspection body may, at the request of the owner, determine the freeboard in accordance with Article 4.03 of the Rhine Vessel Inspection Regulations as applicable on 1 January 1995.

3. Craft laid down before 1 July 1983 do not need to conform to Chapter 10 of this Standard, but must at least conform to Chapter 6 of the Rhine Vessel Inspection Regulations as applicable on 31 March 1983.

4. Article 19.06(3)(a) to (e), and Article 19.12(3)(a), with regard to the rule concerning a single hose length, apply only to passenger vessels laid down after 30 September 1984, and to conversions of the areas concerned, at the latest when the Rhine vessel inspection certificate is renewed after 1 January 2045.
5. Where this provision refers, with regard to design requirements:
   a) pertaining to free-standing items of equipment, to a European or an International Standard, such items of equipment may, after any new publication or revision of the Standard, continue to be used for a further 20 years following the new publication or the revision of this Standard,
   b) pertaining to permanently installed equipment parts, to a European or an International Standard, these equipment parts may continue to be used until they are replaced or the areas in question have been converted.

6. High-speed vessels which had a valid Rhine vessel inspection certificate on 31 March 2003 shall meet provisions of Article 29.01(3), 29.02, 29.04, 29.05, 29.06(2), 29.10(2) and (3) on 1 January 2023.

**Article 32.05**

**Transitional provisions for craft not covered by Article 32.01**

1. The following provisions apply:
   a) to craft for which a Rhine vessel inspection certificate in accordance with the Rhine Vessel Inspection Regulations was issued for the first time from 1 January 1995, provided they were not under construction or undergoing conversion on 31 December 1994,
   b) to craft which have obtained another traffic licence between 1 January 1995 and 30 December 2008,
   c) to craft for which a Community certificate valid for Zone R in accordance with Directive 2006/87/EC was issued for the first time between 30 December 2008 and 6 October 2018,
   d) to craft for which a Union certificate valid for Zone R in accordance with Directive (UE) 2016/1629 was issued for the first time from 7 October 2018.

2. It must be proved that those craft comply:
   a) with the Rhine Vessel Inspection Regulations, as applicable on the date on which their Rhine vessel inspection certificate or the other traffic licence has been granted, or
   b) with the provisions of directive 2006/87/EC applicable for Zone R, as applicable on the date on which their Community certificate has been granted, or
   c) with the provisions of directive (UE) 2016/1629 applicable for Zone R, as applicable on the date on which their Union certificate has been granted.

3. Craft must be adapted to comply with this Standard in accordance with the transitional provisions set out in the table below.

4. Article 32.04(4) and (5) apply *mutatis mutandis.*
5. The following definitions apply in the table below:

‘N.R.C.’: the provision does not apply to craft which are already operating, unless the parts concerned are replaced or converted, i.e. the provision applies only to Newly-built craft and to the Replacement or Conversion of the parts or areas concerned. If existing parts are replaced by replacement parts using the same technology and of the same type, this does not constitute replacement ‘R’ within the meaning of the transitional provisions.

‘Issue or renewal of the inland navigation vessel certificate’: the provision must be complied with the time of the next issue or renewal of the inland navigation vessel certificate after the date indicated.

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CHAPTER 3</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.03</td>
<td>(1)(b)</td>
<td>Situation of aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>(2)</td>
<td>Accommodation aft of the aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>(7)</td>
<td>Anchors not protruding in fore-sections of vessels</td>
<td>The provision comes into force from 1.1.2001: N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td><strong>CHAPTER 6</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6.02</td>
<td>(1)</td>
<td>Duplicated pilot valves in case of hydraulic drive units</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Separated pipework for the second drive unit in case of hydraulic drive units</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>6.07</td>
<td>(2)(a)</td>
<td>Level alarm of the hydraulic tanks and alarm of the service pressure</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
</tbody>
</table>
### CHAPTER 7

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.02 (6)</td>
<td>Safety glass design</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>7.04 (3)</td>
<td>Display</td>
<td>Unless wheelhouses have been designed for radar navigation by one person: N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2010 1.4.2007</td>
</tr>
<tr>
<td>7.04 (9) 3rd sentence</td>
<td>Control by a lever</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2010 1.4.2007</td>
</tr>
<tr>
<td>7.04 (9) 4th sentence</td>
<td>Clearly show the direction of the thrust</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2010 1.4.2007</td>
</tr>
<tr>
<td>7.05 (1)</td>
<td>Navigation lights, their casings, accessories and light sources</td>
<td>Navigation lights, their casings, accessories, and light sources that fulfill the requirements for colour and light intensity of lights on board, and for the admission of navigation lights for navigation on the Rhine, as of 30 November 2009 may still be used.</td>
<td>1.1.2010 1.4.2007</td>
</tr>
<tr>
<td>7.06 (1)</td>
<td>Rate-of-turn indicators, which have received an approval before 1st January 1990</td>
<td>Rate-of-turn indicators, which have received an approval before 1st January 1990 and have been installed before 1st January 2000, may be maintained and used until renewal of the inland navigation vessel certificate after 1st January 2015, if there is a valid installation certificate pursuant to Directive 2006/87/EC1 or Resolution CCNR 1989-II-35.</td>
<td>1.1.2010 1.4.2007</td>
</tr>
</tbody>
</table>

---

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval after 1st January 1990</td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval on or after 1&lt;sup&gt;st&lt;/sup&gt; January 1990, pursuant to the minimum requirements and test conditions for radar installations used for navigation on the Rhine, as well as the minimum requirements and test conditions for rate-of-turn indicators used for navigation on the Rhine, may continue to be installed and used if there is a valid installation certificate pursuant to this Standard, Directive 2006/87/EC or Resolution CCNR 1989-II-35.</td>
<td>1.12.2009</td>
</tr>
<tr>
<td></td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval after 31&lt;sup&gt;st&lt;/sup&gt; December 2006</td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval on or after 31&lt;sup&gt;st&lt;/sup&gt; December 2006, pursuant to the minimum requirements and test conditions of the Directive 2006/87/EC, may continue to be installed and used if there is a valid installation certificate pursuant to this Standard or Directive 2006/87/EC.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td></td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval after 1&lt;sup&gt;st&lt;/sup&gt; December 2009</td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval on or after 1&lt;sup&gt;st&lt;/sup&gt; December 2009, pursuant to the minimum requirements and test conditions of the Resolution CCNR 2008-II-11 may continue to be installed and used if there is a valid installation certificate pursuant to this Standard or Resolution CCNR 2008-II-11.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td></td>
<td>Inland AIS equipment</td>
<td>Inland AIS equipment with a type approval according to Edition 1.0 and 1.01 of the Test Standard for Inland AIS and installed before the 1&lt;sup&gt;st&lt;/sup&gt; December 2015 may continue to be used.</td>
<td>1.12.2013</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Inland AIS equipment which has received an approval on or after 19 October 2012, pursuant to the requirements of the Test Standard for Inland AIS, Edition 2.0, adopted by Resolution CCNR 2012-II-20 may continue to be installed and used.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
<td>Date of entry into force</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
<td>-------------------------</td>
</tr>
<tr>
<td>7.12 (4) 2nd sentence</td>
<td>Indications</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate</td>
<td>1.1.2018</td>
</tr>
<tr>
<td>(5)</td>
<td>Stopping and locking</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2018</td>
</tr>
<tr>
<td>(6)</td>
<td>Automatic deactivation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2018</td>
</tr>
<tr>
<td>(7) 1st and 2nd sentences</td>
<td>Arrangements and protection features</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2018</td>
</tr>
<tr>
<td>(7) 3rd sentence</td>
<td>Optical signal</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate</td>
<td>1.1.2018</td>
</tr>
<tr>
<td>(8)</td>
<td>Emergency lowering system</td>
<td>If hydraulic lowering is not possible: N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2040</td>
</tr>
<tr>
<td>(12)(c)</td>
<td>Testing</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
<td>1.1.2018</td>
</tr>
<tr>
<td></td>
<td></td>
<td>If mathematical proof cannot be provided, the inspection body may recognise other suitable proof as equivalent.</td>
<td></td>
</tr>
</tbody>
</table>

**CHAPTER 8**

<p>| 8.02 (4) | Screening of pipe | N.R.C., at the latest on renewal of the inland navigation vessel certificate after | 1.1.2025 |
| (5) | Jacketed piping system | N.R.C., at the latest on renewal of the inland navigation vessel certificate after | 1.1.2025 |</p>
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>8.03 (4)</td>
<td>Monitoring devices</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2010 1.4.2004</td>
</tr>
<tr>
<td>8.05 (3)</td>
<td>No fuel tanks aft of the aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2035 7.10.2018</td>
</tr>
<tr>
<td>(7), 1\textsuperscript{st} sentence</td>
<td>Quick-closing valve on the tank operated from deck, even when the rooms in question are closed</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 1.4.2008</td>
</tr>
<tr>
<td>(9) 2\textsuperscript{nd} sentence</td>
<td>Capacity-gauging devices to be legible up to maximum filling level</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2010 1.4.1999</td>
</tr>
<tr>
<td>(13)</td>
<td>Filling level control not only for main engines but also other engines needed for safe operation of the vessel</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 1.4.1999</td>
</tr>
<tr>
<td>8.06</td>
<td>Tanks for lubricating oil, pipes and accessories</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.4.2007</td>
</tr>
<tr>
<td>8.07</td>
<td>Tanks for oils in power transmission systems, control and activating systems and heating systems, pipes and accessories</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.4.2007</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
<td>Date of entry into force</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>-----------------------</td>
<td>-------------------------</td>
</tr>
<tr>
<td><strong>CHAPTER 9</strong></td>
<td>For engines which are already installed onboard and non type-approved, only Article 9.02 applies.</td>
<td>7.10.2018</td>
<td></td>
</tr>
<tr>
<td>9.01</td>
<td>(1) to (4) General provisions</td>
<td>For engines complying with the type and installation provisions in force at the date of installation: N.R.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>9.06</td>
<td>Installation test</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>CHAPTER 10</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10.01</td>
<td>(2)(e) Switchboard plans as well as electric propulsion engine documentation</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td></td>
<td>(2)(f) Plans for electronic systems</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td></td>
<td>(2)(g) Control circuit plans</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.03</td>
<td>Type of protection according to installation location</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.04</td>
<td>Protection from explosion</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.05</td>
<td>(4) Cross-section of earthing conductors</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.06</td>
<td>(1) table Three-phase alternating current</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.08</td>
<td>(1) Compliance with European Standards EN 15869-1, EN 15869-3 and EN 16840</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.10</td>
<td>(2) Installation of transformers</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td></td>
<td>(3) Separate primary and secondary windings of transformers</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td></td>
<td>(4) Tappings on the secondary windings of transformers</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
<td>Date of entry into force</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>-----------------------</td>
<td>--------------------------</td>
</tr>
<tr>
<td>10.11 (5)</td>
<td>Plate with manufacturer and power of engines, generators, transformers</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.11 (3)</td>
<td>Ventilation of service rooms and cupboard to the open deck</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.11 (12)</td>
<td>Measurement of charging devices</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.11 (13)</td>
<td>Automatic chargers</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.11 (14)</td>
<td>Maximum charging voltage</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.11 (15)</td>
<td>Standards EN 62619 and EN 62620 for lithium-ion accumulators</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.11 (16)</td>
<td>Accumulator management system</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.15 (11)</td>
<td>Cable harness penetrations</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.15 (12)</td>
<td>Cables from an emergency electrical power source to consumer equipment</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.15 (13)</td>
<td>Cable in areas with high ambient temperatures</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.15 (14)</td>
<td>Installation of main and emergency power supply cables</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.18 (1)</td>
<td>Device for disconnecting from the mains</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.18 (2)</td>
<td>Accessibility</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>10.18 (3)</td>
<td>Galvanic separation of control and power circuits</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
<td>Date of entry into force</td>
</tr>
<tr>
<td>-----------------------</td>
<td>------------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------------------------</td>
<td>--------------------------</td>
</tr>
<tr>
<td>(4)</td>
<td>Operating with voltage and frequency variations</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>(5)</td>
<td>Discharge time when disconnected from mains</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>(6)</td>
<td>Response upon failure of external control signals</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>(7)</td>
<td>Response upon failure of control voltages</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>(8)</td>
<td>Error detection and prevention of undetected errors</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>(9)</td>
<td>Monitoring</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>(10)</td>
<td>Type examination</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
</tbody>
</table>

**CHAPTER 13**

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>13.02</td>
<td>(2)(b) Receptacles made of steel or another sturdy, non-flammable material and holding at least 10 litres</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
<td>1.12.2011</td>
</tr>
<tr>
<td>13.03</td>
<td>(1) European Standard</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2010 1.4.2002</td>
</tr>
<tr>
<td></td>
<td>(2) Suitability for Class A, B and C fires</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2007 1.4.2002</td>
</tr>
</tbody>
</table>
### Transitional provisions for craft navigating on the Rhine (Zone R) - Article 32.05

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>13.04</td>
<td>Permanently installed fire-fighting systems in accommodation spaces, wheelhouses and passenger rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
<td>1.4.2002</td>
</tr>
<tr>
<td>13.05</td>
<td>Permanently installed fire-fighting systems in engine rooms, boiler rooms and pump rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.4.2002</td>
<td>1.4.2002</td>
</tr>
<tr>
<td>13.07(^2)</td>
<td>Application of the European Standard to ship’s boat</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2020</td>
<td>1.10.2003</td>
</tr>
<tr>
<td>13.08</td>
<td>(2) Inflatable life jackets</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
<td>1.10.2003</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Life jackets which have been on board on 30.9.2003 may be used until the renewal of the inland navigation vessel certificate after 1.1.2010</td>
<td>1.10.2003</td>
</tr>
</tbody>
</table>

### CHAPTER 14

| 14.02                 | (4) Equipping of the outer edges of decks, side decks and other work stations | N.R.C.                                                                                 | 7.10.2018                |

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1. a) Permanently installed CO\(_2\) fire-fighting systems fitted between 1\(^{st}\) January 1995 and 31\(^{st}\) March 2003 shall continue to be accepted until renewal of the inland navigation vessel certificate after 1.1.2035 provided that they comply with article 10.03(5) of the 31\(^{st}\) March 2002 version of the Rhine Vessel Inspection Regulations.

b) The Central Commission for the Navigation of the Rhine’s recommendations regarding article 10.03(5) in the 31\(^{st}\) March 2002 version of the Rhine Vessel Inspection Regulations, issued between 1\(^{st}\) January 1995 and 31\(^{st}\) March 2002 shall remain valid until renewal of the inland navigation vessel certificate after 1.1.2035.

c) Article 13.05(2)(a) shall only apply to installations on board vessel the keel of which was laid after 1\(^{st}\) October 1992 and until renewal of the inland navigation vessel certificate after 1.1.2035.

2. The transitional provision applicable to Article 13.07 is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: ‘13.07 / Application of the European standard to ship’s boat / N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015 / 1.10.2003’.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>14.04 (2)</td>
<td>Side deck guardrails</td>
<td>N.R.C.</td>
<td>7.10.2018</td>
</tr>
<tr>
<td>14.12 (2), (4), (5) and (9)</td>
<td>Manufacturer's plate, protection devices, shipboard documents</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2020, 1.12.2011</td>
</tr>
</tbody>
</table>

**CHAPTER 18**

| 18.01 (2), Tables 1 and 2, and (5) | Limit/control values and type approvals | N.R.C., as long as a) the limit and control values do not exceed the values according to values of step II by more than the factor 2, b) the on-board sewage treatment plant has a manufacturer's or expert's certificate confirming that it can cope with the typical loading patterns on board the craft and c) a system of sewage sludge management is in place for it which is appropriate to the conditions of operating a sewage treatment plant aboard a passenger vessel. | 1.12.2011 |

On-board sewage treatment plants which have received an approval on or after 1st December 2011, pursuant to requirements of the Resolution CCNR 2010-II-27 (Step II), may continue to be installed and used.

On-board sewage treatment plants which have received an approval on or after 10 January 2013, pursuant to requirements of the Directive 2012/49/EU (Step II), may continue to be installed and used.

**CHAPTER 19**

| 19.01 (2)(e) | Prohibition of liquefied gas installations according to Chapter 17 | N.R.C., at the latest on renewal of the inland navigation vessel certificate after | 1.1.2045, 1.1.2006 |

The transitional provision applies only if alarm systems are fitted in accordance with Article 19.15(8).
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>19.02 (2)</td>
<td>Number and position of bulkheads</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 7.10.2018</td>
</tr>
<tr>
<td>19.02 (3)</td>
<td>Situation of aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2035 7.10.2018</td>
</tr>
<tr>
<td></td>
<td>This requirement does not apply to passenger vessels that due to the compliance with the basic requirements for the two-compartment status set out in article 19.03(9) or comply with the requirements of article 19.07 achieve an equivalent level of safety and manoeuvrability.</td>
<td></td>
<td>7.10.2018</td>
</tr>
<tr>
<td>19.03 (1) to (6)</td>
<td>Intact stability</td>
<td>N.R.C., and when the maximum number of passengers is raised, at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>19.03 (7) and (8)</td>
<td>Damage stability</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>19.03 (9)</td>
<td>Damage stability</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td></td>
<td>Vertical extent of damage to the bottom of the boat</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
<td>Date of entry into force</td>
</tr>
<tr>
<td>-----------------------</td>
<td>--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------</td>
<td>--------------------------</td>
</tr>
<tr>
<td>19.05 (2)(a)</td>
<td>Number of passengers for whom the existence of an evacuation area according to Article 19.06(8) has been proven</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>19.05 (2)(b)</td>
<td>Number of passengers that has been taken into account for the stability calculation according to Article 19.03</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>19.06 (1) 1st sentence</td>
<td>Passenger rooms as long as they are below the bulkhead deck, forward of the aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 7.10.2018</td>
</tr>
<tr>
<td>19.06 (1) 2nd sentence</td>
<td>Enclosures</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
<td>1.1.2011</td>
</tr>
<tr>
<td>19.06 (3)(c) 1st sentence</td>
<td>Clear height of exits</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>19.06 (3)(c) 2nd sentence</td>
<td>Clear width of doors of passenger cabins and other small rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>19.06 (3)(f) 1st sentence</td>
<td>Size of emergency exits</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
<td>Date of entry into force</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>-----------------------</td>
<td>-------------------------</td>
</tr>
<tr>
<td>(3)(g)</td>
<td>Exits intended for use by persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(4)(d)</td>
<td>Doors intended for use by persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(5)</td>
<td>Requirements for connecting corridors</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(6)(b)</td>
<td>Escape routes to evacuation areas</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(6)(c)</td>
<td>No escape routes through galleys</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2020</td>
</tr>
<tr>
<td>(6)(d)</td>
<td>No rungs, ladders or the like installed along the escape routes</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(7)</td>
<td>Suitable safety guidance system</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015</td>
</tr>
<tr>
<td>(8)</td>
<td>Requirements for muster areas</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(9)(a), (b), (c), (e), and last sentence</td>
<td>Requirements for stairs and their landings in the passenger areas</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(10)(a)</td>
<td>Railing according to European Standard EN 711 : 1995</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
</tbody>
</table>

1 The transitional provision applicable to Article 19.06(6)(c) is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: '19.06(6)(c) / No escape routes through galleys / N.R.C., no later than after the first renewal of the inland navigation vessel certificate after 1.1.2015 / 1.1.2006'.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>(10)(a) 2nd sentence</td>
<td>Height of bulwarks and railings of decks intended for use by persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(10)(b) 2nd sentence</td>
<td>Clear width of openings used normally for the embarking or disembarking of persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(13)</td>
<td>Passageways and walls in passageways intended for use by persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(14) 1st sentence</td>
<td>Design of glass doors and walls in passageways and window panes</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(15)</td>
<td>Requirements for superstructures that consist totally or partly of panoramic windows</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(17) 2nd sentence</td>
<td>Requirements for toilets fitted for use by persons with reduced mobility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(18)</td>
<td>Ventilation system for cabins without an opening window</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>19.07¹</td>
<td>Separate engine room</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2020</td>
</tr>
</tbody>
</table>

¹ The transitional provision applicable to Article 19.07 is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: ‘19.07 / Requirements concerning the propulsion system / N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015/1.1.2006’.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>19.08 (3)(a)¹</td>
<td>Alarm system requirements for passengers, crew members or shipboard personnel to alert passenger vessels' command and crew</td>
<td>For day-trip vessels, the requirement applies N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2020 / 1.1.2006</td>
</tr>
<tr>
<td>19.10 (2)</td>
<td>Article 10.16(3) also applicable to passageways and recreation rooms for passengers</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 / 1.1.2006</td>
</tr>
<tr>
<td>19.10 (3)</td>
<td>Adequate emergency lighting</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 / 1.1.2006</td>
</tr>
<tr>
<td>19.10 (4)</td>
<td>Emergency power plant</td>
<td>For day-trip vessels with $L_{WL}$ of 25 m or less, the provision applies at N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 / 1.1.2006</td>
</tr>
<tr>
<td>19.10 (4) (f)</td>
<td>Emergency supply for searchlights according to Article 13.02 (2)(i)</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 / 1.1.2006</td>
</tr>
<tr>
<td>19.10 (4) (i)</td>
<td>Emergency supply for lifts and lifting equipment according to Article 19.06(9), second sentence</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 / 1.1.2006</td>
</tr>
<tr>
<td>19.10 (6) 1st sentence</td>
<td>Partitions according to Article 19.11(2)</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 / 1.1.2006</td>
</tr>
<tr>
<td>19.10 (6) 2nd and 3rd sentences</td>
<td>Installation of cables</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 / 1.1.2006</td>
</tr>
</tbody>
</table>

¹ The transitional provision applicable to Article 19.08(3) is a temporary requirement, applicable until 31 December 2019. The following transitional provision was in force prior to 1 December 2014: ‘19.08(3) / Alarm system requirements / N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015 / 1.1.2006’ and ‘19.08(3)(c) / Alarm system for alerting the crew and shipboard personnel by the vessel’s command / For cruise vessels, the regulation applies N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2007 / 1.1.2006’.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>(6) 4th sentence</td>
<td>Emergency power plant above the margin line</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 1.1.2006</td>
</tr>
<tr>
<td>19.11 (1)</td>
<td>Suitability for fire protection of materials and components</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td></td>
<td>For materials and components approved according to the International Code for the Application of Fire Test Procedures (FTP code) adopted under Resolution MSC.61(67)¹: N.R.C.</td>
<td></td>
<td>7.10.2018</td>
</tr>
<tr>
<td>(2)</td>
<td>Design of partitions</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>(3)</td>
<td>Paints, lacquers and other surface treatment products as well as deck coverings used in rooms except engine rooms and store rooms shall be flame-retardant</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015 1.1.2006</td>
</tr>
<tr>
<td>(4)</td>
<td>Lounge ceilings and wall claddings manufactured from non-combustible material</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>(5)</td>
<td>Furniture and fittings in muster areas manufactured from non-combustible material</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>(6)</td>
<td>Tested according to Code</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>(7)</td>
<td>Insulation materials in lounges</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
</tbody>
</table>

¹ MSC.61(67) adopted on 5 December 1996 - International Code for Application of Fire Test Procedures.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
<th>Date of entry into force</th>
</tr>
</thead>
<tbody>
<tr>
<td>(9)(a),(b), (c)</td>
<td>Requirements for doors in partitions</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>2nd sentence and (d)</td>
<td></td>
<td></td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(11)</td>
<td>Partitions</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(13)</td>
<td>Stairs made of steel or another equivalent non-combustible material</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(14)</td>
<td>Internal stairs encapsulated at all levels by walls according to (2).</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(15)</td>
<td>Ventilation systems and air supply systems</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(16)</td>
<td>Ventilation systems in galleys and stoves with extractors</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>(17)</td>
<td>Control centres, stairwells, muster areas and smoke extraction systems</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045</td>
</tr>
<tr>
<td>19.12</td>
<td>(8)(d) Installation of fire extinguishing pumps</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2020</td>
</tr>
<tr>
<td>(9)</td>
<td>Fire-extinguishing system in engine rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2015</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
<td>Date of entry into force</td>
</tr>
<tr>
<td>----------------------</td>
<td>---------</td>
<td>-----------------------</td>
<td>-------------------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The transitional provision does not apply to passenger vessels which have been laid down after 31.12.1995, the hull of which is made of wood, aluminium or plastic and the engine rooms of which are not made of a material according to Article 3.04(3) and (4).</td>
<td></td>
</tr>
<tr>
<td>19.14</td>
<td>(1) Waste water collection tanks and disposal facilities</td>
<td>For cabin vessels with no more than 50 berths and for day-trip vessels: N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td></td>
<td>(2) Requirements for waste water collection tanks</td>
<td>For cabin vessels with no more than 50 berths and for day-trip vessels with no more than 50 passengers: N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td>19.15</td>
<td>(1) Damage stability</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2045 1.1.2006</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHAPTER 29</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>29.02</td>
<td>(3) Second independent steering apparatus drive unit or a manually operated drive unit come into operation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
<td>1.1.2025 1.4.2005</td>
</tr>
</tbody>
</table>
CHAPTER 33
TRANSITIONAL PROVISIONS FOR CRAFT OPERATING EXCLUSIVELY ON WATERWAYS OUTSIDE THE RHINE (ZONE R)

Article 33.01
Applicability of transitional provisions to craft which are already in service

1. The provisions of Article 33.02 to 33.03 apply to craft operating exclusively on waterways outside the Rhine (zone R):  
   a) for which a Community certificate was issued for the first time before 30 December 2008,  
   b) for which another traffic licence was issued before 30 December 2008.

2. It must be proved that those craft comply with the technical requirements of Chapters 1 to 12 of Annex II to Directive 82/714/EEC on the date on which the Community certificate or the other traffic licence is issued.

3. Community certificates issued before 30 December 2008 remain valid until the date of expiry indicated on the certificate.

Article 33.02
Transitional provisions for craft which are already in service

1. Craft that do not fully comply with the requirements of this Standard shall:  
   a) be adapted to comply with those provisions in accordance with the transitional provisions listed in the table below, and  
   b) until their adaptation, comply with the requirements of Chapters 1 to 12 of Annex II to Directive 82/714/EEC.

   In the event of a new inland navigation vessel certificate being issued as defined in Article 33.01 (1), the Community certificate or the other traffic licence shall be submitted as corroboration, the Community certificate or the other traffic licence shall be withdrawn and the date the Community certificate or the other traffic licence was issued shall be entered in item 52 of the new inland navigation vessel certificate as follows:

   “A Community certificate according to Directive 82/714/EEC was issued …”

   “A traffic licence according to … was issued on: …”
2. The following definitions apply in the table below:

‘N.R.C.’: the provision does not apply to craft which are already operating, unless the parts concerned are replaced or converted, i.e. the provision applies only to Newly-built craft and to the Replacement or Conversion of the parts or areas concerned. If existing parts are replaced by replacement parts using the same technology and of the same type, this does not constitute replacement ‘R’ within the meaning of the transitional provisions.

‘Issue or renewal of the inland navigation vessel certificate’: the provision must be complied with the time of the next issue or renewal of the inland navigation vessel certificate after the date indicated.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CHAPTER 3</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.03</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(1)(a)</td>
<td>Situation of collision bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 2049</td>
</tr>
<tr>
<td>(1)(b)</td>
<td>Situation of aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 2049</td>
</tr>
<tr>
<td>(2)</td>
<td>Accommodation forward of the collision bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 2024</td>
</tr>
<tr>
<td></td>
<td>Accommodation aft of the aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 2059</td>
</tr>
<tr>
<td></td>
<td>Safety equipment</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 2029</td>
</tr>
<tr>
<td>(4)</td>
<td>Gastight separation of accommodations from engine rooms, boiler rooms and holds</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 2024</td>
</tr>
<tr>
<td>(5), 2nd subparagraph</td>
<td>Monitoring of doors in aft-peak bulkhead</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 2024</td>
</tr>
<tr>
<td>(7)</td>
<td>Anchors not protruding in fore-sections of vessels</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 2049</td>
</tr>
<tr>
<td>3.04</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3) 2nd sentence</td>
<td>Insulation in engine rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>(3) 3rd and 4th sentences</td>
<td>Openings and locking devices</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
</tr>
<tr>
<td>(6)</td>
<td>Exits of engine rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>CHAPTER 4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.04</td>
<td>Draught marks</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
<tr>
<td>CHAPTER 5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.06</td>
<td>(1) 1st sentence Minimum speed</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>CHAPTER 6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6.01</td>
<td>(1) Manoeuvrability required by Chapter 5</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td></td>
<td>(3) Permanent lists and ambient temperatures</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
<tr>
<td></td>
<td>(7) Design of rudder stocks</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2029</td>
</tr>
<tr>
<td>6.02</td>
<td>(1) Presence of separate hydraulic tanks</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2026</td>
</tr>
<tr>
<td></td>
<td>Duplicated pilot valves in case of hydraulic drive units</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2026</td>
</tr>
<tr>
<td></td>
<td>Separated pipework for the second drive unit in case of hydraulic drive units</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2026</td>
</tr>
<tr>
<td></td>
<td>(2) Separated pipework for the second drive unit in case of hydraulic drive units</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2026</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-----------------------------------------------------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>(3)</td>
<td>Manoeuvrability required by Chapter 5 ensured by second drive unit or manual drive</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>6.03</td>
<td>Connection of other consumers to hydraulic steering apparatus drive unit</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2026</td>
</tr>
<tr>
<td>6.05</td>
<td>Wheel of manual drive not driven by powered drive unit</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
<tr>
<td>6.06</td>
<td>Two independent steering controls</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
<tr>
<td>6.07</td>
<td>Level alarm of the hydraulic tanks and alarm of the service pressure</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2026</td>
</tr>
<tr>
<td>(2)(e)</td>
<td>Monitoring of buffer devices</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>6.08</td>
<td>Requirements for electrical equipment according to Article 10.20</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2029</td>
</tr>
</tbody>
</table>

CHAPTER 7

<p>| 7.02                  | Unobstructed view from the wheelhouse, except the following sections                                           | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2049 |
| (2) to (6)            |                                                                                                                |                                                                                       |
| (3), 2nd subparagraph | Unobstructed view in the sightline of the helmsman                                                             | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2029 |
| (6)                   | Minimal light transmission of glazing                                                                            | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2024 |</p>
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N.R.C. for vessels with tinted windows satisfying the following conditions:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- The windows are tinted green and exhibit a minimum light transmission of 60 %,</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- The wheelhouse ceiling is so designed as to preclude reflections on the windows,</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Light sources in the wheelhouse must be steplessly dimmable or capable of being switched off.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- All reasonable measures to avoid other reflections have been take.</td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td>Safety glass design</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>7.03</td>
<td>Shutdown of alarms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>Automatic switch to another power source</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
<tr>
<td>7.04</td>
<td>Control of main engines and steering systems</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>(2)</td>
<td>Control of each main engine</td>
<td>Unless wheelhouses have been designed for radar navigation by one person: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049 if the direction of motion can be achieved directly 30.12.2024 for other engines.</td>
</tr>
<tr>
<td>(3)</td>
<td>Display</td>
<td>Unless wheelhouses have been designed for radar navigation by one person: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>----------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>(9) 3th sentence</td>
<td>Control by a lever</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td></td>
<td>30.12.2024</td>
</tr>
<tr>
<td>(9) 4th sentence</td>
<td>Clearly show the direction of the thrust</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td></td>
<td>30.12.2024</td>
</tr>
<tr>
<td>7.05</td>
<td>Navigation lights, their casings, accessories and light sources</td>
<td>Navigation lights, their casings, accessories, and light sources that fulfill</td>
</tr>
<tr>
<td></td>
<td></td>
<td>- the requirements for colour and light of lights on board, and the admission</td>
</tr>
<tr>
<td></td>
<td></td>
<td>- of navigation lights for navigation on the Rhine as of 30 November 2009 may still be used;</td>
</tr>
<tr>
<td></td>
<td></td>
<td>- the respective requirements of a Member State as of 30 November 2009 may still be used.</td>
</tr>
<tr>
<td>7.06</td>
<td>Radar navigation installations and rate-of-turn indicators which have</td>
<td>Radar navigation installations and rate-of-turn indicators, which have been</td>
</tr>
<tr>
<td></td>
<td>received an approval before 31st December 2012</td>
<td>approved and installed pursuant to a Member State’s regulations before</td>
</tr>
<tr>
<td></td>
<td></td>
<td>31st December 2012 may continue to be maintained and used until the renewal</td>
</tr>
<tr>
<td></td>
<td></td>
<td>of the inland navigation vessel certificate</td>
</tr>
<tr>
<td></td>
<td></td>
<td>These systems must be entered in item 52 of the inland navigation vessel</td>
</tr>
<tr>
<td></td>
<td></td>
<td>certificate.</td>
</tr>
<tr>
<td></td>
<td>Radar navigation installations and rate-of-turn indicators which have</td>
<td>Radar navigation installations and rate-of-turn indicators which have received</td>
</tr>
<tr>
<td></td>
<td>received an approval after 1st January 1990</td>
<td>an approval on or after 1st January 1990, pursuant to the minimum</td>
</tr>
<tr>
<td></td>
<td></td>
<td>requirements and test conditions for radar installations used for navigation on the</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Rhine and the minimum requirements and test conditions for rate-of-turn indicators</td>
</tr>
<tr>
<td></td>
<td></td>
<td>used for navigation on the Rhine, may continue to be installed and used if there is a valid installation certificate pursuant to this Standard, Directive 2006/87/EC or Resolution CCNR 1989-II-35.</td>
</tr>
<tr>
<td></td>
<td>Radar navigation installations and rate-of-turn indicators which have</td>
<td>Radar navigation installations and rate-of-turn indicators which have received an</td>
</tr>
<tr>
<td></td>
<td>received an approval after 31st December 2006</td>
<td>approval on or after 31st December 2006, pursuant to the minimum</td>
</tr>
<tr>
<td></td>
<td></td>
<td>requirements and test conditions of the Directive 2006/87/EC, may continue to be</td>
</tr>
<tr>
<td></td>
<td></td>
<td>installed and used if there is a valid installation certificate pursuant to this</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Standard or Directive 2006/87/EC.</td>
</tr>
</tbody>
</table>
### Article and paragraph

<table>
<thead>
<tr>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Radar navigation installations and rate-of-turn indicators which have</td>
<td>Radar navigation installations and rate-of-turn indicators which have received an approval on or after 1st December 2009, pursuant to the minimum</td>
</tr>
<tr>
<td>received an approval after 1st December 2009</td>
<td>requirements and test conditions of the Resolution CCNR 2008-II-11, may continue to be installed and used if there is a valid installation certificate</td>
</tr>
<tr>
<td></td>
<td>pursuant to this Standard or Resolution CCNR 2008-II-11.</td>
</tr>
<tr>
<td>(3) Inland AIS equipment</td>
<td>Inland AIS equipment which has received an approval on or after 19 October 2012, pursuant to the requirements of the Test Standard for Inland AIS, Edition 2.0, adopted by Resolution CCNR 2012-II-20 may continue to be installed and used.</td>
</tr>
<tr>
<td>7.09 Alarm system</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
<tr>
<td>7.12 2nd sentence Indications</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>(5) Stopping and locking</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2025</td>
</tr>
<tr>
<td>(6) Automatic deactivation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2025</td>
</tr>
<tr>
<td>(7) Arrangements and protection features</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2025</td>
</tr>
<tr>
<td>(7) 3rd sentence Optical signal</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>(8) Emergency lowering system</td>
<td>If hydraulic lowering is not possible: N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2040</td>
</tr>
<tr>
<td>(12)(c) Testing</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate. If mathematical proof cannot be provided, the inspection body may recognise other suitable proof as equivalent.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
</tr>
<tr>
<td><strong>CHAPTER 8</strong></td>
<td></td>
</tr>
<tr>
<td>8.01</td>
<td>(3)</td>
</tr>
<tr>
<td>8.02</td>
<td>(1)</td>
</tr>
<tr>
<td></td>
<td>(4)</td>
</tr>
<tr>
<td></td>
<td>(5)</td>
</tr>
<tr>
<td></td>
<td>(6)</td>
</tr>
<tr>
<td>8.03</td>
<td>(2)</td>
</tr>
<tr>
<td></td>
<td>(4)</td>
</tr>
<tr>
<td></td>
<td>(5)</td>
</tr>
<tr>
<td>8.05</td>
<td>(1)</td>
</tr>
<tr>
<td></td>
<td>(2)</td>
</tr>
<tr>
<td></td>
<td>(3)</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
</tr>
<tr>
<td>(4)</td>
<td>No fuel tanks and their fittings above engines or exhaust pipes</td>
</tr>
<tr>
<td>(6), 3(^{rd}) to 5(^{th}) sentences</td>
<td>Installation and measurements of breather pipes and connection pipes</td>
</tr>
<tr>
<td>(7), 1(^{st}) sentence</td>
<td>Quick-closing valve on the tank operated from deck, even when the rooms in question are closed</td>
</tr>
<tr>
<td>(9), 2(^{nd}) sentence</td>
<td>Capacity-gauging devices to be legible up to maximum filling level</td>
</tr>
<tr>
<td>(13)</td>
<td>Filling level control not only for main engines but also other engines needed for safe operation of the vessel</td>
</tr>
<tr>
<td>8.06</td>
<td>Tanks for lubricating oil, pipes and accessories</td>
</tr>
<tr>
<td>8.07</td>
<td>Tanks for oils in power transmission systems, control and activating systems and heating systems, pipes and accessories</td>
</tr>
<tr>
<td>8.08</td>
<td>Simple closing device not sufficient for connection of ballast spaces to drainage pipes for holds capable of carrying ballast</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
</tr>
<tr>
<td>(9)</td>
<td>Gauging devices in hold bilges</td>
</tr>
<tr>
<td>8.09</td>
<td>Installations for the collection of oily water and used oil stores</td>
</tr>
<tr>
<td>8.10</td>
<td>Emission limit of 65 dB(A) for stationary vessels</td>
</tr>
</tbody>
</table>

**CHAPTER 9**

For engines which are already installed onboard and non type-approved, only Article 9.02 applies.

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>9.01</td>
<td>General provisions</td>
<td>For engines complying with the type-approval and installation provisions in force at the date of installation: N.R.</td>
</tr>
<tr>
<td>9.06</td>
<td>Installation test</td>
<td></td>
</tr>
</tbody>
</table>

**CHAPTER 10**

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>10.01 (1) second sentence</td>
<td>Required documents must be submitted to the Inspection body</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>(2)(b)</td>
<td>Plans of main, emergency and distribution switchboards must be on board</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>(2)(e)</td>
<td>Switchboard plans as well as electric propulsion engine documentation</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(2)(f)</td>
<td>Plans for electronic systems</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(2)(g)</td>
<td>Control circuit plans</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>(3)</td>
<td>Ambient temperatures inside and on deck</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>10.02</td>
<td>Power supply systems</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2010</td>
</tr>
<tr>
<td>10.03</td>
<td>Type of protection according to installation location</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
</tr>
<tr>
<td>10.04</td>
<td>Protection from explosion</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.05</td>
<td>(4) Cross-section of earthing conductors</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>10.06</td>
<td>(1) table Three-phase alternating current</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.08</td>
<td>(1) Compliance with European Standards EN 15869-1, EN 15869-3 and EN 16840</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.10</td>
<td>(2) Installation of transformers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(3) Separate primary and secondary windings of transformers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(4) Tappings on the secondary windings of transformers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(5) Plate with manufacturer and power of engines, generators, transformers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.11</td>
<td>(3) Ventilation of service rooms and cupboard to the open deck</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(7) Ventilation of enclosed rooms, cupboard or chest, in which accumulators are installed</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td></td>
<td>(12) Measurement of charging devices</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(13) Automatic chargers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(14) Maximum charging voltage</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(15) Standards EN 62619 and EN 62620 for lithium-ion accumulators</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>10.12 (2)(d)</td>
<td>Direct power supply for consumer equipment for vessel propulsion system</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td>and manoeuvring</td>
<td>1.1.2015</td>
</tr>
<tr>
<td>10.12 (3)(b)</td>
<td>Device for monitoring insulation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1.1.2010</td>
</tr>
<tr>
<td>10.13</td>
<td>Emergency circuit-breaker devices</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1.1.2010</td>
</tr>
<tr>
<td>10.14 (3), second</td>
<td>Prohibition of single pole switches in washrooms, bathrooms and other</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after</td>
</tr>
<tr>
<td>sentence</td>
<td>wet rooms</td>
<td>1.1.2010</td>
</tr>
<tr>
<td>10.15 (2)</td>
<td>Minimum cross-section per conductor of 1.5 mm²</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>10.15 (10)</td>
<td>Cables connected to elevating wheelhouses</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>10.15 (11)</td>
<td>Cable harness penetrations</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.15 (12)</td>
<td>Cables from an emergency electrical power source to consumer equipment</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.15 (13)</td>
<td>Cable in areas with high ambient temperatures</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.15 (14)</td>
<td>Installation of main and emergency power supply cables</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-----------------------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>10.16</td>
<td>(3) second sentence</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>10.18</td>
<td>(1) Device for disconnecting from the mains</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(2) Accessibility</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(3) Galvanic separation of control and power circuits</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(4) Operating with voltage and frequency variations</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(5) Discharge time when disconnected from mains</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(6) Response upon failure of external control signals</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(7) Response upon failure of control voltages</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(8) Error detection and prevention of undetected errors</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(9) Monitoring</td>
<td>N.R.C.</td>
</tr>
<tr>
<td></td>
<td>(10) Type examination</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.19</td>
<td>Alarm and safety systems for mechanical installations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2015</td>
</tr>
<tr>
<td>10.20</td>
<td>Test conditions for electronic installations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>10.21</td>
<td>Electromagnetic compatibility</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>-----------------------</td>
</tr>
<tr>
<td><strong>CHAPTER 13</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13.01</td>
<td>Anchor equipment</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
</tbody>
</table>
| 13.02                 | (3)(a) Certificate for mooring and other cables | First cable to be replaced on the vessel: N.R.C. at the latest after 30.12.2024  
  Second and third cables to be replaced on the vessel: N.R.C. at the latest after 30.12.2029 |
| 13.03                 | (1) European Standard | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024 |
|                       | (2) Suitability for Class A, B and C fires | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024  
  (4) Relation of CO₂ content and size of room | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024 |
| 13.04                 | Permanently installed fire-fighting systems in accommodation spaces, wheelhouses and passenger rooms | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049 |
| 13.05                 | Permanently installed fire-fighting systems in engine rooms, boiler rooms and pump rooms | CO₂ fire-fighting systems permanently installed before 1 October 1985, if they comply with the requirements of Article 16.03 of this Standard, may remain in use until the renewal of the inland navigation vessel certificate after 30.12.2049 |
| 13.07                 | Application of the European Standard to ship’s boat | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2029 |
| 13.08                 | (2) Inflatable life jackets | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024  
  Life jackets which have been on board on 29.12.2008 may be used until the renewal of the inland navigation vessel certificate after 30.12.2024 |
### Article and paragraph | Content | Deadline and comments
---|---|---
**CHAPTER 14**

14.02 (4) | Equipping of the outer edges of decks, side decks and other work stations | N.R.C.¹

14.04 (1) | Clear width of side decks | For vessels of \( B > 7.30 \, \text{m} \), N.R.C., at the latest on renewal of the inland navigation vessel certificate after 1.1.2035²

(2) | Side deck guardrails | N.R.C.

14.05 (1) | Access to work stations | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049

(2) and (3) | Doors and accesses, exits and passageways where there is more than a 0.50 m difference in floor level | N.R.C., at the latest on renewal of the inland navigation vessel certificate.

(4) | Stairs in work stations which are manned continuously | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049

14.06 (2) | Exits and emergency exits | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049

14.07 (1) 2nd sentence | Ladders, steps and similar devices | N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049

(2) and (3) | | N.R.C., at the latest on renewal of the inland navigation vessel certificate.

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¹ However at the latest on renewal of the inland navigation vessel certificate after 1.1.2015, the crafts must comply with the following requirements:
- The outer edges of the decks, as well as work stations where persons might fall more than 1 m, shall be fitted with bulwarks or coamings that are at least 0.70 m height or with continuous guard rails in accordance with European Standard EN 711 : 1995, comprising a handrail, intermediate rail at knee height and foot rail.
- Side decks shall be fitted with a foot rail and continuous handrail that is secured to the coaming. Coaming handrails shall not be required where sidedecks are fitted with non-retractable shipside guard rails.

² For vessels laid down after 31.12.1994 and for vessels in service, the requirement shall apply under the following conditions:
- Should the entire hold area be replaced, the requirements of article 14.04 must be complied with. In the event of modifications affecting the entire length of the side deck area and modifying the clear width of the side deck,
  a) article 14.04 must be complied with when the clear width of the side deck to a height of 0.90 m, available before the modification, has to be reduced
  b) the clear width of the side deck to a height of 0.90 m or the clear width above that height, available before the modification, must not be reduced if their dimensions are less than those specified in article 14.04.
### Article and paragraph | Content | Deadline and comments |
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>14.10</td>
<td>Hatch covers</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
<tr>
<td>14.11</td>
<td>Winches</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2024</td>
</tr>
<tr>
<td>14.12</td>
<td>(2) to (6) and (8) to (10) Cranes: Manufacturer’s plate, plaque du fabricant, maximum permissible loadings, protection devices, proof by calculation, expert inspection, shipboard documents</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2029</td>
</tr>
<tr>
<td>14.13</td>
<td>Storing of flammable liquids</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
</tbody>
</table>

### CHAPTER 15

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>15.01 (1)</td>
<td>Accommodation for the persons lodging habitually on board</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>15.02 (3)</td>
<td>Situation of floors</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>(4)</td>
<td>Communal living quarters and sleeping cabins</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>(5)</td>
<td>Noise and vibration in accommodations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2029</td>
</tr>
<tr>
<td>(6)</td>
<td>Headroom in accommodations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>(8)</td>
<td>Free floor area of communal living quarters</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>(9)</td>
<td>Cubic capacity of rooms</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>----------------------------------------------</td>
<td>---------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>(10)</td>
<td>Volume of airspace per person</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>(11)</td>
<td>Size of doors</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>(12) (a) and (b)</td>
<td>Situation of stairs</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>(13)</td>
<td>Pipes carrying dangerous gases or liquids</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>15.03</td>
<td>Sanitary installations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>15.04</td>
<td>Galleys</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>15.05</td>
<td>Potable water installations</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>15.06</td>
<td>Heating and ventilation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
</tr>
<tr>
<td>15.07</td>
<td>(1), 2nd sentence</td>
<td>Other accommodation installations</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
</tr>
<tr>
<td><strong>CHAPTER 18</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18.01</td>
<td>(2) Tables 1 and 2, and (5) Limit/control values and type approvals</td>
<td>N.R.C., as long as a) the limit and control values do not exceed the values according to values of step II by more than the factor 2, b) the on-board sewage treatment plant has a manufacturer's or expert's certificate confirming that it can cope with the typical loading patterns on board the craft and c) a system of sewage sludge management is in place for it which is appropriate to the conditions of operating a sewage treatment plant aboard a passenger vessel. On-board sewage treatment plants which have received an approval on or after 1st December 2011, pursuant to requirements of the Resolution CCNR 2010-II-27 (Step II), may continue to be installed and used. On-board sewage treatment plants which have received an approval on or after 10 January 2013, pursuant to requirements of the Directive 2012/49/EU (Step II), may continue to be installed and used.</td>
</tr>
<tr>
<td><strong>CHAPTER 19</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>19.01</td>
<td>(5) and (6) Obstructed vision ahead of the vessel 2 vessel lengths if less than 250 m Sufficient view astern</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate 1.1.2049</td>
</tr>
<tr>
<td>19.11</td>
<td>(1) Suitability for fire protection of materials and components</td>
<td>For materials and components approved according to the International Code for the Application of Fire Test Procedures (FTP code) adopted under Resolution MSC.61(67)¹: N.R.C.</td>
</tr>
</tbody>
</table>

¹ MSC.61(67) adopted on 5 December 1996 - International Code for Application of Fire Test Procedures.
<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Chapter 20</th>
<th>Chapter 21</th>
<th>Chapter 22</th>
<th>Chapter 26</th>
<th>Chapter 29</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Passenger sailing vessels</td>
<td>See the provisions laid down in the regulations for vessels excluded from the scope of Directive 82/714/EEC: ‘Absence of manifest danger’.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHAPTER 21</td>
<td>21.01 (2) Special winches or equivalent coupling devices to be used for pushing purposes</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(3) last sentence Requirements for drive units</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2049</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Floating equipment</td>
<td>See the provisions laid down in the regulations for vessels excluded from the scope of Directive 82/714/EEC: ‘Absence of manifest danger’.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Recreational craft</td>
<td>See the provisions laid down in the regulations for vessels excluded from the scope of Directive 82/714/EEC: ‘Absence of manifest danger’.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>29.02 (3) Second independent steering apparatus drive unit or a manually operated drive unit come into operation</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2029</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Article 33.03
Additional transitional provisions for craft which were laid down before 1 January 1985

1. In addition to the transitional provisions of the Article 33.02, the following provisions may be applied to craft which were laid down before 1 January 1985 provided that there are appropriate guarantees for the safety of the vessel and crew.

2. The following definitions apply in the table below:

‘N.R.C.‘: the provision does not apply to craft which are already operating, unless the parts concerned are replaced or converted, i.e. the provision applies only to Newly-built craft and to the Replacement or Conversion of the parts or areas concerned. If existing parts are replaced by replacement parts using the same technology and of the same type, this does not constitute replacement (‘R‘) within the meaning of the transitional provisions

‘Issue or renewal of the inland navigation vessel certificate‘: the provision must be complied with the time of the next issue or renewal of the inland navigation vessel certificate after the date indicated.

<table>
<thead>
<tr>
<th>Article and paragraph</th>
<th>Content</th>
<th>Deadline and comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CHAPTER 3</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.03</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(1) Watertight collision bulkheads</td>
<td>N.R.C.</td>
<td></td>
</tr>
<tr>
<td>(2) Accommodations, safety equipment</td>
<td>N.R.C.</td>
<td></td>
</tr>
<tr>
<td>(5) Openings in watertight bulkheads</td>
<td>N.R.C.</td>
<td></td>
</tr>
<tr>
<td>3.04</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2) Common surfaces of bunkers and accommodation and passenger rooms</td>
<td>N.R.C.</td>
<td></td>
</tr>
<tr>
<td>(7) Maximum permissible sound pressure level in engine room</td>
<td>N.R.C.</td>
<td></td>
</tr>
<tr>
<td><strong>CHAPTER 4</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.01</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(1) Safety clearance</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate after 30.12.2019</td>
<td></td>
</tr>
<tr>
<td>4.02</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Freeboard</td>
<td>N.R.C.</td>
<td></td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>--------------------------------------------------------------------------</td>
<td>-----------------------</td>
</tr>
<tr>
<td><strong>CHAPTER 6</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6.01 (3)</td>
<td>Requirements of steering system</td>
<td>N.R.C.</td>
</tr>
<tr>
<td><strong>CHAPTER 7</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7.01 (2)</td>
<td>Sound pressure generated by the vessel</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>7.05 (2)</td>
<td>Monitoring of navigation lights</td>
<td>Renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>7.12</td>
<td>Retractable wheelhouses</td>
<td>N.R.C.</td>
</tr>
<tr>
<td><strong>CHAPTER 8</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8.01 (3)</td>
<td>Prohibition of certain liquid fuels</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>8.04</td>
<td>Engine exhaust system</td>
<td>N.R.C., at the latest on renewal of the inland navigation vessel certificate.</td>
</tr>
<tr>
<td>8.05 (13)</td>
<td>Filling level control not only for main engines but also other engines needed for safe operation of the vessel</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>8.08 (2)</td>
<td>Equipment with bilge pumps</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>8.08 (3) and (4)</td>
<td>Diameter and minimum pumping capacity of bilge pumps</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>8.08 (5)</td>
<td>Self-priming bilge pumps</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>8.08 (6)</td>
<td>Equipment with strainers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>8.08 (7)</td>
<td>Automatically closable fitting</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>8.10 (2)</td>
<td>Noise generated by a vessel under way</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------</td>
<td>----------------------</td>
</tr>
<tr>
<td><strong>CHAPTER 10</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10.01 (2)</td>
<td>Documents for electrical installation</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.01 (3)</td>
<td>Design of electrical installation</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.06</td>
<td>Maximum permissible voltages</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.10</td>
<td>Generators, engines and transformers</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.11 (2)</td>
<td>Installation of accumulators</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.12 (2)</td>
<td>Switches, protective devices</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.14 (3)</td>
<td>Simultaneous switching</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.15</td>
<td>Cables</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.16 (3)</td>
<td>Lighting in engine rooms</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.17 (1)</td>
<td>Switchboards for navigation lights</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>10.17 (2)</td>
<td>Power supply for navigation lights</td>
<td>N.R.C.</td>
</tr>
<tr>
<td><strong>CHAPTER 13</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13.01 (9)</td>
<td>Anchor windlasses for anchors having a mass in excess of 50 kg</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>13.07 (1)</td>
<td>Application of the European Standard to ship’s boat</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>13.08 (1)</td>
<td>Application of the Standard to lifebuoys</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>13.08 (2)</td>
<td>Application of the Standard to lifejackets</td>
<td>N.R.C.</td>
</tr>
<tr>
<td>Article and paragraph</td>
<td>Content</td>
<td>Deadline and comments</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------------------------------------------------</td>
<td>-----------------------</td>
</tr>
<tr>
<td><strong>CHAPTER 14</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14.11</td>
<td>(2) Safety of winches</td>
<td>N.R.C.</td>
</tr>
<tr>
<td><strong>CHAPTER 15</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15.02</td>
<td>(3) Pipes carrying dangerous gases or liquids</td>
<td>N.R.C.</td>
</tr>
</tbody>
</table>
EUROPEAN STANDARD ANNEXES
### PART I
VESSLE IDENTIFICATION AND REGISTER

### ANNEX 1
MODEL OF THE UNIQUE EUROPEAN VESSEL IDENTIFICATION NUMBER (ENI)

<table>
<thead>
<tr>
<th>Code of the competent authority that assigns the unique European vessel identification number</th>
<th>Serial Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>A A A</td>
<td></td>
</tr>
</tbody>
</table>

In the model, “AAA” represents the three digit code given by the competent authority assigning the unique European vessel identification number according to the following number ranges:

001 – 019 France
020 – 039 Netherlands
040 – 059 Germany
060 – 069 Belgium
070 – 079 Switzerland
080 – 099 reserved for craft from countries that are not party to the Mannheim Convention and for which a Rhine Vessel Certificate has been issued before 1 April 2007.
100 – 119 Norway
120 – 139 Denmark
140 – 159 United Kingdom
160 – 169 Iceland
170 – 179 Ireland
180 – 189 Portugal
190 – 199 reserved
200 – 219 Luxembourg
220 – 239 Finland
240 – 259 Poland
260 – 269 Estonia
270 – 279 Lithuania
280 – 289 Latvia
290 – 299 reserved
300 – 309 Austria
310 – 319 Liechtenstein
320 – 329 Czech Republic
330 – 339 Slovakia
340 – 349 reserved
350 – 359 Croatia
360 – 369 Serbia
370 – 379 Bosnia and Herzegovina
<table>
<thead>
<tr>
<th>Code Range</th>
<th>Country/Region</th>
</tr>
</thead>
<tbody>
<tr>
<td>380 – 399</td>
<td>Hungary</td>
</tr>
<tr>
<td>400 – 419</td>
<td>Russian Federation</td>
</tr>
<tr>
<td>420 – 439</td>
<td>Ukraine</td>
</tr>
<tr>
<td>440 – 449</td>
<td>Belarus</td>
</tr>
<tr>
<td>450 – 459</td>
<td>Republic of Moldova</td>
</tr>
<tr>
<td>460 – 469</td>
<td>Romania</td>
</tr>
<tr>
<td>470 – 479</td>
<td>Bulgaria</td>
</tr>
<tr>
<td>480 – 489</td>
<td>Georgia</td>
</tr>
<tr>
<td>490 – 499</td>
<td>reserved</td>
</tr>
<tr>
<td>500 – 519</td>
<td>Turkey</td>
</tr>
<tr>
<td>520 – 539</td>
<td>Greece</td>
</tr>
<tr>
<td>540 – 549</td>
<td>Cyprus</td>
</tr>
<tr>
<td>550 – 559</td>
<td>Albania</td>
</tr>
<tr>
<td>560 – 569</td>
<td>former Yugoslav Republic of Macedonia</td>
</tr>
<tr>
<td>570 – 579</td>
<td>Slovenia</td>
</tr>
<tr>
<td>580 – 589</td>
<td>Montenegro</td>
</tr>
<tr>
<td>590 – 599</td>
<td>reserved</td>
</tr>
<tr>
<td>600 – 619</td>
<td>Italia</td>
</tr>
<tr>
<td>620 – 639</td>
<td>Spain</td>
</tr>
<tr>
<td>640 – 649</td>
<td>Andorra</td>
</tr>
<tr>
<td>650 – 659</td>
<td>Malta</td>
</tr>
<tr>
<td>660 – 669</td>
<td>Monaco</td>
</tr>
<tr>
<td>670 – 679</td>
<td>San Marino</td>
</tr>
<tr>
<td>680 – 699</td>
<td>reserved</td>
</tr>
<tr>
<td>700 – 719</td>
<td>Sweden</td>
</tr>
<tr>
<td>720 – 739</td>
<td>Canada</td>
</tr>
<tr>
<td>740 – 759</td>
<td>United States of America</td>
</tr>
<tr>
<td>760 – 769</td>
<td>Israel</td>
</tr>
<tr>
<td>770 – 799</td>
<td>reserved</td>
</tr>
<tr>
<td>800 – 809</td>
<td>Azerbaijan</td>
</tr>
<tr>
<td>810 – 819</td>
<td>Kazakhstan</td>
</tr>
<tr>
<td>820 – 829</td>
<td>Kyrgyzstan</td>
</tr>
<tr>
<td>830 – 839</td>
<td>Tajikistan</td>
</tr>
<tr>
<td>840 – 849</td>
<td>Turkmenistan</td>
</tr>
<tr>
<td>850 – 859</td>
<td>Uzbekistan</td>
</tr>
<tr>
<td>860 – 869</td>
<td>Iran</td>
</tr>
<tr>
<td>870 – 999</td>
<td>reserved</td>
</tr>
</tbody>
</table>

’xxxxx’ represents the five-digit serial number given by the competent authority.
ANNEX 2
DATA FOR THE IDENTIFICATION OF A VESSEL

A. All vessels:
   1. Unique European Vessel Identification Number (Annex 3, Section I, item 3 of the model, and Section VI, fifth column)
   2. Name of the craft/vessel (Annex 3, Section I, item 1 of the model, and Section VI, fourth column)
   3. Type of craft as defined in Article 1.01 (1.1) to (1.28) (Annex 3, Section I, item 2 of the model)
   4. Length over all as defined in Article 1.01 (4.17) (Annex 3, Section I, item 17a)
   5. Breadth over all as defined in Article 1.01 (4.20) (Annex 3, Section I, item 18a)
   6. Draught as defined in Article 1.01 (4.23) (Annex 3, Section I, item 19a)
   7. Source of data (= inland navigation vessel certificate)
   8. Deadweight (Annex 3, Section I, item 21 and Section VI, 13th column) for cargo vessels
   9. Displacement as defined in Article 1.01 (4.7) (Annex 3, Section I, item 21 and Section VI, 13th column) for vessels other than cargo vessels
  10. Operator (owner or his representative)
  11. Inspection body / Issuing Authority (Annex 3, Sections I and VI)
  12. Number of inland navigation vessel certificate (Annex 3, Section I, and Section VI, first column)
  13. Expiration date (Annex 3, Section I, item 11 of the model, and Section VI, 17th column)

B. Where available:
   1. National number
   2. Type of craft in accordance with the Technical Specification for Electronic Ship Reporting in inland navigation
   3. Single or double hull in accordance with ADN/ADNR
   4. Height as defined in Article 1.01 (4.22)
   5. Gross tonnage (for maritime vessels)
   6. IMO number (for maritime vessels)
   7. Call sign (for maritime vessels)
   8. MMSI number
   9. ATIS code
  10. Type, number, issuing authority and expiration date of other certificates.
ANNEX 3
MODELS OF INLAND NAVIGATION VESSEL CERTIFICATES AND MODEL OF REGISTER OF INLAND NAVIGATION VESSEL CERTIFICATES

Section I
Model of inland navigation vessel certificate

Name of State / Seal

INLAND NAVIGATION VESSEL CERTIFICATE

No ....................

Place, date
........................................................................................................

Inspection body
........................................................................................................

Stamp
........................................................................................................

........................................................................................................
(Signature)

Remarks:

The craft may be used for navigation by virtue of this inland navigation vessel certificate only while in the condition herein described.

In the event of major alterations or repairs, the craft must undergo a special inspection before any new voyage.

The owner of a craft, or his representative, shall bring to the notice of the inspection body any change in the name or ownership of a craft, any re-measurement, and any change in the registration or home port, and shall send the inland navigation vessel certificate to that inspection body for amendment.
<table>
<thead>
<tr>
<th>Inland navigation vessel certificate No ................................ of the Inspection body</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Name of craft</td>
</tr>
<tr>
<td>4. Name and address of owner</td>
</tr>
<tr>
<td>5. Place of registration and registration number</td>
</tr>
<tr>
<td>7. Year of construction</td>
</tr>
</tbody>
</table>

| 9. This certificate replaces inland navigation vessel certificate No........................... issued on ................ by .... |
| | | |
| | | |

| 10. The abovementioned craft, |
| | |
| | |
| | |

| subsequent to the inspection carried out on *) | | |
| on presentation of the certificate issued on *) | | |

| by the recognised classification society | | |
| is acknowledged as fit to operate: | | |

| - on the Rhine (*) | | |
| between | | |
| - on EU waterways in Zone(s) (*) | | |
| - on the waterways in Zone(s) (*) | | |
| in .... [Name of States (*)] | | |
| except for: | | |
| - on the following waterways in [Name of the State (*)] | | |
| - at the maximum authorised draught and with the equipment and crew specified below. | | |

| 11. The validity of this inland navigation vessel certificate expires on ................... |
| | | |

*) Amendment to item(s): ...............
New text:..........................................................................................................................
...............................................................................................................................................

*) This page has been replaced.
Place, date .............................................................. Inspection body
..................................................................................
Stamp ........................................................................
...........................................................................
(Signature)
...........................................................................

*) Delete as appropriate
12. The inland navigation vessel certificate number (1), unique European vessel identification number (2), registration number (3) and measurement number (4) are affixed with the corresponding signs at the following locations on the craft:

1. .................................................................................................................................
2. .................................................................................................................................
3. .................................................................................................................................
4. .................................................................................................................................

13. The maximum authorised draught is indicated on each side of the craft:
- by two - ........................................... - draught marks *).
- by the upper measurement plates *).

Two draught scales have been applied *).
The rear measurement scales serve as draught scales: they have been supplemented for that purpose by figures indicating the draughts *).

14. Without prejudice to the restrictions *) mentioned in items 15 and 52, the craft is fit to:

<p>| | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>push *)</td>
<td>4</td>
<td>be propelled in a side-by-side formation *)</td>
<td></td>
</tr>
<tr>
<td>1.1</td>
<td>in rigid formation *)</td>
<td>5.</td>
<td>tow *)</td>
<td></td>
</tr>
<tr>
<td>1.2</td>
<td>with controlled articulation *)</td>
<td>5.1</td>
<td>craft having no motive power of their own *)</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>be pushed *)</td>
<td>5.2</td>
<td>motorised craft *)</td>
<td></td>
</tr>
<tr>
<td>2.1</td>
<td>in rigid formation *)</td>
<td>5.3</td>
<td>upstream only *)</td>
<td></td>
</tr>
<tr>
<td>2.2</td>
<td>at the head of a rigid formation *)</td>
<td>6.</td>
<td>be towed *)</td>
<td></td>
</tr>
<tr>
<td>2.3</td>
<td>with controlled articulation *)</td>
<td>6.1</td>
<td>as a motorised craft *)</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>propel a side-by-side formation *)</td>
<td>6.2</td>
<td>as a craft with no motive power of its own *)</td>
<td></td>
</tr>
</tbody>
</table>

*) Amendment to item(s): ....................
New text: ................................................................................................................................
...............................................................................................................................................
................................................................................................................................................

*) This page has been replaced.
Place, date ................................................................. Inspection body
Stamp .................................................................................................................................
................................................................. (Signature)

*) Delete as appropriate
Inland navigation vessel certificate No ................................ of the Inspection body

15. Authorised formations
   1. The craft is authorised to propel the following formations:

<table>
<thead>
<tr>
<th>Format i on</th>
<th>Max dimensions</th>
<th>Navigation direction and load status</th>
<th>Maximum wetted section in m²</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>length m</td>
<td>breadth m</td>
<td>loaded t</td>
<td>empty</td>
</tr>
<tr>
<td></td>
<td></td>
<td>UPSTREAM</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>loaded t</td>
<td>empty</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   Other formations:

   Key to symbols:

   - pusher
   - Self-propelled craft
   - lighter

2. Couplings:
   Type of couplings: ....................................
   Number of couplings per side: ........................
   Number of coupling cables: ...........................
   Length of each coupling cable: ........................
   Tensile strength per longitudinal coupling: ........
   kN
   Tensile strength per cable: ...........................
   kN
   Number of cable windings: .............................

*) Amendment to item(s): .............................
New text: ...........................................................
........................................................................
........................................................................

*) This page has been replaced.
Place, date ......................................................
Inspection body
......................................................................
Stamp
......................................................................
(Signature)

*) Delete as appropriate
## Inland navigation vessel certificate

No ................................ of the Inspection body

| 16. Measurement certificate No ........ of the ........................................ Measurement office dated .......................................... |
|---|---|---|---|---|---|
| 17a. Length overall m | 18a. Breadth overall m | 19a. Draught overall m | 20. Freeboard cm |
| 17b. Length L m | 18b. Breadth B m | 19b. Draught T m |

<table>
<thead>
<tr>
<th>21. Dead weight/Displacement *)</th>
<th>22. Number of passengers:</th>
</tr>
</thead>
<tbody>
<tr>
<td>t/m³*)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>24. Number of watertight transverse bulkheads</th>
<th>25. Number of holds</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>27. Number of main propulsion engines</th>
<th>28. Total power rating of main means of propulsion kW</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>30. Number of bow windlasses of which powered</th>
<th>31. Number of stern windlasses of which powered</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>32. Number of towing hooks</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>33. Number of towing winches of which</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>34. Steering gear</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Number of rudder blades on main rudder</th>
<th>Main rudder drive</th>
</tr>
</thead>
<tbody>
<tr>
<td>- manual *)</td>
<td>- electric*)</td>
</tr>
<tr>
<td>- electric/hydraulic*)</td>
<td>- hydraulic*)</td>
</tr>
</tbody>
</table>

Other installations: yes/no *)

<table>
<thead>
<tr>
<th>Type:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Flanking rudder:</th>
</tr>
</thead>
<tbody>
<tr>
<td>yes/no *)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Flanking rudder drive:</th>
</tr>
</thead>
<tbody>
<tr>
<td>- manual *)</td>
</tr>
<tr>
<td>- electric*)</td>
</tr>
<tr>
<td>- electric/hydraulic*)</td>
</tr>
<tr>
<td>- hydraulic*)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Bow rudder installation</th>
</tr>
</thead>
<tbody>
<tr>
<td>yes/no *)</td>
</tr>
<tr>
<td>- bow rudder *)</td>
</tr>
<tr>
<td>- bow thruster *)</td>
</tr>
<tr>
<td>- other installation *)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Remote control yes/no *)</th>
</tr>
</thead>
</table>

| Remote activation Yes/no *) |

### Bilge and drainage system

<table>
<thead>
<tr>
<th>Number of bilge pumps</th>
<th>Minimum pumping capacity first bilge pump l/min</th>
<th>Minimum pumping capacity second bilge pump l/min</th>
</tr>
</thead>
</table>

*) Amendment to item(s): ................

New text: ...............................................................................................................................

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*) This page has been replaced.

Place, date ......................................................... Inspection body

Stamp .........................................................

.........................................................

(Signature)

*) Delete as appropriate
Inland navigation vessel certificate No .......................... of the Inspection body

36. Number and position of closures referred to in Article 8.08, sections 10 and 11

37. Anchors

<table>
<thead>
<tr>
<th>Number of bow anchors</th>
<th>Total mass of bow anchors</th>
<th>Number of stern anchors</th>
<th>Total mass of stern anchors</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

38. Anchors chains

<table>
<thead>
<tr>
<th>Number of bow anchor chains</th>
<th>Length of each chain</th>
<th>Breaking load of each chain</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Number of stern anchor chains</th>
<th>Length of each chain</th>
<th>Breaking load of each chain</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

39. Mooring cables

1st cable ................................ m long with a breaking load of ........... kN

2nd cable ................................ m long with a breaking load of ........... kN

3rd cable ................................ m long with a breaking load of ........... kN

40. Towing cables

        with a length of ........... m and a breaking load of ........... kN

        with a length of ........... m and a breaking load of ........... kN

41. Visual and audible signals

The lights, flags, balls, floats and audible warning devices used for signalling and to emit the visual and audible signals prescribed by [the Rhine police regulations / the applicable navigational authority regulations of the Member States] are carried on board, as are the stand-alone emergency mooring lights prescribed by [the Rhine police regulations / the applicable navigational authority regulations of the Member States].

*) Amendment to item(s): ....................

New text: .................................................................................................................................
.................................................................................................................................
.................................................................................................................................

*) This page has been replaced.

Place, date .......................................................... Inspection body

Stamp ........................................................................

..................................................................................... (Signature)

*) Delete as appropriate
### Inland navigation vessel certificate

**Edition**

 modelos of register of inland navigation vessel certificates

**Section I**

#### 42. Other equipment

<table>
<thead>
<tr>
<th>Equipment / System</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heaving line</td>
<td></td>
</tr>
<tr>
<td>Gangway</td>
<td>in accordance with Article 13.02(3)(d)*</td>
</tr>
<tr>
<td></td>
<td>in accordance with Article 19.06(12)*</td>
</tr>
<tr>
<td>Length</td>
<td>m</td>
</tr>
<tr>
<td>Gaff hook</td>
<td></td>
</tr>
<tr>
<td>Number of first-aid kit</td>
<td></td>
</tr>
<tr>
<td>Pair of binoculars</td>
<td></td>
</tr>
<tr>
<td>Notice concerning rescue of persons overboard</td>
<td></td>
</tr>
<tr>
<td>Searchlight that can be operated from the wheelhouse</td>
<td></td>
</tr>
<tr>
<td>Fire-resistant receptacles</td>
<td></td>
</tr>
<tr>
<td>Rmbarkation stairway/ladder</td>
<td></td>
</tr>
</tbody>
</table>

#### 43. Fire-fighting appliances

<table>
<thead>
<tr>
<th>Equipment / System</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number portable fire extinguishers</td>
<td>fire pumps, hydrants</td>
</tr>
<tr>
<td>Permanently installed fire-fighting systems in accommodation etc.</td>
<td></td>
</tr>
<tr>
<td>The power driven bilge pump replaces a fire pump</td>
<td></td>
</tr>
</tbody>
</table>

#### 44. Life saving appliances

<table>
<thead>
<tr>
<th>Equipment / System</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of lifebuoys</td>
<td>of which with light, with line</td>
</tr>
<tr>
<td>Number of lifejackets</td>
<td>for shipboard personnel</td>
</tr>
<tr>
<td>Collective life-saving equipment</td>
<td>for passengers</td>
</tr>
</tbody>
</table>

#### 45. Special wheelhouse arrangements for radar navigation by one person:

The vessel has a wheelhouse designed for radar navigation by one person.

*) Amendment to item(s): ....................

New text:............................................

............................................

............................................

............................................

*) This page has been replaced.

Place, date ........................................... Inspection body

Stamp ..................................................

..................................................

(Signature)

*) Delete as appropriate
### Inland navigation vessel certificate

No .................................. of the Inspection body

#### 46. Operating modes

Operating modes meeting [A1\(^{1}\), A2\(^{1}\), B\(^{1}\), / the requirements of national or international law concerning the crew]

#### 47. Vessel equipment in accordance with Article 31.01.

The vessel complies \(^{1}\) / does not comply \(^{1}\) with Article 31.02 (Standard S1\(^{1}\)) / Article 31.03 (Standard S2\(^{1}\)).

[In accordance with article 3.18 of the Regulations for Rhine navigation personnel / In accordance with national or international requirements, the minimum crew needs to be increased as follows\(^{1}\) / does not need to be increased\(^{1}\):]

<table>
<thead>
<tr>
<th>Operating modes</th>
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<tbody>
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**Observations and special conditions:**

...…………………………………………………………………………………………………………………………………………

...…………………………………………………………………………………………………………………………………………

...…………………………………………………………………………………………………………………………………………

#### 48. Minimum crew [according to article 3.19 of the Regulations for Rhine navigation personnel / in accordance with national or international requirements\(^{2}\)]

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<tr>
<th>Operating modes</th>
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**Observations and special conditions:**

...…………………………………………………………………………………………………………………………………………

...…………………………………………………………………………………………………………………………………………

...…………………………………………………………………………………………………………………………………………

\(^{1}\) Amendment to item(s): ............................

New text:...................................................................................................................

...................................................................................................................

...................................................................................................................

\(^{2}\) The Rhine inspection certificate will refer to: boatman, boatman replaced by an engine-minder; operating modes A1, A2 and B.

\(\*)\) This page has been replaced.

Place, date ........................................................... Inspection body

Stamp ...........................................................................................................

(Signature)

\(*\) Delete as appropriate

\(^{1}\) The Rhine inspection certificate will refer to: boatman, boatman replaced by an engine-minder; operating modes A1, A2 and B.

\(\)\) The Rhine inspection certificate will refer to: Boatmaster, helmsman, able boatman, boatman, apprentice, engine-minder, engineer: operating modes A1, A2 and B.
49. **Extension/confirmation *) of inland navigation vessel certificate validity**) Periodical - special*) inspection**

The Inspection Body inspected the vessel on ………………………… *)
A certificate dated ……………………………… from the recognised classification society …………………
……………………………………………………………………………………………………………………………………
was presented to the Inspection Body*)
Reason for the inspection/certificate*):

……………………………………………………………………………………………………………………………………

In view of – the inspection result - certificate - *), the period of validity of the inland navigation vessel certificate is maintained – extended *), until ……………………………
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(Place) (date)
Stamp ……………………………………………………………………………………………………………………………
Inspection Body…………………………………………………………………………………………………………
(Signature)

*) Delete as appropriate

49. **Extension/confirmation *) of inland navigation vessel certificate validity**) Periodical - special*) inspection**

The Inspection Body inspected the vessel on ………………………… *)
A certificate dated ……………………………… from the recognised classification society …………………
……………………………………………………………………………………………………………………………………
was presented to the Inspection Body*)
Reason for the inspection/certificate*):

……………………………………………………………………………………………………………………………………

In view of – the inspection result - certificate - *), the period of validity of the inland navigation vessel certificate is maintained – extended *), until ……………………………
……………………………………………………………………………………………………………………………………
(Place) (date)
Stamp ……………………………………………………………………………………………………………………………
Inspection Body…………………………………………………………………………………………………………
(Signature)

*) Delete as appropriate

49. **Extension/confirmation *) of inland navigation vessel certificate validity**) Periodical - special*) inspection**

The Inspection Body inspected the vessel on ………………………… *)
A certificate dated ……………………………… from the recognised classification society …………………
……………………………………………………………………………………………………………………………………
was presented to the Inspection Body*)
Reason for the inspection/certificate*):

……………………………………………………………………………………………………………………………………

In view of – the inspection result - certificate - *), the period of validity of the inland navigation vessel certificate is maintained – extended *), until ……………………………
……………………………………………………………………………………………………………………………………
(Place) (date)
Stamp ……………………………………………………………………………………………………………………………
Inspection Body…………………………………………………………………………………………………………
(Signature)

*) Delete as appropriate
### Inland navigation vessel certificate No of the Inspection body ..............................

<table>
<thead>
<tr>
<th>49. **Extension/confirmation <em>) of inland navigation vessel certificate validity? Periodical - special <em>) inspection</em></em></th>
</tr>
</thead>
<tbody>
<tr>
<td>The Inspection Body inspected the vessel on ......................... ).</td>
</tr>
<tr>
<td>A certificate dated ............................................. from the recognised classification society .......................</td>
</tr>
</tbody>
</table>

was presented to the Inspection Body?). |

Reason for the inspection/certificate?): |

In view of – the inspection result - certificate - *), the period of validity of the inland navigation vessel certificate is maintained – extended *) until ......................... . |

................................., ie ............................................. |

(Place) (date) |

Stamp Inspection Body |

......................................................... |

(Signature) |

*) Delete as appropriate

<table>
<thead>
<tr>
<th>49. **Extension/confirmation <em>) of inland navigation vessel certificate validity? Periodical - special <em>) inspection</em></em></th>
</tr>
</thead>
<tbody>
<tr>
<td>The Inspection Body inspected the vessel on ......................... ).</td>
</tr>
<tr>
<td>A certificate dated ............................................. from the recognised classification society .......................</td>
</tr>
</tbody>
</table>

was presented to the Inspection Body?). |

Reason for the inspection/certificate?): |

In view of – the inspection result - certificate - *), the period of validity of the inland navigation vessel certificate is maintained – extended *) until ......................... . |

................................., ............................................. |

(Place) (date) |

Stamp Inspection Body |

......................................................... |

(Signature) |

*) Delete as appropriate

<table>
<thead>
<tr>
<th>49. **Extension/confirmation <em>) of inland navigation vessel certificate validity? Periodical - special <em>) inspection</em></em></th>
</tr>
</thead>
<tbody>
<tr>
<td>The Inspection Body inspected the vessel on ......................... ).</td>
</tr>
<tr>
<td>A certificate dated ............................................. from the recognised classification society .......................</td>
</tr>
</tbody>
</table>

was presented to the Inspection Body?). |

Reason for the inspection/certificate?): |

In view of – the inspection result - certificate - *), the period of validity of the inland navigation vessel certificate is maintained – extended *) until ......................... . |

................................., ............................................. |

(Place) (date) |

Stamp Inspection Body |

......................................................... |

(Signature) |

*) Delete as appropriate
Inland navigation vessel certificate No .................................. of the Inspection body

50. **Attestation relating to liquefied gas installation(s)**

The liquefied gas installation(s) on board the craft has/have been inspected by the expert *) .......................................................... ..........................................................

and according to his inspection attestation dated ............... *) fulfil(s) the conditions laid down.

The plant(s) include(s) the following gas-consuming appliances

<table>
<thead>
<tr>
<th>Plant</th>
<th>Serial No</th>
<th>Model</th>
<th>Make</th>
<th>Type</th>
<th>Position</th>
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<tbody>
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</table>

This attestation is valid until ..........................................................

........................................................................................................

(Place) ........................................................................ (Date)

.................................................................................. Inspection body

Stamp ........................................................................................

(Signature)

*) Amendment to item(s): ..................

New text: ..................................................................................

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*) This page has been replaced.

Place, date ................................................................. Inspection body

Stamp ..................................................................

(Signature)

*) Delete as appropriate
51. **Extension of the attestation relating to liquefied gas installation(s)**

   The period covered by the attestation relating to liquefied gas installation(s) dated………………………………………………………… valid until…………………………………………………………………………
   is extended
   - following the periodical inspection by the expert……………………………………………………………………………………………
   - on presentation of the inspection attestation dated ………
   until ………………………………………………………………………………………………………

   ………………………………………………………………………………………………… (Place)   ………………………………………………………………………………………………… (Date)
   ………………………………………………………………………………………………… Inspection body
   Stamp
   ………………………………………………………………………………………………… (Signature)

51. **Extension of the attestation relating to liquefied gas installation(s)**

   The period covered by the attestation relating to liquefied gas installation(s) dated………………………………………………………… valid until…………………………………………………………………………
   is extended
   - following the periodical inspection by the expert……………………………………………………………………………………………
   - on presentation of the inspection attestation dated ………
   until ………………………………………………………………………………………………………

   ………………………………………………………………………………………………… (Place)   ………………………………………………………………………………………………… (Date)
   ………………………………………………………………………………………………… Inspection body
   Stamp
   ………………………………………………………………………………………………… (Signature)
Inland navigation vessel certificate No ....................................................... of the Inspection body

52. Annex to inland navigation vessel certificate No .................................................................

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*) Amendment to item(s): ...............  
New text: ..............................................................................................................................
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*) This page has been replaced.  
Place, date ................................................................. Inspection body

Stamp ................................................................................................................................
........................................................................................................................................
(Signature)

*) Delete as appropriate

Continued on page ................................
End of the certificate ................................
### Section II

**Model of provisional inland navigation vessel certificate**

**Name of State / Seal**

**Provisional inland navigation vessel certificate**

$n°$ ............

<table>
<thead>
<tr>
<th>1. Name of craft</th>
<th>2. Type of craft</th>
<th>3. Unique European vessel identification number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4. Name and address of owner

5. Length $L / L_{WL}$ ...................... Number of passengers .............

   Number of berths$^1$ ..................

6. Crew: ..............................................................................................

   6.1 [The vessel is accepted for operating mode A1$^1$, A2$^1$, B$^1$ / Heading referring to the operating modes in accordance with national and international requirements.]

   6.2 Vessel equipment in accordance with Article 31.01.

   The vessel complies$^1$ / does not comply$^1$ with Article 31.02 (Standard S1)$^1$ / Article 31.03 (Standard S2)$^1$.

   [In accordance with article 3.18 of the Regulations for Rhine navigation personnel / In accordance with national or international requirements], the minimum crew needs to be increased as follows$^1$ / does not need to be increased$^1$.

<table>
<thead>
<tr>
<th>Operating modes</th>
</tr>
</thead>
<tbody>
<tr>
<td>................</td>
</tr>
<tr>
<td>................</td>
</tr>
</tbody>
</table>

   Observations and special conditions:

   ..............................................................................................

   ..............................................................................................

6.3 Minimum crew [according to article 3.19 of the Regulations for Rhine navigation personnel / in accordance with national or international requirements]: .................................................................

7. Liquefied gas installation(s)

   Attestation valid until .................................................................

8. Special conditions

   [9. Carriage of dangerous goods, see Provisional certificate of approval$^1$]

---

$^1$ The Rhine inspection certificate will refer to: boatman, boatman replaced by an engine-minder; operating modes A1, A2 and B
### 10. Validity

Provisional inland navigation vessel certificate is valid until 

- **on the Rhine** (*)
  
- **on EU waterways in Zone(s)** (*)
  
- **on the waterways in Zone(s)** (*)
  
Between ................................................................. .................................................................

**in** [Name of States (*)]

Except for: ................................................................. .................................................................

- **on the following waterways in** [Name of the State (*)]
  
**-) Delete as appropriate**

### 11. Place, date .......................................................... Inspection body

Stamp ................................................................. .................................................................

(Signature)

-) Delete as appropriate
### Annex to inland navigation vessel certificate No .........................................................

#### Supplementary Union inland navigation certificate

**Name of State / Seal**

Name and address of the competent authority issuing the supplementary certificate

1. **Name of vessel:** ........................................................................................................
2. **Unique European vessel identification number:** ......................................................
3. **Place of registration and registration number:** ....................................................
4. **Country of registration and/or home port:** ..............................................................
5. **Having regard to the inland navigation vessel certificate No .........................**
   **dated ........................................, valid until ............................................................**
6. **Having regard to the result of the inspection ..........................................................**
   **on .................................................................**
7. **the abovementioned vessel is deemed fit to operate on the EU waterways of Zone(s)**
   **.................................................................................................................................**
8. **This supplementary certificate expires on ...............................................................**
9. **Issued in ........................................, on .................................................................**
10. **(Inspection body)**

   **Stamp**
   **(Signature)

---

(1) Delete as appropriate.
### Annex to inland navigation vessel certificate No …………………

<table>
<thead>
<tr>
<th>Zone and / or waterways (1)</th>
<th>4</th>
<th>3</th>
<th>2</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Freeboard (cm)</td>
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<tr>
<td>with hold closed</td>
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<td>with hold open</td>
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#### 11. Zone and / or waterways

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<th>Zone and / or waterways (1)</th>
<th>4</th>
<th>3</th>
<th>2</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Freeboard (cm)</td>
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<td>with hold closed</td>
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<td>with hold open</td>
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</table>

#### 12. Derogations from inland navigation vessel certificate No …………………

<table>
<thead>
<tr>
<th>Derogations from inland navigation vessel certificate No</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Zone and / or waterways (1)</td>
<td>4</td>
<td>3</td>
<td>2</td>
<td>1</td>
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<tr>
<td>Freeboard (cm)</td>
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<td>with hold closed</td>
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<td>with hold open</td>
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</table>

#### 13. The entries concerning the number of crew members in the inland navigation vessel certificate do not apply.

### 14. Having regard to inland navigation vessel certificate No …………………

dated ........................................ valid until ....................................................

Having regard to the result of the inspection .................................................................

on ........................................

This supplementary certificate is extended/renewed (1) until ....................................................

.......................................................... (Place) (Date)

.......................................................... (Inspection body)

Stamp

.......................................................... (Signature)

---

(1) Delete as appropriate.
Section IV

Model of certificate for sea-going vessel operating on the Rhine

Name of State / Seal

Certificate for sea-going vessel operating on the Rhine
n° .....................

The inspection body ........................................................................................................... hereby certifies that the seagoing vessel

Name: .................................................................................................................................

Vessel registration number or letters: ..................................................................................

Place of registration: ............................................................................................................

Year of construction: ...........................................................................................................

Vessel length: ....................................................................................................................... Once the inspection has been carried out, the ...... is recognised as fit to navigate the Rhine and is authorised to do so subject to the special conditions stipulated below.

Special conditions: .............................................................................................................
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This certificate is only valid on condition that the vessel is in possession of valid maritime or coastal navigation certificates and shall not not valid later than .................................................................

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(Place)  (Date)

Stamp .................................................................................................................................

(Inspection body)

(Signature)
## Section V

*Model annex “Traditional craft” to the inland navigation certificate according to chapter 24*

### Traditional craft Annex

**Name of State / Seal**

Annex to the inland navigation vessel certificate No …………..

<p>| | |</p>
<table>
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<tbody>
<tr>
<td>1. Name of the traditional craft:</td>
<td>2. Unique European Vessel Identification Number</td>
</tr>
<tr>
<td>..................................................</td>
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<tr>
<td>3. Type of craft before the recognition</td>
<td>4. Historical time period</td>
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</table>

5. The conformity of the traditional craft described above has been established, based on an inspection of .........................................................., as well as the expert report of the heritage conservation authority / of the traditional craft expert*), .......................................................... dated .......................................................... with - the deviations listed in item 6, and - the additional requirements given in item 7 deemed appropriate.

.........................................................., ..........................................................

(Place) (date)

..........................................................

Inspection body

Stamp

..........................................................

(signature)

..........................................................

Name/address of the traditional craft expert

*) Delete as appropriate.
Annex to the inland navigation vessel certificate No ..............................................................

6. List of the deviations in the technical condition from the condition of the applicable Standard for the chosen historical time period at the time of the recognition:

<table>
<thead>
<tr>
<th>Deviation 1</th>
<th>Deviation 2</th>
<th>Deviation 3</th>
<th>Deviation 4</th>
<th>Deviation 5</th>
<th>Deviation 6</th>
<th>Deviation 7</th>
<th>Deviation 8</th>
<th>Deviation 9</th>
<th>Deviation 10</th>
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7. Additional requirements resulting from item 6:

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<tr>
<th>Requirement 1</th>
<th>Requirement 2</th>
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<th>Requirement 4</th>
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Place, date .......................................................... Inspection body

Stamp

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(Signature)

*) Delete as appropriate

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End of traditional craft annex*)
Register of inland navigation vessel certificates

Competent authority/Inspection body ........................................

Register of certificates

Year ....................
### Inland navigation vessel certificates

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(Left-hand page)
### Deadweight according to measurement certificate or displacement*)

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<th>date du certificat</th>
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### Zones or stretch of inland waterway, where appropriate

### Endorsements concerning periodical or special inspections, withdrawal and annulment of the certificate

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<th>Certificate valid until</th>
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### Other comments

*) If no measurement certificate is available, estimate the deadweight or displacement.
## PART II
### ADDITIONAL REQUIREMENTS FOR SPECIFIC EQUIPMENT USED ON BOARD

### ANNEX 4
#### SAFETY SIGNS

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<td>No entry for unauthorised persons</td>
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<td>Figure 2</td>
<td>Fire, naked flame and smoking prohibited</td>
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<td>Figure 3</td>
<td>Fire extinguisher</td>
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<tr>
<td>Figure 4</td>
<td>General danger warning</td>
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<td>Figure</td>
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<td>5</td>
<td>Extinguisher hose</td>
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<td>Wear acoustic protection device</td>
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<td>First-aid kit</td>
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<td>9</td>
<td>Quick-closing valve on the tank</td>
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<td>Figure 10</td>
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The symbols actually used may slightly differ from or be more detailed than the graphical representations in this Annex, provided the meaning is not changed and differences and modifications do not render the meaning incomprehensible.
ANNEX 5
NAVIGATION AND INFORMATION EQUIPMENT

Contents

Definitions

Section I Minimum requirements and test conditions for navigational radar installations in inland navigation
Appendix: Inland ECDIS equipment, standalone equipment connected to the radar equipment

Section II Minimum requirements and test conditions for rate-of-turn indicators in inland navigation
Chapter 1 General
Chapter 2 General minimum requirements for rate-of-turn indicators
Chapter 3 Minimum operational requirements for rate-of-turn indicators
Chapter 4 Minimum technical requirements for rate-of-turn indicators
Chapter 5 Test conditions and procedures for rate-of-turn indicators
Appendix: Maximum tolerances for indication errors of rate-of-turn indicators

Section III Requirements for installation and performance tests for navigational radar installations and rate-of-turn indicators in inland navigation

Section IV Minimum requirements, requirements for installation and performance tests for Inland AIS equipment in inland navigation

Section V Minimum requirements, requirements for installation and performance tests for tachographs in inland navigation

Section VI Installation and performance certificate for navigational radar installations and rate-of-turn indicators, for Inland AIS equipment and for tachographs in inland navigation

Definitions

1. ‘Type test’ means the test procedure as referred to in Section I Article 4 or Section II Article 1.03 which the technical service uses to test for compliance with the requirements according to this Annex. The type test forms an integral part of the type approval.

2. ‘Type approval’ means the administrative procedure according, by which a Member State confirms that equipment complies with the requirements of this Annex.
3. ‘Test certificate’ means the document in which the type testing results are laid down.

4. ‘Applicant’ or ‘manufacturer’ means any legal or natural person under whose name, trademark or any other form of identification the equipment submitted for testing is manufactured or marketed and who is responsible for all matters as regards the type testing and type approval procedure in respect of the technical service and the approval authority.

5. ‘Technical service’ means the institution, authority or organisation that does the type testing.

6. ‘Manufacturer’s declaration’ means the declaration by which a manufacturer gives the assurance that the equipment meets the prevailing minimum requirements and that is identical in every respect to the type submitted for testing.

7. ‘Declaration of conformity according to Directive 2014/53/EU\(^1\) means the declaration according to Directive 2014/53/EU Annex II(4), by which the manufacturer confirms that the products in question meet the applicable requirements of the Directive.

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Section I

Minimum requirements and test conditions for navigational radar installations in inland navigation

Article 1
Scope

These provisions set out the minimum requirements for navigational radar installations used in inland navigation as well as the conditions for testing conformity with these minimum requirements.

Article 2
Purpose of the navigational radar installation

The navigational radar installations shall facilitate the navigation of the vessel by providing an intelligible radar picture of its position in relation to buoys shorelines and navigational structures, as well as permitting the reliable and timely recognition of other vessels and obstructions protruding above the surface of the waterway.

Article 3
Minimum requirements

1. Navigational radar installations shall meet the requirements of Directive 2014/53/EU.

2. Navigational radar installations shall also meet the requirements of the European Standard EN 302 194-1:2006 Electromagnetic compatibility and Radio spectrum Matters (ERM); Navigational radar used on inland waterways: Part 1: Technical characteristics and methods of measurement.

3. (2) above does not apply to Inland ECDIS equipment hardware operated in navigation mode in system configuration 2 or 3 in accordance with the Inland ECDIS standard, section 1 item 5.2 in conjunction with section 4 item 2.2.2 or 2.2.3 if a manufacturer's certificate of conformity is produced. This certificate of conformity must confirm that the hardware:
   a) is designed and manufactured to withstand the typical stresses and environmental conditions prevailing aboard a vessel, without loss of quality or reliability and
   b) does not interfere with the operation of other onboard communication and navigation equipment.

The first sentence does not apply to visual display units operated in navigation mode in system configuration 3 nor to hardware components used for providing radar information from the radar processor for display on the Inland ECDIS equipment screen.
Article 4
Type tests

Compliance with the minimum requirements as specified in Article 3(2) shall be established by means of a type test.

If the equipment passes the type test the technical service shall issue a test certificate. If the equipment fails to meet the minimum requirements, the applicant shall be notified in writing of the reasons for its rejection.

Article 5
Application for a type test

1. Applications for a type test of the navigational radar installation shall be submitted to a competent technical service.

2. Each application shall be accompanied by the following documents:
   a) Two detailed technical descriptions;
   b) Two complete sets of installation and service documents;
   c) Two detailed operator’s manuals;
   d) Two short operator’s manual; and
   e) Where applicable, evidence of previously completed tests.

3. In case it is not intended by the applicant to have the Declaration of Conformity pursuant to Directive 2014/53/EU established concurrently with the type-approval, a Declaration of Conformity shall be submitted together with the application for a type test.

Article 6
Type-approval

1. Type-approval shall be granted by the competent authority pursuant to the test certificate.

2. Each competent authority or the technical service designated by the competent authority shall be entitled to select equipment from the production series at any time for inspection.

   If this inspection reveals defects in the equipment, type-approval may be withdrawn.

   The type-approval shall be withdrawn by the authority that issued it.

Article 7
Marking of the equipment and type approval number

1. Each component of the equipment shall be marked indelibly with the name of the manufacturer, the trade designation of the equipment, the type of equipment and the serial number.

2. The type-approval number assigned by the competent authority shall be affixed indelibly to the display unit in such a way that it remains clearly visible after the equipment has been installed.
Composition of a type-approval number: R-NN-NNN or e-NN-NNN

R = Rhine

e = European Union

NN = number for the country of type-approval, where

01 = Germany 19 = Romania
02 = France 20 = Poland
03 = Italy 21 = Portugal
04 = Netherlands 23 = Greece
05 = Sweden 24 = Ireland
06 = Belgium 25 = Croatia
07 = Hungary 26 = Slovenia
08 = Czech Republic 27 = Slovakia
09 = Spain 29 = Estonia

11 = United Kingdom 32 = Latvia
12 = Austria 34 = Bulgaria
13 = Luxembourg 36 = Lithuania
14 = Switzerland 49 = Cyprus
17 = Finland 50 = Malta
18 = Denmark

NNN = three-digit number, to be determined by the competent authority.

3. The type-approval number shall be used only in conjunction with the associated type-approval. It shall be the responsibility of the applicant to produce and affix the type-approval number.

Article 8

Manufacturer's declaration

Each unit of equipment shall be accompanied by a manufacturer's declaration.

Article 9

Modifications to type-approved equipment

1. Any modification made to equipment already approved shall cause the type-approval to be forfeit.

Whenever modifications are planned, details shall be sent in writing to the competent technical service.

2. The competent authority shall decide following consultation with the technical service whether the type-approval still applies or whether an inspection or new type test is necessary.

If a new type test is granted, a new type-approval number shall be assigned.
Appendix

Figure 1: Inland ECDIS equipment, standalone equipment connected to the radar equipment (system configuration 2)

Figure 2: Inland ECDIS equipment, standalone equipment connected to the radar installation and shared monitor (system configuration 3)
Section II

Minimum requirements and test conditions for rate-of-turn indicators in inland navigation

Chapitre 1
General

Article 1.01
Scope

These provisions set out the minimum requirements for rate-of-turn indicators in inland navigation, as well as the conditions for testing conformity with these minimum requirements.

Article 1.02
Purpose of the rate-of-turn indicator

The rate-of-turn indicator is intended to facilitate radar navigation, and to measure and indicate the rate of turn of the vessel to port or starboard.

Article 1.03
Type test

1. Compliance with the minimum requirements for rate-of-turn indicators pursuant to Chapters 2 to 4 shall be established by means of a type test.

2. If the equipment passes the type test the technical service shall issue a test certificate. If the equipment fails to meet the minimum requirements, the applicant shall be notified in writing of the reasons for its rejection.

Article 1.04
Application for a type test

1. Applications for a type test of a rate-of-turn indicator shall be submitted to a competent technical service.

2. Each application shall be accompanied by the following documents:
   a) two detailed technical descriptions;
   b) two complete sets of installation and service documents
   c) two operating instructions.

3. By means of tests, the applicant shall establish or have it established that the equipment meets the minimum requirements of these provisions.

   The results of the test and the measurement reports shall be attached to the application.

   These documents and the information obtained during testing shall be kept by the competent authority.
**Article 1.05**  
*Type approval*

1. Type approval shall be granted by the competent authority pursuant to the test certificate.

2. Each competent authority or the technical service designated by the competent authority shall be entitled to select equipment from the production series at any time for inspection.

   If this inspection reveals defects in the equipment, type approval may be withdrawn.

   The type-approval shall be withdrawn by the authority that issued it.

**Article 1.06**  
*Marking of the equipment and type approval number*

1. Each component of the equipment shall be marked indelibly with the name of the manufacturer, the trade designation of the equipment, the type of equipment and the serial number.

2. The type-approval number assigned by the competent authority shall be affixed indelibly to the control unit in such a way that it remains clearly visible after the equipment has been installed.

Composition of a type-approval number: R-NN-NNN or e-NN-NNN

- **R** = Rhine
- **e** = European Union
- **NN** = number for the country of type-approval, where

01 = Germany  
02 = France  
03 = Italy  
04 = Netherlands  
05 = Sweden  
06 = Belgium  
07 = Hungary  
08 = Czech Republic  
09 = Spain  
11 = United Kingdom  
12 = Austria  
13 = Luxembourg  
14 = Switzerland  
17 = Finland  
18 = Denmark  
19 = Romania  
20 = Poland  
21 = Portugal  
23 = Greece  
24 = Ireland  
25 = Croatia  
26 = Slovenia  
27 = Slovakia  
29 = Estonia  
32 = Latvia  
34 = Bulgaria  
36 = Lithuania  
49 = Cyprus  
50 = Malta

- **NNN** = three-digit number, to be determined by the competent authority

3. The type-approval number shall be used only in conjunction with the associated type-approval. It shall be the responsibility of the applicant to produce and affix the type-approval number.
Article 1.07
Manufacturer's declaration

Each unit of equipment shall be accompanied by a manufacturer's declaration.

Article 1.08
Modifications to type-approved equipment

1. Any modification made to equipment already approved shall cause the type-approval to be forfeit.

   Whenever modifications are planned, details shall be sent in writing to the competent technical service.

2. The competent authority shall decide following consultation with the technical service whether the type-approval still applies or whether an inspection or new type test is necessary.

   If a new type test is granted, a new type approval number shall be assigned.

Chapter 2
General minimum requirements for rate-of-turn indicators

Article 2.01
Construction, design

1. Rate-of-turn indicators shall be suitable for operation on board inland navigation vessels.

2. The construction and design of the equipment shall be in accordance with current good engineering practice, both mechanically and electrically.

3. In the absence of any specific provision in this Standard, the requirements and test methods contained in European Standard EN 60945 : 2002 shall apply to power supply, safety, mutual interference of ship borne equipment, compass safe distance, resistance to climatic influences, mechanical strength, environmental influences, audible noise emission and equipment markings.

   The equipment shall satisfy all requirements of this Annex at ambient temperatures between 0 °C and + 40 °C.
Article 2.02
Spurious emissions and electromagnetic compatibility

1. General requirements

Rate-of-turn indicators shall meet the requirements of Directive 2014/30/EU\(^1\).

2. Spurious emissions

In the frequency ranges of 156-165 MHz, 450-470 MHz and 1,53-1,544 GHz, the field strength shall not exceed a value of 15 \(\mu\)V/m. These field strengths shall apply at a test distance of 3 metres from the equipment under test.

Article 2.03
Operation

1. The equipment shall not have more control units than are necessary for its correct operation.

The design, markings and manipulation of the control units shall be such as to permit their simple, unambiguous and fast operation.

Their arrangement shall be such as to prevent operational mistakes as far as possible

Control units that are not necessary for normal operation shall not be immediately accessible.

2. All controls and indicators shall be provided with symbols and/or markings in English. Symbols shall meet the requirements of European Standard EN 60417 : 2002 (graphical symbols for use on electrical equipment).

All numerals and letters shall be at least 4 mm high. If it can be demonstrated that, for technical reasons, numerals and letters 4 mm high are not possible and if for the purposes of operation smaller numerals and letters are acceptable, a reduction to 3 mm shall be allowed.

3. The equipment shall be designed in such a way that operating mistakes cannot cause its failure.

4. Any functions over and above the minimum requirements, such as facilities for connection to other equipment, shall be provided in such a way that the equipment meets the minimum requirements under all conditions.

Article 2.04
Operator’s manual

A detailed operator’s manual shall be supplied with each unit. It shall be available in Dutch, English, French and German and shall contain at least the following information:

a) activation and operation;

b) maintenance and servicing;

c) general safety provisions.

Article 2.05

Installation of the sensor

The direction of installation in relation to the keel line shall be indicated on the rate-of-turn indicator's sensor unit. Installation instructions shall be provided to ensure maximum insensitivity to other normal movements of the vessel.

Chapter 3

Minimum operational requirements for rate-of-turn indicators

Article 3.01

Operational readiness of the rate-of-turn indicator

1. From a cold start, the rate-of-turn indicator shall be fully operational within 4 minutes and shall operate to within the required accuracy tolerances.

2. A warning signal shall indicate that the indicator is switched on. It shall be possible to observe and operate the rate-of-turn indicator simultaneously.

3. Cordless remote controls shall not be permitted.

Article 3.02

Indication of the rate of turn

1. The rate of turn shall be indicated on a linear graduated scale having the zero point situated in the middle. It shall be possible to read the direction and extent of the rate of turn with the necessary accuracy. Indicators other than needle indicators and bar-graphs shall not be permitted.

2. The indicator scale shall be at least 20 cm long and may be circular or rectilinear.

Rectilinear scales may be arranged horizontally only.

3. Digital-only indicators will not be accepted.

Article 3.03

Measuring ranges

Rate-of-turn indicators may be provided with one or more measuring ranges. The following measuring ranges are recommended:

- 30°/min
- 60°/min
- 90°/min
- 180°/min
- 300°/min.
Article 3.04

Accuracy of the indicated rate of turn

The indicated rate of turn shall not differ by more than 2 % from the measurable maximum value or by more than 10 % from the actual value; whichever is the greater (see Appendix).

Article 3.05

Sensitivity

The operating threshold shall be less than or equal to a change in angular speed equivalent to 1 % of the indicated value.

Article 3.06

Monitoring of operation

1. If the rate-of-turn indicator does not operate within the required accuracy range, this shall be indicated.

2. If a gyroscope is used, any critical fall in the rate of rotation of the gyroscope shall be signalled by an indicator. A critical fall in the rate of rotation of the gyroscope is one which lowers accuracy by 10 %.

Article 3.07

Insensitivity to normal movements of the vessel

1. Rolling of the vessel of up to 10° at a rate of turn of up to 4°/s shall not give rise to measurement errors in excess of the stipulated tolerances.

2. Impacts such as those that may occur during berthing shall not give rise to measurement errors in excess of the stipulated tolerances.

Article 3.08

Insensitivity to magnetic fields

The rate-of-turn indicator shall be insensitive to magnetic fields which typically occur on board the vessel.

Article 3.09

Slave indicators

Slave indicators shall comply with all requirements applicable to rate-of-turn indicators.
Chapitre 4
Minimum technical requirements for rate-of-turn indicators

Article 4.01
Operation

1. All controls shall be so arranged that during their operation no information is concealed from view and radar navigation remains unimpaired.

2. All control units and indicators shall be provided with a dazzle-free source of lighting appropriate for all ambient lighting conditions and adjustable down to zero by means of an independent control.

3. Adjustment of control units shall be such that movements to the right or upwards have a positive effect on the variable and movements to the left or downwards a negative effect.

4. If push-buttons are used, it shall be possible to locate and operate them by touch. They shall also have clearly perceptible contact release. If pushbuttons have multiple functions, it must be apparent which hierarchical level is active.

Article 4.02
Damping devices

1. The sensor system shall be damped for critical values. The damping constant (63 % of the limit value) shall not exceed 0,4 seconds.

2. The indicator shall be damped for critical values. Control units for increasing damping shall be permitted. Under no circumstances shall the damping constant exceed 5 seconds.

Article 4.03
Connection of additional equipment

1. If the rate-of-turn indicator can be connected to slave indicators or similar equipment, the rate-of-turn indication shall remain usable as an analogue electric signal. In addition, the rate-of-turn indicator may possess a digital interface in accordance with (2).

   The rate of turn shall continue to be indicated with galvanic earth insulation and the equivalent to an analogue voltage of 20 mV/^/°/min ± 5 % and a maximum internal resistance of 100 Ω.

   Polarity shall be positive when the vessel is turning to starboard and negative when it is turning to port.
The operating threshold shall not exceed 0.3°/min.

Zero error shall not exceed 1°/min at temperatures from 0 °C to 40 °C.

With the indicator switched on and the sensor not exposed to the effects of movement, the spurious voltage at the output signal measured with a 10 Hz pass-band low-pass filter shall not exceed 10 mV.

The rate-of-turn signal shall be received without additional damping beyond the limits referred to in Article 4.02(1).


3. An external alarm switch shall be provided. The switch shall be installed as a galvanic insulation break-switch for the indicator.

The external alarm shall be triggered by contact closure:
   a) if the rate-of-turn indicator is disconnected; or
   b) if the rate-of-turn indicator is not in operation; or
   c) if the operating control has reacted following an excessive error (Article 3.06).

Chapter 5
Test conditions and procedures for rate-of-turn indicators

Article 5.01
Safety, load capacity and electromagnetic compatibility

Power supply, safety, mutual interference of ship borne equipment, compass safe distance, resistance to climatic influences, mechanical strength, environmental impact, audible noise emission and electromagnetic compatibility shall be tested in accordance with European Standard EN 60945 : 2002.

Article 5.02
Spurious emissions

Spurious emissions shall be measured in accordance with European Standard EN 60945 : 2002 in the frequency range of 30 to 2000 MHz.

The requirements of Article 2.02(2) shall be met.

Article 5.03
Test procedure

1. Rate-of-turn indicators shall be tested under nominal and boundary conditions. In this regard, the influence of the operating voltage and of the ambient temperature shall be tested as far as the prescribed limit value.

   In addition, radio transmitters shall be used to set up the maximum magnetic fields in the vicinity of the indicators.
2. Under the conditions described in (1), indicator errors shall remain within the tolerances indicated in the Appendix.

All minimum requirements of Chapters 2 to 4 shall be met.

Appendix
Figure 1: Maximum tolerances for indication errors of rate-of-turn indicators
Section III
Requirements for installation and performance tests for navigational radar installations and rate-of-turn indicators in inland navigation

Article 1
General

1. Installation and performance tests of navigational radar installations and rate-of-turn indicator systems must take place according to the following provisions.

2. Only equipment with a type approval according to Section I Article 6 or Section II Article 1.05, or with a type approval recognised as equivalent shall be authorised for installation.

3. All that is permitted to be connected to the navigational radar installations are type-approved external sensors. External sensors connected to the navigational radar installation must be type-approved in accordance with the following maritime Standards:

<table>
<thead>
<tr>
<th>Sensor</th>
<th>Minimum requirements in accordance with</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Standard (IMO)</td>
</tr>
<tr>
<td>GPS</td>
<td>MSC.112(73)</td>
</tr>
<tr>
<td>DGPS/DGLONASS</td>
<td>MSC.114(73)</td>
</tr>
<tr>
<td>Galileo</td>
<td>MSC.233(82)</td>
</tr>
<tr>
<td>Heading/GPS Compass</td>
<td>MSC.116(73)</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4. If an Inland AIS equipment is connected to a navigational radar installation not fitted with an electronic inland navigation card for displaying AIS symbols, an approved compass must also be connected in order to comply with the requirement in Annex 5 Section I (2).

Article 2
Approved specialist firms

1. The installation, replacement, repair or maintenance of navigational radar installations and rate-of-turn indicators shall be carried out only by specialist firms approved by the competent authority.

2. Approval may be withdrawn by the competent authority.

---

1 MSC.112(73) adopted on 1 December 2000- Revised Performance Standards for Shipborne Global Positioning System (GPS) Receiver Equipment.
3 MSC.233(82) adopted on 5 December 2006 - Performance Standards for Shipborne Galileo Receiver Equipment.
4 MSC.116(73) adopted on 1 December 2000 - Performance Standards for marine transmitting heading devices (THDs).
Article 3

Requirements for on-board power supply

All power supply leads for navigational radar installations and rate-of-turn indicators shall have their own separate safety device and, if possible, be fail-safe.

Article 4

Installation of the radar antenna

1. The radar antenna shall be installed as close as possible to the fore-and-aft-line. There shall be no obstruction in the vicinity of the antenna causing false echoes or unwanted shadows; if necessary, the antenna shall be mounted on the forecastle. The mounting and attachment of the radar antenna in its operational position shall be sufficiently stable to enable the navigational radar installation to perform within the required accuracy limits.

2. After the angular error in the mounting has been corrected and the equipment has been switched on, the difference between lubber line and fore-and-aft-line shall not be greater than 1°.

Article 5

Installation of the display unit and the control unit

1. The display unit and control unit shall be installed in the wheelhouse in such a way that the evaluation of the radar image and the operation of the navigational radar installation present no difficulty. The azimuthal orientation of the radar image shall be in accordance with the normal situation of the surroundings. Holders and adjustable consoles shall be constructed in a way that they can be fixed in each position free of vibration.

2. During radar navigation, artificial lighting shall not be reflected in the direction of the radar operator.

3. When the control unit is not part of the display unit, it shall be located in housing within 1 metre of the display unit. Cordless remote controls shall not be permitted.

4. If slave indicators are installed, they shall satisfy the requirements which apply to navigational radar installations.

Article 6

Installation of the rate-of-turn indicator

1. The rate-of-turn indicator shall be located ahead of the helmsman and within their field of vision.

2. The sensor system shall be installed as far as possible amidships, horizontal and aligned with the ship's fore-and aft-line. The installation site shall as far as possible be free of vibration and be liable only to modest temperature variations. The indicator unit shall if possible be installed directly above the radar display.

3. If slave indicators are installed, they shall satisfy the requirements which apply to rate-of-turn indicators.
Article 7

Installation of the position sensor

For inland ECDIS equipment which is operated in navigation mode, the position sensor (e.g. DGPS antenna) must be installed in such a way as to ensure that it operates with the greatest possible degree of accuracy and is not adversely affected by superstructures and transmitting equipment on board ship.

Article 8

Installation and performance test

Before the equipment is switched on for the first time after installation, in case of periodical inspection in order to extend the validity of the inland navigation certificate, as well as after each modification of the vessel likely to affect the operating conditions of the equipment, an installation and performance test shall be carried out by the competent authority or by a specialist firm authorised in accordance with Article 2. For this purpose, the following conditions shall be fulfilled:

a) the power supply shall have a separate safety device;
b) the operating voltage shall be within the tolerance;
c) the cabling and its installation shall satisfy the provisions of this Standard and, if applicable ADN;
d) the rate of antenna revolutions shall reach at least 24 rpm;
e) there shall be no obstruction in the vicinity of the antenna which impairs navigation;
f) the safety switch of the antenna, if provided, shall be in good working order;
g) the arrangement of display units, rate-of-turn indicators and control units shall be ergonomic and user-friendly;
h) the lubber line of the navigational radar installation shall not deviate from the ship's fore-and-aft line by more than 1;
i) the accuracy of the range and azimuthal displays shall satisfy the requirements (measurements using known targets);
j) linearity in short ranges shall be correct (pushing and pulling);
k) the displayed minimum range shall be 15 metres or less;
l) the centre of the picture shall be visible and its diameter shall not exceed 1 mm;
m) false echoes caused by reflections and unwanted shadows on the lubber line shall not occur or shall not impair the safety of navigation;

Furthermore, in the case of inland ECDIS equipment:

o) the gain adjustment shall be in proper working order;
p) focus and picture definition shall be correct;
q) the ship's turning direction shall be as indicated on the rate-of-turn indicator and the zero position at dead ahead shall be correct;
r) the navigational radar installation shall not be sensitive to transmissions by the ship's radio equipment or to interference from other on-board sources;
s) the navigational radar installation or rate-of-turn indicator shall not interfere with other on-board equipment.

Furthermore, in the case of inland ECDIS equipment:

t) the statistical positional error affecting the chart shall not exceed 2 m;
u) the statistical phase angle error affecting the chart shall not exceed 1°.
Article 9

Installation and performance certificate

After successful completion of a test in accordance with Article 8, the competent authority or the approved specialist firm shall issue a certificate based on the model according to Annex 5 Section V. This certificate shall be kept permanently on board.

If the test conditions have not been met, a list of defects shall be drawn up. Any existing certificate shall be withdrawn or sent to the competent authority or the approved firm.
Section IV

Minimum requirements, requirements for installation and performance tests for Inland AIS equipment in inland navigation

Article 1

Approval of Inland AIS equipment

Inland AIS equipment must comply with the requirements of the Test Standard for Inland AIS. Compliance is certified by an examination of type approval by a competent authority.

Article 2

Installation and performance tests of shipborne Inland AIS equipment

The following conditions are to be complied with when installing shipborne Inland AIS equipment:

1. Shipboard Inland AIS equipment can only be installed by specialist firms approved by the competent authority.

2. The Inland AIS equipment must be installed in the wheelhouse or other readily accessible location.

3. The functionality of an MKD (integrated capture and display device) must be accessible to the boatmaster. The warning and Inland AIS equipment status information must be located within the helmsman’s direct field of view. Other devices used for navigation purposes may however take priority as regards their direct visibility. All warning indicator lights must remain visible after installation.

4. It must be possible to establish visually whether the equipment is operating. The equipment must be permanently supplied with electric power by means of an uninterrupted power supply circuit with its own fuse protection and connected directly to this power supply.

5. Inland AIS equipment antennas must be installed and connected to the stations so as to ensure that these stations operate reliably under all normal conditions of use. Other equipment may only be connected if the interfaces of the two equipments are compatible.
6. All that is permitted to be connected to the Inland AIS equipment are type-approved external sensors. External sensors connected to the Inland AIS equipment must be type-approved in accordance with the following maritime Standards:

<table>
<thead>
<tr>
<th>Sensor</th>
<th>Minimum requirements in accordance with</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td>MSC.112(73)¹</td>
</tr>
<tr>
<td>DGPS/DGLONASS</td>
<td>MSC.114(73)²</td>
</tr>
<tr>
<td>Galileo</td>
<td>MSC.233(82)³</td>
</tr>
</tbody>
</table>

7. Prior to post-installation commissioning, in the event of the inland navigation vessel certificate being renewed or extended and any conversion of the vessel likely to affect the conditions in which these equipment operate, a competent authority or approved specialist firm must undertake an installation check and operating test.

8. The approved specialist firm that has carried out the installation and operating test issues a certificate in accordance with Annex 5, Section VI, regarding the specific characteristics and correct operation of the Inland AIS equipment.

9. The certificate must be permanently retained onboard.

10. User instructions must be handed over for retention on board. This must be mentioned on the shipboard installation certificate.

¹ MSC.112(73) adopted on 1 December 2000- Revised Performance Standards for Shipborne Global Positioning System (GPS) Receiver Equipment.
² MSC.114(73) adopted on 1 December 2000 - Revised Performance Standards for Shipborne DGPS and DGLONASS Maritime Radio Beacon Receiver Equipment.
³ MSC.233(82) adopted on 5 December 2006 - Performance Standards for Shipborne Galileo Receiver Equipment.
⁴ MSC.116(73) adopted on 1 December 2000 - Performance Standards for marine transmitting heading devices (THDs).
Section V

Minimum requirements, requirements for installation and performance tests for tachographs in inland navigation

Article 1

Approval of tachographs

The tachographs must comply with the requirements of this section. Compliance is certified by an examination of type approval by a competent authority.

Article 2

Requirements to be complied with by tachographs

1. Ascertaining the vessel's navigation time

To determine navigation according to the criterion yes/no, the rotation of the propeller must be measured at an appropriate place. Where propulsion is other than by propeller, the vessel's movement must be ascertained in an equivalent manner at appropriate place. Where there are two or more propeller shafts, it must be ensured that recording will operate even if only one of the shafts is turning.

2. Vessel identification

The unique European vessel identification number or official vessel number must be indelibly recorded on the data medium and be readable from it.

3. Recording on the data medium

The following items of information must be recorded on the data medium such as to be tamper-proof and readable from it: the vessel's operating mode, the date and time at which the tachograph was operating and ceased operating, the insertion and removal of the data medium and other actions performed on the device. The tachograph must automatically record the time, insertion and removal of the data medium, the opening and closure of the device as well as any interruption to its power supply.

4. Recording time per day

The date and time at which the shaft starts and stops rotating must be continuously recorded daily between 00.00 and 24.00.

5. Reading the recording

The recording must be unequivocal, easy to read and clearly comprehensible. It must be possible to read the recording at any moment without any special auxiliary devices.

6. Printing the recording

It must be possible for the recordings to be provided at any time as a readily transparent printout.

7. Security of the recording

The rotation of the propeller must be recorded in a way that cannot be tampered with.
8. Accuracy of the recording

The rotation of the propeller must be recorded in a way that is accurate in time. The recording must be readable with an accuracy of 5 minutes.

9. Operating voltages

Voltage fluctuations of up to ± 10 % of the nominal value must not hinder the proper functioning of the device. Moreover, the installation must be capable of withstanding a 25 % increase in the supply voltage relative to the nominal voltage without its serviceability being impaired in any way.

10. Operating conditions

The devices or device components must operate faultlessly under the following conditions:
- ambient temperature: 0 °C to + 40 °C
- humidity: up to 85 % relative air humidity
- type of electrical protection: IP 54 in accordance with the International Standard IEC 529
- oil resistance: although intended to be installed in the engine room, the devices or device components must be oil resistant
- permissible time recording tolerances: ± 2 minutes per 24 hours.

Article 3

Requirements for installing shipboard tachographs

The following conditions are to be complied with when installing shipboard tachographs:

1. Shipboard tachographs can only be installed by specialist firms approved by the competent authority.

2. The tachograph must be installed in the wheelhouse or other readily accessible location.

3. It must be possible to establish visually whether the device is operating. The device must be permanently supplied with electric power by means of an uninterrupted power supply circuit with its own fuse protection and connected directly to this power supply.

4. Information concerning the vessel's movement, namely whether the vessel is “underway” or “not underway” is derived from the movement of the propulsion system. The corresponding signal must originate from the rotation of the propeller, from the propeller shaft or from the operation of the propulsion machinery. In the case of different propulsion systems, an equivalent solution must be achieved.

5. The technical equipment for capturing the movement of the vessel must be installed so as to be as operationally reliable as possible and immune from unauthorised interventions. To this end, the signal transmission circuit (including the signal generator and device inlet terminal), from the propulsion system to the device must be protected by appropriate means with monitoring to detect any interruption of the circuit. Appropriate for this purpose are for example seals bearing identification markings and visible cable runs or monitoring circuits.
6. Upon completion of the installation, the specialist firm which has carried out or supervised the installation carries out an operating test. It issues a certificate attesting to the characteristics of the installation (in particular the location and type of seals and their markings, location and type of monitoring equipment) and that it is operating correctly; the certificate must also provide information on the type of approved device. A new operating test is required after any replacement, modification or repair; this test must be referred to on the certificate.

The certificate must at a minimum comprise the following data:
- name, address and unique reference of the approved specialist firm that has carried out or supervised the installation;
- name, address and telephone number of the competent authority that approved the company;
- unique European vessel identification number of the vessels or official number of the vessel;
- tachograph type and serial number;
- date of the operating test.

The certificate is valid for 5 years.

The purpose of the certificate is to prove that the device is approved, installed by an approved specialist firm and has been tested for correct operation.

7. The vessel's command must be trained in the use of the device by the approved company and user instructions must be handed over for retention on board. This must be mentioned on the shipboard installation certificate.
Section VI

*Installation and performance certificate for navigational radar installations, rate-of-turn indicators, for Inland AIS equipment and for tachographs in inland navigation (Model)*

Vessel name/type: .................................................................................................................................................................

Unique European vessel identification number: ..........................................................................................................................

**Vessel owner:**

Name: .......................................................................................................................................................................................

Address: .......................................................................................................................................................................................

Telephone: ..................................................................................................................................................................................

**Navigational radar installations:**

<table>
<thead>
<tr>
<th>Item No</th>
<th>Type</th>
<th>Manufacturer</th>
<th>Type-approval number</th>
<th>Serial number</th>
</tr>
</thead>
</table>

**Rate-of-turn indicators:**

<table>
<thead>
<tr>
<th>Item No</th>
<th>Type</th>
<th>Manufacturer</th>
<th>Type-approval number</th>
<th>Serial number</th>
</tr>
</thead>
</table>

**Inland AIS equipment:**

<table>
<thead>
<tr>
<th>Item No</th>
<th>Type</th>
<th>Manufacturer</th>
<th>Type-approval number</th>
<th>Serial number</th>
</tr>
</thead>
</table>

**Tachographs**

...

It is hereby certified that the vessel's navigational radar installations, rate-of-turn indicators and Inland AIS equipment, referred to above, comply with the requirements of Annex 5 of the European Standard laying down technical requirements for inland navigation vessels (ES-TRIN) for the installation and performance testing of navigational radar installations, rate-of-turn indicators and Inland AIS equipment for inland navigation.

**Approved specialist firm**

Name: .......................................................................................................................................................................................

Address: .......................................................................................................................................................................................

Telephone: ..................................................................................................................................................................................

**Competent authority for the approval of the specialist firm**

Name: .......................................................................................................................................................................................

Address: .......................................................................................................................................................................................

Telephone: ..................................................................................................................................................................................
ANNEX 6
ENGINE PARAMETER PROTOCOL
(Model)

0 General

0.1 Engine information
0.1.1 Make: .................................................................
0.1.2 Manufacturer’s description: ...........................................
0.1.3 Type-approval number: .............................................
0.1.4 Engine identification number: ......................................

0.2 Documentation

The engine parameters should be tested and the test results documented. The
documentation should consist of separate sheets, individually numbered, signed by the
controller and attached to this protocol.

0.3 Test

The test should be carried out on the basis of the Engine manufacturer's instructions on
monitoring the components and engine parameters of relevance in an exhaust gas context. In
duly motivated cases controllers may, at their own discretion, dispense with checks on certain
engine parameters.

0.4 This engine parameter protocol, including the accompanying chart readings, comes to a total
of .... * pages.

1. Engine parameters

This is to certify that the engine under test does not deviate excessively from the prescribed
parameters.

1.1 Installation inspection
Name and address of the technical service: ....................................
.....................................................................................................................
.....................................................................................................................
Name of the controller: ............................................................................
Place and date: .........................................................................................
Signature: ...................................................................................................

Test recognised by competent authority: ....................................................
.....................................................................................................................
.....................................................................................................................

Place and date: ............................................................... Seal of the competent
authority

-------------------

* To be filled in by the controller.
1.2

☐ Intermediate test  ☐ Special test

Name and address of the technical service: .................................................................
..............................................................................................................................
..............................................................................................................................

Name of the controller: ............................................................................................
Place and date: ......................................................................................................
Signature: ................................................................................................................

Test recognised by competent authority: ..................................................................
..............................................................................................................................
..............................................................................................................................

Place and date: ................................................................. Seal of the competent
test authority
Signature: ..............................................................................................................

1.2

☐ Intermediate test  ☐ Special test

Name and address of the technical service: .................................................................
..............................................................................................................................
..............................................................................................................................

Name of the controller: ............................................................................................
Place and date: ......................................................................................................
Signature: ................................................................................................................

Test recognised by competent authority: ..................................................................
..............................................................................................................................
..............................................................................................................................

Place and date: ................................................................. Seal of the competent
test authority
Signature: ..............................................................................................................

1.2

☐ Intermediate test  ☐ Special test

Name and address of the technical service: .................................................................
..............................................................................................................................
..............................................................................................................................

Name of the controller: ............................................................................................
Place and date: ......................................................................................................
Signature: ................................................................................................................

Test recognised by competent authority: ..................................................................
..............................................................................................................................
..............................................................................................................................

Place and date: ................................................................. Seal of the competent
test authority
Signature: ..............................................................................................................

---

1 Place a cross in the appropriate box
Appendix 1
Annex to the engine parameter protocol
(Model)

Craft name: ........................................ Unique European vessel identification number: ........................................

☐ Installation inspection 1) ☐ Intermediate test 1) ☐ Special test 1)

Manufacturer: .................................................. Engine type: .................................................. (Trade name/trade mark/trade name of the manufacturer) (Engine family/manufacturer’s description)

Rated power (kW): ............. Rated speed (rpm): ............. Number of cylinders: .............

Use for which the engine is intended: ________________________________________________________________ (Craft main propulsion/Generator propulsion/forward beam propulsion/auxiliary engine, etc.)

Type approval number: .................................................................. Year of engine construction: .............

Engine identification number: .................................................. Place of installation: ........................................ (Serial number/unique identification number)

The engine and engine components of relevance in an exhaust gas context have been identified on the basis of the data plate details.
The test has been carried out on the basis of the Engine manufacturer’s instructions on monitoring the components and engine parameters of relevance in an exhaust gas context.

A) Component test
Additional components of relevance in an exhaust gas context and listed in the Engine manufacturer’s instructions on monitoring the components and engine parameters of relevance in an exhaust gas context should be included in the table.

<table>
<thead>
<tr>
<th>Component</th>
<th>Component number recorded</th>
<th>Conformity 1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Camshaft/piston</td>
<td>□ Yes □ No □ N/A</td>
<td></td>
</tr>
<tr>
<td>Injection valve</td>
<td>□ Yes □ No □ N/A</td>
<td></td>
</tr>
<tr>
<td>Data set/software number</td>
<td>□ Yes □ No □ N/A</td>
<td></td>
</tr>
<tr>
<td>Injection pump</td>
<td>□ Yes □ No □ N/A</td>
<td></td>
</tr>
<tr>
<td>Cylinder head</td>
<td>□ Yes □ No □ N/A</td>
<td></td>
</tr>
<tr>
<td>Exhaust-gas turbocharger</td>
<td>□ Yes □ No □ N/A</td>
<td></td>
</tr>
<tr>
<td>Charge air cooler</td>
<td>□ Yes □ No □ N/A</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No □ N/A</td>
<td></td>
</tr>
</tbody>
</table>

B) Visual inspection of the adjustable features and engine parameters

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value recorded</th>
<th>Conformity 1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Injection timing, injection period</td>
<td></td>
<td>□ Yes □ No</td>
</tr>
</tbody>
</table>

C) Inspection of the air intake and the exhaust system

☐ Measurements have been taken in order to verify compliance with the authorised values
Intake under pressure: ............. kPa at rated speed and full load
Exhaust gas back pressure: ............. kPa at rated speed and full load

☐ A visual inspection of the air intake and exhaust gas system has been carried out. No abnormalities were detected that would suggest non-compliance with the authorised values.

D) Comments:
........................................................................................................................................................................
........................................................................................................................................................................
........................................................................................................................................................................

Name of the controller: .................................................................................................................................
Place and date: ...............................................................
Signature: ........................................................................

1) Place a cross in the appropriate box.
ANNEX 7
ON-BOARD SEWAGE TREATMENT PLANTS

Table of contents

Section I Supplementary provisions
  1. Marking of on-board sewage treatment plants
  2. Testing
  3. Evaluation of conformity of production

Section II Information document No … relating to type approval of on-board sewage treatment plants intended for installation in inland waterway vessels (model)
  Appendix 1 - Main characteristics of the on-board sewage treatment plant type (model)

Section III Type approval certificate (model)
  Appendix 1 - Test results for type approval (model)

Section IV Type approvals' numbering system

Section V Summary of type approvals for on-board sewage treatment plant types

Section VI Summary of on-board sewage treatment plants manufactured (model)

Section VII Data sheet for on-board sewage treatment plants with type approval (model)

Section VIII On-board sewage treatment plant parameters record for special test (model)
  Appendix 1 - Appendix to the on-board sewage treatment plant parameters record

Section IX Test procedure
Section I

Supplementary provisions

1. Marking of on-board sewage treatment plants

1.1 The type-tested on-board sewage treatment plant must bear the following information (marking):

1.1.1 manufacturer's trademark or trade name;

1.1.2 on-board sewage treatment plant type and serial number of the plant;

1.1.3 number of the type approval in accordance with Section IV of this Annex;

1.1.4 year of construction of the on-board sewage treatment plant.

1.2 The marking in accordance with (1.1) must be durable, clearly legible and indelible throughout the working life of the on-board sewage treatment plant. If adhesive labels or plates are used, they must be affixed so as to stay on throughout the working life of the on-board sewage treatment plant and in such a way that they cannot be removed without being destroyed or rendered indecipherable.

1.3 The marking must be affixed to a part of the on-board sewage treatment plant necessary for normal operation of the on-board sewage treatment plant and not normally requiring replacement during the working life of the on-board sewage treatment plant.

1.3.1 The marking must be affixed in such a way that it is clearly visible after the on-board sewage treatment plant has been fitted with all the auxiliary equipment necessary for its operation.

1.3.2 If necessary, the on-board sewage treatment plant must bear an additional removable plate made of a durable material which must contain all the information in referred to in (1.1) and which shall be affixed in such a way that that information is clearly legible and easily accessible after the on-board sewage treatment plant has been installed in a craft.

1.4 All parts of the on-board sewage treatment plant which may have an effect on the treatment of sewage must be clearly marked and identified.

1.5 The exact location of the marking referred to in (1.1) shall be indicated in Section I of the type approval certificate.

2. Testing

The procedure for testing an on-board sewage treatment plant is laid down in Section IX.
3. Evaluation of conformity of production

3.1 With regard to the verification of the existence of satisfactory arrangements and procedures for ensuring effective control of production conformity before granting type approval, the competent authority must accept the manufacturer’s registration to harmonised European Standard EN ISO 9001 : 2015 (whose scope covers the production of the on-board sewage treatment plants concerned) or an equivalent accreditation standard as satisfying the requirements. The manufacturer must provide details of the registration and undertake to inform the competent authority of any revisions to its validity or scope. Appropriate production inspections shall be carried out in order to ensure that the requirements of Article 18.01(2) to (5) are consistently being fulfilled.

3.2 The holder of the type approval must:

3.2.1 ensure that procedures are in place for the effective control of the quality of the product;

3.2.2 have access to the testing equipment necessary for checking conformity with each type-approved type;

3.2.3 ensure that the results of the tests are recorded and that these records and the relevant documentation remain available for a period to be agreed with the competent authority;

3.2.4 analyse closely the results of each type of test, in order to verify and ensure the consistency of the on-board sewage treatment plant's characteristics, making allowance for normal variations in series production;

3.2.5 ensure that any samples from on-board sewage treatment plants or test pieces revealing apparent non-conformity in the type of test in question give rise to further sampling and testing, all necessary measures being taken to restore conformity of production.

3.3 The competent authority which has granted type approval may at any time verify the conformity control methods applied at each production works.

3.3.1 The test and production documentation shall be made available to the tester at each test.

3.3.2 If the quality of the tests appears unsatisfactory, the following procedure shall be applied:

3.3.2.1 one on-board sewage treatment plant shall be taken from the series and tested by means of random sample measurements in the normal loading condition of the Section IX after one day operation. The treated sewage must according to the test methods in Section IX not exceed the values set out in Article 18.01(2), Table 2.
3.3.2.2 should any on-board sewage treatment plant taken from the series fail to satisfy the requirements laid down in (3.3.2.1) the manufacturer may ask for random sample measurements to be carried out on a number of on-board sewage treatment plants of the same specification taken from the series. This new sample must include the on-board sewage treatment plant originally taken.

The manufacturer shall determine the scope \( n \) of the series in consultation with the competent authority. The on-board sewage treatment plants shall undergo testing by means of random sample measurement with the exception of the plant originally taken. The arithmetical mean \( \bar{x} \) of the results obtained with the random sample of the on-board sewage treatment plant must then be determined. The series production shall be regarded as conforming with requirements if the following condition is fulfilled:

\[
\bar{x} + k \cdot S_t \leq L
\]

Where:

- \( k \): is a statistical factor which is dependent on \( n \) and is given in the following table:

<table>
<thead>
<tr>
<th>( n )</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td>( k )</td>
<td>0.973</td>
<td>0.613</td>
<td>0.489</td>
<td>0.421</td>
<td>0.376</td>
<td>0.342</td>
<td>0.317</td>
<td>0.296</td>
<td>0.279</td>
</tr>
</tbody>
</table>

\( \text{sin} n \geq 20, k = \frac{0.860}{\sqrt{n}} \)

\( S_t : \sum_{i=1}^{n} \frac{(x_i - \bar{x})}{n - 1} \)

where \( x_i \) is any individual result obtained from the random sample \( n \)

\( L \): is the admissible limit value set out in Article 18.01(2), Table 2, for each pollutant studied;

3.3.3 if the values as set out in Article 18.01(2), Table 2 are not complied with, a new test shall be carried out according to (3.3.2.1) and, in case that tests has no positive results, in accordance with (3.3.2.2) a full test is carried out, following the test procedure provided in Annex 9. The limit values as set out in Article 18.01(2), Table 1 may not be exceeded for either the composite sample or the random sample.

3.3.4 The competent authority must carry out the tests on on-board sewage treatment plants which are partially or fully functional according to the information supplied by the manufacturer.

3.3.5 The normal frequency of tests of conformity of production which the competent authority is entitled to conduct shall be one per year. In case of non-compliance with the requirements of (3.3.3) the competent authority shall ensure that all necessary steps are taken to restore production conformity without delay.
Section II

Information Document No ...† relating to type approval of on-board sewage treatment plants intended for installation in inland waterway vessels

(Model)

On-board sewage treatment plant type: ..................................................................................................................................................

0. General

0.1 Make (manufacturer’s company name): ..........................................................................................................................................

0.2 Manufacturer’s designation for the on-board sewage treatment plant type: ...........................................................

0.3 Manufacturer’s type code corresponding to the information given on the on-board sewage treatment plant: ............................

0.4 Manufacturer’s name and address: .............................................................................................................................................

Name and address of manufacturer’s authorised representative, if any: .............................................................

0.5 Position, coding and method of attachment of the on-board sewage treatment plant’s serial number: .................................................................

0.6 Position and method of attachment of the type approval number: .............................................................

0.7 Address(es) of production works: ..........................................................................................................................................

Appendices

1. Main characteristics of the on-board sewage treatment plant type
2. Design and dimensioning criteria, dimensioning specifications and regulations applied
3. Schematic diagram of the on-board treatment plant with parts list
4. Schematic diagram of the test plant with parts list
5. Electrical wiring diagrams (P/I diagram)
6. Statement that all specifications regarding the mechanical, electrical and technical safety of sewage treatment plants and specifications concerning ship safety have been observed
7. Characteristics of any parts of the vessel that are connected with the on-board sewage treatment plant
8. Manufacturer’s guide to checking the components and parameters of the on-board sewage treatment plant relevant to sewage treatment in accordance with Article 1.01 (9.10)

† Information document reference number assigned by the competent authority.
9. Photographs of the on-board sewage treatment plant
10. Operating concepts\(^{(1)}\)
10.1 Instructions for manual operation of the on-board sewage treatment plant
10.2 Notes on excess sludge management (discharge intervals)
10.3 Notes on maintenance and repair
10.4 Notes on action necessary in the case of stand-by operation of the on-board sewage treatment plant
10.5 Notes on action necessary in the case of emergency operation of the on-board sewage treatment plant
10.6 Notes on run-down, standstill and restart operation of the on-board sewage treatment plant
10.7 Notes on requirements for pre-treatment of galley waste water
11. Other equipment (list here)

Date, signature of on-board sewage treatment plant manufacturer

……………………………………………

Appendix 1

Main characteristics of the on-board sewage treatment plant type
(Model)

1. Description of the on-board sewage treatment plant
1.1 Manufacturer: ..............................................................................................................
1.2 Serial number of the plant: ............................................................................................
1.3 Mode of treatment: biological or mechanical/chemical \(^{(2)}\)
1.4 Upstream waste water storage tank? Yes, … m³ / No \(^{(2)}\)

2. Design and dimensioning criteria (including any special installation instructions or restrictions on use)
2.1 ........................................................................................................................................
2.2 ........................................................................................................................................

3. Dimensioning of the on-board sewage treatment plant
3.1 Maximum daily volumetric flow rate of sewage \(Q_d\) (m³/d): ............................................
3.2 Daily \(BOD_5\) pollution load (kg/d): ..............................................................................

\(^{(1)}\) Operating phases
The following operating phases shall be defined for testing:
\(\text{a) Stand-by operation is when the on-board sewage treatment plant is running but has not been fed with sewage for more than a day. An on-board sewage treatment plant may be in stand-by operation when, for example, the passenger vessel is not in service for an extended period and sits idle at its mooring.}
\(\text{b) Emergency operation is when individual subassemblies of an on-board sewage treatment plant have malfunctioned, so that the sewage cannot be treated as intended.}
\(\text{c) Run-down, standstill and restart operation is when an on-board sewage treatment plant is taken out of service for an extended period (winter mooring) and the power supply is switched off, or when the on-board sewage treatment plant is started up again at the beginning of the season.}

\(^{(2)}\) Specify as appropriate.
Section III

Type approval certificate
(Model)

Seal of the competent authority

Type approval No.: ........................................... Extension No.: ...................................................

Notification of
- issuance/extension/refusal/withdrawal

of type approval for an on-board sewage treatment plant type in accordance with the European Standard laying down technical requirements for inland navigation vessels (ES-TRIN)

Reason for extension, if applicable: ...........................................................................................................

Section I

0. General

0.1 Make (manufacturer's company name): ...................................................................................................

0.2 Manufacturer’s designation for the on-board sewage treatment plant type: ...........................................

0.3 Manufacturer’s type code corresponding to the information affixed to the on-board treatment plant:
..............................................................................................................................................................
Position: ...................................................................................................................................................
Method of attachment: ............................................................................................................................

0.4 Manufacturer’s name and address: ........................................................................................................

Name and address of manufacturer’s authorised representative, if any: ..............................................

0.5 Position, coding and method of attachment of the serial number of the on-board sewage treatment plant:
..............................................................................................................................................................

0.6 Position and method of attachment of the type approval number: ....................................................
..............................................................................................................................................................

0.7 Address(es) of production works: ......................................................................................................
..............................................................................................................................................................

1 Specify as appropriate.
Section II

1. Any restrictions on use: ...........................................................................................................................................

1.1 Particularities to be observed when installing the on-board sewage treatment plant in a craft:

1.1.1 ...........................................................................................................................................................................

1.1.2 ...........................................................................................................................................................................

2. Technical service responsible for carrying out the tests\(^1\): ..........................................................................

............................................................................................................................................................................

............................................................................................................................................................................

3. Date of test report: ......................................................................................................................................................

4. Number of test report: ..................................................................................................................................................

5. The undersigned hereby certifies the accuracy of the manufacturer information in the annexed information document for the above mentioned on-board sewage treatment plant in accordance with Annex 7 Section IX of the European Standard laying down technical requirements for inland navigation vessels (ES-TRIN) and the validity of the annexed test results in relation to the on-board sewage treatment plant type. The sample(s) has (have) been selected by the manufacturer with the agreement of the competent authority and submitted by the manufacturer as the design type of the on-board sewage treatment plant:

The type approval is issued/extended/refused/withdrawn\(^2\):

Place: .............................................................................................................................................................................

Date: ...............................................................................................................................................................................

Signature: ....................................................................................................................................................................... 

Appendices:  Information folder

Test results (see Appendix 1)

---

\(^1\) In case tests are made by the competent authority mark 'not relevant'.

\(^2\) Specify as appropriate.
Appendix 1
Test results for type approval
(Model)

0. General
0.1 Make (manufacturer’s company name): .................................................................
0.2 Manufacturer’s designation for the on-board sewage treatment plant type: ......................

1. Information on the implementation of the test(s)\(^1\).

1.1 Inflow values

1.1.1 Daily volumetric flow rate of sewage \(Q_d\) (m\(^3\)/d): .................................................

1.1.2 Daily \(BOD_5\) pollution load (kg/d): .................................................................

1.2 Purification efficiency

1.2.1 Evaluation of outflow values

Evaluation of outflow values \(BOD_5\) (mg/l)

<table>
<thead>
<tr>
<th>Location</th>
<th>Sample type</th>
<th>Number of tests that meet the limit-values</th>
<th>Min</th>
<th>Max</th>
<th>Mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inflow</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow</td>
<td>Random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Evaluation of outflow values COD (mg/l)

<table>
<thead>
<tr>
<th>Location</th>
<th>Sample type</th>
<th>Number of tests that meet the limit-values</th>
<th>Min</th>
<th>Max</th>
<th>Mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inflow</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow</td>
<td>Random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

\(^1\) In case of more test cycles indicate for each cycle.
### Evaluation of outflow values **TOC** (mg/l)

<table>
<thead>
<tr>
<th>Location</th>
<th>Sample type</th>
<th>Number of tests that meet the limit-values</th>
<th>Min</th>
<th>Max</th>
<th>Mean</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow</td>
<td>Random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Evaluation of outflow values **SRF** (mg/l)

<table>
<thead>
<tr>
<th>Location</th>
<th>Sample type</th>
<th>Number of tests that meet the limit-values</th>
<th>Min</th>
<th>Max</th>
<th>Mean</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow</td>
<td>Random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
1.2.2 Purification efficiency (elimination efficiency)

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Sample type</th>
<th>Min</th>
<th>Max</th>
<th>Mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>$BOD_5$</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$BOD_5$</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>COD</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>COD</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOC</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOC</td>
<td>Random samples</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>SRF</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SRF</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1.3 Further parameters measured

1.3.1 Additional inflow and outflow parameters:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Inflow</th>
<th>Outflow</th>
</tr>
</thead>
<tbody>
<tr>
<td>pH</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Conductivity</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Temperature of liquid phases</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1.3.2 The following operating parameters are – when available - to be recorded during sampling:

<table>
<thead>
<tr>
<th>Parameter</th>
</tr>
</thead>
<tbody>
<tr>
<td>Concentration of dissolved oxygen in the bioreactor</td>
</tr>
<tr>
<td>Dry matter content in the bioreactor</td>
</tr>
<tr>
<td>Temperature in the bioreactor</td>
</tr>
<tr>
<td>Ambient temperature</td>
</tr>
</tbody>
</table>

1.3.3 Further operating parameters according to the manufacturer’s operating instructions

..............................................................................................................................................................
..............................................................................................................................................................
..............................................................................................................................................................
..............................................................................................................................................................
..............................................................................................................................................................

1.4 Competent authority or Technical service:

Place, date: ........................................ Signature: .................................................................
Section IV
Type approvals numbering system
(Model)

1. System

The number shall consist of four sections separated by the '*' character.

Section 1:  The small letter 'e' for EU or the letter ‘R’ followed by the distinguishing number of the State issuing the type approval:

<table>
<thead>
<tr>
<th>Number</th>
<th>Country</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Germany</td>
</tr>
<tr>
<td>02</td>
<td>France</td>
</tr>
<tr>
<td>03</td>
<td>Italy</td>
</tr>
<tr>
<td>04</td>
<td>Netherlands</td>
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<tr>
<td>05</td>
<td>Sweden</td>
</tr>
<tr>
<td>06</td>
<td>Belgium</td>
</tr>
<tr>
<td>07</td>
<td>Hungary</td>
</tr>
<tr>
<td>08</td>
<td>Czech Republic</td>
</tr>
<tr>
<td>09</td>
<td>Spain</td>
</tr>
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<td>11</td>
<td>United Kingdom</td>
</tr>
<tr>
<td>12</td>
<td>Austria</td>
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<td>18</td>
<td>Denmark</td>
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<td>19</td>
<td>Romania</td>
</tr>
<tr>
<td>20</td>
<td>Poland</td>
</tr>
<tr>
<td>21</td>
<td>Portugal</td>
</tr>
<tr>
<td>23</td>
<td>Greece</td>
</tr>
<tr>
<td>24</td>
<td>Ireland</td>
</tr>
<tr>
<td>25</td>
<td>Croatia</td>
</tr>
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<td>26</td>
<td>Slovenia</td>
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<td>27</td>
<td>Slovakia</td>
</tr>
<tr>
<td>29</td>
<td>Estonia</td>
</tr>
<tr>
<td>32</td>
<td>Latvia</td>
</tr>
<tr>
<td>34</td>
<td>Bulgaria</td>
</tr>
<tr>
<td>36</td>
<td>Lithuania</td>
</tr>
<tr>
<td>49</td>
<td>Cyprus</td>
</tr>
<tr>
<td>50</td>
<td>Malta</td>
</tr>
</tbody>
</table>

Section 2:  The indication of the requirement level. The requirements regarding purification efficiency are likely to be stepped up in the future. The different requirement levels are denoted by Roman numerals, starting at level I.

Section 3:  A four-digit sequential number (with leading zeroes as applicable) to denote the base type-approval number. The sequence shall start from 0001.

Section 4:  A two-digit sequential number (with leading zero if applicable) to denote the extension. The sequence shall start from 01 for each number.

2. Examples

a)  Third type-approval (with as yet no extension) issued by the Netherlands corresponding to level I:

   R 4*I*0003*00 or e 4*I*0003*00

b)  Second extension to the fourth type-approval issued by Germany corresponding to level II:

   R 1*II* 0004*02 or e 4*I*0003*00
Section V

Summary of type approvals for on-board sewage treatment plant types
(Model)

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
</tr>
</thead>
<tbody>
<tr>
<td>Make(^{(1)})</td>
<td>Manufacturer's designation (^{(1)})</td>
<td>Type approval number</td>
<td>Date of type approval</td>
<td>Extension/refusal/withdrawal (^{(2)})</td>
<td>Reason for extension/refusal/withdrawal</td>
<td>Date of extension/refusal/withdrawal (^{(2)})</td>
</tr>
</tbody>
</table>

1 According to the type approval certificate
2 Specify as appropriate
Section VI

**Summary of on-board sewage treatment plants manufactured**

(Model)

List No: .................................................................

For the period from: .................................... to: .................................................................

The following information is supplied relating to on-board sewage treatment plant types and type approval numbers of on-board sewage treatment plants manufactured within the above period in accordance with the provisions of the European Standard laying down technical requirements for inland navigation vessels (ES-TRIN):

Make (manufacturer’s company name): .................................................................

Manufacturer’s designation for the on-board sewage treatment plant type: .................................................................

Type approval number: .................................................................

Date of first issue: .................................................................

Date of first issue (in the case of extensions): .................................................................

<table>
<thead>
<tr>
<th>Serial number</th>
<th>... 001</th>
<th>... 001</th>
<th>... 001</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>... 002</td>
<td>... 002</td>
<td>... 002</td>
</tr>
<tr>
<td></td>
<td></td>
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<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>.... m</td>
<td>.... p</td>
<td>.... q</td>
</tr>
</tbody>
</table>
Section VII
Data sheet for on-board sewage treatment plants with type approval
(Model)

| No | Date of type approval | Type approval number | Make | On-board sewage treatment plant type | Daily vol. flow rate of sewage $Q_d$ (m³/d) | Daily $BOD_5$ pollution load (kg/d) | $BOD_5$ 24 h composite sample | $BOD_5$ Random sample | COD 24 h composite sample | COD Random sample | TOC 24 h composite sample | TOC Random sample |
|----|------------------------|----------------------|------|--------------------------------------|---------------------------------------------|----------------------------------|-----------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
|    |                        |                      |      |                                      |                                             |                                  |                                   |                      |                      |                      |                      |                      |                      |
|    |                        |                      |      |                                      |                                             |                                  |                                   |                      |                      |                      |                      |                      |                      |
|    |                        |                      |      |                                      |                                             |                                  |                                   |                      |                      |                      |                      |                      |                      |
|    |                        |                      |      |                                      |                                             |                                  |                                   |                      |                      |                      |                      |                      |                      |

Seal of the competent authority
Section VIII

On-board sewage treatment plant parameters record for special test
(Model)

1. General

1.1 Particulars of the on-board sewage treatment plant

1.1.1 Make: .................................................................
1.1.2 Manufacturer’s designation: ..........................................
1.1.3 Type approval number: .............................................
1.1.4 Serial number of the on-board sewage treatment plant: ....

1.2 Documentation

The on-board sewage treatment plant shall be tested and the test results recorded on separate sheets which shall be individually numbered, signed by the inspector and attached to this record.

1.3 Testing

Testing shall be carried out on the basis of the manufacturer’s guide to checking the components and parameters of the on-board treatment plant relevant to sewage treatment in accordance with Article 1.01(9.10). In justified individual cases inspectors may at their own discretion dispense with checking certain plant components or parameters.

During the test at least one random sample shall be taken. The results of the random sample measurement shall be compared with the control values set out in Article 18.01(2), Table 2.

1.4 This test report, together with the attached records, comprises a total of ............... ¹ pages.

2. Parameters

This is to certify that the on-board sewage treatment plant tested does not diverge to an inadmissible extent from the parameters and control values for operation specified in Article 18.01(2), Table 2 are not exceeded.

Name and address of the technical service: ..........................................

Name of inspector: ..........................................................
Place and date: ............................................................
Signature: .................................................................

Test recognised by competent authority: ..........................................
Place and date: ............................................................
Signature: .................................................................

Seal of the competent authority

¹ To include by tester
On-board sewage treatment plants
Section VIII

Name and address of the technical service: .................................................................

Name of inspector: .................................................................................................
Place and date: ........................................................................................................
Signature: ................................................................................................................

Test recognised by competent authority: .................................................................

Place and date: ........................................................................................................
Signature: ................................................................................................................

Seal of the competent authority

Name and address of the technical service: .................................................................

Name of inspector: .................................................................................................
Place and date: ........................................................................................................
Signature: ................................................................................................................

Test recognised by competent authority: .................................................................

Place and date: ........................................................................................................
Signature: ................................................................................................................

Seal of the competent authority
Appendix 1
Annex to the on-board sewage treatment plant parameters record
(Model)

Name of vessel: ........................................ Unique European vessel identification number: ..................................................

Manufacturer: ........................................ Plant type: ..........................................................

(Make/trademark/manufacturer's trade name) (Manufacturer's designation)

Type approval No.: ........................................ Year of construction of on-board sewage treatment plant: ..................................................

Serial number of on-board sewage treatment plant: ........................................ Site of installation: ..................................................

(Serial number)

The on-board sewage treatment plant and its treatment-relevant components were identified from the data plate. The test was carried out on the basis of the manufacturer’s guide to checking the plant components and parameters relevant to sewage treatment.

A. Component testing

Additional treatment-relevant components which are listed in the manufacturer's guide to checking the plant components and parameters relevant to sewage treatment or Section II Appendix 4 are to be entered here.

<table>
<thead>
<tr>
<th>Component</th>
<th>Identified component number</th>
<th>Conformity¹</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>□ Yes □ No □ n/a</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No □ n/a</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No □ n/a</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No □ n/a</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No □ n/a</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No □ n/a</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No □ n/a</td>
<td></td>
</tr>
</tbody>
</table>

¹ Specify as appropriate.
B. Results of random sample measurement:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value obtained</th>
<th>Conformity(^{(1)})</th>
</tr>
</thead>
<tbody>
<tr>
<td>$BOD_5$</td>
<td>□ Yes □ No</td>
<td></td>
</tr>
<tr>
<td>COD</td>
<td>□ Yes □ No</td>
<td></td>
</tr>
<tr>
<td>TOC(^{(2)})</td>
<td>□ Yes □ No</td>
<td></td>
</tr>
</tbody>
</table>

C. Comments:

(The following deviating settings, modifications or alterations to the installed on-board sewage treatment plant were found.)

Name of inspector:  
Place and date:  
Signature:

\(^{(1)}\) Specify as appropriate.  
\(^{(2)}\) The TOC will be monitored as of stage II of the maximum values in table 2 in article 18.01(2).
Section IX
Test procedure

1. General

1.1 Basics

The test specification shall be used to verify the suitability of on-board sewage treatment plants on passenger vessels. In this procedure, the process and treatment technology used shall be examined and approved by means of a test plant. Conformity of the test plant with the treatment plants in service later is assured by applying identical design and dimensioning criteria.

1.2. Responsibility and test location

The test plant for a range of on-board sewage treatment plant types shall be tested by a technical service. The test conditions at the test site are the responsibility of the technical service and must correspond to the conditions specified here.

1.3 Documents to be submitted

The test shall be carried out on the basis of the information document in accordance with Annex 7, Section II.

1.4 Plant dimensioning specifications

The on-board sewage treatment plants shall be dimensioned and designed such that the limit values specified in Article 18.01(2), Tables 1 and 2 in their outflow are not exceeded in the course of their operation.

2. Measures preparatory to testing

2.1 General

Prior to commencement of the test the manufacturer shall supply the technical service with structural and process specifications of the test plant, to include a complete set of drawings and supporting calculations in accordance with Annex 7, Section II, and shall provide full information on the on-board sewage treatment plant’s requirements in terms of installation, operation and maintenance. The manufacturer shall supply the technical service with information on the mechanical, electrical and technical safety of the on-board sewage treatment plant to be tested.

2.2 Installation and putting into service

For the purpose of the test, the manufacturer shall install the test plant in such a way as to correspond to the intended installation conditions on board passenger vessels. Prior to testing the manufacturer must assemble the on-board sewage treatment plant and put it into service. Startup must be in accordance with the manufacturer’s operating instructions and shall be checked by the technical service.
2.3 Run-in phase

The manufacturer shall notify the technical service of the nominal duration of the run-in phase up to normal operation in weeks. The manufacturer shall specify the point where the run-in phase is deemed to be complete and testing may commence.

2.4 Inflow characteristics

Domestic raw sewage shall be used for testing the test plant. The inflow characteristics as regards pollutant concentrations shall be obtained from the on-board sewage treatment plant manufacturer’s dimensioning documentation in accordance with Annex 7, Section II by forming the quotient for the flow rate of organic substances in the form of a BOD$_5$ load in kg/d and the design flow rate of sewage $Q_d$ in m$^3$/d. The inflow characteristics shall be set accordingly by the inspection body.

Formula 1 – Calculation of the inflow characteristics

$$C_{BOD_{5,mean}} = \frac{BOD_5}{Q_d} \left[ \frac{kg/d}{m^3/d} \right]$$

Should the calculation performed in accordance with formula 1 result in an average BOD$_5$ concentration of less than $C_{BOD_{5,mean}} = 500$ mg/l, a minimum mean $BOD_5$ concentration in the sewage inflow of 500 mg/l shall be observed.

The technical service must not break up the inflowing raw sewage in a comminatory. Removal of sand (e.g. by screening out) is permissible.

3. Test procedure

3.1 Loading phases and hydraulic feeding

The test period shall comprise 30 test days. The test plant shall be fed on the test field with domestic waste water in accordance with the loading specified in Table 1. Various loading phases shall be covered, with the test sequence taking account of normal loading phases and special loading phases such as overload, underload and stand-by operation. The duration of each loading phase (number of test days) is set out in Table 1. The mean daily hydraulic load for each loading phase shall be set in accordance with Table 1. The mean pollutant concentration, to be set in accordance with (2.4), shall be kept constant.

Table 1: Load settings for each loading phase

<table>
<thead>
<tr>
<th>Phase</th>
<th>Number of test days</th>
<th>Daily hydraulic load</th>
<th>Pollutant concentration</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal load</td>
<td>20 days</td>
<td>$Q_d$</td>
<td>$C_{BOD_5}$ in accordance with 2.4</td>
</tr>
<tr>
<td>Overload</td>
<td>3 days</td>
<td>$1.25 \cdot Q_d$</td>
<td>$C_{BOD_5}$ in accordance with 2.4</td>
</tr>
<tr>
<td>Underload</td>
<td>3 days</td>
<td>$0.5 \cdot Q_d$</td>
<td>$C_{BOD_5}$ in accordance with 2.4</td>
</tr>
<tr>
<td>Stand-by</td>
<td>4 days</td>
<td>Day 1 and day 2: $Q_d = 0$ Day 3 and 4: $Q_d$</td>
<td>$C_{BOD_5}$ in accordance with 2.4</td>
</tr>
</tbody>
</table>
The special load phases overload, underload and stand-by operation shall be carried out consecutively without interruption; the normal load phase shall be divided into several part phases. The test shall start and end with a normal load phase, of at least five days’ duration in each case.

Daily hydraulic feeding hydrographs shall be set, depending on the specified operation of the on-board sewage treatment plant. The daily hydraulic feeding hydrograph shall be selected in accordance with the plant operation concept for the on-board sewage treatment plant. A distinction shall be made according to whether the on-board treatment plant is to be operated with or without an upstream sewage storage tank. The feeding hydrographs (daily hydrographs) are shown in Figure 1 and Figure 2.

Throughout the entire test period the hourly inflow must remain constant. The mean hourly volumetric flow rate of sewage $Q_{h,\text{mean}}$ is equivalent to 1/24 of the daily hydraulic load according to Table 1. The inflow shall be measured continuously by the technical service. The daily hydrograph must keep within a ±5 % tolerance.

![Daily hydrograph for feeding of on-board sewage treatment plant with upstream sewage storage tank](image)

**Figure 1:** Daily hydrograph for feeding of on-board sewage treatment plant with upstream sewage storage tank
3.2 Interruption or cancellation of the test

It may be necessary to interrupt the test if the test plant can no longer be operated properly due to power failure or the malfunction of a subassembly. The test may be interrupted for the duration of the repair. In such cases it is not necessary to repeat the whole of the test, only the loading phase in which the subassembly malfunction took place.

After the test is interrupted for a second time, the technical service shall decide whether the test may be continued or must be cancelled. The grounds for the decision must be stated and documented in the test report. Should the test be cancelled it must be repeated in full.

3.3 Examinations of purification efficiency and compliance with outflow limit values

The technical service shall take samples from the inflow to the test plant and analyse them in order to confirm conformity with the inflow characteristics. Sewage samples shall be taken from the outflow of the test plant and analysed to determine the purification efficiency and compliance with the required outflow limit values. Sampling carried out shall include both simple random samples and 24h composite samples. In the case of the 24h composite samples, either time-proportional or flow-proportional sampling may be carried out. The type of 24h composite sample shall be specified by the inspection body. Sampling in the inflow and outflow shall be carried out simultaneously and to the same degree.
In addition to the control parameters $BOD_5$, COD and TOC\(^{(1)}\), the following parameters for inflow and outflow shall be measured in order to describe and represent the environmental and test conditions:

a) solids removable by filtration (SRF);
b) pH;
c) conductivity;
d) temperature of liquid phases.

The number of examinations varies according to the relevant loading phase and is set out in Table 2. The number of samplings relates to the inflow or outflow of the test plant.

Table 2: Specification of the number and timing of samplings in the inflow and outflow of the test plant

<table>
<thead>
<tr>
<th>Loading phase</th>
<th>Number of test days</th>
<th>Number of samplings</th>
<th>Specification of timing of samplings</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal load</td>
<td>20 days</td>
<td>24h composite samples: 8 Random samples: 8</td>
<td>Sampling at regular intervals throughout the period</td>
</tr>
<tr>
<td>Overload</td>
<td>3 days</td>
<td>24h composite samples: 2 Random samples: 2</td>
<td>Sampling at regular intervals throughout the period</td>
</tr>
<tr>
<td>Underload</td>
<td>3 days</td>
<td>24h composite samples: 2 Random samples: 2</td>
<td>Sampling at regular intervals throughout the period</td>
</tr>
<tr>
<td>Stand-by</td>
<td>4 days</td>
<td>24h composite samples: 2 Random samples: 2</td>
<td>24h composite sample: Sampling after inflow switched on and 24h later. Random sample: 1 hour after inflow switched on and 24h later.</td>
</tr>
</tbody>
</table>

Total number of 24h composite samples: 14
Total number of random samples: 14

Where applicable, the following operating parameters shall also be measured from the random samples taken:

a) concentration of dissolved oxygen in the bioreactor;
b) dry matter content in the bioreactor;
c) temperature in the bioreactor;
d) ambient temperature;
e) other operating parameters in accordance with the manufacturer’s operating instructions.

\(^{(1)}\) The TOC will be monitored as of stage II of the maximum values in table 2 in article 18.01(2).
3.4 Evaluation of examinations

In order to document the determined purification efficiency and to check adherence to process limit values, the minimum sample value (Min), the maximum sample value (Max) and the arithmetical mean (Mean) shall be specified as well as the individual measurement results for control parameters $BOD_5$, COD and TOC.

The loading phase shall also be given for the maximum sample value. Evaluations shall be carried out for all loading phases jointly. The results shall be processed as shown in the following table:

Table 3a: Specification for the statistical processing of data gathered – evaluation to document compliance with outflow limit values

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Sampling type</th>
<th>Number of tests that meet the limit values</th>
<th>Mean</th>
<th>Min</th>
<th>Max</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inflow $BOD_5$</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow $BOD_5$</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow $BOD_5$</td>
<td>random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow $BOD_5$</td>
<td>random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow COD</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow COD</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow COD</td>
<td>random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow COD</td>
<td>random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow TOC</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow TOC</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow TOC</td>
<td>random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow TOC</td>
<td>random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow SRF</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow SRF</td>
<td>24h composite samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inflow SRF</td>
<td>random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outflow SRF</td>
<td>random samples</td>
<td>--</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Table 3b: Specification for the statistical processing of data gathered – evaluation to document purification efficiency

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Sampling type</th>
<th>Mean</th>
<th>Min</th>
<th>Max</th>
</tr>
</thead>
<tbody>
<tr>
<td>Elimination efficiency $BOD_5$</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elimination efficiency $BOD_5$</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elimination efficiency COD</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elimination efficiency COD</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elimination efficiency TOC</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elimination efficiency TOC</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elimination efficiency SRF</td>
<td>24h composite samples</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elimination efficiency SRF</td>
<td>Random samples</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The remaining parameters in accordance with 3.3(b) to (d) and the operating parameters in accordance with 3.3 shall be summarised in a table specifying the minimum sample result (Min), the maximum sample result (Max) and the arithmetical mean (Mean).

3.5 Compliance with the requirements of Chapter 18

The limit values in accordance with Article 18.01(2) Tables 1 and 2 shall be deemed to be upheld, when each value for the parameters COD, $BOD_5$ and TOC:

a) the mean values of the total of 14 outflow samples, and  
b) at least 10 of the total of 14 outflow samples do not exceed the specified limit values for 24h composite samples and random samples.

3.6 Operation and maintenance during testing

Throughout the testing the test plant shall be operated in accordance with the manufacturer’s specifications. Routine checks and maintenance work shall be carried out in compliance with the manufacturer’s operation and maintenance instructions. The excess sludge generated by the biological purification process may only be removed from the on-board sewage treatment plant if this is specified by the manufacturer in their operation and maintenance instructions. All maintenance work carried out shall be recorded by the technical service and documented in the test report. During the test no unauthorised persons may have access to the test plant.
3.7 Sample analysis / analysis method

The parameters to be studied shall be analysed using approved standard procedures. The standard procedure applied shall be specified.

4 Test report

4.1 The technical service is required to compile a report on the type test carried out. The report shall include at least the following information:

a) details on the plant tested, such as its type, information on the nominal daily pollutant load and the dimensioning principles applied by the manufacturer;

b) information on the conformity of the on-board sewage treatment plant tested with the documentation provided before the testing;

c) information on individual measurement results, as well as on the evaluation of the plant’s purification efficiency and compliance with the required outflow limit values;

d) details on the removal of excess sludge, such as the size of the volumes removed and the frequency of removal;

e) information on all operation, maintenance and repair work carried out during testing;

f) information on any deterioration in the quality of the on-board sewage treatment plant occurring during testing as well as any interruptions of testing;

g) information on any problems arising during testing;

h) a list of responsible persons involved in the type testing of the on-board sewage treatment plant, giving their names and job titles;

i) name and address of the laboratory which carried out the analysis of the waste water samples;

j) analysis methods applied.
Appendix 1
Examples of test sequences

Example 1

Example 2
Appendix 2
Notes on determining biochemical oxygen demand after five days (\(BOD_5\)) in 24h composite samples

The International Standards ISO 5815 and 5815-2 : 2003 stipulate that in order to carry out the analysis to determine biochemical oxygen demand after five days water samples should be stored immediately after sampling and up to the time of analysis in a brim-full, tightly sealed bottle at a temperature of 0–4 °C. The process of determining \(BOD_5\) should be initiated as soon as possible or at least within twenty-four hours of completion of sampling.

In order to prevent biochemical degradation processes starting in the 24h composite sample, in practice the water sample is cooled to a maximum of 4 °C while sampling continues, and is stored at this temperature once the sampling process is complete.

Suitable sampling equipment is commercially available.
ANNEX 8
SUPPLEMENTARY PROVISIONS APPLICABLE TO CRAFT OPERATING
ON FUELS WITH A FLASHPOINT EQUAL TO OR LOWER THAN 55 °C

Section I
Liquefied Natural Gas (LNG)

Chapter 1
General

1.1 Application

1.1.1 The provisions of Section I apply to craft equipped with propulsion or auxiliary systems operating on liquefied natural gas (LNG) according to (1.2.1) and address all areas that need special consideration for the usage of liquefied natural gas (LNG) as fuel.

1.2 Definitions

For the purposes of this Section, the following definitions shall apply:

1.2.1 Liquefied natural gas (LNG): natural gas that has been liquefied by cooling it to a temperature of - 161 °C.

1.2.2 LNG system: all parts of the craft that may contain liquefied natural gas (LNG) or Natural Gas, such as engines, fuel tanks and bunkering piping.

1.2.3 LNG bunkering system: the arrangement for the bunkering of liquefied natural gas (LNG) on board (bunkering station and bunkering piping).

1.2.4 Bunkering station: the area on board where all equipment used for bunkering are located, such as manifolds, valves, survey instruments, safety equipment, monitoring station, tools, etc.

1.2.5 LNG containment system: the arrangement for the storage of liquefied natural gas (LNG) including tank connections.

1.2.6 Gas supply system: the arrangement, including the gas preparation system, gas supply lines and valves, to supply gas on board to all gas consuming equipment.

1.2.7 Gas preparation system: the unit used to convert liquefied natural gas (LNG) into Natural Gas, its accessories and its piping.

1.2.8 Hazardous areas: zones 0, 1 and 2 as classified below:

1.2.8.1 Zone 0: area in which an explosive atmosphere consisting of a mixture with air of flammable substances in the form of gas, vapour or mist is present continuously or for long periods or frequently,

1.2.8.2 Zone 1: area in which an explosive atmosphere consisting of a mixture with air of flammable substances in the form of gas, vapour or mist is likely to occur in normal operation occasionally,
1.2.8.3 **Zone 2**: area in which an explosive atmosphere consisting of a mixture with air of flammable substances in the form of gas, vapour or mist is not likely to occur in normal operation but, if it does occur, will persist for a short period only.

1.2.9 **Enclosed room**: any room within which, in the absence of forced ventilation, the ventilation will be limited and any explosive atmosphere will not be dispersed naturally.

1.2.10 **Semi-enclosed room**: a room limited by decks or bulkheads in such manner that the natural conditions of ventilation are notably different from those obtained on open deck.

1.2.11 **Pressure Relief Valve (PRV)**: a spring-loaded device which is activated automatically by pressure, the purpose of which is to protect the tank or piping against unacceptable excess internal pressure.

1.2.12 **Dual fuel engines**: engines using liquefied natural gas (LNG) combined with fuel with a flashpoint above 55 °C.

1.2.13 **ESD**: emergency shutdown.

1.2.14 **Master gas fuel valve**: an automatic shut-off valve in gas supply lines to engines.

1.2.15 **Secondary barrier**: the outer element of an LNG containment system or piping designed to afford temporary containment of any envisaged leakage through the primary barrier.

1.2.16 **Maximum working pressure**: the maximum pressure that is acceptable in an LNG fuel tank or piping during operation. This pressure equals the opening pressure of pressure relief valves or devices.

1.2.17 **Design pressure**: the pressure on the basis of which the LNG fuel tank or piping has been designed and built.

1.2.18 **Double block and bleed valve**: a set of two valves in series in a pipe and a third valve enabling the pressure release from the pipe between those two valves. The arrangement may also consist of a two-way valve and a closing valve instead of three separate valves.

1.2.19 **Air lock**: a space enclosed by gastight steel bulkheads with two gastight doors, intended to separate a non-hazardous area from a hazardous area.

1.2.20 **Double wall piping**: piping with a double wall design for which the space between the walls is pressurized with inert gas and equipped to detect any leakage of one of the two walls.

1.2.21 **System components**: all components of the installation that may contain liquefied natural gas (LNG) or Natural Gas (NG) (fuel tanks, pipelines, valves, hoses, pistons, pumps, filters, instrumentation, etc.).
1.2.22 Ventilated ducting: a gas pipe installed in a pipe or duct equipped with mechanical exhaust ventilation.

1.2.23 Gas warning equipment: warning equipment to protect people and property from hazardous gases and gas-air mixtures. It consists of gas detectors to identify gases, a control unit for processing the signals and a display/alarm unit for displaying the status and warning.

1.3 Risk assessment

1.3.1 A risk assessment shall be conducted on all concepts and configurations which are new or have been significantly modified. The risks arising from the use of liquefied natural gas (LNG) affecting people on board including passengers, the environment, the structural strength and the integrity of the craft shall be addressed. Reasonable consideration shall be given to the hazards associated with physical layout, operation, and maintenance, following a failure.

1.3.2 The risks are to be determined and assessed using a risk analysis technique recognised by the inspection body, such as International Standards ISO 31000 : 2009 and ISO 31010 : 2010. Loss of function, component damage, fire, explosion, tank room flooding, vessel sinking and electric overvoltage shall as a minimum be considered. The analysis must help to ensure that risks are eliminated wherever possible. Risks which cannot be eliminated entirely are to be mitigated to an acceptable level. The major scenarios and measures for eliminating or mitigating risks shall be described.

1.3.3 Classification of hazardous areas on board, divided into zones 0, 1 and 2, according to (1.2.8) shall be documented in the risk assessment.

1.4 General requirements

1.4.1 A single failure in the LNG system shall not lead to an unsafe situation.

1.4.2 The LNG system shall be designed, constructed, installed, maintained and protected to ensure safe and reliable operation.

1.4.3 Components of the LNG system shall be protected against external damages.

1.4.4 Hazardous areas shall be restricted, as far as practicable, to minimize the potential risks that might affect the safety of the craft, people on board, environment and equipment. In particular, hazardous areas are parts of the vessel not intended for passengers as referred to in Article 19.06(11).

1.4.5 Appropriate measures shall be taken to keep passengers away from hazardous areas.

1.4.6 Equipment installed in hazardous areas shall be minimized to that required for operational purposes and shall be suitably and appropriately certified.

1.4.7 Unintended accumulation of explosive or flammable gas concentrations shall be prevented.
1.4.8 Sources of ignition in hazardous areas shall be excluded to reduce the probability of explosions.

1.4.9 A detailed operating manual of the LNG system shall be provided on board craft using liquefied natural gas (LNG) as fuel and which as minimum:
   a) contains practical explanations about LNG bunkering system, LNG containment system, LNG piping system, Gas supply system, engine room, ventilation system, leakage prevention and control, monitoring and safety system,
   b) describes the bunkering operations, especially valves operation, purging, inerting and gas freeing,
   c) describes the relevant method of electrical insulation during bunkering operations,
   d) describes the details of risks identified in the risk assessment as referred to in (1.3) and the means by which they are mitigated.

1.4.10 A fire or explosion caused by released gas in LNG containment systems and engine rooms shall not render the essential machinery or equipment in other compartments inoperable.

1.5 Knowledge of the technical service

The knowledge of the technical service referred to in Article 30.01(4) shall cover at least the following areas:
   a) fuel system including tanks, heat exchangers, pipelines,
   b) strength (longitudinal and local) and stability of the craft,
   c) electrical and control systems,
   d) ventilation system,
   e) fire safety,
   f) gas warning equipment.

1.6 Marking

Doors to rooms where liquefied natural gas (LNG) is used shall bear on the outside a symbol for “LNG warning” in accordance with Figure 11 of Annex 4, at least 10 cm in height.

Chapter 2
Vessel Arrangements and System Design

2.1 LNG containment system

2.1.1 The LNG containment system shall be separated from engine rooms or other high fire risk areas.

2.1.2 LNG fuel tanks shall be located as close as possible to the longitudinal centreline of the vessel.
2.1.3 The distance between the ship's wall of the craft and the LNG fuel tank shall not be less than 1,00 m. If LNG fuel tanks are located:
   a) below deck, the craft shall have a double wall and a double bottom construction at the location of the LNG fuel tanks. The distance between the ship's wall and the inner wall of the craft shall not be less than 0,60 m. The depth of the double bottoms shall not be less than 0,60 m.
   b) on open deck, the distance shall be at least B/5 from the vertical planes defined by the ship's sides of the craft.

2.1.4 The LNG fuel tank shall be an independent tank designed in accordance with the European Standards EN 13530 : 2002, EN 13458-2 : 2002 in combination with dynamic loads, or the IGC-Code (type C tank). The inspection body can accept other equivalent standards of one of the Rhine riparian States and Belgium.

2.1.5 Tank connections shall be mounted above the highest liquid level in the tanks. The inspection body can accept connections below the highest liquid level.

2.1.6 If tank connections are below the highest liquid level of the LNG fuel tanks, drip trays shall be placed below the tanks that meet the following requirements:
   a) the capacity of the drip tray shall be sufficient to contain the volume which could escape in the event of a pipe connection failure.
   b) the material of the drip tray shall be suitable stainless steel.
   c) the drip tray shall be sufficiently separated or insulated from the hull or deck structures, so that the hull or deck structures are not exposed to unacceptable cooling in case of leakage of liquefied natural gas (LNG).

2.1.7 The LNG containment system shall be provided with a secondary barrier. No secondary barrier is required for the LNG containment systems where the probability for structural failures and leakages through the primary barrier is extremely low and can be neglected.

2.1.8 If the secondary barrier of the LNG containment system is part of the hull structure it may be a boundary of the tank room subject to necessary precautions against leakage of cryogenic liquid.

2.1.9 The location and construction of the LNG containment system and the other equipment on open deck shall assure sufficient ventilation. Accumulation of escaped natural gas shall be prevented.

2.1.10 If condensation and icing due to cold surfaces of LNG fuel tanks may lead to safety or functional problems, appropriate preventive or remedial measures shall be taken.

2.1.11 Each LNG fuel tank is to be fitted with at least two pressure relief valves that can prevent an overpressure if one of the valves is closed off due to malfunctioning, leakage or maintenance.
2.1.12 If fuel release into the vacuum space of a vacuum insulated LNG fuel tank cannot be excluded, the vacuum space shall be protected by a suitable pressure relief valve. If LNG fuel tanks are located in enclosed or semi enclosed rooms, the pressure relief device shall be connected to a vent system.

2.1.13 The exhaust outlets of the pressure relief valves shall be located not less than 2,00 m above the deck at a distance of not less than 6,00 m from the accommodation, passenger areas and work stations, which are located outside the hold or the cargo area. This height may be reduced when within a radius of 1,00 m round the pressure relief valves outlet there is no equipment, no work is being carried out, signs indicate the area and appropriate measures to protect the deck are being taken.

2.1.14 It shall be possible to safely empty the LNG fuel tanks, even if the LNG system is shut down.

2.1.15 It shall be possible to purge gas and vent LNG fuel tanks including gas piping systems. It shall be possible to perform inerting with an inert gas (e.g. nitrogen or argon) prior to venting with dry air, to exclude an explosion hazardous atmosphere in LNG fuel tanks and gas piping.

2.1.16 LNG fuel tanks' pressure and temperature shall be maintained at all times within their design range.

2.1.17 If the LNG system is switched off, the pressure in the LNG fuel tank, shall be maintained below the maximum working pressure of the LNG fuel tank for a period of 15 days. It shall be assumed that LNG fuel tank was filled at filling limits according to 2.9 and that the craft remains in idle condition.

2.1.18 LNG fuel tanks shall be electrically bonded to the craft's structure.

2.2 Engine rooms

2.2.1 For engine rooms one of the following concepts shall be applied:
   a) gas safe engine room,
   b) explosion safe engine room or
   c) ESD protected engine room.

2.2.2 Requirements for gas safe engine rooms

2.2.2.1 Gas safe engine rooms shall be gas safe under all conditions (“inherently gas safe”). A single failure within the LNG system shall not lead to a leakage of gas into the engine room. All gas piping within engine room boundaries shall be enclosed in a gas tight enclosure, e.g. double wall piping or ventilated ducting.

2.2.2.2 In case one barrier fails, the gas supply to the relevant part of the LNG system shall be shut down automatically.
2.2.2.3 The ventilation system of ventilated ducting shall:
   a) guarantee a sufficient capacity to ensure that the gross volume of air inside the
      ventilated ducting can be changed at least 30 times per hour;
   b) be equipped to detect gas presence continuously in the space between inner and outer
      pipes;
   c) be independent of all other ventilation systems, in particular the ventilation system of the
      engine room.

2.2.2.4 A gas safe engine room shall be considered as a non-hazardous area, unless the risk
   assessment according to (1.3) demonstrates otherwise.

2.2.3 Requirements for explosion safe engine rooms

2.2.3.1 Arrangements in explosion safe engine rooms shall be such that the rooms are considered
   gas safe under normal conditions. A single failure within the LNG system shall not lead to a
   gas concentration over 20 % of the lower explosive limit (LEL) into the engine room.

2.2.3.2 In the event of gas being detected or the ventilation system fails, the gas supply to the
   relevant part of the LNG system shall be shut down automatically.

2.2.3.3 The ventilation system shall:
   a) guarantee a sufficient capacity to maintain gas concentration below 20 % of the LEL in
      the engine room, and to ensure that the gross volume of air inside the engine room can
      be changed at least 30 times per hour;
   b) be independent of all other ventilation systems.

2.2.3.4 Under normal operation the engine room shall be permanently ventilated with at least
   15 changes of the gross volume of air inside the engine room per hour.

2.2.3.5 Explosion safe engine rooms shall be designed to provide a geometrical shape that
   minimizes the accumulation of gases or formation of gas pockets. A good air circulation shall
   be ensured.

2.2.3.6 An explosion safe engine room shall be considered as Zone 2, unless the risk assessment
   according to (1.3) demonstrates otherwise.

2.2.4 Requirements for the ESD protected engine rooms

2.2.4.1 Arrangements in ESD protected engine rooms shall be such that the rooms are considered
   gas safe under normal conditions, but under certain abnormal conditions may have the
   potential to become subject to gas hazards.

2.2.4.2 In the event of abnormal conditions involving gas hazards, emergency shutdown (ESD) of
   non-safe equipment (ignition sources) and gas machinery shall be automatically executed,
   while equipment or machinery in use or active during these conditions shall be of a certified
   safe type.
2.2.4.3 The ventilation system shall:
   a) guarantee a sufficient capacity to ensure that the gross volume of air inside the engine room can be changed at least 30 times per hour,
   b) be designed to handle the probable maximum leakage scenario due to technical failures, and
   c) be independent of all other ventilation systems.

2.2.4.4 Under normal operation the engine room shall be permanently ventilated with at least 15 changes of the gross volume of air inside the engine room per hour. If gas is detected in the engine room, the number of air changes shall automatically be increased to 30 changes per hour.

2.2.4.5 If the craft is equipped with more than one propulsion engine, these engines shall be located in at least two separate engine rooms. These engine rooms shall have no common partitions. However common partitions may be accepted, if it can be documented that consequences of a single failure will not affect both rooms.

2.2.4.6 Fixed gas warning equipment arranged to automatically shutdown the gas supply to the engine room concerned and to disconnect all non-explosion protected equipment or installations shall be fitted.

2.2.4.7 ESD protected engine rooms shall be designed to provide a geometrical shape that minimizes the accumulation of gases or formation of gas pockets. A good air circulation shall be ensured.

2.2.4.8 An ESD protected engine room shall be considered as Zone 1, unless the risk assessment according to (1.3) demonstrates otherwise.

2.3 Liquefied Natural Gas (LNG) and Natural Gas piping systems

2.3.1 Liquefied Natural Gas (LNG) and Natural Gas piping through other engine rooms or non-hazardous enclosed areas of the craft shall be enclosed in double wall piping or ventilated ducting.

2.3.2 Liquefied Natural Gas (LNG) and Natural Gas piping shall not be located less than 1,00 m from the vessel's side and 0,60 m from the bottom.

2.3.3 All piping and all components which can be isolated with valves from the LNG system in a liquid full condition shall be provided with pressure relief valves.

2.3.4 Piping shall be electrically bonded to the vessel's structure.

2.3.5 Low temperature piping shall be thermally isolated from the adjacent hull structure, where necessary. Protection against accidental contact shall be provided.

2.3.6 The design pressure of piping shall not be less than 150 % of the maximum working pressure. The maximum working pressure of piping inside rooms shall not exceed 1000 kPa. The design pressure of the outer pipe or duct of gas piping systems shall not be less than the design pressure of the inner gas pipe.
2.3.7 Gas piping in ESD protected engine rooms shall be located as far away as practicable from the electrical installations and tanks containing flammable liquids.

2.4 Drainage systems

2.4.1 Drainage systems for areas where liquefied natural gas (LNG) or Natural Gas can be present shall:
   a) be independent and separate from the drainage system of areas where liquefied natural gas (LNG) and Natural Gas cannot be present,
   b) not lead to pumps in non-hazardous areas.

2.4.2 Where the LNG containment system does not require a secondary barrier, suitable drainage arrangements for the tank rooms that are not connected to the engine rooms shall be provided. Means of detecting any liquefied natural gas (LNG) leakage shall be provided.

2.4.3 Where the LNG containment system requires a secondary barrier, suitable drainage arrangements for dealing with any leakage of LNG into the interbarrier spaces shall be provided. Means of detecting such leakage shall be provided.

2.5 Drip trays

2.5.1 Suitable drip trays shall be fitted where leakage can cause damage to the craft's structure or where limitation of the area which is effected from a spill is necessary.

2.6 Arrangement of entrances and other openings

2.6.1 Entrances and other openings from a non-hazardous area to a hazardous area shall only be permitted to the extent necessary for operational reasons.

2.6.2 For entrances and openings to a non-hazardous area within 6,00 m from the LNG containment system, the gas preparation system or the outlet of a pressure relief valve, a suitable airlock shall be provided.

2.6.3 Air locks shall be mechanically ventilated at an overpressure relative to the adjacent hazardous area. Doors shall be of self-closing type.

2.6.4 Air locks shall be designed in a way that no gas can be released to non-hazardous areas in case of the most critical events in the hazardous areas separated by the air lock. The events shall be evaluated in the risk assessment according to (1.3).

2.6.5 Air locks shall be free of obstacles, shall provide easy passage and shall not be used for other purposes.

2.6.6 An acoustic and optical alarm shall be given on both sides of the air lock, if more than one door is moved from the closed position or if gas is detected in the air lock.

2.7 Ventilation systems

2.7.1 Ventilators in hazardous areas shall be of a certified safe type.
2.7.2 Electric motors driving ventilators shall comply with the required explosion protection in the installation area.

2.7.3 An acoustic and optical alarm shall be triggered at a permanently manned location (e.g. wheelhouse) in the event of any loss of the required ventilating capacity.

2.7.4 Any ducting used for the ventilation of hazardous areas shall be separate from that used for the ventilation of non-hazardous areas.

2.7.5 Required ventilation systems shall have at least two ventilators with independent power supply, each of sufficient capacity, to avoid any gas accumulation.

2.7.6 Air for hazardous rooms shall be taken from non-hazardous areas.

2.7.7 Air for non-hazardous rooms shall be taken from non-hazardous areas at least 1.50 m away from the boundaries of any hazardous area.

2.7.8 Where the inlet duct passes through a hazardous room, the duct shall have over-pressure relative to this room. Overpressure shall not be required when structural measures on the duct ensure that gases will not leak into the duct.

2.7.9 Air outlets from hazardous rooms shall be located in an open area which is of the same or less hazard than the ventilated room.

2.7.10 Air outlets from non-hazardous rooms shall be located outside hazardous areas.

2.7.11 In enclosed rooms the ventilation exhaust ducts shall be located at the top of these rooms. Air inlets shall be located at the bottom.

2.8 LNG bunkering system

2.8.1 The LNG bunkering system shall be so arranged that no gas is discharged to the atmosphere during filling of LNG fuel tanks.

2.8.2 The bunkering station and all valves used for bunkering shall be located on open deck so that sufficient natural ventilation is provided.

2.8.3 The bunkering station shall be so positioned and arranged that any damage to the gas piping does not cause damage to the craft's LNG containment system.

2.8.4 Suitable means shall be provided to relieve the pressure and remove liquid contents from pump suctions and bunker piping.

2.8.5 Hoses used for the bunkering of liquefied natural gas (LNG) shall be:
   a) compatible with liquefied natural gas (LNG), in particular suitable for the liquefied natural gas (LNG) temperature,
   b) designed for a bursting pressure not less than five times the maximum pressure they can be subjected to during bunkering.
2.8.6 The bunkering manifold shall be designed to withstand normal mechanical loads during bunkering. The connections shall be of dry-disconnect type in accordance with European Standard EN 1474, equipped with appropriate additional safety dry break-away couplings.

2.8.7 It shall be possible to operate the master LNG bunkering valve during bunkering operations from a safe control station on the craft.

2.8.8 Bunkering piping shall be arranged for inerting and gas freeing.

2.9 Filling limits of LNG fuel tanks

2.9.1 The level of liquefied natural gas (LNG) in the LNG fuel tank shall not exceed the filling limit of 95 % full at the reference temperature. The reference temperature means the temperature corresponding to the vapour pressure of the fuel at the opening pressure of the pressure relief valves.

2.9.2 A filling limit curve for liquefied natural gas (LNG) filling temperatures shall be prepared from the following formula:

\[ LL = FL \cdot \frac{\rho_R}{\rho_L} \]

where:

- \( LL \) = loading limit, maximum allowable liquid volume relative to the LNG fuel tank volume to which the tank may be loaded, expressed in per cent,
- \( FL \) = filling limit expressed in per cent, here 95 %,
- \( \rho_R \) = relative density of fuel at the reference temperature,
- \( \rho_L \) = relative density of fuel at the loading temperature.

2.9.3 For craft exposed to significant wave heights or significant motion on account of operations, the filling limit curve shall be adapted accordingly, based on the risk assessment according to (1.3).

2.10 Gas supply system

2.10.1 The gas supply system shall be so arranged that the consequences of any release of gas will be minimized, while providing safe access for operation and inspection.

2.10.2 The parts of the gas supply system which are located outside the engine room shall be designed in a way that a failure of one barrier cannot lead to a leak from the system into the surrounding area causing immediate danger to the people on board, the environment or the craft.

2.10.3 LNG fuel tank inlets and outlets shall be provided with valves located as close to the tank as possible.

2.10.4 The gas supply system to each engine or set of engines shall be equipped with a master gas fuel valve. The valves shall be situated as close as practicable to the gas preparation system but in any case outside the engine room.
2.10.5 The master gas fuel valve shall be operable  
  a) within and outside the engine room,  
  b) from the wheelhouse.

2.10.6 Each gas consuming equipment shall be provided with a set of double block and bleed valves to assure safe isolation of the fuel supply system. The two block valves shall be of the fail-to-close type, while the ventilation valve shall be fail-to-open type.

2.10.7 For multi-engine installations, where a separate master gas fuel valve is provided for each engine and for one-engine installation, the master gas fuel valve and the double block and bleed valve functions can be combined. One shutdown valve of the double block and bleed valves shall also be manually operated.

2.11 Exhaust system and gas supply shut down

2.11.1 The exhaust system shall be configured to keep accumulation of unburned gaseous fuel as low as possible.

2.11.2 Unless designed with the strength to withstand the worst case overpressure due to ignited gas leaks, engine components or systems that can contain an ignitable gas and air mixture shall be fitted with suitable pressure relief devices.

2.11.3 If the gas supply is not changed-over to gasoil before stopping, the gas supply system from the master gas fuel valve to the engine, and the exhaust system shall be purged in order to discharge any residual gas which may be present.

2.11.4 A means shall be provided to monitor and detect incorrect operation of the ignition system, poor combustion or mis-firing that may lead to unburned gaseous fuel in the exhaust system during operation.

2.11.5 If incorrect operation of the ignition system, poor combustion or mis-firing is detected, the gas supply system shall be shut down automatically.

2.11.6 The exhaust pipes of gas or dual fuel engines shall not be connected to the exhaust pipes of other engines or systems.

2.11.7 In case of a normal stop or an ESD, the gas supply system shall be shut off not later than the ignition source. It shall not be possible to shut off the ignition source without first or simultaneously closing the gas supply to each cylinder or to the complete engine.

2.11.8 In case of shut-off of the gas supply system in a dual fuel engine, the engine shall be capable of continuous operation on gasoil only without interruption.
Chapter 3
Fire Safety

3.1 General
3.1.1 Fire detection, protection and extinction measures appropriate to the hazards concerned shall be provided.
3.1.2 The gas preparation system shall be regarded as an engine room for fire protection purposes.

3.2 Fire alarm system
3.2.1 A suitable fixed fire alarm system shall be provided for all rooms of the LNG system where fire cannot be excluded.
3.2.2 Smoke detectors alone are not sufficient for rapid detection of a fire.
3.2.3 The fire detection system shall have the means to identify each detector individually.
3.2.4 The gas safety system shall shut down the relevant parts of the gas supply system automatically upon fire detection in rooms containing gas installations.

3.3 Fire protection
3.3.1 Accommodation, passenger areas, engine rooms and escape routes shall be shielded with Type A60 partitions, where the distance is less than 3.00 m to LNG fuel tanks and bunkering stations located on deck.
3.3.2 The boundaries of LNG fuel tank rooms and ventilation ducts to such rooms below the bulkhead deck shall comply with Type A60. However, where the room is adjacent to tanks, voids, auxiliary engine rooms of little or no fire risk, sanitary and similar spaces, the insulation may comply with Type A0.

3.4 Fire prevention and cooling
3.4.1 A water spray system shall be installed for cooling and fire prevention to cover exposed parts of LNG fuel tank(s) located on open deck.
3.4.2 If the water spray system is part of the firefighting systems mentioned in Articles 13.04 or 13.05, the required fire pump capacity and working pressure shall be sufficient to ensure the operation of both the required numbers of hydrants and hoses and the water spray system simultaneously. The connection between water spray system and the firefighting systems mentioned in Articles 13.04 or 13.05 shall be provided through a screw-down non-return valve.
3.4.3 If firefighting systems mentioned in Articles 13.04 or 13.05 are installed onboard a craft where the LNG fuel tank is located on open deck, isolating valves shall be fitted in the firefighting systems in order to isolate damaged sections of the firefighting systems. Isolation of a section of firefighting systems shall not deprive the fire line ahead of the isolated section of water.

3.4.4 The water spray system shall also provide coverage for boundaries of the superstructures, unless the tank is located 3,00 m or more from the boundaries.

3.4.5 The water spray system shall be designed to cover all areas as specified above with an application rate of 10 l/min/m² for horizontal projected surfaces and 4 l/min/m² for vertical surfaces.

3.4.6 The water spray system shall be capable of being put into operation from the wheelhouse and from the deck.

3.4.7 The nozzles shall be arranged to ensure an effective distribution of water throughout the area being protected.

3.5 Fire extinguishing

In addition to the requirements of Article 13.03, two additional portable dry powder fire extinguishers of at least 12 kg capacity shall be located near the bunkering station. They shall be suitable for Class C fires.

Chapter 4
Electrical Systems

4.1 Equipment for hazardous areas shall be of an appropriate type according to zones where such equipment is installed.

4.2 Electrical generation and distribution systems and associated control systems shall be designed such that a single failure will not result in the release of gas.

4.3 The lighting system in hazardous areas shall be divided between at least two branch circuits. All switches and protective devices shall interrupt all poles and phases and shall be located in a non-hazardous area.

4.4 Submerged gas pump motors and their supply cables may be fitted in LNG containment systems. Arrangements shall be made to alarm in low liquid level and automatically shut down the motors in the event of low-low liquid level. The automatic shutdown may be accomplished by sensing low pump discharge pressure, low motor current, or low liquid level. This shutdown shall give an acoustic and optical alarm in the wheelhouse. Gas pump motors shall be capable of being isolated from their electrical supply during gas-freeing operations.
Chapter 5
Control, Monitoring and Safety Systems

5.1 General

5.1.1 Suitable control, alarm, monitoring and shutdown systems shall be provided to ensure safe and reliable operation.

5.1.2 The gas supply system shall be fitted with its own set of independent gas control, gas monitoring and gas safety systems. All elements of these systems shall be capable of being functionally tested.

5.1.3 The gas safety system shall shut down the gas supply system automatically, upon failure in systems essential for the safety and upon fault conditions which may develop too fast for manual intervention.

5.1.4 The safety functions shall be arranged in a dedicated gas safety system that is independent of the gas control system.

5.1.5 Instrumentation devices shall be fitted to allow a local and a remote reading of essential parameters, where they are necessary to ensure a safe operation of the whole LNG system including bunkering.

5.2 LNG bunkering system and LNG containment system monitoring

5.2.1 Each LNG fuel tank shall be fitted with:
   a) at least two liquid level indicators, which shall be arranged so that they can be maintained in an operational condition,
   b) a pressure indicator capable of indicating throughout the operating pressure range and which is clearly marked with the maximum working pressure of the LNG fuel tank,
   c) a high liquid level alarm operating independently of other liquid level indicators which shall give an acoustic and optical alarm when activated,
   d) an additional sensor operating independently of the high liquid level alarm which shall automatically actuate the master LNG bunkering valve in a manner that will both avoid excessive liquid pressure in the bunkering piping and prevent the tank from becoming liquid full.

5.2.2 Each pump discharge line and each liquid and vapour gas shore connection shall be provided with at least one local pressure indicator. In the pump discharge line, the indicator shall be placed between the pump and the first valve. The permissible maximum pressure or vacuum value shall be indicated on each indicator.

5.2.3 A high-pressure alarm shall be provided at the LNG containment system and at the pump. Where vacuum protection is required, a low-pressure alarm shall be provided.
5.2.4 Control of the bunkering shall be possible from a safe control station remote from the bunkering station. At this control station the LNG fuel tank pressure and level shall be monitored. Overfill alarm, high and low-pressure alarm and automatic shutdown shall be indicated at this control station.

5.2.5 If the ventilation in the ducting enclosing the bunkering lines stops, an acoustic and optical alarm shall be actuated at the control station.

5.2.6 If gas is detected in the ducting enclosing the bunkering piping an acoustic and optical alarm and emergency shut-down shall be actuated at the control station.

5.2.7 Appropriate and sufficient suitable protective clothing and equipment for bunkering operations shall be available on board according to operating manual.

5.3 Engine operation monitoring

5.3.1 Indicators shall be fitted in the wheelhouse and the engine room for:
   a) operation of the engine in case of a gas-only engine, or
   b) operation and mode of operation of the engine in the case of a dual fuel engine.

5.4 Gas warning equipment

5.4.1 Gas warning equipment shall be designed, installed and tested in accordance with a recognized standard, such as European Standard EN 60079-29-1 : 2007.

5.4.2 Permanently installed gas detectors shall be fitted in:
   a) tank connection areas including fuel tanks, pipe connections and first valves,
   b) ducts around gas piping,
   c) engine rooms containing gas piping, gas equipment or gas consuming equipment,
   d) the room containing the gas preparation system,
   e) other enclosed rooms containing gas piping or other gas equipment without ducting,
   f) other enclosed or semi-enclosed rooms where gas vapours may accumulate including interbarrier spaces and tank rooms of independent LNG fuel tanks other than type C,
   g) air locks, and
   h) ventilation inlets to rooms in which gas vapours may accumulate.
5.4.3 By derogation to (5.4.2), permanently installed sensors which detect gas by difference of pressure can be used for interbarrier spaces in double wall piping.

5.4.4 The number and redundancy of gas detectors in each room shall be considered taking size, layout and ventilation of the room into account.

5.4.5 Permanently installed gas detectors shall be located where gas may accumulate and in the ventilation outlets of these rooms.

5.4.6 An acoustic and optical alarm shall be activated before the gas concentration reaches 20 % of the lower explosive limit. The gas safety system shall be activated at 40 % of the lower explosive limit.

5.4.7 Acoustic and optical alarms from the gas warning equipment shall be actuated in the wheelhouse.

5.5 Safety functions of gas supply systems

5.5.1 If the gas supply system is shut off due to activation of an automatic valve, it shall not be opened until the reason for the disconnection is ascertained and the necessary actions taken. Instructions to this effect shall be placed at a prominent position at the control station for the shut-off valves in the gas supply lines.

5.5.2 If the gas supply system is shut off due to a gas leak, it shall not be opened until the leak has been found and the necessary actions have been taken. Instructions to this effect shall be placed at a prominent position in the engine room.

5.5.3 The gas supply system shall be arranged for manual remote emergency stop from the following locations as applicable:
   a) wheelhouse,
   b) control station of the bunkering station,
   c) any permanently manned location.
INSTRUCTIONS FOR THE APPLICATION OF THE TECHNICAL STANDARD
PART I
GENERAL

ESI-I-1
COMPLETION OF THE INLAND NAVIGATION VESSEL CERTIFICATES

1. General

1.1 Forms

For completion of the inland navigation vessel certificate only forms authorised by the competent authority shall be used. Forms shall be filled in on one side only.

When issuing a new inland navigation vessel certificate, all pages 1 to 13 shall be included, even if some pages have no entries.

1.2 Method of entry

Entries on the inland navigation vessel certificate shall be typewritten or computer-printed. Handwritten entries may only be made in exceptional cases. The entries shall be indelible. Font colours shall be black or blue only. Deletions shall be made in red.

2. Entries

2.1 Deletion of alternatives

Where entries are marked with (*) those which are not applicable shall be deleted.

2.2 Items without entry

If, for any of the items 1 to 48, no entry is either necessary or possible, a line shall be drawn across the entire field.

2.3 Final page of the inland navigation vessel certificate

If no additional pages are required after page 13 (see (3.2.3)), the words ‘continued on page’ (*) at the bottom of page 13 shall be deleted.

2.4 Amendments

2.4.1 First handwritten amendment on a page

A page can be amended once only, however, several amendments may be made at that time. A red line shall be drawn through any details to be amended. A previously deleted alternative (see (2.1)) or an item previously without entry (see (2.3)) shall be underlined in red. The new details shall not be entered in the amended field, but on the same page under the heading ‘Amendments’, the line ‘This page has been replaced’ shall be deleted.

(*) Delete as appropriate.
2.4.2  Further handwritten amendments on a page

For further amendments the page shall be replaced and the necessary amendments as well as any earlier amendments shall be entered directly under the appropriate items. Under the heading ‘Amendments’ the line ‘amendments to item(s)’ shall be deleted. The old page shall be retained by the inspection body which originally issued the inland navigation vessel certificate.

2.4.3  Amendments by electronic data processing

In case of amendments by electronic data processing, the page shall be replaced and the necessary amendments as well as any earlier amendments shall be entered directly under the appropriate items. Under the heading ‘Amendments’ the line ‘amendments to item(s)’ shall be deleted. The old page shall be retained by the inspection body which originally issued the inland navigation vessel certificate.

2.5  Corrections by pasting over

Pasting over of entries or pasting in further details added to an item is not allowed.

3.  Replacing and adding pages

3.1  Replacing pages

Page 1 of the inland navigation vessel certificate shall never be replaced. For replacing other pages the procedures outlined in (2.4.2) or (2.4.3) shall be applied.

3.2  Adding pages

If there is insufficient space for further entries on pages 10, 12 or 13 of the inland navigation vessel certificate, additional pages may be attached.

3.2.1  Extension/Confirmation of validity

If further extension is necessary when the certificate has already been extended six times, the words ‘Continued on page 10a’ shall be added at the bottom of page 10, and a further page 10 shall be marked as page 10a and inserted after page 10. The respective entry is then made under item 49 at the top of page 10a. At the bottom of page 10a the entry ‘Continued on page 11’ shall be made.

3.2.2  Extension of the liquefied gas installation certificate

A similar procedure to (3.2.1) shall be applied, with page 12a inserted after page 12.

3.2.3  Annex to the inland navigation vessel certificate

At the bottom of page 13 the words ‘End of the inland navigation vessel certificate’ shall be deleted in red, the deleted words ‘Continued on page’ shall be underlined in red and behind that the page number 13a shall be entered. This amendment shall carry an official stamp. A further page 13 shall be marked as page 13a and inserted after page 13. The provisions of (2.2) and (2.3) apply to page 13a mutatis mutandis. The same procedure shall be applied for any further annexes (pages 13b, 13c, etc.).
4. **Explanations of individual items**

Self-explanatory items are not mentioned below.

2. If applicable, insert terms as per Article 1.01. Other vessel types shall be entered with their commonly accepted designation.

3. When inland navigation vessel certificate is extended the wording “official number” as well as this number shall be deleted, and in case of amendment, the wording “3. unique European vessel identification number” as well as that number shall be entered.

10. In respect of vessels with Union inland navigation certificate allowed to navigate on the Rhine, i.e.
   a) those which comply fully with requirements of this Standard including the transitional provisions for Chapter 32, and
   b) those which make no use of the transitional provisions of Chapter 33 or the reductions provided for zone 4,
   the following is to be added to the indent ‘- on EU waterways in zone(s)’:
      a) Rhine or
      b) zone R.

12. When inland navigation vessel certificate is extended the wording “official number” shall be deleted, and in case of amendment, the wording “12. Unique European vessel identification number” as well as that number shall be entered.

15. This section shall only be completed for craft for which at least one of the properties 1.1 or 1.2 or 3 in item 14 is not deleted, otherwise the entire table shall be deleted.

15.1 In the column ‘formation figure’ of the table the number(s) of the formations depicted shall be entered. Lines without entry shall be struck through.

Further formations may be drawn under ‘Other formations’ and shall be designated 18, 19, 20, etc.

If it is not apparent from the property ‘fit to push’ in the previous ship certificate which formations are authorised, the entry from the previous inland navigation vessel certificate may be transferred to item 52. ‘See item 52’ shall be entered in line 1 of the table ‘Authorised formations’.

15.2 Couplings

Only the details of the coupling between the pushing craft and the pushed section of the convoy shall be entered.

17-20. Details according to the tonnage certificate items 17-19 to two decimal places and item 20 without decimal places. Length overall and breadth overall give the maximum dimensions of the craft, including all projecting fixed parts. Length $L$ and Breadth $B$ give the maximum hull dimensions (see also Article 1.01 Definitions).
21. Dead weight tonnage for cargo vessels in t according to the measurement certificate for the maximum draught according to item 19.

Displacement for all other craft in m$^3$. If no measurement certificate is available, calculate the displacement from the product of the block coefficient and length $L_{WL}$, breadth $B_{WL}$ and mean draught at maximum immersion.

23. Number of passenger berths available (including folding beds and similar).

24. Only watertight transverse bulkheads extending from one side of the vessel to the other shall be taken into consideration.

26. If applicable, the following terms shall be used:
- manually operated hatch covers,
- manually operated rolling hatch covers,
- manually operated sliding hatch covers,
- mechanically operated sliding hatch covers,
- mechanically operated hatch covers.

Other types of hatch covers shall be entered with their commonly accepted designation.

Any holds which do not have a hatch cover shall be listed, e.g. under item 52.

28. Figure without decimal place.

30, 31 and 33. Every winch housing shall be counted as one winch, regardless of the number of anchors or towing cables connected to it.

34. Under ‘Other installations’ systems which do not use rudder blades (e.g. rudder-propeller, cycloidal-propeller, bow-thruster systems) shall be entered. Enter also any electrical auxiliary engines for manual actuation. With bow-thruster systems, ‘remotely-controlled’ refers only to remote controls operated from the steering position in the wheelhouse.

35. Only the theoretical values according to Article 8.08(2) and (3), Article 19.01(1)(c), and Article 19.08(5) shall be entered. For craft whose keels were laid on or before 1.4.1976, the first section is only to be completed in the event of the bilge pumps being replaced and in the event of the inland navigation vessel certificate being extended after 1.1.2015. For craft whose keels were laid down on or before 31.12.1984 and only operated outside zone R, the section can be left void.

36. A sketch may be necessary for clarification.

37. Only the theoretical values without reduction according to Article 13.01(1) to (4) shall be entered.
38. Only the minimum lengths according to Article 13.01(10) and the minimum breaking load according to Article 13.01(11) shall be entered.

39. and 40. Only the minimum lengths and minimum breaking load values recalculated according to Article 13.02(3) shall be entered.

42. The inspection body may add items to the list of necessary equipment. These shall be justified as essential to ship safety for the respective vessel type or its operational area. Additions shall be entered under item 52.

Left column, row 3 to 5: for passenger vessels the first mentioned item shall be crossed out and for all other vessels the second mentioned item shall be crossed out. The length of the gangway shall be entered if the inspection body has allowed a shorter length than what is foreseen by Article 13.02(3)(d) or by Article 19.06(12).

Left column, row 7: here the number of the prescribed first aid kits according to Article 13.02(3)(f) and Article 19.08(9) shall be entered.

Left column, row 11: here the number of the prescribed fire proof receptacles according to Article 13.02(2) shall be entered.

43. Portable fire extinguishers required by other safety regulations, e.g. and regulation, are not included here.

44. Row 3: in inland navigation vessel certificates to be renewed before 1.1.2025 (Chapter 33), the item ‘according to Article 13.08(2)’ shall be crossed if no life vests according to this Standard are onboard.

Row 4: in inland navigation vessel certificates to be renewed after 1.1.2015, or if a new dinghy is taken onboard, or for newly-built craft, the item ‘with a set of oars, one mooring line and a baler’ shall be crossed. In inland navigation vessel certificates to be renewed after 1.1.2030 (Chapter 33), and if no dinghy according to this Standard is onboard, the item ‘according to Standard EN 1914 : 2016’ shall be crossed. In the inland navigation vessel certificate to be renewed before 1.9.2036, if compliance to Standard EN 1994 : 1997 is proven, the item ‘2016’ could be crossed.

46. As a general rule, continuous operation shall not be inserted if there is a lack of berths or if there are excessive noise levels.

50. The expert shall sign only if he has completed page 11 himself.

52. Here any additional restrictions, exemptions and explanations, or similar, applying to entries under individual items can be given.
5. Transitional provisions for Union inland navigation vessel certificates

5.1 Existing Community certificates

With the exception of the exceptional 6 months extension, no further extensions to existing community certificates shall be granted.

5.2 Replacement after a periodical inspection

After a periodical inspection of a vessel which does not yet have a Union inland navigation certificate in line with the model in Annex 4, a Union inland navigation certificate shall be issued.
Experts and Competent Persons

(Article 1.01, 10.3 and 10.4)

Experts

Experts are required to carry out acceptance tests which call for specialist knowledge either on account of the complexity of the systems or on account of the safety level required. The following persons or institutions are among those authorised to carry out such acceptance tests:

- Classification societies which have the necessary in-house expertise or which bear responsibility, on the basis of their authorisation, for calling in external persons or institutions and have the necessary quality control systems in place in respect of the selection of these persons or institutions;
- Members of the inspection bodies or employees of the relevant authorities;
- Officially approved persons or institutions with recognised expertise for the scope of inspection in the relevant subject area, whereby the vessel inspection bodies can also issue this approval in their capacity as public agencies, ideally on the basis of a quality assurance system. A person or institution is also deemed to be approved if the latter has passed an official selection procedure which specifically assesses the possession of the required expertise and experience.

Traditional craft expert

A person appointed by the competent authority or by an authorised institution of a Member State, who possesses special knowledge in the field of traditional craft due to his or her training and experience in the subject, and who is familiar with the relevant technical requirements and rules, also from the time period of the traditional craft.

Competent persons

Competent persons are required, for example, to conduct regular visual checks and operating checks on safety equipment. The following may be classed as competent persons:

- Persons who, on the basis of their professional training and experience, have sufficient expertise to be able to assess specific situations and circumstances, e.g. ship's masters, safety officers in shipping companies, crew members with relevant experience;
- Companies which have acquired sufficient specialist knowledge on the basis of their regular work, e.g. shipyards or installation firms;
- Manufacturers of special-purpose systems (e.g. fire extinguishing systems, control equipment).

Terminology

<table>
<thead>
<tr>
<th>German</th>
<th>English</th>
<th>French</th>
<th>Dutch</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sachverständiger</td>
<td>expert</td>
<td>expert</td>
<td>erkend deskundige</td>
</tr>
<tr>
<td>Sachkundiger</td>
<td>competent person</td>
<td>spécialiste</td>
<td>deskundige</td>
</tr>
<tr>
<td>Fachfirma</td>
<td>competent firm</td>
<td>société spécialisée</td>
<td>deskundig bedrijf</td>
</tr>
</tbody>
</table>
Acceptance tests

The table below summarises the schedule of acceptance tests, including their frequency and the type of inspector required to conduct them. This table is for information purposes only.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Subject matter</th>
<th>Maximum test interval</th>
<th>Inspector</th>
</tr>
</thead>
<tbody>
<tr>
<td>Article 6.03(5)</td>
<td>Hydraulic cylinders, pumps and motors</td>
<td>8 years</td>
<td>Competent firm</td>
</tr>
<tr>
<td>Article 6.09(3)</td>
<td>Motor-driven control equipment</td>
<td>3 years</td>
<td>Competent person</td>
</tr>
<tr>
<td>Article 7.12(11)</td>
<td>Elevating wheelhouses and their appliances</td>
<td>1 year</td>
<td>Competent person</td>
</tr>
<tr>
<td>Article 7.12(12)</td>
<td>Elevating wheelhouses and their appliances</td>
<td>5 years</td>
<td>Expert</td>
</tr>
<tr>
<td>Article 8.01(2)</td>
<td>Pressure vessels</td>
<td>5 years</td>
<td>Expert</td>
</tr>
<tr>
<td>Article 13.03(5)</td>
<td>Portable fire extinguishers</td>
<td>2 years</td>
<td>Competent person</td>
</tr>
<tr>
<td>Article 13.04(6)(a) to (c)</td>
<td>Permanently installed firefighting systems</td>
<td></td>
<td>Expert</td>
</tr>
<tr>
<td>Article 13.04(6)(d)</td>
<td>Permanently installed firefighting systems</td>
<td>2 years</td>
<td>Competent person or competent firm</td>
</tr>
<tr>
<td>Article 13.05(9)(b) (aa) to (cc)</td>
<td>Permanently installed firefighting systems</td>
<td></td>
<td>Expert</td>
</tr>
<tr>
<td>Article 13.05(9)(b) (dd)</td>
<td>Permanently installed firefighting systems</td>
<td>2 years</td>
<td>Competent person or competent firm</td>
</tr>
<tr>
<td>Article 13.07(3)</td>
<td>Inflatable ship’s boats</td>
<td>As specified by the manufacturer</td>
<td></td>
</tr>
<tr>
<td>Article 13.08(3)</td>
<td>Lifejackets</td>
<td>As specified by the manufacturer</td>
<td></td>
</tr>
<tr>
<td>Article 14.12(6)</td>
<td>Cranes</td>
<td>10 years</td>
<td>Expert</td>
</tr>
<tr>
<td>Article 14.12(7)</td>
<td>Cranes</td>
<td>1 year</td>
<td>Competent person</td>
</tr>
<tr>
<td>Article 17.13</td>
<td>Liquefied gas installations</td>
<td>3 years</td>
<td>Expert</td>
</tr>
<tr>
<td>Article 19.09(9)</td>
<td>Life-saving equipment</td>
<td>As specified by the manufacturer</td>
<td></td>
</tr>
<tr>
<td>Article 19.10(9)</td>
<td>Insulating resistance, earthing</td>
<td>Before expiry of validity of the inland navigation vessel certificate</td>
<td></td>
</tr>
<tr>
<td>Instruction  ESI-II-13 section 3.1(a) and (b)</td>
<td>Fire alarm systems</td>
<td></td>
<td>Expert</td>
</tr>
<tr>
<td>Instruction  ESI-II-13 section 3.1 (c)</td>
<td>Fire alarm systems</td>
<td>2 years</td>
<td>Expert or competent person</td>
</tr>
<tr>
<td>Instruction  ESI-III-4 section 8.1 (a) and (b)</td>
<td>Safety guidance systems</td>
<td></td>
<td>Expert</td>
</tr>
<tr>
<td>Instruction  ESI-III-4 section 8.1 (c)</td>
<td>Safety guidance systems</td>
<td>5 years</td>
<td>Expert or competent person</td>
</tr>
<tr>
<td>Instruction  ESI-III-5</td>
<td>Gaswarning equipment</td>
<td>As specified by the manufacturer</td>
<td>Expert or competent person</td>
</tr>
</tbody>
</table>
PART II
PROVISIONS REGARDING SHIPBUILDING, FITTING OUT AND EQUIPMENT

ESI-II-1
MINIMUM HULL THICKNESS OF BARGES

(Article 3.02(1))

During periodical inspections of barges which are exclusively towed, the inspection body may allow minor deviations from Article 3.02(1)(b) with respect to the minimum thickness of the shell plating of the hull. The deviation shall not be more than 10 %, and the minimum hull thickness shall not be less than 3 mm.

The deviations shall be entered in the inland navigation vessel certificate.

Under item 14 of the inland navigation vessel certificate, only property under item 6.2 ‘Towed as a craft with no motive power of its own’ shall apply.

Properties under items 1 to 5.3 and 6.1 shall be deleted.
1. **Purpose of the instruction**

These instructions have been drawn up for the sake of clarity in the rules for maintaining the strength of the hull (Article 3.02(1)) and for replacing and repairing hull plating (Article 3.02(1)(c), last sentence and Article 19.02(1)(d)). This instruction is applicable for installation of new doubler plates.

2. **Principals**

There are two different types of doubler plates:

1. Doubler plates installed during newbuilding or conversion in accordance with good shipbuilding practice,
2. Doubler plates intended to delay wear or renewal of hull plating. Generally, these plates should be avoided or they should be installed only in particular cases.

3. **Doubler plates installed during newbuilding or conversion**

3.1 **Doubler plates installed during newbuilding**

Doubler plates installed during newbuilding are mainly in the following places:

a) Reinforcing plates around openings and penetrations on the hull and deck plating (manholes, passages for pipes, scuppers, etc.),

b) Plates at the corners of large hatches,

c) Longitudinal plate strips at sheer strake level,

d) Plate strips to protect against wear due to abrasion of the hull plating (at bow and stern and possibly on the bilge plating and the side plating at some height above the bottom),

e) Reinforcing plates at particular points of the structure under special equipment (for example: anchor windlasses, pumps, masts, cranes, winches, anchors, etc.).

3.2 **Doubler plates installed as part of a conversion**

If these doubler plates are installed as part of a conversion, they can be mounted only on plates which have not yet reached the minimum thickness, after conversion, and have a corrosion allowance of at least 0.7 mm remaining. Otherwise, the plates that are to carry the doubler plates must be renewed beforehand.

In particular, the plate strips for reinforcing the longitudinal hull girder of the vessel in the case of lengthening must extend at least along the length of the cargo section except in case of justification supported by a calculations note.
4. Doubler plates intended to delay wear or renewal of hull plating

4.1 Places, where the installation of doubler plates is permitted

These plates may be installed in the following places:

a) In case of damage (temporary repair – validity as per certificate),
b) In case of pitting corrosion, eventually with piercing, not affecting the structural strength (ie: below an engine room, in way of wind/water strake), excepted in way of bottom and bilge plates in cargo zones,
c) In case of surfaces exposed to abrasion, in order to stop wear of hull plates (may be mounted only on plates that have not yet reached the minimum thickness),
d) On bilge plating, the doubler plating will be, preferably, continuous for at least 70 % of the vessel length. Otherwise, doubler plates will have a minimum length and a minimum distance in between not less than \((2.5 + L/40)\) m or extend at least along the length of three times the frame spacing for vessels with L not more than 45 m. They shall be extended at least along the length of twice the frame spacing forward of and behind the affected zone,
e) On riveted seams to assure watertightness,
f) Fore and aft of a vessel’s outside cargo zone.

4.2 Places, where the installation of doubler plates is not permitted

These plates cannot be installed at the following locations:

a) On plates the thickness of which is under the minimum allowable thickness,
b) On holes in plates due to corrosion on elements ensuring the watertightness of vessel,
c) Over large areas in cargo zone,
d) To cover transverse overlap weld seams,
e) On the bottom between fore bulkhead of fore hold and after bulkhead of after hold,
f) In the cargo area of motor tankers, tank lighters and tank barges for the transport of dangerous goods according to ADN,
g) Over bunkers containing flammable products except in abrasion areas,
h) On plates or seams showing buckling or fatigue phenomenon,
i) On existing doubler plates.

5. Installation of doubler plates

a) Doubler plates must be installed and welded in accordance with good shipbuilding practice.
b) Wear plates will have a width ranging between 200 and 300 mm-
c) Reinforcing plates of the longitudinal hull girder should not be more than 600 mm wide.
d) The thickness of the doubler plates shall be between 1 and 1,5 times the thickness of the plate on which it is mounted.
e) Doubler plates fitted to delay the wear or replacement of hull plates have to be replaced, when their thickness is less than 3 mm.

The presence of doubler plates must be stated on the thickness measurements report. When renewing the certificate, vessel areas where doubler plates are installed must be thoroughly examined in order to determine whether they can be kept in this state.
**ESI-II-3**

**MINIMUM PRESCRIBED FORWARD SPEED, STOPPING CAPACITY AND CAPACITY FOR GOING ASTERN**

(Articles 5.06, 5.07 and 5.08 in conjunction with Articles 5.02(1), 5.03(1), 5.04 and 21.06)

1. **Minimum prescribed (forward) speed in accordance with article 5.06**

   The speed in relation to the water is satisfactory in accordance with Article 5.06(1) when it reaches at least 13 km/h. During tests, the following conditions shall be met in the same way as for the stopping test:
   
   a) the keel clearance set out in (2.1) shall be complied with;
   
   b) the measuring, recording, registration and evaluation of test data shall be carried out according to the procedure described in Annex 1.

2. **Stopping capacity and capacity for going astern prescribed in accordance with articles 5.07 and 5.08**

   2.1 Vessels and convoys are deemed able to stop facing downstream in good time in accordance with Article 5.07(1) when this is proved during a test of stopping in relation to the ground facing downstream at an initial speed in relation to the water of 13 km/h, with a keel clearance equal to at least 20% of the draught but not less than 0,50 m.

   a) In flowing water (current velocity of 1,5 m/s), stopping in relation to the water shall be demonstrated over a maximum distance measured in relation to the ground of:

   - 550 m for vessels and convoys of:
     - length \( L > 110 \) m or
     - width \( B > 11,45 \) m,

   or

   - 480 m for vessels and convoys of:
     - length \( L \leq 110 \) m and
     - width \( B \leq 11,45 \) m.

   The stopping manoeuvre is completed on coming to a stop in relation to the ground.

   b) In standing water (current velocity of less than 0,2 m/s), stopping in relation to the water shall be demonstrated over a maximum distance, measured in relation to the ground of:

   - 350 m for vessels and convoys of:
     - length \( L > 110 \) m or
     - width \( B > 11,45 \) m,

   or

   - 305 m for vessels and convoys of:
     - length \( L \leq 110 \) m and
     - width \( B \leq 11,45 \) m.

   In standing water, a test shall also be performed to demonstrate that a speed of not less than 6,5 km/h can be reached when going astern.
The measuring, recording and registration of the test data referred to in (a) or (b) shall be carried out in accordance with the procedure set out in Annex 1.

Throughout the entire test, the vessel or the convoy shall have adequate manoeuvrability.

2.2 In accordance with Article 5.04, during the test, vessels shall be loaded as far as possible to 70 – 100 % of their deadweight. This loading condition shall be evaluated in accordance with Annex 2. When the vessel or the convoy is loaded to less than 70 % at the time of the test, the permitted maximum displacement in downstream navigation shall be set in accordance with the actual load, provided that the limit values of (2.1) are complied with.

2.3 If the actual values of the initial speed and current velocity at the time of the test do not meet the conditions set out in (2.1), the results obtained shall be evaluated according to the procedure described in Annex 2.

The permitted deviation of the initial speed of 13 km/h shall be not more than ± 1 km/h, and the current velocity in flowing water shall be between 1,3 and 2,2 m/s, otherwise the tests shall be repeated.

2.4 The permitted maximum displacement or the respective maximum load or the maximum immersed cross-section for vessels and convoys in downstream navigation shall be determined on the basis of the tests and entered in the inland navigation vessel certificate.
Annex 1 to instruction ESI-II-3

Measuring, recording and registration of data collected during stopping manoeuvre tests

1. Stopping manoeuvre

The vessels and convoys referred to in Chapter 5 shall carry out a test in flowing water or in standing water, in a test area, to prove that they are capable of stopping facing downstream only with their propulsion system without the use of anchors. The stopping manoeuvre shall, in principle, be carried out in accordance with figure 1. It begins when the vessel is travelling at a constant speed of as near as possible to 13 km/h in relation to the water by reversing the engines from ‘ahead’ to ‘astern’ (point \(A\) of the order ‘stop’) and is completed when the vessel is stationary in relation to the ground (point \(E\): \(v = 0\) in relation to the ground or point \(D\): \(v = 0\) in relation to the water and in relation to the ground if the stopping manoeuvre is carried out in standing water).

When stopping manoeuvres are carried out in flowing water, the position and the moment of stopping in relation to the water shall also be recorded (the vessel moves at the speed of the current; point \(D\): \(v = 0\) in relation to the water).

The data measured shall be entered in a report as shown in the diagram of table 1. Before the stopping manoeuvre is carried out, the unchanging data shall be entered at the top of the form.

The average current velocity \(v_{STR}\) in the fairway shall be determined, if available, based on the reading of an established water level gauge, or by measuring the movement of a floating body and shall be entered in the report.

In principle, the use of current metres is permitted to determine the speed of the vessel in relation to the water during the stopping manoeuvre, if it is possible to record the movement and the required data in accordance with the procedure above.

2. Registration of the data measured and recording them in the report (table 1)

For the stopping manoeuvre, first of all the initial speed in relation to the water shall be determined. This can be done by measuring the time taken to travel between two markers on land. In flowing water, the average current velocity shall be taken into account.

The stopping manoeuvre is initiated by the order ‘stop’ \(A\), given on passing a marker on land. Passing the land marker shall be recorded perpendicularly to the axis of the vessel and shall be entered in the report. Passing all other land markers during the stopping manoeuvre shall be similarly recorded and each marker (e.g. kilometre post) and the time of passing shall be noted in the report.

The values measured shall, if possible, be recorded at intervals of 50 m. In each case, note should be taken of the time when points \(B\) and \(C\) — if possible — as well as when points \(D\) and \(E\) are reached and the respective position shall be estimated. The data concerning the engine speed need not be recorded in the report, but should be noted to permit more accurate control of the initial speed.
3. **Description of the stopping manoeuvre**

The stopping manoeuvre according to figure 1 shall be presented in the form of a diagram. First of all, the time-traverse diagram shall be plotted using the measurements entered in the test report and points A to E shall be indicated. It will then be possible to determine the average speed between two measurement points and to plot the speed/time diagram.

This is done as follows (see figure 1):

By determining the quotient of the difference of position over the difference in time $\frac{\Delta s}{\Delta t}$, the average speed of the vessel for this period can be calculated.

During the interval between 0 sec. and 10 sec., the distance from 0 m to 50 m is covered.

$$\frac{\Delta s}{\Delta t} = \frac{50 \text{ m}}{10 \text{ s}} = 5,0 \text{ m/s} = 18,0 \text{ km/h}$$

This value is entered as the average speed at the 5 sec. abscissa-position.

During the second interval, from 10 sec. to 20 sec., a distance of 45 m is covered.

$$\frac{\Delta s}{\Delta t} = \frac{45 \text{ m}}{10 \text{ s}} = 4,5 \text{ m/s} = 16,2 \text{ km/h}$$

At marker D, the vessel has stopped in relation to the water i.e. current velocity is approximately 5 km/h.
Minimum prescribed forward speed, stopping capacity and capacity for going astern - Annex 1 to instruction ESI-II-3

Figure 1: Stopping manoeuvre

Key to symbols in figure 1

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
<th>Parameter</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>‘stop’ order</td>
<td>( v ) speed of vessel</td>
</tr>
<tr>
<td>B</td>
<td>propeller stopped</td>
<td>( v_L ) ( v ) in relation to the ground</td>
</tr>
<tr>
<td>C</td>
<td>propeller in reverse</td>
<td>( s ) distance covered in relation to the ground</td>
</tr>
<tr>
<td>D</td>
<td>( v = 0 ) in relation to the water</td>
<td>( t ) measured time</td>
</tr>
<tr>
<td>E</td>
<td>( v = 0 ) in relation to the ground</td>
<td></td>
</tr>
</tbody>
</table>
## Table 1: Report of the stopping manoeuvre

<table>
<thead>
<tr>
<th>POSITION [river-km]</th>
<th>TIME [sec.]</th>
<th>$\Delta s$ [m]</th>
<th>$\Delta t$ [sec]</th>
<th>$v_L$ [km/h]</th>
<th>ENGINE SPEED $n$ [min$^{-1}$]</th>
<th>OBSERVATIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Inspection**

- Type of vessel
  - or convoy: ...........................................

**Date**

- $L \times B$ [m]: ...........................................

**Name**

- $T$ during test [m]: ...........................................

**Test run No:** ...........................................

- Load during test [t]: ...........................................

- % of maximum deadweight: ...........................................

- Power of propulsion engines $P_B$ [kW] ...........................................

- Propulsion system according to Annex 2, table 2: ............

**Test area:** ...........................................

- Water level gauge reading [m]: ...........................................

- Water depth [m]: ...........................................

- Gradient [m/km]: ...........................................

- $v_{STR}$ [km/h]: ...........................................

- $v_{STR}$ [m/s]: ...........................................

- Max displacement [m$^3$]: ...........................................
Annex 2 to instruction ESI-II-3

**Evaluation of the results of the stopping manoeuvre**

1. On the basis of the values recorded compliance with the limit values in accordance with Annex 1 shall be verified. If the conditions for the stopping manoeuvre deviate substantially from the standard conditions, or if there are doubts as to the compliance with the limit values, the results shall be evaluated. To that end the following procedure may be applied for calculating stopping manoeuvres.

2. Theoretical stopping distances are determined under the standard conditions \( s_{SOLL} \) of (2.1) of this instruction and under stopping manoeuvre conditions \( s_{IST} \) and are compared with the stopping distance measured \( s_{MESSUNG} \). The corrected stopping distance of the stopping manoeuvre under standard conditions \( s_{NORM} \) is calculated as follows:

   Formula (2.1) \( s_{NORM} = s_{MESSUNG} \cdot \frac{s_{SOLL}}{s_{IST}} \leq \text{limit value} \)

   in accordance with (2.1)(a) or (b) of this instruction.

   When the stopping manoeuvre has been carried out with a load of 70 – 100 % of the maximum deadweight in accordance with (2.2) of this instruction in order to calculate \( s_{NORM} \) the displacement \( d_{SOLL} = d_{IST} \) corresponding to the load at the time of the test shall be used for the determination of \( s_{SOLL} \) and \( s_{IST} \).

   When in determining \( s_{NORM} \) according to formula (2.1), the limit value in question is exceeded or not reached, the value of \( s_{SOLL} \) shall be reduced or increased by variation of \( d_{SOLL} \) so that the limit value is complied with \( s_{NORM} = \text{limit value in question} \). The maximum displacement permitted in downstream navigation shall be set accordingly.

3. According to the limit values given in (2.1)(a) and (b) of this instruction, only the stopping distances measured in
   - Phase I ('Full ahead' reversed to 'full astern'): \( s_I \)
   and
   - Phase II (End of reversal until vessel stops in relation to the water): \( s_{II} \)

   shall be calculated (see figure 1). The total stopping distance is then:

   Formula (3.1) \( s_{GES} = s_I + s_{II} \)

4. The particular stopping distances shall be calculated as follows:
Calculation of the stopping manoeuvre

Figure 2: Diagram

Calculation Formulae:

4.1 \( S_I = k_1 \cdot v_L \cdot t_I \quad t_I \leq 20 \text{s} \)

4.2 \( S_{II} = k_2 \cdot v_{II}^2 \cdot \frac{D \cdot g}{k_3 \cdot F_{POR} + R_{TmII} - R_G} \cdot \left( k_4 + \frac{v_{STR}}{v_{II}} \right) \)

4.3 \( R_{TmII} = \left( \frac{RT}{v^2} \right) \cdot (k_7 \cdot k_6 \cdot (v_L - v_{STR}))^2 \)

4.4 \( R_G = i \cdot D \cdot \rho \cdot g \cdot 10^{-6} \)

4.5 \( v_{II} = k_6 \cdot (v_L - v_{STR}) \)

4.6 \( F_{POR} = f \cdot P_B \)

4.7 \( t_{II} = \frac{s_{II}}{v_{II} \cdot \left( k_4 + \frac{v_{STR}}{v_{II}} \right)} \)

with the following coefficients

- \( k_1 \) according to table 1
- \( k_2, k_3, k_4 \) according to table 1
- \( k_5, k_7 \) according to table 1
- \( R_T/v^2 \) according to table 3
- \( k_6 \) according to table 1
- \( f \) according to table 2
- \( k_4 \) according to table 1
In formulae 4.1 to 4.7:

\[ v_L \] Speed in relation to the ground at the start of reversal (m/s)

\[ t_I \] Reversal time (s)

\[ v_{II} \] Speed in relation to the water at the end of reversal (m/s)

\[ D \] Displacement (m³)

\[ F_{POR} \] Bollard pull in reverse (kN)

\[ P_B \] Power of propulsion engine (kW)

\[ R_{TmII} \] Average resistance during phase II (kN)

\[ R_G \] Gradient resistance (kN)

\[ i \] Gradient in m/km (if missing to be taken as 0,16) (m/km)

\[ v_{STR} \] Average current velocity (m/s)

\[ g \] Acceleration due to gravity (9,81) (m/s²)

\[ \rho \] Density of water, \( \rho \) fresh water = 1000 (kg/m³)

\[ T \] Maximum draught (of vessel or convoy) (m)

\[ h \] Water depth (m)

\[ B \] Width (m)

\[ L \] Length (m)
The coefficients for the formulae (4.1), (4.2), (4.3), (4.4), (4.5), (4.6) and (4.7) can be taken from the tables below.

**Table 1: \( k \) factors for:**

a) MOTOR VESSELS and single file CONVOYS  
b) Two-abreast CONVOYS  
c) Three-abreast CONVOYS

<table>
<thead>
<tr>
<th></th>
<th>a)</th>
<th>b)</th>
<th>c)</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>( k_1  )</td>
<td>0,95</td>
<td>0,95</td>
<td>0,95</td>
<td>-</td>
</tr>
<tr>
<td>( k_2  )</td>
<td>0,115</td>
<td>0,120</td>
<td>0,125</td>
<td>( \frac{k_g \cdot s^2}{m^4} )</td>
</tr>
<tr>
<td>( k_3  )</td>
<td>1,20</td>
<td>1,15</td>
<td>1,10</td>
<td>-</td>
</tr>
<tr>
<td>( k_4  )</td>
<td>0,48</td>
<td>0,48</td>
<td>0,48</td>
<td>-</td>
</tr>
<tr>
<td>( k_6  )</td>
<td>0,90</td>
<td>0,85</td>
<td>0,80</td>
<td>-</td>
</tr>
<tr>
<td>( k_7  )</td>
<td>0,58</td>
<td>0,55</td>
<td>0,52</td>
<td>-</td>
</tr>
</tbody>
</table>

**Table 2: Coefficient \( f \) for ratio between bollard pull in reverse and the power of the propulsion engines**

<table>
<thead>
<tr>
<th>Propulsion system</th>
<th>( f )</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Modern nozzles with rounded rear edge</td>
<td>0,118</td>
<td>kN/kW</td>
</tr>
<tr>
<td>Old nozzles with sharp rear edge</td>
<td>0,112</td>
<td>kN/kW</td>
</tr>
<tr>
<td>Propellers without nozzle</td>
<td>0,096</td>
<td>kN/kW</td>
</tr>
<tr>
<td>Rudder propellers with nozzles (generally sharp rear edge)</td>
<td>0,157</td>
<td>kN/kW</td>
</tr>
<tr>
<td>Rudder propellers without nozzles</td>
<td>0,113</td>
<td>kN/kW</td>
</tr>
</tbody>
</table>
Table 3: Diagram concerning the calculation of resistance to determine the value of $R_T/v^2$ in relation to $D^{1/3} [B + 2T]$: 

![Diagram](image-url)
Appendix to Annex 2 to instruction ESI-II-3
Examples on the application of Annex 2
(Evaluation of the results of the stopping manoeuvre)

Example I

1. Data of vessels and convoy

Formation: ordinary motor vessel with a (Europa IIa) lighter coupled abreast

<table>
<thead>
<tr>
<th></th>
<th>L [m]</th>
<th>B [m]</th>
<th>( T_{\text{max}} ) [m]</th>
<th>( T_g^f \ast_{\text{max}} ) [t]</th>
<th>( D_{\text{max}} ) [m³]</th>
<th>( P_B ) [kW]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Motor vessel</td>
<td>110</td>
<td>11,4</td>
<td>3,5</td>
<td>2900</td>
<td>3731</td>
<td>1500</td>
</tr>
<tr>
<td>Lighter</td>
<td>76,5</td>
<td>11,4</td>
<td>3,7</td>
<td>2600</td>
<td>2743</td>
<td>-</td>
</tr>
<tr>
<td>Convoy</td>
<td>110</td>
<td>22,8</td>
<td>3,7</td>
<td>5500</td>
<td>6474</td>
<td>1500</td>
</tr>
</tbody>
</table>

Propulsion system of the motor vessel: modern nozzles with rounded rear edge

\[ T_g^f = \text{deadweight.} \]

2. Values measured during the stopping manoeuvre

Current velocity: \[ v_{\text{STR}_{\text{IST}}} = 1,4 \text{ m/s} \approx 5,1 \text{ km/h} \]

Speed of vessel (in relation to the water): \[ v_{\text{S}_{\text{IST}}} = 3,5 \text{ m/s} \approx 12,5 \text{ km/h} \]

Speed of vessel (in relation to the ground): \[ v_{\text{L}_{\text{IST}}} = 4,9 \text{ m/s} \approx 17,6 \text{ km/h} \]

Reversal time (measured) (point A to C): \[ t_I = 16 \text{ s} \]

Stopping distance in relation to the water (point A to D): \[ s_{\text{MESSUNG}} = 340 \text{ m} \]

Loading condition (possibly estimated): \[ D_{\text{IST}} = 5179 \text{ m}^3 \approx 0,8 \text{ } D_{\text{max}} \]

Actual draught of convoy: \[ T_{\text{IST}} = 2,96 \text{ m} \approx 0,8 \text{ } T_{\text{max}} \]
3. Limit value according to (2.1)(a) or (b) to be compared with $s_{\text{NORM}}$

Since $B > 11.45\text{ m}$ and since the convoy is in flowing water, the following is applicable for this convoy under (2.1)(a):

$$s_{\text{NORM}} \leq 550\text{ m}$$

4. Determination of corrected stopping distance compared to standard conditions

- **Measured** value according to Annex 1 (see (2)):

  $$s_{\text{MESSUNG}} = 340\text{ m}$$

- **to be calculated:**

  $s_{\text{IST}}$ as the sum of

  $s_{\text{I}}_{\text{IST}}$ (according to formula (4.1) of Annex 2 with $v_{\text{I}}_{\text{IST}}$)

  and $s_{\text{II}}_{\text{IST}}$ (according to formulae (4.2), (4.3), (4.4), (4.5) and (4.6) of Annex 2 with actual speeds $v_{\text{II}}_{\text{IST}}, v_{\text{STR}_{\text{IST}}}, D_{\text{IST}}$)

  $s_{\text{SOLL}}$ as the sum of

  $s_{\text{I}}_{\text{SOLL}}$ (according to formula (4.1) of Annex 2 with $v_{\text{L}}_{\text{SOLL}}$)

  and $s_{\text{II}}_{\text{SOLL}}$ (according to formulae (4.2) to (4.6) of Annex 2 with the reference speeds according to (2.1) of the instruction and given that the loading condition is greater than 70 % of the maximum load ($\approx 80\%$): $D_{\text{SOLL}} = D_{\text{IST}}$ and $T_{\text{SOLL}} = T_{\text{IST}}$

- **to be checked:**

  $$s_{\text{NORM}} = s_{\text{MESSUNG}} \cdot \frac{s_{\text{SOLL}}}{s_{\text{IST}}} \leq 550\text{ m}$$
4.1 Coefficients for the calculation taken from Annex 2

Table 1

for $s_{1IST}$ and $s_{1SOLL}$

$k_1 = 0.95$

for $s_{IIIST}$ and $s_{II SOLL}$

$k_2 = 0.12$
$k_3 = 1.15$
$k_4 = 0.48$
$k_5 = 0.85$
$k_7 = 0.55$

Table 2 (for modern nozzles with rounded rear edge)

$f = 0.118$

4.2 Calculation of $s_{IST}$

a) $s_{IST}$ with the values measured during the stopping manoeuvre (formula (4.1))

$s_{1IST} = k_1 \cdot v_{1IST} \cdot t_{1IST}$

$s_{1IST} = 0.95 \cdot 4.9 \cdot 16 = 74.5 \text{ m}$

b) Formula for $s_{IIIST}$

$s_{II IST} = k_2 \cdot v_{II IST}^2 \cdot D_{1IST} \cdot g \cdot f \cdot F_{POR} + R_{TmIIIST} - R_G \cdot \left( k_4 + \frac{v_{STRIST}}{v_{II IST}} \right)$

c) Calculation of $R_{TmIIIST}$ according to table 3 and formula (4.3) of Annex 2

$D_{1IST}^{1/3} = 5179^{1/3} = 17.3 \text{ [m]}$

$D_{1IST}^{1/3} \cdot (B + 2 \cdot T_{1IST}) = 17.3 \cdot (22.8 + 5.92) = 496.8 \text{ [m$^2$]}$

according to table 3

$\frac{R_T}{v^2} = 10.8 \left( \frac{\text{kN} \cdot \text{s}^2}{\text{m}^2} \right)$

$v_{1IST} - v_{STRIST} = 4.9 - 1.4 = 3.5 \text{ m/s}$

$R_{TmIIIST} = \frac{R_T}{v^2} \cdot \left( k_5 \cdot (v_{1IST} - v_{STRIST}) \right)^2 = 10.8 \cdot (0.55 \cdot 0.85 \cdot 3.5)^2 = 28.8 \text{ [kN]}$
d) Calculation of resistance to gradient \( r_c \) according to formula (4.4)

\[
r_c = 10^{-6} \cdot (0.16 \cdot D_{IST} \cdot \rho \cdot g) = 10^{-6} \cdot (0.16 \cdot 5179 \cdot 1000 \cdot 9.81) = 8.13 \text{ [kN]}
\]

e) Calculation of \( v_{II_{IST}} \) according to formula (4.5)

\[
v_{II_{IST}} = k_6 \cdot (v_{LIST} - v_{STR_{IST}}) = (0.85 \cdot 3.5) = 2.97 \text{ [m/s]}
\]

\[
v^2_{II_{IST}} = 8.85 \text{ [m/s]}^2
\]

f) Calculation of \( F_{POR} \) according to formula (4.6) and table 2

\[
F_{POR} = 0.118 \cdot 1500 = 177 \text{ [kN]}
\]

g) Calculation of \( s_{II_{IST}} \) using formula (b) and the results of (c), (d), (e) and (f)

\[
s_{II_{IST}} = \frac{0.12 \cdot 8.85 \cdot 9.81 \cdot (0.48 + \frac{1.4}{2.97})}{1.15 \cdot 177 + 28.8 - 8.13} \cdot 5179
\]

\[
s_{II_{IST}} = 228.9 \text{ m}
\]

h) Calculation of total distance according to formula (3.1)

\[
s_{I_{IST}} = 74.51 + 228.9 = 303.4 \text{ m}
\]

**Annotation**

*Note:* The term \( (R_{TmII} - R_G) \), which is a function of \( D \), with an actual value of 20.67 kN is obviously relatively small compared to \( k_3 \cdot F_{POR} \) with an actual value of 203.55 kN, so for simplification purposes, \( s_{II} \) can be taken as proportional to \( D \), i.e. \( s_{II} = \text{Const} \cdot D \).
4.3 Calculation of $s_{SOLL}$

Initial values:

\[ v_{STRSOLL} = 1.5 \text{ m/s} \approx 5.4 \text{ km/h} \quad D_{SOLL} = D_{IST} = 5179 \text{ m}^3 \]

\[ v_{SOLL} = 3.6 \text{ m/s} \approx 13 \text{ km/h} \quad T_{SOLL} = T_{IST} = 2.96 \text{ m} \]

\[ v_{tSOLL} = 5.1 \text{ m/s} \approx 18.4 \text{ km/h} \]

a) \[ s_{I SOLL} = k_1 \cdot v_{tSOLL} \cdot t \]

\[ s_{I SOLL} = 0.95 \cdot 5.1 \cdot 16 = 77.50 \text{ m} \]

b) \[ s_{II SOLL} = k_2 \cdot v_{II SOLL} \cdot \frac{D_{SOLL} \cdot g}{k_3 \cdot F_{POR} + R_{TmII SOLL} - R_G} \cdot \left( k_4 + \frac{v_{STRSOLL}}{v_{tSOLL}} \right) \]

c) Calculation of $R_{TmII SOLL}$

\[ \frac{R_T}{v^2} = 10.8 \left( \frac{kN \cdot m^2}{m^2} \right) \quad \text{as in (4.2), since } B, D \text{ and } T \text{ are unchanged} \]

\[ v_{tSOLL} - v_{STRSOLL} = 3.6 \text{ [m/s]} \]

\[ R_{TmII SOLL} = \frac{R_T}{v^2} \cdot \left( k_7 \cdot k_6 \cdot (v_{tSOLL} - v_{STRSOLL}) \right)^2 = 10.8 \cdot (0.55 \cdot 0.85 \cdot 3.6)^2 = 30.99 \text{ [kN]} \]

d) Resistance due to gradient $R_G$ as in (4.2)

e) Calculation of $v_{II SOLL}$

\[ v_{II SOLL} = k_6 \cdot (v_{tSOLL} - v_{STRSOLL}) = 0.85 \cdot 3.6 = 3.06 \text{ [m/s]}, \quad v_{II SOLL} = 9.36 \text{ [m/s]} \]

f) $F_{POR}$ comme sous 4.2.
g) Calculation of $s_{II\text{SOLL}}$ using formula (b) and the result from (c) to (f)

$$s_{II\text{SOLL}} = \frac{0,12 \cdot 9,36 \cdot 9,81 \cdot \left(0,48 + \frac{1,5}{3,06}\right)}{1,15 \cdot 177 + 30,99 - 8,13} \cdot 5179$$

$$= 0,0472 \cdot 5179 = 244,5 \text{ m}$$

$h)$ Calculation of total distance

$$s_{\text{SOLL}} = s_{I\text{SOLL}} + s_{II\text{SOLL}} = 77,5 + 244,5 = 322 \text{ m}$$

4.4 Verification of compliance with permissible stopping distance under standard conditions $s_{\text{NORM}}$

according to formula (2.1) of Annex 2

$$s_{\text{NORM}} = s_{\text{MESSUNG}} \cdot \frac{s_{\text{SOLL}}}{s_{\text{IST}}} = 340 \cdot \frac{322}{303,4} = 360,8 \text{ m} < 550 \text{ m}$$

Conclusion:

The permissible limit value is far from being reached, i.e.:
- admission to downstream navigation is possible without problems for the actual loading condition ($0,8 \cdot D_{\text{max}}$),
- a higher loading condition is possible and may be calculated according to (5) below.
5. Possible increase of $D_{IST}$ in downstream navigation

\[
(s_{NORM})_{\text{limite}} = s_{\text{MESSUNG}} \cdot \frac{(s_{SOLL})_{\text{limite}}}{s_{IST}} = 550 \text{ m}
\]

\[
(s_{SOLL})_{\text{limite}} = 550 \cdot \frac{s_{IST}}{s_{\text{MESSUNG}}} = \frac{550 \cdot 303.4}{340} = 490.8 \text{ m}
\]

Avec $s_{II_{SOLL}} = Const_{SOLL} \cdot D$ according to the note under (4.2):

\[
(s_{SOLL})_{\text{limite}} = (s_{SOLL} + s_{II_{SOLL}})_{\text{limite}} = s_{SOLL} + 0.0472 \cdot (D_{SOLL})_{\text{limite}}
\]

Hence

\[
(D_{SOLL})_{\text{limite}} = \frac{(s_{SOLL})_{\text{limite}} - s_{SOLL}}{0.0472} = \frac{490.8 - 77.5}{0.0472} = 8756 \text{ m}^3
\]

From this follows that:

Since $(D_{SOLL})_{\text{limite}} > D_{\text{max}} (8756 > 6474)$ this formation (see (1)) may be permitted in downstream navigation with full load.
Example II

1. Data of vessels and convoy

Formation: large motor vessel propelling
2 lighters side-by-side in front and
1 lighter coupled side-by-side.

<table>
<thead>
<tr>
<th></th>
<th>L [m]</th>
<th>B [m]</th>
<th>$T_{\text{max}}$ [m]</th>
<th>$T_{gf}^{\ast}$ max [l]</th>
<th>$D_{\text{max}}$ [m$^3$]</th>
<th>$P_{B}$ [kW]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Motor vessel</td>
<td>110</td>
<td>11,4</td>
<td>3,5</td>
<td>2900</td>
<td>3731</td>
<td>1500</td>
</tr>
<tr>
<td>Each lighter</td>
<td>76,5</td>
<td>11,4</td>
<td>3,7</td>
<td>2600</td>
<td>2743</td>
<td>-</td>
</tr>
<tr>
<td>Convoy</td>
<td>186,5</td>
<td>22,8</td>
<td>3,7</td>
<td>10700</td>
<td>11960</td>
<td>1500</td>
</tr>
</tbody>
</table>

Propulsion system of the self-propelled vessel: modern nozzles with rounded rear edge.

* $T_{gf}$ = deadweight

2. Values measured during the stopping manoeuvre

Current velocity: $v_{\text{STRIST}} = 1,4 \text{ m/s} \approx 5,1 \text{ km/h}$

Speed of vessel (in relation to the water): $v_{\text{SIST}} = 3,5 \text{ m/s} \approx 12,5 \text{ km/h}$

Speed of vessel (in relation to the bank): $v_{\text{LIST}} = 4,9 \text{ m/s} \approx 17,6 \text{ km/h}$

Reversal time (measured) (point $A \to C$): $t_{I} = 16 \text{ s}$

Stopping distance in relation to the water (point $A \to D$): $s_{\text{MESSUNG}} = 580 \text{ m}$

Loading condition (possibly estimated): $D_{\text{IST}} = 9568 \text{ m}^3 \approx 0,8 \ D_{\text{max}}$

Actual draught of convoy: $T_{\text{IST}} = 2,96 \text{ m} \approx 0,8 \ T_{\text{max}}$

3. Limit value according to (2.1)(a) or (b) of the instruction to be compared with $s_{\text{NORM}}$

Since $B > 11,45 \text{ m}$ and the convoy is in flowing water, the following applies for this convoy under (2.1)(a):

$s_{\text{NORM}} \leq 550 \text{ m}$
4. Determination of the corrected stopping distance compared with standard conditions

- **Measured value:**

\[ s_{\text{MESSUNG}} = 580 \text{ m} \]

- **calculations to be made:**

\[ s_{\text{IST}} \text{ as the sum of} \]

\[ s_{1\text{IST}} \] (according to formula (4.1) of Annex 2 with \( v_{\text{LIST}} \))

and \( s_{11\text{ST}} \) (according to formulae (4.2), (4.3), (4.4), (4.5) and (4.6) of Annex 2 with real speeds \( v_{\text{LIST}} \), (see under 2 above) and \( D_{\text{IST}} \)).

\[ s_{\text{SOLL}}: \text{sum} \ s_{\text{SOLL}} + s_{1\text{SOLL}} \] (according to formulae (4.1) to (4.6) of Annex 2 with reference speeds and in conformity of Annex 2, because the loading condition > 70 % of maximum, where \( D_{\text{SOLL}} = D_{\text{IST}} \) and \( T_{\text{SOLL}} = T_{\text{IST}} \))

- **to be verified:**

\[ s_{\text{NORM}} = s_{\text{MESSUNG}} \cdot \frac{s_{\text{SOLL}}}{s_{\text{IST}}} \leq 550 \text{ m otherwise} \]

- **calculate:**

\[ s_{\text{NORM}}^{*} = 550 \text{ m by reduction of } D_{\text{IST}} \text{ to } D^{*}. \]

4.1 Coefficients for the calculation according to Annex 2

Table 1

<table>
<thead>
<tr>
<th>Coefficient</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>( k_{1} )</td>
<td>0.95</td>
</tr>
<tr>
<td>( k_{2} )</td>
<td>0.12</td>
</tr>
<tr>
<td>( k_{3} )</td>
<td>1.15</td>
</tr>
<tr>
<td>( k_{4} )</td>
<td>0.48</td>
</tr>
<tr>
<td>( k_{5} )</td>
<td>0.85</td>
</tr>
<tr>
<td>( k_{6} )</td>
<td>0.55</td>
</tr>
</tbody>
</table>

Table 2 (for modern nozzles with rounded rear edge)

\[ f = 0.118 \]
4.2 Calculation of $s_{IST}$

a) $s_{II\text{IST}}$ Using the values measured during the stopping manoeuvres

\[
s_{II\text{IST}} = k_1 \cdot v_{I\text{IST}} \cdot t_{II\text{IST}}
\]

\[
s_{II\text{IST}} = 0.95 \cdot 4.8 \cdot 16 = 73 \text{ m}
\]

b) formula for $s_{II\text{IST}}$

\[
s_{II\text{IST}} = k_2 \cdot v^2_{II\text{IST}} \cdot \frac{D_{IST} \cdot g}{k_3 \cdot F_{POR} + R_{TMII\text{IST}} - R_G \cdot \left(k_4 + \frac{v_{STRIST}}{v_{II\text{IST}}}\right)}
\]

c) Calculation of $R_{TMII\text{IST}}$ according to table 3 and formula (4.3) of Annex 2

\[
D_{IST}^{1/3} = 9568^{1/3} = 21.2 \text{ [m]}
\]

\[
D_{IST}^{1/3} \cdot (B + 2 \cdot T_{IST}) = 21.2 \cdot (22.8 + 5.92) = 609 \text{ [m$^2$]}
\]

From table 3

\[
\frac{R_T}{v^2} = 14 \left(\frac{\text{kn$^2$}}{\text{m}^2}\right)
\]

\[
v_{L\text{IST}} - v_{STRIST} = 4.8 - 1.4 = 3.4 \text{ m/s}
\]

\[
R_{TMII\text{IST}} = \frac{R_T}{v^2} \cdot \left(k_2 \cdot k_6 \cdot (v_{L\text{IST}} - v_{STRIST})\right)^2 = 14 \cdot (0.55 \cdot 0.85 \cdot 3.4)^2 = 35.4 \text{ [kN]}
\]

d) Calculation of resistance due to gradient $R_g$ according to formula (4.4) of Annex 2

\[
R_g = 10^{-6} \cdot (0.16 \cdot D_{IST} \cdot \rho \cdot g) = 10^{-6} \cdot (0.16 \cdot 9568 \cdot 1000 \cdot 9.81) = 15.02 \text{ [kN]}
\]

e) Calculation of $v_{II\text{IST}}$ according to formula (4.5) of Annex 2

\[
v_{II\text{IST}} = k_6 \cdot \left(v_{L\text{IST}} - v_{STRIST}\right) = 2.89 \text{ [m/s]}
\]

\[
v^2_{II\text{IST}} = 8.35 \text{ [m/s$^2$]}
\]
f) Calculation of $F_{POR}$ according to formula (4.6) and table 2

$$F_{POR} = 0,118 \cdot 1500 = 177 \, [kN]$$

g) Calculation of $s_{IIST}$ using formula (b) and the result of (c), (d), (e) and (f)

$$s_{IIST} = \frac{0,12 \cdot 8,35 \cdot 9,81 \cdot \left(0,48 + \frac{1,4}{2,89}\right)}{1,15 \cdot 177 + 35,4 - 15,02} \cdot 9568$$

$$s_{IIST} = 402 \, m$$

h) Calculation of the total distance according to formula (3.1)

$$s_{IST} = 73 + 402 = 475 \, m$$

4.3 Calculation of $s_{SOLL}$

Initial values:

- $v_{STRSOLL} = 1,5 \, m/s \approx 5,4 \, km/h$
- $D_{SOLL} = D_{IST} = 9568 \, m^3$
- $v_{SOLL} = 3,6 \, m/s \approx 13 \, km/h$
- $T_{SOLL} = T_{IST} = 2,96 \, m$
- $v_{tSOLL} = 5,1 \, m/s \approx 18,4 \, km/h$

a) $s_{ISOLL} = k_1 \cdot v_{SOLL} \cdot t_i$

$$s_{ISOLL} = 0,95 \cdot 5,1 \cdot 16 = 77,50 \, m$$

b) $s_{II SOLL} = k_2 \cdot v^{2}_{II SOLL} \cdot \frac{D_{SOLL} \cdot \rho}{E_5 \cdot F_{POR} + R_{TM}t_{II SOLL} - R_G} \cdot \left(k_4 + \frac{v^{STRSOLL}}{v_{II SOLL}}\right)$
c) Calculation of $R_{T_{mII_{SOLL}}}$

\[
\frac{R_T}{v^2} = 14,0 \left( \frac{kN \cdot s^2}{m^2} \right) \quad \text{as under (4.2) since } B, D \text{ and } T \text{ and unchanged}
\]

\[
v_{l_{SOLL}} = v_{STR_{SOLL}} = 3,6 [m/s]
\]

\[
R_{T_{mII_{SOLL}}} = 14,0 \cdot (0,55 \cdot 0,85 \cdot 3,6)^2 = 39,6 [kN]
\]

d) Resistance due to gradient $R_g$ as under (4.2)

e) Calculation of $v_{II_{SOLL}}$

\[
v_{II_{SOLL}} = 0,85 \cdot 3,6 = 3,06 [m/s], \quad v^2_{II_{SOLL}} = 9,36 [m/s]^2
\]

f) $F_{POR}$ as under (4.2)

g) Calculation of $s_{II_{SOLL}}$ using formula (b) and the result of (c) to (f)

\[
s_{II_{SOLL}} = 0,12 \cdot 9,36 \cdot 9,81 \cdot \left( 0,48 + \frac{1,5}{3,06} \right) \cdot 9568
\]

\[
= 0,04684 \cdot 9568 = 448 m
\]

\[\text{Const}_{SOLL}\]

h) Calculation of the total distance

\[
s_{SOLL} = s_{I_{SOLL}} + s_{II_{SOLL}} = 77,5 + 448 = 525,5 m
\]

4.4 Verification of compliance with permissible stopping distance under standard conditions $s_{NORM}$

according to formula (2.1) of Annex 2

\[
s_{NORM} = s_{MESSUNG} \cdot \frac{s_{SOLL}}{s_{IST}} = 580 \cdot \frac{525,5}{475} = 641 m > 550 m
\]
Conclusion:

The limit value has clearly been exceeded; admission to downstream navigation is possible only with a load restriction. This restricted load can be determined in conformity with (5) below.

5. $D^*$ permissible in downstream navigation

according to formula (2.1) of Annex 2

$$s_{NORM} = s_{MESSUNG} \cdot \frac{s_{SOLL}}{s_{IST}} = 550 \text{ m}$$

Therefore:

$$s_{SOLL} = 550 \cdot \frac{s_{IST}}{s_{MESSUNG}} = s_{ISOLL} + s_{II SOLL}$$

$$s_{II SOLL} = Const_{SOLL} \cdot D^* = 0.04684 \cdot D^*$$

$$D^* = \frac{550 \cdot 475}{0.04684} - 77.5 = 7950 [m^3]$$

Consequence:

Since in downstream navigation the permissible displacement $D^*$ is only 7950 m$^3$ (approximately)

$$\frac{zul. \ Tgf}{max. \ Tgf} = \frac{D^*}{D_{max}} = \frac{7950}{11960} = 0.66$$

The permissible deadweight in this formation is (see (1)):

$$0.66 \cdot 10700 = 7112 \text{ t}$$
1. General conditions and boundary conditions relating to the evasive action test

1.1 According to Article 5.09, vessels and convoys shall be able to take evasive action in good time and the capacity for such action shall be proved by evasive action manoeuvres in the test area in accordance with Article 5.03. This shall be proved by simulated evasive action manoeuvres to port and starboard with prescribed values whereby for specific turning speeds of the vessel in response to putting across and then checking the helm a certain time limit shall be complied with.

During tests the requirements of Section 2 shall be complied with keeping a keel clearance of at least 20% of the draught, but not less than 0.50 m.

2. Evasive action test procedure and recording of data
(Diagram in Annex 1)

2.1 Evasive action manoeuvres shall be performed as follows:

With the vessel or convoy under way at a constant speed of \( V_0 = 13 \text{ km/h} \) in relation to the water, at the start of the manoeuvre (time \( t_0 = 0 \text{ s} \), turning speed \( r = 0^\circ/\text{min} \), rudder angle \( \delta_0 = 0^\circ \), engine speed kept constant), evasive action to port or starboard is to be initiated by putting across the helm. The rudder shall be set to an angle \( \delta \), or the steering unit to an angle \( \delta_a \) in the case of an active steering device, at the start of the manoeuvre, in accordance with the indications given in (2.3). The rudder angle \( \delta \) (e.g. 20° to starboard) shall be maintained until the value \( r_1 \) of the turning speed referred to in (2.2) for the corresponding dimensions of the vessel or convoy is reached. When the turning speed \( r_1 \) is reached, the time \( t_1 \) shall be recorded and the rudder set to the same angle on the opposite side (e.g. 20° to port) so as to stop the turn and commence turning in the opposite direction, i.e., to reduce the turning speed to \( r_2 = 0 \) and let it to rise again to the value given in (2.2). When the turning speed \( r_2 = 0 \) is reached, the time \( t_2 \) shall be recorded. When the turning speed \( r_3 \) given in (2.2) is reached, the rudder shall be set in the opposite direction to the same angle \( \delta \), so as to stop the turning movement. The time \( t_3 \) shall be recorded. When the turning speed \( r_4 = 0 \) is reached, the time \( t_4 \) shall be recorded and the vessel or convoy shall be returned to its original course.
2.2 The following limit values shall be complied with to reach turning speed \( r_4 \) depending on the dimensions of the vessels or the convoys and on the water depth \( h \):

<table>
<thead>
<tr>
<th>Dimensions of vessels or convoys ( L \times B )</th>
<th>Required turning speed ( r_1 = r_3 ) [°/min]</th>
<th>Limit values for the time ( t_4 ) (s) in shallow and deep water</th>
</tr>
</thead>
<tbody>
<tr>
<td>All motor vessels; single-in-line convoys ( \leq 110 \times 11.45 )</td>
<td>( \delta = 20^\circ ) ( 20^\circ/\text{min} )</td>
<td>( 1,4 &lt; h/T \leq 2 ) ( 110 \text{ s} )</td>
</tr>
<tr>
<td>Single-in-line convoys up to ( 193 \times 11.45 ) or two-abreast convoys up to ( 110 \times 22.90 )</td>
<td>( \delta = 45^\circ ) ( 18^\circ/\text{min} )</td>
<td>( 1.2 \leq h/T \leq 1.4 ) ( 150 \text{ s} )</td>
</tr>
<tr>
<td>Two-abreast convoys ( \leq 193 \times 22.90 )</td>
<td>( 8^\circ/\text{min} )</td>
<td>( h/T &gt; 2 ) ( 110 \text{ s} )</td>
</tr>
<tr>
<td>Two-abreast convoys up to ( 270 \times 22.90 ) or three-abreast convoys up to ( 193 \times 34.35 )</td>
<td>( 6^\circ/\text{min} ) ( 12^\circ/\text{min} )</td>
<td>( *) ) ( *) ) ( *) )</td>
</tr>
</tbody>
</table>

*) In accordance with the decision of the nautical expert.

The times \( t_1, t_2, t_3 \) and \( t_4 \) required to reach turning speeds \( r_1, r_2, r_3 \) and \( r_4 \) shall be recorded in the measurements report in Annex 2. The \( t_4 \) values shall not exceed the limits given in the table.

2.3 At least four evasive action manoeuvres shall be carried out, namely:
- one to starboard with a rudder angle \( \delta = 20^\circ \)
- one to port with a rudder angle \( \delta = 20^\circ \)
- one to starboard with a rudder angle \( \delta = 45^\circ \)
- one to port with a rudder angle \( \delta = 45^\circ \).

If necessary (e.g. in the case of uncertainty about the values measured or of unsatisfactory manoeuvres), the evasive action manoeuvres shall be repeated. The turning speeds given in (2.2) and the time limits shall be complied with. For active steering devices or special types of rudder, a position \( \delta_n \) of the steering unit or rudder angle \( \delta_n \) other than \( \delta = 20^\circ \) and \( \delta = 45^\circ \) may be selected, according to the expert’s assessment, depending on the type of steering system.

2.4 In order to determine the turning speed, a rate-of-turn indicator in accordance with Article 7.06(1) shall be on board.

2.5 In accordance with Article 5.04, the loading condition during the evasive action manoeuvre shall be between 70 % and 100 % of the maximum deadweight. If the test is carried out with a smaller load, approval for downstream and upstream navigation shall be restricted to that load limit.

The procedure for evasive action manoeuvres and the terms used are shown in a diagram in Annex 1.

3. Turning capacity

The turning capacity of vessels and convoys whose length \( (L) \) does not exceed 86 m and width \( (B) \) does not exceed 22.90 m shall be considered sufficient under Article 5.10, in conjunction with Article 5.02(1) when during an upstream turning manoeuvre with an initial speed in relation to the water of 13 km/h, the limit values for stopping facing downstream established in instruction ESI-II-3 are complied with. The keel clearance conditions according to Section 1.1 shall be complied with.
4. Other requirements

4.1 Notwithstanding (1) to (3), the following requirements shall be met:
   a) for manually controlled steering systems, a single turn of the wheel shall correspond to a rudder angle of at least 3°;
   b) for powered steering systems, when the rudder is at maximum immersion, it shall be possible to achieve an average angular velocity of 4°/s over the rudder’s entire turning range.

   This requirement shall also be checked, with the vessel at full speed, for moving the rudder over a range from 35° port to 35° starboard. In addition, it shall be checked whether the rudder keeps the position of maximum angle at maximum propulsion power. For active steering systems or special types of rudder, this provision applies mutatis mutandis.

4.2 If any of the additional equipment referred to in Article 5.05 is needed in order to reach the required manoeuvring capacities, it shall comply with the requirements of chapter 6, and the following particulars shall be entered under item 52 of the inland navigation vessel certificate:

   ’Flanking rudders’/ bow steering systems’/ other equipment’ referred to under item 34 is’/are’ necessary to comply with the manoeuvrability requirements of chapter 5.’

5. Recording of data and reports

   The measurements, reports and recording of data shall be carried out according to the procedure set out in Annex 2.

1) Delete as appropriate
Annex 1 to instruction ESI-II-4

Diagram of the evasive action manoeuvre

$t_0$ = Start of evasive action manoeuvre
$t_1$ = Time to reach turning speed $r_1$
$t_2$ = Time to reach turning speed $r_2 = 0$
$t_3$ = Time to reach turning speed $r_3$
$t_4$ = Time to reach turning speed $r_4 = 0$ (end of evasive action manoeuvre).

$\delta$ = Rudder angle [°]

$r$ = Turning speed [°/min]
Annex 2 to instruction ESI-II-4

Report on evasive action manoeuvre and turning capacity

Inspection body: .................................................................

Date: .................................................................................

Name: ................................................................................

Name of craft: ........................................................................

Owner: ..................................................................................

Type of craft or convoy: ....................................................

Test area: ...........................................................................

L x B [m x m]: .................................................................

Depth of water h [m]: .....................................................

T during test [m]: ..............................................................

h/T : .................................................................................

Speed of the current [m/s] ..........................................

Load (during test) [t]: .......................................................

% of maximum deadweight: ...........................................

Rate-of turn indicator

Type: ..................................................................................

Type of rudder construction: normal construction/special construction *)

Active steering system: yes/no *)

Results of evasive action manoeuvres:

<table>
<thead>
<tr>
<th>Time $t_1$ to $t_4$ required for the evasive action</th>
<th>Rudder angle $\delta$ or $\delta_a$ *) at which evasive action commences $r_1 = r_3$</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>$t_1$ [s]</td>
<td>$\delta = 20^\circ$ TB*) $\delta_a = ....$ TB*) $r_1 = r_3 = ....$ °/min</td>
<td></td>
</tr>
<tr>
<td>$t_2$ [s]</td>
<td>$\delta = 20^\circ$ BB*) $\delta_a = ....$ BB*) $r_1 = r_3 = ....$ °/min</td>
<td></td>
</tr>
<tr>
<td>$t_3$ [s]</td>
<td>$\delta = 45^\circ$ TB*) $\delta_a = ....$ TB*) $r_1 = r_3 = ....$ °/min</td>
<td></td>
</tr>
<tr>
<td>$t_4$ [s]</td>
<td>$\delta = 45^\circ$ BB*) $\delta_a = ....$ BB*) $r_1 = r_3 = ....$ °/min</td>
<td></td>
</tr>
</tbody>
</table>

Limit value $t_4$ according to 2.2

Turning capacity *)

Geographic position at start of turning manoeuvre:  p.k. ..............................

Geographic position at end of turning manoeuvre:  p.k. ..............................

Steering apparatus

Type of operation: manual/powered*):

Rudder angle for each turn of the wheel*): ........................................... °

Angular velocity of the rudder over the whole range*): ........................... °/s

Angular velocity of the rudder over the range 35° Port to 35° Starboard *): ........................... °/s

*) Delete as appropriate
1. General

In order to check the maximum sound pressure levels given in the Standard, measured values, measurement procedures and conditions for the quantitative, reproducible recording of sound pressure levels in accordance with (2) and (3) shall be established.

2. Measuring instruments

The measuring instrument shall meet the requirements of class 1 according to European Standard EN 61672-1:2003.

Before and after each set of measurements, a class 1 calibrator according to European Standard EN 60942:2003 shall be placed on the microphone in order to calibrate the measurement system. The compliance of the calibrator with the requirements of European Standard EN 60942:2003 shall be checked once per year. The compliance of the measuring equipment with the requirements of EN 61672-1:2003 shall be checked every two years.

3. Noise measurements

3.1 On board craft

Measurements shall be carried out in accordance with International Standard ISO 2923:2003 Sections 5 to 8 measuring only A-weighted sound pressure levels.

3.2 Air noise emitted from craft

Noise emissions from craft on inland waterways and in ports are determined by means of measurements in accordance with European Standard EN ISO 22922:2013, Sections 7 to 11. Doors and windows of engine rooms shall be closed during measurements.

4. Documentation

Measurements shall be recorded according to the ‘Noise Measurement Report’ (Annex).
Noise Measurement Report
- on board craft in accordance with International Standard ISO 2923 : 2003
- air noise emitted from craft in accordance with European Standard EN ISO2922 : 2013

A  Craft data
1. Craft type and name: ...........................................................................................................
   Unique European vessel identification number: .................................................................
2. Owner: ...............................................................................................................................
................................................................................................................................................

3. Main propulsion system
3.1 Main engines

<table>
<thead>
<tr>
<th>Number</th>
<th>Manufacturer</th>
<th>Type</th>
<th>Year of construction</th>
<th>Power (kW)</th>
<th>Engine speed (min⁻¹)</th>
<th>Two-stroke/four-stroke</th>
<th>Turbocharged yes/no</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3.2 Transmission
Manufacturer: ................. Type: ...................... Gear reduction: 1 ................

3.3 Propellers
Number: ........ Number of blades: ....... Diameter: ........... mm Nozzle: yes/no )

3.4 Steering system
Type: .................................................................

4. Auxiliaries:

<table>
<thead>
<tr>
<th>Number</th>
<th>Propulsion of</th>
<th>Manufacturer</th>
<th>Type</th>
<th>Year of construction</th>
<th>Power (kW)</th>
<th>Engine speed (min⁻¹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

5. Noise reduction measures implemented: ........................................................................
................................................................................................................................................
................................................................................................................................................

6. Observations: ...........................................................................................................
................................................................................................................................................
................................................................................................................................................

) Delete as appropriate
B Measuring instruments used
1. Sound pressure level meter
   Manufacturer: ............................................ Type: .............. Latest check: ..............
2. Octave/Third octave band analyser
   Manufacturer: ............................................ Type: .............. Latest check: ..............
3. Calibrator
   Manufacturer: ............................................ Type: .............. Latest check: ..............
4. Accessories
   .............................
5. Observations: .................................................................
   ............................

C Measurement conditions — craft
1. Formation during the measurements: ........................................
2. Load/displacement: ........................................ t/m³ \(^*\) (approximately ... % of maximum value)
3. Speed of main engine: ........................................ min \(^*\) (approximately ... % of maximum value)
4. Auxiliaries in service No ........................................
5. Observations: .................................................................
   ............................

D Measurement conditions — surroundings
1. Area of measurement: ........................................ Upstream/downstream \(^1\)
2. Water depth: ........................................ m (Relevant water level ............ = ............ m)
4. External noise interference: yes/no \(^1\), if yes, specify: ........................................
5. Observations: .................................................................
   ............................

E Recording of measurement
1. Measurement carried out by: ........................................
2. Date: ...............
3. Observations: .................................................................
   ............................
4. Signature: ........................................

\(^1\) Delete as appropriate
### F.1 Measurement results

**Noise measurements on board craft**

<table>
<thead>
<tr>
<th>Number</th>
<th>Measurement point</th>
<th>Doors open</th>
<th>Doors closed</th>
<th>Windows open</th>
<th>Windows closed</th>
<th>Measured value in dB(A)</th>
<th>Observations</th>
</tr>
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</tbody>
</table>

### F.2 Measurement results

**Measurement of air noise emitted from craft:**

<table>
<thead>
<tr>
<th>Number</th>
<th>Measurement point</th>
<th>Measured values in dB(A)</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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ES-TRIN

ESI-II-6

APPROPRIATE AUXILIARY MEANS FOR OBSERVING THE AREA OF OBLICTED VISION

(Article 7.02)

1. Introduction

For a number of reasons, whether as a result of the vessel's construction or the cargo, unobstructed all-round vision from the wheelhouse is unavoidably restricted to a greater or lesser degree. The restrictions affect sectors in the horizontal plane (angle of azimuth between 0 and 360° relative to the forward axis of the vessel and in the vertical plane (angle of elevation between -90 and + 90°, relative to the horizontal plane at the eye level of the helmsman).

Depending on whether people are embarking or disembarking, whether the vessel is docking or casting off, whether it is manoeuvring or underway, the helmsman needs to be able to see different sectors of his field of vision. For example, before casting off it is important to be able to check whether there is anyone remaining on the side deck or whether the area immediately abaft the stern is clear. While underway a higher priority is accorded to being able to see the area in front of the vessel owing to the rapidly changing position of one's own vessel in forward motion.

Technical auxiliary means afford an indirect view of sectors that cannot be seen directly. Although many of their capabilities surpass those of the human eye, they are not a complete substitute for a direct view. Nevertheless, they are sometimes also used as a supplement in sectors that can be seen directly.

Concerning the required information on areas of obstructed vision, it is necessary to differentiate whether the information of interest is purely the presence of an object, its visual characteristics (silhouette, colour) or its identity, or whether it is important from a navigational perspective to determine an object's range, course and speed. This question influences the selection of the type of technical auxiliary means.

Given the lower purchase and installation cost, superior performance, versatility and customisation potential of video systems compared with periscopes, periscopes are eliminated as technical auxiliary means.

2. Overview of appropriate auxiliary means

The following auxiliary means are fundamentally suited to observing areas of obstructed vision:
- mirrors,
- video systems and
- radar systems.

Auxiliary means that satisfy Part 4 of this administrative instruction are suitable for observing areas of obstructed vision provided they comply with the specific conditions of use. The inspection commission will only permit other auxiliary means if it is of the view that they provide a comparable degree of safety.
3. **Characteristics of technical auxiliary means**

3.1 **Characteristics of mirrors**

In principle, mirrors are sensor and display system in one. They reflect the incident light according to the law: “angle of incidence = angle of reflection” and afford indirect observation of the desired sector by deflecting the helmsman’s field of view. They are used mainly for viewing side deck areas.

With plane mirrors the angle at the centre of the field of view remains unchanged, convex mirrors increase it. Mirrors do not work in the dark and may dazzle in direct sunlight.

Generally speaking the mirrors used for inland navigation are mass produced products designed for buses and HGVs, the characteristics of which are suitable for navigation purposes. Under ideal conditions (high quality, no fouling) the resolution of an image provided by a mirror is limited by the resolution of the eye of the observer.

3.2 **Characteristics of video systems**

With a high repetition rate, video systems provide a current image of the environment such as an observer would see were he to be where the camera is located. They comprise a video camera as the image sensor and a raster screen for displaying the recorded image (video monitor).

A simple electrical connection suffices for transmitting the signal between the camera and monitor. The power supply can also be provided via the signal cable.

Cameras can operate with either a fixed or variable focal length (zoom) and be installed either in a fixed position or on a swivelling and tilting head.

The images obtained by the (single lens) cameras are captured and displayed centrally on screens in the same way as perceived by the human eye. A major weakness of the central perspective is that it does not enable the range of the images displayed to be determined. This effect is very apparent with images captured using telephoto lenses (long focal length).

That is why the viewing direction and field of observation have to be well matched with the required use.

Video systems depend on the presence of low ambient brightness. Strong reflections on the water surface and direct sunlight can render the image unusable.

The technical characteristics of the monitor (image size, resolution, brightness) depend on the required use.

The resolution of the image is determined by the number of pixels of the image sensor in the camera and the number of pixels (and bandwidth of the video signal) of the monitor. Even a good commercial video system does not quite match the maximum resolution of the human eye.
3.3 Characteristics of radar systems

Radar systems feature a sensor (revolving antenna with transmitter and receiver) and a display device. The sensor uses a horizontally rotating antenna to “illuminate” narrow radial sectors with microwave pulses, captures the echoes returned by reflecting objects then displays them on a screen indicating range and bearing. This creates a scaled image of the environment relative to the vessel’s heading. This image enables objects at a range of 15 m and more to be determined with a resolution of approximately 5 m and their bearing to within around 0.5 °.

As radar impulses operate with their own transmission impulses, unlike the other technical auxiliary means mentioned above, they do not depend on the existence of ambient brightness.

However, they can only detect and determine the position of reflecting objects and generate an image of the environment resembling a map, faithfully depicting bearing and range. Radar systems are also unable to identify and depict object details which would make identification possible.

4. Appropriate auxiliary means for observing the area of obstructed vision

4.1 Mirrors

1. Shape
   The shape of the mirror depends on the shape of the area to be observed. Rectangular mirrors lend themselves to observing side decks.

2. Size
   The mirror’s surface area depends on the width of the sector one wishes to observe and the distance between the helmsman and the mirror.

3. Quality
   Tried and tested mass produced products such as those for road vehicles (HGVs, buses) are to be used.

4. Curvature
   Plane mirrors are to be used, not curved ones. When deemed useful, slightly curved mirrors may also be used.

5. Mounting bracket
   The mounting bracket must ensure the mirror remains in a permanent and rigid position (free of vibration).

6. Protection against rain
   The mirror is to be fitted such that it is protected against rain.

7. Frost protection
   The build-up of hoar frost on the mirror is to be prevented.

8. Installation position
   It must be possible for the helmsman to use the mirror without leaving his post; it must be sufficient for him to glance at or turn his head towards the mirror. That is why the upper edges of the outer bulkheads (and outer doors as well) of the wheelhouse are well suited as attachment points. The helmsman must have an unobstructed view of the mirror.

9. Adjustment
   The direction of the parts of the vessel depicted (edges, walkways) must correspond to reality as closely as possible.
4.2 Video systems

4.2.1 Cameras

1. Camera type
   Raster scan colour video camera with automatic black and white switching, image format, e.g. 4:3 ("landscape"), appropriate to the monitor being used.

2. Resolution
   Equally good resolution in both the horizontal and vertical axes, preferably a minimum of 576 pixels on the narrow edge of the image, square pixels.

3. Light sensitivity
   0.6 Lux in colour mode, 0.1 Lux in black and white mode (in accordance with standard EN 61146-1 with the corresponding lens without image integration).

4. Image repetition frequency
   Image repetition frequency of 25 images/s or more.

5. Viewing angle
   The camera viewing angle is determined by selecting the appropriate focal length. To avoid irritating the user further with an unnatural perspective, it is recommended that the viewing angle be aligned with that of the human eye (approx. 30° to 45°). The horizontal viewing angle should not therefore be less than 30°.

6. Zoom lenses and slewing mechanisms
   When using slewing and zoom cameras to assist forward vision there should be a basic setting for optimal focal length and forward pointing orientation that can be achieved at the press of a button.

7. Camera position
   The camera position depends on the zone to be observed.

8. Camera mounting
   The mounting bracket must ensure the camera remains in a permanent and rigid position (free of vibration). The mounting bracket can also comprise a protective heated enclosure.

4.2.2 Monitors

1. Monitor type
   Raster screen (preferably TFT flat screen), minimum 30 cm screen diagonal.

2. Monitor location
   a) All monitors displaying images from cameras predominantly pointing forward must be within the helmsman’s field of vision so that he can see them without excessive movement of the head. They must be sited laterally to coincide with the cameras (port, amidships, starboard).

   b) Monitors corresponding to cameras pointed aft can also, for example, be located in a second bank, centrally and on the correct side, below or above the aforementioned monitors. The image display is then consistent with that of the mirrors. If these images are only required when docking or casting off it is useful to locate these monitors on the after wheelhouse bulkhead as the helmsman is in any event looking aft or turning around when performing these manoeuvres. The images then no longer correspond to the mirror image.

3. Use of several monitors
   The use of a single monitor to display a number of camera images (either simultaneously by splitting the screen into two or more zones or sequentially switching to the next camera) is not appropriate when looking forward.

4. Resolution
   A minimum of 800 x 600 pixels.
5. Brightness
Minimum brightness: FG ≤ 15 cd/m²; BG ≤ 5 cd/m². Maximum brightness VG ≥ 5000 cd/m² (FG = foreground; BG = background).

4.3 Radar systems
1. Radar systems
In addition to the minimum requirements and test conditions for navigational radar installations in inland navigation (ES-TRIN, Annex 5, Section I) the radar system must comply with the following requirements.
2. Antenna length
Minimum 1.80 m.
3. Near-field resolution
≤ 15 m.
4. Radial resolution
Pulse duration ≤ 5 m; pulse separation ≤ 15 m.
5. Azimuth resolution
≤ 1.2 °.
6. Antenna height
The antenna height depends on the vessel’s height and cargo. The antenna must be located at least 3 m above deck height to prevent accidents caused by rotation of the antenna.
7. Monitor type
A TFT flat panel monitor in portrait format must be used.
8. Image dimensions
The length of the shortest side of the screen must be a minimum of 270 mm.
9. Resolution
The resolution of the monitor must be identical in both directions and the pixels must be square. The narrow side must feature at least 1024 pixels (typically 1024 x 1280 pixels).
10. Brightness
Minimum brightness: FG ≤ 15 cd/m²; BG ≤ 5 cd/m².
11. Monitor location/operation
The radar screen and its controls must be installed in accordance with the requirements for installation and performance tests for navigational radar installations and rate-of-turn indicators in inland navigation (ES-TRIN, Annex 5, Section III, Article 5).
ESI-II-7
USED OIL COLLECTION FACILITIES

(Article 8.09)

Existing vessels referred to in article 32.02(1), whose permanently installed bilge pumping and drainage systems and static oil separators have been removed from their engine rooms, no longer comply with article 5.07 of the RVIR in force as at 31.12.1994.

In accordance with the transitional provisions, these vessels must be equipped with a used-oil collection tank referred to in article 8.09(2), with the exception of those cases referred to in article 8.09(3).
ESI-II-8
(LEFT VOID)
### Section 1

#### Authorised special anchors

Special anchors with a reduced mass, authorised by competent authorities according to Article 13.01(5) are listed in the following table.

<table>
<thead>
<tr>
<th>Anchor nr.</th>
<th>Accepted reduction of the anchormass (%)</th>
<th>Competent Authority</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. HA-DU</td>
<td>30 %</td>
<td>Germany</td>
</tr>
<tr>
<td>2. D'Hone Spezial</td>
<td>30 %</td>
<td>Germany</td>
</tr>
<tr>
<td>3. Pool 1 (hol)</td>
<td>35 %</td>
<td>Germany</td>
</tr>
<tr>
<td>4. Pool 2 (massief)</td>
<td>40 %</td>
<td>Germany</td>
</tr>
<tr>
<td>5. De Biesbosch-Danforth</td>
<td>50 %</td>
<td>Germany</td>
</tr>
<tr>
<td>6. Vicinay-Danforth</td>
<td>50 %</td>
<td>France</td>
</tr>
<tr>
<td>7. Vicinay AC 14</td>
<td>25 %</td>
<td>France</td>
</tr>
<tr>
<td>8. Vicinay Typ 1</td>
<td>45 %</td>
<td>France</td>
</tr>
<tr>
<td>9. Vicinay Typ 2</td>
<td>45 %</td>
<td>France</td>
</tr>
<tr>
<td>10. Vicinay Typ 3</td>
<td>40 %</td>
<td>France</td>
</tr>
<tr>
<td>11. Stockes</td>
<td>35 %</td>
<td>France</td>
</tr>
<tr>
<td>12. D'Hone-Danforth</td>
<td>50 %</td>
<td>Germany</td>
</tr>
<tr>
<td>13. Schmitt high holding anchor</td>
<td>40 %</td>
<td>Netherlands</td>
</tr>
<tr>
<td>14. SHI high holding anchor, type ST (standard)</td>
<td>30 %</td>
<td>Netherlands</td>
</tr>
<tr>
<td>15. SHI high holding anchor, type FB (fully balanced)</td>
<td>30 %</td>
<td>Netherlands</td>
</tr>
<tr>
<td>16. Klinsmann anchor</td>
<td>30 %</td>
<td>Netherlands</td>
</tr>
<tr>
<td>17. HA-DU-POWER anchor</td>
<td>50 %</td>
<td>Germany</td>
</tr>
</tbody>
</table>
Section 2

Authorisation and test procedure of special anchors with reduced mass

Reduction of the anchor mass values determined in accordance with Article 13.01(1) to (4)

1. **Chapter 1 — Authorisation procedure**

1.1 Special anchors with reduced mass in accordance with Article 13.01(5) shall be authorised by the competent authorities. The competent authority determines the authorised reduction of anchor mass for special anchors in accordance with the procedure outlined below.

1.2 Authorisation as special anchor is only possible if the reduction of anchor mass established is at least 15%.

1.3 Applications for the authorisation of a special anchor in accordance with (1.1) shall be submitted to the competent authority of a Member State. Ten copies of the following documents shall be forwarded with each application:
   a) an outline of the dimensions and mass of the special anchor, giving the main dimensions and type designation for each available anchor size;
   b) a braking force diagram for the reference anchor $A$ (in accordance with (2.2)) and the special anchor $B$ to be authorised which has been prepared and assessed by an institution designated by the competent authority.

1.4 The competent authority notifies CESNI of any applications to reduce anchor mass which it considers to authorise after testing.

2. **Chapter 2 — Test Procedure**

2.1 The braking force diagrams in accordance with (1.3) shall show the braking forces as a function of speed for the reference anchor $A$ and the special anchor $B$ to be authorised on the basis of tests in accordance with (2.2) to (2.5) below. Annex 1 shows one possible braking force test.
2.2 The reference anchor \( A \) used in the tests shall be a conventional folding stockless anchor corresponding to the sketch and details given below, with a mass of at least 400 kg.

A tolerance of \( \pm 5 \% \) applies to the dimensions and mass given. However, the surface area of each fluke must be at least 0,15 m\(^2\).

2.3 The mass of the special anchor \( B \) used in the tests shall not deviate by more than 10 \% from the mass of the reference anchor \( A \). If the tolerances are greater, the forces shall be recalculated proportional to mass.

2.4 Braking force diagrams shall give a linear representation of speed (\( v \)) in the range 0 to 5 km/h (speed over ground). To this end, three tests shall be carried out in an upstream direction for the reference anchor \( A \) and the special anchor \( B \) on an alternating basis over each of two stretches of river determined by the competent authority, one with coarse gravel and one with fine sand. On the River Rhine the stretch between 401-402 km can serve as a reference stretch for the coarse gravel tests and the stretch between 480-481 km for the fine sand tests.

2.5 For each test, the anchor being tested shall be towed with a steel wire cable whose length between the points of connection on the anchor and on the towing craft or device is 10 times the height of the connection point on the craft above the anchorage ground.
2.6 The percentage of reduction of anchor mass is calculated by the following formula:

\[
r = 75 \cdot \left(1 - 0.5 \frac{P_B}{P_A} \left(\frac{F_A}{F_B} + \frac{A_A}{A_B}\right)\right) \text{[\%]}\]

\(r\) = the percentage of reduction of anchor mass of special anchor \(B\) in relation to reference anchor \(A\);  
\(P_A\) = the mass of reference anchor \(A\);  
\(P_B\) = the mass of special anchor \(B\);  
\(F_A\) = holding force of reference anchor \(A\) at \(v = 0.5\) km/h;  
\(F_B\) = holding force of special anchor \(B\) at \(v = 0.5\) km/h;  
\(A_A\) = the surface area on the braking force diagram defined by  
- the line parallel to the y-axis at \(v = 0\)  
- the line parallel to the y-axis at \(v = 5\) km/h  
- the line parallel to the x-axis at holding force \(F = 0\)  
- the braking force curve for reference anchor \(A\);  
\(A_B\) = same definition as for \(A_A\) except that the braking force curve for special anchor \(B\) is used.

**Model braking force diagram**  
*(Determining the surface areas \(A_A\) and \(A_B\))*

\[F \text{ [kN]}\]
\[v \text{ [km/h]}\]

2.7 The acceptable percentage is the average of six values of \(r\) calculated in accordance with (2.6).
Annex 1 to instruction ESI-II-9

Example of an anchor test method with a single-file two-part pushed convoy

Pusher 2nd lighter 1st lighter

Anchor Crane Hawser Tow line Tensile force dynamometer anchorage

500 kg 750 kg 12 mm \( \Phi \) 24 mm \( \Phi \) 20 t sand / gravel

Towing speed: 0 → 5 km/h  
Tow line inclination angle ≤ 1:10
Suitable automatic pressurised water sprinklers as in Article 13.04(1) and (4) shall meet the following requirements:

1. The automatic pressurised water sprinkler shall be ready for service at all times when there are persons on board. No additional action by crew members shall be required to trigger operation.

2. The system shall be permanently maintained at the necessary pressure. The pipes shall be filled with water up to the spray nozzles at all times. The system shall have a continuously working water supply. It shall not be possible for impurities harmful to operation to enter the system. Appropriate display instruments and test systems (e.g. pressure gauges, pressure-tank water level indicators, pump test piping) shall be installed for monitoring and checking the system. The pressurised water sprinkler systems located in the cold storage and freezer rooms should not be permanently filled with water. These rooms can be protected with dry sprinklers.

3. The pump for the water supply to the spray nozzles shall be activated automatically by a pressure drop in the system. The pump shall be dimensioned so that it can continuously provide a sufficient water supply at the necessary pressure if all the spray nozzles necessary for covering the area of the largest room to be protected are activated simultaneously. The pump shall supply the automatic pressurised water sprinkler exclusively. In the event of pump failure, it shall be possible to provide the spray nozzles with a sufficient water supply from another on-board pump.

4. The system shall be divided into sections, each with no more than 50 spray nozzles. A larger number of spray nozzles may be authorised by the inspection body with appropriate corroboration, in particular a hydraulic calculation.

5. The number and the layout of spray nozzles shall ensure effective distribution of water in the rooms to be protected.

6. Spray nozzles shall be triggered at a temperature between 68 °C and 79 °C, in the galley areas at a maximum of 93 °C and in the saunas at a maximum of 141 °C.

7. The installation of components of automatic pressurised water sprinklers within the rooms to be protected shall be limited to the necessary minimum. No such system components shall be installed in main engine rooms.

8. Visual and acoustic indicators shall be provided in one or more suitable locations, at least one of which must be permanently manned, displaying activation of automatic pressurised water sprinklers for each section.

9. The energy supply of the installation of automatic pressurised water sprinklers shall be provided by two independent energy sources that shall not be installed in the same location. Each energy source shall be capable of supplying the entire system unassisted.
10. An installation plan of the automatic pressurised water sprinkler shall be presented to the inspection body for examination before installation of the system. The plan shall indicate the types and performance data of the machines and equipment used. An installation tested and certified by an approved classification society which complies at least with the above prescriptions can be authorised without further testing.

11. The presence of an automatic pressurised water sprinkler shall be entered in the inland navigation vessel certificate under item 43.
1. **Minimum requirements for vessel’s steerageway**

Steerageway under a vessel’s own power in accordance with Articles 13.05(2)(a), 19.07(1) and 28.04(1)(a) is deemed to be sufficient if — when using the bow thruster — the vessel or the formation propelled by the vessel attains a speed of 6.5 km/h in relation to the water and a rate-of-turn of 20°/min can be induced and maintained while under way at a speed of 6.5 km/h in relation to the water.

2. **Navigation tests**

On verifying the minimum requirements Articles 5.03 and 5.04 shall be complied with.
ESI-II-12
APPROPRIATE FIRE ALARM SYSTEM
(Article 13.05(3), Article 19.11(18), Article 29.10(1))

Fire alarm systems are considered to be appropriate if they meet the following conditions.

0. Components

0.1 Fire alarm systems consist of:
   a) fire detection system,
   b) fire indicator system,
   c) control panel
      as well as the external power supply.

0.2 The fire detection system may be divided into one or more fire zones

0.3 The fire indicator system may have one or more indicator devices.

0.4 The control panel is the central control unit of the fire alarm system. It also includes parts of
    the fire indicator system (i.e. an indicator device).

0.5 A fire detection zone may have one or more fire detectors.

0.6 Fire detectors may be
   a) heat detectors,
   b) smoke detectors,
   c) ion detectors,
   d) flame detectors,
   e) combination detectors (fire detectors combining two or more of the detectors listed in (a)
      to (d)).

   Fire detectors which respond to other factors indicating the onset of a fire may be approved by
   the inspection body provided that they are no less sensitive than the detectors referred to
   under (a) to (e).

0.7 Fire detectors may be installed
   a) with or
   b) without
      individual identification.
1. **Construction requirements**

1.1 **General**

1.1.1 Compulsory fire alarm systems shall be operational at all times.

1.1.2 Fire detectors required in accordance with (2.2) shall be automatic. Additional manually operated fire detectors may be installed.

1.1.3 The system and its components shall be able to withstand voltage fluctuations and surges, changes in ambient temperature, vibrations, humidity, shocks, impacts and corrosion such as commonly occur on vessels.

1.2 **Energy supply**

1.2.1 Energy sources and electric circuits necessary for the operation of the fire alarm system shall be self monitoring. Any fault occurring shall activate a visual and acoustic alarm signal on the control panel which can be distinguished from a fire alarm signal.

1.2.2 There shall be at least two power sources for the electrical part of the fire alarm system, one of which shall be an emergency power system (i.e. emergency power source and emergency switchboard). There shall be two separate power-feeds solely for this purpose. These shall lead to an automatic switch in or near the control panel of the fire alarm system. On day-trip vessels up to 25 m $L_{WL}$ and on motor vessels a separate emergency power supply is sufficient.

1.3 **Fire detection system**

1.3.1 Fire detectors shall be grouped in fire detection zones.

1.3.2 Fire detection systems shall not be used for any other purpose. By way of derogation the closing of the doors in accordance with Article 19.11(9) and similar functions may be activated and indicated on the control panel.

1.3.3 Fire detection systems shall be designed in such a way that the first indicated fire alarm does not prevent fire alarms set off by other detectors.

1.4 **Fire detection zones**

1.4.1 Where the fire detectors cannot be remotely identified individually, a fire detection zone shall not monitor more than one deck. This does not apply to a fire detection zone which monitors an encapsulated stairwell.

In order to avoid delays in detecting the origin of the fire, the number of enclosed spaces included in each fire detection zone shall be limited. There shall not be more than fifty enclosed spaces in one fire detection zone.
Where the fire detection system has remote identification of individual fire detectors, the fire detection zones may monitor several decks and any number of enclosed spaces.

1.4.2 On passenger vessels which do not have a fire detection system with remote identification of individual fire detectors, a fire detection zone shall not comprise more than the area constituted in accordance with Article 19.11(11). The activation of a fire detector in an individual cabin in this fire detection zone shall set off a visual and acoustic signal in the passageway outside that cabin.

1.4.3 Galleys, engine rooms and boiler rooms shall constitute separate fire detection zones.

1.5 Fire detectors

1.5.1 Only heat, smoke or ion detectors shall be used as fire detectors. Other types may only be used as additional detectors.

1.5.2 Fire detectors shall be type-approved.

1.5.3 All automatic fire detectors shall be designed in such a way that they can be tested to ensure that they are working properly and brought back into service without having to replace any components.

1.5.4 Smoke detectors shall be set so that they respond to a reduction in visibility per metre caused by smoke of more than 2 % to 12,5 %. Smoke detectors fitted in galleys, engine rooms and boiler rooms shall respond within sensitivity limits meeting the requirements of the inspection body, whereby under-sensitivity or over-sensitivity of the detectors shall be avoided.

1.5.5 Heat detectors shall be set so that with temperature increase rates of less than 1 °C/min they respond at temperatures of between 54 °C and 78 °C. With higher rates of temperature increase, the heat detector shall respond within temperature limits where under- or over-sensitivity of the heat detector is avoided.

1.5.6 With the agreement of the inspection body, the permissible operating temperature of heat detectors may be increased to 30 °C above the maximum temperature in the upper part of engine and boiler rooms.

1.5.7 The sensitivity of flame detectors shall be sufficient to detect flames against an illuminated background. Flame detectors shall also be equipped with a system for identifying false alarms.
1.6 Fire detection system and control panel

1.6.1 Activation of a fire detector shall set off a visual and acoustic fire alarm signal at the control panel and the indicator devices.

1.6.2 The control panel and the indicator devices shall be at a location which is permanently manned by crew or shipboard personnel. One indicator shall be at the steering position.

1.6.3 The indicator devices shall indicate at least the fire detection zone in which a fire detector has been activated.

1.6.4 On or near each indicator device there shall be clear information on the areas monitored and the location of the fire detection zones.

2. Installation requirements

2.1 Fire detectors shall be installed in such a manner as to ensure the best possible operation of the system. Locations in the vicinity of deck girders and ventilation shafts or other locations where air currents could adversely affect system operation and locations where impacts or mechanical damage are likely shall be avoided.

2.2 In general, fire detectors located on the ceiling shall be at least 0.5 metres away from bulkheads. The maximum distance between fire detectors and bulkheads shall conform to the following table:

<table>
<thead>
<tr>
<th>Type of fire detector</th>
<th>Maximum floor surface area per fire detector</th>
<th>Maximum distance between fire detectors</th>
<th>Maximum distance of fire detectors from bulkheads</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heat</td>
<td>37 m²</td>
<td>9 m</td>
<td>4.5 m</td>
</tr>
<tr>
<td>Smoke</td>
<td>74 m²</td>
<td>11 m</td>
<td>5.5 m</td>
</tr>
</tbody>
</table>

The inspection body may stipulate or approve other distances on the basis of tests which prove the characteristics of the detectors.

2.3 The routing of electric cables for the fire alarm system through engine rooms and boiler rooms or other high fire risk areas is not permitted unless this is necessary for fire detection in those areas or connection to the corresponding power supply.
3. **Acceptance test**

3.1 Fire alarm systems must be checked by an expert:
   a) before being put into service for the first time,
   b) before being put back into service after any major modification or repair,
   c) regularly, at least every two years.

In the case of engine rooms and boiler rooms these checks shall be made under various machine operation conditions and under changing ventilation conditions. Inspections as referred to in subsection (c) above may also be carried out by a competent person from a competent firm specialising in fire extinguishing systems.

3.2 An inspection certificate shall be issued, signed by the expert or competent person and showing the date of the inspection
ESI-II-13
(LEFT VOID)
PART III
SPECIAL PROVISIONS

ESI-III-1
APPLICATION OF CHAPTER 19 REQUIREMENTS
- Local subdivisions
- Transitional provisions for enclosures made with awnings or similar mobile installations

(Article 19.02(5), 19.03(5))

1. Local subdivisions (article 19.02(5))

Under Article 19.02(5) it is conceivable that local watertight subdivisions, such as transversally subdivided double bottom tanks of a greater length than the damage length to be considered, will not be included in the evaluation. In this case it might not be possible to take the transversal subdivision into account if it is not extended up to the bulkhead deck. This might lead to inappropriate subdivisions of bulkheads.

Interpretation of the requirement:

If a watertight compartment is longer than required by Article 19.03(9) and it contains local subdivisions which form watertight sub compartments, and between which the minimum damage length can be contained, these can be taken into account in the damage stability calculation.

2. Transitional provision for enclosures made with awnings or similar mobile installations with regard to stability (Article 19.03(5))

Enclosures made with awnings or similar mobile installations can cause problems with the stability of the vessel since, if of sufficient size to do so, they influence the heeling moment due to wind pressure.
Interpretation of the requirement:

In the case of passenger vessels for which a vessel certificate was issued for the first time before 1 January 2006 according to RVIR, or for which Article 32.05(2), second sentence, is invoked, after the erection of an enclosure made with awnings or similar mobile installations, a new stability calculation must be made according to Article 19.04 of this Standard, in so far as its lateral plane $A_{wz}$ exceeds 5 % of the total lateral plane $A_w$ to be taken into account in each case.
1. Introduction

Persons with reduced mobility have safety needs exceeding those of other passengers. These needs are taken into account in the requirements of Chapter 19, which are explained as follows.

These requirements are intended to ensure that persons with reduced mobility can stay and move safely on board vessels. In addition, in an emergency such persons should have the same level of safety as other passengers.

It is not necessary that all passenger areas fulfil the specific safety requirements of persons with reduced mobility. Therefore those requirements apply only to certain areas. However, the persons in question must be given the opportunity of being informed of the areas specially adapted for them in view of safety, so that they can organise their stay on board accordingly. It is the responsibility of the ship-owner to make the corresponding areas available, make them known and communicate them to persons with reduced mobility.

The provisions concerning persons with reduced mobility make reference to:

- Directive 2003/24/EC\(^1\) and
- the guide for the adaptation of inland waterway passenger vessels to people with disabilities in accordance with Resolution No 25 of the United Nations Economic Commission for Europe.

The definition of the term ‘persons with reduced mobility’ used in the Standard is largely identical to that of the Directive and most of the technical requirements are based on the above mentioned guide. In cases of doubt, therefore, both can be referred to when taking decisions. In general, the requirements of the Directive and the guide go beyond those of this Standard.

The requirements of the Standard do not concern berths and similar installations. These are subject to national provisions.

2. Article 1.01(12.2) – term ‘persons with reduced mobility’

‘Persons with reduced mobility’ means anyone who, as a result of physical impairments, cannot move or distinguish their surroundings in the same way as other passengers. This definition includes persons with impaired eyesight or hearing or persons accompanying children in buggies or being carried. However, for the purposes of these provisions, persons with reduced mobility do not include anyone with psychic impairments.

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3. **Article 19.15(4) — Areas provided for use by persons with reduced mobility**

Areas provided for use by persons with reduced mobility range from, in the simplest case, the entrance area to the places from which an evacuation will take place in an emergency. They shall include:

- a place where life-saving equipment is stowed or issued in an emergency,
- seats,
- a suitably-adapted toilet (see (10) of this instruction), and
- connecting corridors.

The number of seats corresponds at least approximately to the number of persons with reduced mobility that — over a lengthy period — are most frequently onboard simultaneously. The number should be determined by the ship-owner on the basis of experience, as this is beyond the knowledge of the competent authority.

On cabin vessels consideration shall also be given to connecting corridors to passenger cabins used by persons with reduced mobility. The number of such cabins is to be determined by the ship-owner in the same way as the number of seats. With the exception of the width of doors, no requirements are imposed for the special arrangement of cabins. It is the responsibility of the owner to make any further necessary arrangements.

4. **Article 19.06(3)(g) — Exits of rooms**

With regard to the requirements concerning the width of connecting corridors, exits and openings in bulwarks or guard rails intended for use by persons with reduced mobility or usually used for the embarkation or disembarkation of persons with reduced mobility, consideration shall be given to buggies and the fact that people may be dependent on various types of walking aids or wheelchairs. In the case of exits or openings for embarkation or disembarkation account shall also be taken of the additional space needed for any assisting staff.

5. **Article 19.06(4)(d) — Doors**

The requirements regarding the arrangement of the area surrounding doors intended for use by persons with reduced mobility shall ensure that persons dependent for example on walking aids can open such doors safely.

6. **Article 19.06(5)(c) — Connecting corridors**

See (4) of this instruction.

7. **Article 19.06(9) — Stairways and elevators**

The requirements for the arrangement of stairways shall, in addition to possible reduced mobility, also take into account eyesight impediments.
8. Article 19.06(10)(a) and (b) — Bulwarks and guard rails

The requirements for bulwarks and guard rails of decks intended for use by persons with reduced mobility shall provide for a greater height since such persons are more likely to lose their balance or be unable to hold on by themselves.

See also (4) of this instruction.

9. Article 19.06(13) — Passageways

For various reasons, persons with reduced mobility need to support themselves or hold on more frequently, so walls in passageways intended for use by persons with reduced mobility shall be equipped with handrails at an appropriate height.

See also (4) of this instruction.

10. Article 19.06(17) — Toilets

Persons with reduced mobility shall also be able to stay and move safely in toilets, so at least one toilet shall be adapted accordingly.

11. Article 19.08(3)(a and b) — Alarm system

Persons with reduced mobility are more likely to encounter situations in which they are dependent on the help of others. In rooms in which, as a general rule, they cannot be seen by crew members, shipboard personnel or passengers, the possibility of triggering an alarm should therefore be provided for. This applies to toilets intended for use by persons with reduced mobility.

Persons with reduced mobility include persons with impaired eyesight or hearing. Consequently, at least in areas intended for use by persons with reduced mobility, the passenger alarm system shall provide suitable visual and audible alarms.

12. Article 19.10(3)(d) — Sufficient lighting

Persons with reduced mobility also include persons with impaired eyesight. Sufficient lighting in areas intended for use by persons with reduced mobility is therefore essential and shall meet higher requirements than lighting for other passenger areas.

13. Article 19.13(1) — Safety rota

The special safety measures necessary for persons with reduced mobility to be taken into consideration in the safety rota shall take into account both the possibility of reduced mobility and impaired hearing and eyesight. For such persons measures for normal operation shall be taken into account in addition to measures in the event of emergencies.
14. Article 19.13(2) — Safety plan

The areas covered by (3) of this instruction shall be designated.

15. Article 19.13(3)(b) — Displaying the safety rota and the safety plan

At least the copies of the safety rota and the safety plan displayed in the areas intended for use by persons with reduced mobility shall be such that they can, where possible, also be read by persons with impaired eyesight. This can be achieved, for example, by appropriate use of contrast and character size.

In addition, the plans shall be displayed at a height so that wheelchair users can read them as well.

16. Article 19.13(4) — Code of conduct for passengers

(15) of this instruction applies accordingly.
ESI-III-3

STRENGTH OF WATERTIGHT WINDOWS

(Article 19.02(16))

1. General

According to Article 19.02(16), watertight windows may be situated below the margin line if they are watertight, cannot be opened, possess sufficient strength and conform to Article 19.06(14).

2. Construction of watertight windows

The requirements of Article 19.02(16) are deemed to be fulfilled if the construction of watertight windows complies with the following provisions.

2.1 Only pre-stressed glass complying with International Standard ISO 614 : 2012, shall be used.


2.3 Angular windows shall comply with International Standard ISO 3903 : 2012, Series E: heavy-duty windows Type: non-opening window

2.4 ISO Standard windows may be replaced by windows whose construction is at least equivalent to the requirements of (2.1) to (2.3).
ESI-III-4
SAFETY GUIDANCE SYSTEM
(Article 19.06(7); Article 29.09(d))

1. General

1.1 According to the abovementioned provisions, passenger vessels and high-speed vessels shall have suitable guidance systems to clearly identify the escape routes and emergency exits when the normal emergency lighting is less effective due to smoke. Such guidance systems shall take the form of low-location lighting (LLL). This instruction covers the approval, installation and maintenance of such guidance systems.

1.2 In addition to the emergency lighting required by Article 19.10(3) the escape routes, including stairways, exits and emergency exits, shall be marked by low-location lighting (LLL) throughout the whole of the escape route, particularly at corners and intersections.

1.3 The LLL system shall function for at least 30 minutes after its activation.

1.4 LLL products shall be neither radioactive nor toxic.

1.5 Instructions on the LLL system shall be displayed with the safety plan in accordance with Article 19.13(2) and in every cabin.

2. Definitions

2.1 Low-location lighting (LLL) — Electrically powered lighting or photoluminescent indicators placed along the escape routes so as to ensure that all such routes can be easily identified.

2.2 Photoluminescent (PL) system — An LLL system which uses PL material. Photoluminescent material contains a chemical (example: zinc sulphide) that has the quality of storing energy when illuminated by visible light. The PL material emits light which becomes visible when the ambient light source is less effective. Without the light source to re-energise it, the PL material gives off the stored energy for a period of time with diminishing luminance.

2.3 Electrically powered (EP) system — An LLL system which requires electrical power for its operation, such as systems using incandescent bulbs, light-emitting diodes, electroluminescent strips or lamps, electrofluorescent lamps, etc.
3. **Passageways and stairways**

3.1 In all passageways, the LLL shall be continuous, except where interrupted by corridors and cabin doors, in order to provide a visible delineation along the escape route. LLL systems in compliance with an International Standard having a visible delineation without being continuous shall also be acceptable. The LLL shall be installed at least on one side of the corridor, either on the wall no more than 0,3 m from the floor, or on the floor no more than 0,15 m from the wall. In corridors more than two metres wide, LLL shall be installed on both sides.

3.2 In dead-end corridors, the LLL shall have arrows placed at intervals of no more than 1 m, or equivalent direction indicators, pointing in the direction of the escape route.

3.3 In all stairways, LLL shall be installed on at least one side at no more than 0,3 m above the steps, which will make the location of each step readily identifiable to any person standing above and below that step. Low-location lighting shall be installed on both sides if the width of the stairway is 2 m or more. The top and bottom of each set of stairs shall be identified to show that there are no further steps.

4. **Doors**

4.1 Low-location lighting shall lead to the exit door handle. To prevent confusion, no other doors shall be similarly marked.

4.2 Where sliding doors are fitted in partitions in accordance with Article 19.11(2) and in bulkheads in accordance with Article 19.02(5), the direction of opening shall be indicated.

5. **Signs and markings**

5.1 All escape route signs shall be of photoluminescent material or marked by electric lighting. The dimensions of such signs and markings shall be commensurate with the rest of the LLL system.

5.2 Low-location lighting exit signs shall be provided at all exits. The signs shall be located within the prescribed area on the side of the exit doors where the handle is located.

5.3 All signs shall contrast in colour to the background (wall or floor) on which they are installed.

5.4 Standardised symbols (for example those described in IMO Decision A.760(18)) shall be used for the LLL.

6. **Photoluminescent systems**

6.1 PL strips shall be no less than 0,075 m wide. Narrower strips may however be used if their luminance is increased proportionally to compensate for their width.

6.2 Photoluminescent materials shall provide at least 15 mcd/m² measured 10 minutes after the removal of all external illuminating sources. The system shall then continue to provide luminance values greater than 2 mcd/m² for 20 minutes.
6.3 Any PL system materials shall be provided with not less than the minimum level of ambient light necessary to charge the PL material to meet the above luminance requirements.

7. **Electrically powered systems**

7.1 Electrically powered systems shall be connected to the emergency switchboard required by Article 19.10(4) so as to be powered by the main source of electrical power under normal circumstances and also by the emergency source of electrical power when the latter is in operation. For the purpose of dimensioning the capacity of the emergency source of electrical power the EP systems shall be included in the list of emergency consumers.

7.2 Electrically powered systems shall either switch on automatically or be capable of being activated by means of a single operation at the steering position.

7.3 Where electrically powered systems are installed, the following standards of luminance shall be applied:

1. the active parts of electrically powered systems shall have a minimum luminance of 10 cd/m²;
2. the point sources of miniature incandescent lamps shall provide not less than 150 mcd mean spherical intensity with a spacing of not more than 0.1 m between lamps;
3. the point sources of light-emitting-diode systems shall have a minimum peak intensity of 35 mcd. The angle of half-intensity cone shall be appropriate to the likely track directions of approach and viewing. Spacing between lamps shall be no more than 0.3 m; and
4. for electroluminescent systems, these shall function for 30 minutes from the instant when the main power supply to which it was required to be connected by Section 7.1 fails.

7.4 All EP systems shall be arranged so that the failure of any single light, lighting strip, or battery will not result in the marking being ineffective.

7.5 Electrically powered systems shall meet the requirements of Article 10.19 for vibration and heat testing. By way of derogation from Article 10.19(2)(c) the heat test may be conducted at a reference ambient temperature of 40 °C.

7.6 Electrically powered systems shall meet the electromagnetic compatibility requirements laid down in Article 10.20.

7.7 Electrically powered systems shall provide a type of minimum protection of IP 55 in accordance with European Standard EN 60529 : 2014.
8. **Acceptance test**

8.1 The luminance of the LLL must be checked by an expert
   a) before being put into service for the first time,
   b) before being put back into service after any major modification or repair,
   c) regularly, at least every five years.

   Checks as referred to in subsection (c) above may also be carried out by a competent person trained in safety guidance systems.

8.2 An inspection certificate shall be issued, signed by the expert or competent person and showing the date of the inspection.

8.3 If, after a single measurement, the luminance does not meet the requirements set out in this instruction, measurements are to be taken at least ten equidistant points. If over 30 % of the measurements do not meet the requirements set out in this instruction, the safety guidance systems must be replaced. If 20 % to 30 % of the measurements do not meet the requirements set out in this instruction, the safety guidance systems are to be checked again within one year.
1. In accordance with Sections 32.02(2) and 32.05(5) (in each case transitional provision to Article 19.01(2)(e)) liquefied gas installations for domestic purposes on board existing passenger vessels may only be operated until the first renewal of the inland navigation vessel certificate after 1 January 2045, on condition that a gas warning equipment in accordance with Article 19.15(8) is available. In accordance with Article 19.15(9), liquefied gas installations for domestic purposes may in the future also be installed on passenger vessels put into operation for the first time and of which the length does not exceed 45 m, if such warning equipment is installed at the same time.

2. In accordance with Articles 32.02(2) and 32.05(5) (in each case transitional provision to Article 19.15(8)) this gas warning equipment shall be installed on the first renewal of the certificate in accordance with Article 17.15.

3. The gas warning equipment consists of sensors, equipment and pipes and shall be considered suitable if it at least meets the following prescribed requirements:

3.1 Requirements to be met by the system (sensors, equipment, pipes):

3.1.1 Gas warning shall be given at the latest when reaching or exceeding one of the following values:
   a) 10 % lower explosion limit (LEL) of a propane-air mixture; and
   b) 30 ppm CO (carbon monoxide).

3.1.2 The time until activation of the alarm for the whole system must not exceed 20 s.

3.1.3 The limit values mentioned in numbers 3.1.1 and 3.1.2 must not be adjustable.

3.1.4 The test gas production shall be so designed that any interruption or obstruction is detected. Any falsification due to air admission or loss of test gas as a consequence of leakage shall be avoided or detected and reported.

3.1.5 The equipment shall be designed for temperatures ranging from –10 to 40 °C and an air humidity ranging from 20 to 100 %.

3.1.6 The gas warning equipment must be self-monitoring. It shall be impossible to switch off the equipment unauthorised.

3.1.7 Gas warning equipment supplied by the onboard power supply network shall be buffered against power failure. Battery-powered appliances shall be provided with a warning device indicating a reduction of the battery voltage.
3.2 Requirements to be met by the equipment:

3.2.1 The equipment shall consist of an evaluation and display unit.

3.2.2 The alarm indicating that the limit values in (3.1.1)(a) and (b) have been reached or exceeded shall be given optically and acoustically, both in the room monitored and in the wheelhouse or at any other permanently manned location. It shall be clearly visible and audible even in operating conditions with the highest noise level. It shall be clearly distinguishable from any other acoustic and optical signals in the room to be protected. The acoustic alarm shall also be clearly audible with closed connecting doors at the entrances and in neighbouring rooms. The acoustic alarm may be silenced after activation, the optical alarm may only be cancelled if the limit values fall below those given mentioned in (3.1.1).

3.2.3 It shall be possible to separately detect and clearly assign the reports indicating that the limit values in (3.1.1)(a) and (b) have been reached or exceeded.

3.2.4 If the appliance has a special status (start-up, failure, calibration, parameterisation, maintenance etc.), this shall be indicated. The failure of the whole system or one of the components shall be indicated by an alarm in analogy to (3.2.2). The acoustic alarm may be silenced after activation, the optical alarm may only be cancelled if the failure is removed.

3.2.5 If it is possible to issue different reports (limit values, special status) it shall also be possible to discern them separately and to assign them clearly. If necessary, a collective signal shall be displayed indicating that it is not possible to issue all reports. In this case, the reports shall be issued by order of priority, beginning with the report with the highest safety relevance. The display of the reports which cannot be issued shall be possible by pressing a button. The order of priority shall be evident from the documentation of the appliance.

3.2.6 The equipment shall be so designed that unauthorised interference is not possible.

3.2.7 In all cases where detection and alarm equipment are used, the control alarm unit and indicating device shall be operable from outside the spaces containing the gas storage and consuming appliances.

3.3 Requirements to be met by the sensors/sampling devices:

3.3.1 In every room with consuming appliances, sensors of the gas warning equipment shall be provided in the vicinity of these appliances. The sensors/sampling devices shall be installed in such a way that gas accumulation is detected before the limit values mentioned in (3.1.1) are reached. Arrangement and installation of the sensors shall be documented. The selection of the locations shall be substantiated by the manufacturer or the specialised firm installing the equipment. The pipes of the sampling devices should be as short as possible.

3.3.2 The sensors shall be easily accessible in order to enable regular calibration, maintenance and safety checks.

3.4 Requirements to be met by the installation:

3.4.1 The whole gas warning equipment shall be installed by a specialised firm.
3.4.2 For the installation, the following aspects shall be taken into consideration:
   a) local ventilation systems;
   b) structural arrangements (design of walls, partitions etc.) facilitating or complicating the
      accumulation of gases; and
   c) prevention of adverse effects due to mechanical damage, water or heat damage.

3.4.3 All pipes of the sampling devices shall be arranged in such a way that condensate formation is
   not possible.

3.4.4 The installation shall be effected in such a way that any unauthorised tampering is not possible.

4. Calibration and inspection of gas leak detectors, replacement of parts with limited service life.

4.1 Gas leak detectors shall be calibrated and inspected by an expert or a competent person as
   directed by the manufacturer:
   a) before being put into service for the first time,
   b) before being put back into service after any major modification or repair,
   c) regularly,

   A calibration and inspection certificate shall be issued, signed by the expert or competent
   person and showing the date of the inspection.

4.2 Parts of the gas warning equipment which have a limited service life must be replaced before
   expiry of their specified operational life.

5 Marking

5.1 All appliances shall at least show the following information in a clearly legible and indelible form:
   a) name and address of the manufacturer,
   b) legal marking,
   c) designation of series and type,
   d) if possible, serial number,
   e) if required, any advice indispensable for safe use; and
   f) for each sensor the indication of the calibration gas.

5.2 Elements of the gas warning equipment with limited restricted lifespan shall be clearly marked
   as such.
6. Manufacturer's details relating to the gas warning equipment:
   a) complete instructions, drawings and diagrams concerning the safe and proper operation as well as the installation, starting-up and maintenance of the gas warning equipment;
   b) operating instructions containing at least:
      aa) measures to be taken in the case of an alarm or error indication;
      bb) safety measures in the case of non-availability (e.g. calibration, inspection, interruption); and
      cc) persons responsible for installation and maintenance,
   c) instructions for calibration before the starting-up, and for routine calibration, including time intervals to be followed,
   d) supply voltage,
   e) type and meaning of the alarms and displays (e.g. special status),
   f) information concerning the detection of operating difficulties and the removal of faults,
   g) type and scope of the replacement of components with limited lifespan; and
   h) type, scope and time interval of the inspections.
In addition to the requirements of Chapter 21, the relevant provisions of the navigational authority regulations in force in the Member States shall be observed.

1. **General requirements**

1.1 Every coupling system shall guarantee the rigid coupling of all the craft in a convoy, i.e. under foreseen operating conditions the coupling device shall prevent longitudinal or transversal movement between the vessels, so that the assembly can be seen as a 'nautical unit'.

1.2 The coupling system and its components shall be safe and easy to use, enabling craft to be coupled rapidly without endangering personnel.

1.3 The forces arising from foreseen operating conditions shall be properly absorbed and safely transmitted into the vessel's structure by the coupling system and its components.

1.4 A sufficient number of coupling points shall be available.

2. **Coupling forces and dimensioning of coupling devices**

The coupling devices of convoys and formations of vessels to be authorised shall be dimensioned so as to guarantee sufficient safety levels. This condition is deemed to be fulfilled if the coupling forces determined according to (2.1), (2.2) or (2.3) are assumed to be the tensile strength for the dimensioning of the longitudinal coupling components.

2.1 Coupling points between pusher and pushed lighters or other craft:

\[ F_{SB} = 270 \cdot P_b \cdot \frac{L_s}{B_s} \cdot 10^{-3} [kN] \]

2.2 Coupling points between pushing motor vessel and pushed craft:

\[ F_{SF} = 80 \cdot P_b \cdot \frac{L_s}{h_K} \cdot 10^{-3} [kN] \]

2.3 Coupling points between pushed craft:

\[ F_{SL} = 80 \cdot P_b \cdot \frac{L_s'}{h_K} \cdot 10^{-3} [kN] \]
A value of 1200 kN is deemed to be sufficient for the maximum coupling force for a pushing craft at the coupling point between the first pushed craft and the craft coupled ahead of it, even if formula in (2.3) produces a higher value.

For the coupling points of all other longitudinal connections between pushed craft, the dimensioning of the coupling devices shall be based on the coupling force determined according to formula in (2.3).
Where:

- \( F_{SB}, F_{SF}, F_{SL} \) [kN] Coupling force of the longitudinal connection;
- \( P_B \) [kW] Installed power of the propulsion engine;
- \( L_S \) [m] Distance from the stern of the pusher or pushing craft to the coupling point;
- \( L'_S \) [m] Distance from the stern of the pushing craft to the coupling point between the first pushed craft and the craft coupled ahead of it;
- \( h_K, h'_K \) [m] Respective lever arm of the longitudinal connection;
- \( B_S \) [m] Width of the pushing craft;

\[
270 \text{ and } 80 \quad \left[ \frac{\text{kN}}{\text{kW}} \right] \quad \text{Empirically established values for the conversion of installed power to thrust while ensuring adequate levels of safety.}
\]

2.4.1 For the longitudinal coupling of individual craft at least two coupling points shall be used. Each coupling point shall be dimensioned for the coupling force determined according to (2.1), (2.2) or (2.3). If rigid coupling components are used, a single coupling point may be authorised if that point ensures secure connection of the craft.

The tensile strength of the cables shall be selected according to the foreseen number of windings. There shall be no more than three windings at the coupling point. Cables shall be selected according to their intended use.

2.4.2 In the case of pushers with a single pushed lighter, formula in (2.2) can be used to determine the coupling force if such pushers have been authorised to propel several such lighters.

2.4.3 Sufficient numbers of bollards or equivalent devices shall be available and be capable of absorbing the coupling forces arising.

3. Special requirements for articulated couplings

Articulated couplings shall be designed so as to also ensure a rigid coupling between craft. Compliance with the requirements of Chapter 5 shall be checked during navigation tests with a rigid convoy in accordance with Article 21.06.

The drive unit of the articulated coupling shall enable a satisfactory return from the articulated position. The requirements of Articles 6.02 to 6.04 shall be applied mutatis mutandis, hence when a powered drive unit is used, a second independent drive unit and energy source shall be available in the event of failure.

It shall be possible to operate and monitor the articulated coupling (its articulated movement, at least) from the wheelhouse, the requirements of Articles 7.03 and 7.05 shall be applied mutatis mutandis.
According to Article 8.05(1), fuel tanks shall form an integral part of the hull or shall be firmly attached to it.

Fuel tanks for engines of working gear on floating equipment do not have to form an integral part of the hull or be firmly attached to it. Mobile tanks may be used, provided that they comply with the following conditions:

1. The capacity of these tanks shall not exceed 1000 litres.
2. It shall be possible to attach the tanks sufficiently firmly and to earth them.
3. The tanks shall be made from steel of a sufficient wall thickness and shall be installed in a drip tray. The latter shall be designed to prevent leaking fuel contaminating the waterways. The drip tray may be dispensed with if double-skin tanks with a leak protection or leakage warning system are used and which are filled only via an automatic delivery valve. The provisions of (3) shall be deemed to be fulfilled if the construction of a tank has been certified and approved according to the regulations of a Member State.

An appropriate entry shall be made in the inland navigation vessel certificate.
ESI-III-8

RECREATIONAL CRAFT

(Article 26.01(2) in conjunction with Article 7.02, Article 8.05(5), Article 8.08(2) and Article 8.10)

1. General

Recreational craft of up to 24 metres length, that are placed on the market, have to comply with the requirements of Directive 2013/53/UE. According to Article 3 in conjunction with Article 2 of this Directive, recreational craft having a length of 20 metres or more shall carry an inland navigation vessel certificate attesting the craft’s compliance with the technical requirements of this Standard. Since double inspection or certification for certain equipment, arrangements and installations of newly built recreational craft which can result from certain provisions in Article 26.01 of Standard should be avoided, this Instruction gives information on those requirements listed in Article 26.01 that are already sufficiently covered under Directive 2013/53/UE.

2. Requirements in Article 26.01 which are already covered under Directive 2013/53/UE

For recreational craft subject to Directive 2013/53/UE the inspection body shall as regards to the issuance of the inland navigation vessel certificate (initial inspection) not require further inspection or certification of the following requirements of Article 26.01(2), provided that the craft presented for inspection has been placed on the market no more than 3 years before the date of presentation to the inspection body and no modifications to the craft have been carried out, and the Declaration of Conformity refers to the following harmonised Standards or their equivalence:

- Article 7.02: EN ISO 11591 : 2000, (Unobstructed view)
- Article 8.05(5): EN ISO 10088 : 2013, (Fuel tanks and pipes)
- Article 8.08(2): EN ISO 15083 : 2003, (Bilge pumping)
ESI-III-9

PROOF OF BUOYANCY, TRIM AND STABILITY OF THE SEPARATED PARTS OF A VESSEL

(Article 28.04, in conjunction with Article 27.02 and Article 27.03)

1. When proving the buoyancy, trim and stability of the parts of a vessel which have been separated in accordance with Article 28.04(2)(a), it shall be assumed that both parts were partly or fully unloaded beforehand or that containers extending beyond the hatch coaming were suitably protected from slipping.

2. For each of the two parts, the following requirements shall therefore be met when calculating stability in accordance with Article 27.03 (Limit conditions and method of calculation for proof of stability for the transport of secured containers):
   - metacentric height \( \bar{M_G} \) shall be not less than 0.50 m,
   - there shall be a residual safety clearance of 100 mm
   - the speed to be taken into account shall be 7 km/h,
   - the wind pressure shall be taken to be 0.01 t/m².

3. The heeling angle (≤ 5°) does not have to be complied with for the parts of the vessel separated in accordance with Article 28.04(2) since this angle — derived from the coefficient of friction — was specified for non-secured containers.

   The heeling lever resulting from the free surfaces of liquids shall be taken into account in accordance with the formula given in Article 27.02(1)(e).

4. The requirements set out in (2) and (3) shall also be deemed to have been met if, for each of the two parts, the stability requirements set out in Section 9.1.0.95.2 of ADN regulation are met.

5. The proof of stability of separated parts of the ship can be based on the assumption that they are homogeneously loaded because - if not already the case - this can be achieved prior to separation, or else because the vessel is going to be largely unloaded.
1. **General introduction**

According to Article 31.01, vessels which are intended to be operated according to standards S1 and S2 shall comply with the provisions of Chapter 31. The inspection body shall confirm in the inland navigation vessel certificate that the vessel complies with these provisions.

These provisions are supplementary equipment requirements which apply in addition to the requirements with which a vessel has to comply for the inland navigation vessel certificate to be issued. Provisions of Chapter 31 which might be interpreted in different ways will be clarified in this instruction.

2. **Article 31.02 – Standard S1**

2.1 (1) – **Arrangement of the propulsion system**

If a vessel is fitted with a directly reversible main engine, the compressed air system which is required to reverse the direction of thrust shall:

a) be kept permanently pressurised by an automatically adjusting compressor; or

b) when an alarm is triggered in the wheelhouse be pressurised by means of an auxiliary engine which can be started from the steering position. If the auxiliary engine has its own fuel tank, there shall — in accordance with Article 8.05(13) — be a warning device in the wheelhouse to indicate if the level of filling is not sufficient to ensure further safe operation.

2.2 (2) – **Bilge levels in the main engine room**

If a bow steering system is necessary to comply with the manoeuvring requirements of Chapter 5, the room containing the bow steering system shall be deemed to be a main engine room.

2.3 (3) – **Automatic fuel supply**

2.3.1 If the propulsion system has a daily-supply tank,

a) its contents shall be sufficient to ensure an operation period of the propulsion system of 24 hours, assuming a consumption of 0.25 litres per kW per hour;

b) the fuel supply pump for refilling the daily-supply tank shall be operated continuously; or

c) the fuel supply pump shall be fitted with:

- a switch that automatically switches on the fuel supply pump when the daily-supply tank reaches a certain low level, and

- a switch that automatically switches off the fuel supply pump when the daily-supply tank is full.
2.3.2 The daily-supply tank shall have a level alarm device which meets the requirements of Article 8.05(13).

2.4. (4) – No particular force required for the steering system

Hydraulically operated steering systems fulfil this requirement. Manually operated steering systems shall not require a force of more than 160 N in order to be operated.

2.5 (5) – Visual and acoustic signals required under way

Visual signs do not include cylinders, balls, cones or double cones required under national or international navigational authority regulations.

2.6 (6) – Direct communication and communication with the engine room

2.6.1 Direct communication shall be deemed to be ensured if:
   a) direct visual contact is possible between the wheelhouse and the control positions for the winches and bollards on the fore section or the stern of the vessel and in addition the distance from the wheelhouse to these control positions is not more than 35 m; and
   b) the accommodation is directly accessible from the wheelhouse.

2.6.2 Communication with the engine room shall be deemed to be ensured if the signal referred to in Article 7.09(3) second sentence, can be operated independently from the switch referred to in Article 7.09(2).

2.7 (7) – Cranks and similar rotating means of operation

These include:
   a) manually operated anchor winches (the maximum force required shall be deemed to be that when the anchors are hanging freely);
   b) cranks for lifting hatches;
   c) cranks on mast and funnel winches.

These do not include:
   a) warping and coupling winches;
   b) cranks on cranes, unless intended for ship’s boats.

2.8 (10) – Ergonomic arrangement

The provisions are deemed to be fulfilled if:
   a) the wheelhouse is arranged in accordance with European Standard EN 1864:2008; or
   b) the wheelhouse is designed for radar navigation by one person; or
   c) the wheelhouse meets the following requirements:
      aa) the control units and monitoring instruments are in the forward field of vision and within an arc of not more than 180° (90° to starboard and 90° to port), including the floor and ceiling. They shall be clearly legible and visible from the normal position of the helmsman;
bb) the main control units such as the steering wheel or steering lever, the engine controls, the radio controls, and the controls for the acoustic signals and the warning and manoeuvring signals required under national or international navigational authority regulations, as appropriate, shall be arranged in such a way that the distance between the controls on the starboard side and those on the port side is not more than 3 m. The helmsman shall be able to operate the engines without letting go of the controls for the steering system and while still being able to operate the other controls such as the radio system, the controls for the acoustic signals and the warning and manoeuvring signals required under national or international navigational authority regulations, as appropriate;

cc) the warning and manoeuvring signals required under national or international navigational authority regulations, as appropriate, are operated electrically, pneumatically, hydraulically or mechanically. By way of derogation, it may be operated by means of a tension wire only if safe operation from the steering position is possible in this way.

3. Article 31.03 – Standard S2

3.1 (1) – Motor vessel operating separately

Motor vessels which according to the inland navigation vessel certificate are also suitable for pushing but which:
   a) do not have hydraulically or electrically operated coupling winches; or
   b) whose hydraulically or electrically operated coupling winches do not meet the requirements of (3.3) of this instruction,

shall be given the standard S2 as motor vessel operating separately. The entry ‘Standard S2 does not apply to the motor vessel when pushing’ shall be entered under item 47 of the inland navigation vessel certificate.

3.2 (3) – Pushed convoys

Motor vessels which according to their inland navigation vessel certificate are suitable for pushing and are fitted with hydraulically or electrically operated coupling winches that fulfil the requirements of (3.3) of this instruction but which do not have their own bow thruster shall be given the standard S2 as motor vessel pushing a convoy. The entry ‘Standard S2 does not apply to the motor vessel when operating separately’ shall be entered under item 47 of the inland navigation vessel certificate.

3.3 (3), first sentence, and (4)(d), first sentence — Special winches or equivalent devices for tensioning the cables (coupling devices)

The coupling devices required are the minimum equipment specified in accordance with Article 21.01(2) which, according to (2.1) and (2.2) of instruction ESI-III-6 (longitudinal connections), serve to take up the coupling forces and which meet the following requirements:
   a) the device shall provide the tensioning force required for the coupling only by mechanical means;
   b) the controls for the device shall be located on the device itself. By way of derogation, remote control is permitted provided that:
      • the person operating the device has an unobstructed direct view of the device from the control position,
• there is a device at the control position to prevent unintentional operation,
• the device has an emergency stop;
  c) the device shall have a braking device which acts immediately if the controls are released or the motive force fails;
  d) it shall be possible for the coupling cable to be released manually if the motive force fails.

3.4 (3), second sentence, and (4)(d), second sentence — Operating the bow thruster

The control for operating the bow thruster shall be permanently installed in the wheelhouse. The requirements of Article 7.04(8) shall be complied with. The electric cabling to operate the bow thruster shall be permanently installed up to the fore section of the pushing motor vessel or the pusher.

3.5 (4) – Equivalent manoeuvrability

Equivalent manoeuvrability is ensured by a propulsion system consisting of:
  a) a multi-propeller drive and at least two independent propulsion systems with similar power output;
  b) at least one cycloidal propeller;
  c) at least one rudder propeller; or
  d) at least one 360° water-jet propulsion system.
PART IV
TRANSITIONAL PROVISIONS

ESI-IV-1
APPLICATION OF TRANSITIONAL PROVISIONS

(Chapters 19 to 30, Chapter 32 and Chapter 33)

1. Application of transitional provisions in joining together parts of craft

1.1 Principles

Where parts of different vessels are joined together, status quo protection shall be granted only for the parts which belong to the vessel which retains its inland navigation vessel certificate. Transitional provisions may therefore be invoked only for those parts. Other parts shall be treated as a newly built vessel.

1.2 Application of the transitional provisions in detail

1.2.1 Where parts of different vessels are joined together, transitional provisions may be invoked only for those parts which belong to the vessel which retains its inland navigation vessel certificate.

1.2.2 Parts which do not belong to the vessel which retains its vessel certificate shall be treated as a newly built craft.

1.2.3 After a vessel has had part of another vessel added to it, the former shall receive the ENI number of the craft, which retains its inland navigation vessel certificate as the converted craft.

1.2.4 Where an existing inland navigation vessel certificate is retained or a new inland navigation vessel certificate is issued for a craft after a conversion, the year of construction of the oldest part of the craft shall additionally be entered in the inland navigation vessel certificate.

1.2.5 If a new fore section is attached to a craft, the engine for the bow thruster system installed in the fore section shall also comply with the current requirements.

1.2.6 If a new stern section is attached to a vessel, the engines installed in the stern section shall also comply with the current requirements.

1.3 Examples

1.3.1 A vessel is put together from two older vessels (vessel 1 year of construction 1968; vessel 2 year of construction 1972). The whole of vessel 1 apart from the fore section is used; of vessel 2, the fore section is used. The assembled vessel receives vessel 1’s inland navigation vessel certificate. The fore section of the assembled vessel must now be fitted inter alia with anchor niches.
1.3.2 A vessel is put together from two older vessels (vessel 1 year of construction 1975; vessel 2 year of construction 1958, oldest component 1952). The whole of vessel 1 apart from the fore section is used; of vessel 2, the fore section is used. The assembled vessel receives vessel 1’s inland navigation vessel certificate. The fore section of the assembled vessel must now be fitted inter alia with anchor niches. The oldest component from the original vessel 2, with year of construction 1952, is additionally entered in the inland navigation vessel certificate.

1.3.3 The stern section of a vessel of year of construction 2001 is attached to a vessel of year of construction 1988. The engine of the vessel of year of construction 1988 is to remain in the vessel. In this case, the engine has to be type approved. The engine would also have to be type approved if it was the engine in the 2001 stern section.

2. Application of transitional provisions in the case of a change in the type of craft (intended use of the craft)

2.1 Principles

2.1.1 In any decision on the application of transitional provisions in the case of change of the type of craft (vessel type; intended use of the vessel), as regards to this Standard safety considerations shall be key.

2.1.2 It shall constitute a change in the type of craft if the safety requirements applying to the new type of craft are different from those for the old type; this is so if special provisions of Chapters 19 to 30 of this Standard are applicable to the new type which were not applicable to the old type.

2.1.3 In the case of a change in the type of craft, all special provisions and all requirements specific to this type of craft shall be complied with fully; transitional provisions may not be invoked for these requirements. This also applies to parts which are taken over from the existing craft and come under these special requirements.

2.1.4 The conversion of a tanker into a dry cargo vessel shall not constitute a change in the type of craft as defined in 2.1.2.

2.1.5 In the case of conversion of a cabin vessel into a day-trip vessel, all new parts shall comply fully with the current requirements.

2.2 Application of the transitional provisions in detail

2.2.1 Article 32.02(2) (N.R.C.), resp. Article 33.02(2) applies to the parts of the craft that are renewed; hence new parts of the craft cannot be subject to the transitional provisions.

2.2.2 For the parts of the craft that are not converted, the transitional provisions shall continue to be applicable with the exception of parts according to 2.1.3, second sentence.

2.2.3 If the dimensions of the craft are modified, the transitional provisions no longer apply to those parts of the craft that are connected with this modification (e.g. distance of collision bulkhead, freeboard and anchor).
2.2.4 In the case of a change in the type of craft, the special requirements that only apply to the new type of craft shall be applicable. All parts and items of equipment that are affected by the conversion of the craft must satisfy the current requirements Part II and III of this Standard.

2.2.5 The craft shall then be granted a new or amended inland navigation vessel certificate and a note shall be made in fields 7 and 8 of the certificate both of the original construction and of the conversion.

2.3 Examples

2.3.1 A cargo vessel (year of construction 1996) is converted into a passenger vessel. Chapter 19 of this Standard then applies to the whole vessel, without invoking transitional provisions. If the fore section is not modified either according to the conversion plans or in accordance with Chapter 19, the vessel does not need to present any anchor niches in accordance with Article 3.03.

2.3.2 A tug (year of construction 1970) is converted into a pusher. The physical conversion consists solely of changing the deck equipment and installing a pushing device. All transitional provisions for a 1970 vessel remain applicable, except for the Chapters 5, 7 (in part), Article 13.01 and Article 21.01.

2.3.3 A motor tanker (year of construction 1970) is converted into a pusher. The physical conversion consists of separating off the fore section and the cargo section, as well as changing the deck equipment and installing a pushing device. All transitional provisions for a 1970 vessel remain applicable, except for the provisions of Chapters 5, 7 (in part), Article 13.01 and Article 21.01.

2.3.4 A motor tanker is converted into a motor cargo vessel. The motor cargo vessel must comply with current workplace safety requirements, particularly those referred to in Article 14.04 of Chapter 14 of this Standard.

3. Application of transitional provisions in the case of conversion of passenger vessels

3.1 Application of the transitional provisions

3.1.1 Conversion measures that are necessary in order to comply with requirements of Chapter 19, no matter when they are carried out, shall not constitute conversion ‘C’ within the meaning of Article 32.02(2), Article 32.03(1) or Article 32.05(5), resp. Article 33.02 and Article 33.03, of this Standard.

3.1.2 In the case of conversion of a cabin vessel into a day-trip vessel, all new parts shall comply fully with the current requirements.

3.2 Examples

3.2.1 A passenger vessel (year of construction 1995) must have a second independent propulsion system installed by 1 January 2015 at the latest. If no other voluntary conversions are made on this passenger vessel, it is not necessary to carry out a stability calculation in accordance with the new requirements, but if there is an objective need for one, a stability calculation may be carried out in accordance with the original stability requirements of the RVIR or a Member State.
3.2.2 A passenger vessel (year of construction 1994, inland navigation vessel certificate last renewed 2012) will be extended by 10 m in 2016. In addition, this craft must be given a second independent propulsion system. Also, a new stability calculation will be necessary, which must be carried out in accordance with Chapter 19 for the one-compartment status and the two-compartment status.

3.2.3 A passenger vessel (year of construction 1988) receives a more powerful propulsion system including propellers. This is such a major conversion that a stability calculation is required. This must be carried out in accordance with current requirements.
Inland AIS Shipborne Equipment

According to the Vessel Tracking and Tracing Standard for Inland Navigation

Operational and Performance Requirements, Methods of Test and Required Test Results

(Test Standard Inland AIS)
## CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Scope</td>
<td>9</td>
</tr>
<tr>
<td>2.</td>
<td>Normative references</td>
<td>9</td>
</tr>
<tr>
<td>3.</td>
<td>Abbreviations</td>
<td>11</td>
</tr>
<tr>
<td>4.</td>
<td>General requirements</td>
<td>12</td>
</tr>
<tr>
<td>4.1</td>
<td>Class A functions not required</td>
<td>12</td>
</tr>
<tr>
<td>4.2</td>
<td>Functions in addition to Class A</td>
<td>12</td>
</tr>
<tr>
<td>4.3</td>
<td>Manuals</td>
<td>12</td>
</tr>
<tr>
<td>5.</td>
<td>Environmental, power supply, special purpose and safety requirements</td>
<td>12</td>
</tr>
<tr>
<td>6.</td>
<td>Performance requirements</td>
<td>12</td>
</tr>
<tr>
<td>6.1</td>
<td>Composition</td>
<td>12</td>
</tr>
<tr>
<td>6.1.1</td>
<td>Blue sign input</td>
<td>13</td>
</tr>
<tr>
<td>6.1.1.1</td>
<td>Blue sign input via VSD sentence</td>
<td>13</td>
</tr>
<tr>
<td>6.1.1.2</td>
<td>Blue sign status via a dedicated input</td>
<td>13</td>
</tr>
<tr>
<td>6.1.2</td>
<td>Internal GNSS receiver</td>
<td>14</td>
</tr>
<tr>
<td>6.2</td>
<td>Information</td>
<td>14</td>
</tr>
<tr>
<td>6.3</td>
<td>Information processing</td>
<td>14</td>
</tr>
<tr>
<td>6.3.1</td>
<td>Inland AIS Data input</td>
<td>14</td>
</tr>
<tr>
<td>6.3.2</td>
<td>Inland AIS Data storage and message compilation</td>
<td>15</td>
</tr>
<tr>
<td>6.3.2.1</td>
<td>Initiation of an inland specific functional message</td>
<td>16</td>
</tr>
<tr>
<td>6.3.2.2</td>
<td>Processing of received inland specific functional messages</td>
<td>17</td>
</tr>
<tr>
<td>6.3.2.3</td>
<td>Inland specific RFM 10 (Inland ship static and voyage related data) and Inland specific RFM 55 (Persons on board)</td>
<td>17</td>
</tr>
<tr>
<td>6.3.2.4</td>
<td>Inland specific RFM's other than RFM 10 or RFM 55</td>
<td>18</td>
</tr>
<tr>
<td>6.3.3</td>
<td>Alarms and status information</td>
<td>18</td>
</tr>
<tr>
<td>6.4</td>
<td>Minimum Keyboard and Display (MKD)</td>
<td>18</td>
</tr>
<tr>
<td>6.4.1</td>
<td>Display of received Messages</td>
<td>18</td>
</tr>
<tr>
<td>6.4.2</td>
<td>Input of Data</td>
<td>19</td>
</tr>
<tr>
<td>6.4.3</td>
<td>Initiation of transmission of RFM 55 via MKD</td>
<td>20</td>
</tr>
<tr>
<td>7.</td>
<td>Technical requirements</td>
<td>21</td>
</tr>
<tr>
<td>7.1</td>
<td>Response to Assignment Commands</td>
<td>21</td>
</tr>
<tr>
<td>7.2</td>
<td>Presentation interface</td>
<td>21</td>
</tr>
<tr>
<td>7.2.1</td>
<td>Required ports</td>
<td>21</td>
</tr>
<tr>
<td>7.2.2</td>
<td>Input data and formats</td>
<td>21</td>
</tr>
<tr>
<td>7.2.3</td>
<td>Output data and formats</td>
<td>23</td>
</tr>
</tbody>
</table>
## 8. Operational tests

### 8.1 Operating modes/capability

#### 8.1.1 Method of measurement

#### 8.1.2 Required results

### 8.2 Reporting intervals

#### 8.2.1 Static data reporting rates

##### 8.2.1.1 Method of measurement

##### 8.2.1.2 Required results

### 8.3 Alarms and indicators, fall-back arrangements

#### 8.3.1 Method of measurement

#### 8.3.2 Required results

### 8.4 Input of Data on MKD

#### 8.4.1 Method of measurement

#### 8.4.2 Required results

### 8.5 Display of data on MKD

#### 8.5.1 Method of measurement

#### 8.5.2 Required results

## 9. Specific tests of link layer

### 9.1 Group assignment

#### 9.1.1 Assignment by $PIWWIVD

##### 9.1.1.1 Method of measurement

##### 9.1.1.2 Required results

#### 9.1.2 Assignment by message 16

##### 9.1.2.1 Method of measurement

##### 9.1.2.2 Required results

#### 9.1.3 Increased reporting interval assignment

##### 9.1.3.1 Increased reporting interval assignment by $PIWWIVD

#### 9.1.4 Addressing by station type

##### 9.1.4.1 Method of measurement

##### 9.1.4.2 Required result

### 9.2 Inland AIS message formats

#### 9.2.1 Received Inland specific messages

##### 9.2.1.1 Method of measurement

##### 9.2.1.2 Required results

#### 9.2.2 Transmitted inland specific messages

##### 9.2.2.1 Position report message 1, 2 or 3

##### 9.2.2.2 Ship static and voyage related data (Message 5 and RFM 10)

##### 9.2.2.3 ETA at lock / bridge / terminal RFM 21 (DAC 200 / FI 21) (if implemented)

##### 9.2.2.4 Persons on board RFM 55 (DAC 200 / FI 55)

#### 9.2.3 Transmit inland specific interrogation messages

##### 9.2.3.1 Transmit an interrogation for a specific FM (IFM 2)

##### 9.2.3.2 Transmit a capability interrogation (IFM 3)
9.2.4 Response to inland specific interrogation messages ................................................. 31
9.2.4.1 Response to “Capability interrogation” (IFM 3) with “Capability reply” (IFM 4) .......... 31
9.2.4.2 Response to interrogation for “Inland ship static and voyage related data” (RFM 10) ..................................................................................................................... 32
9.2.4.3 Response to interrogation for “Number of Persons on board” (RFM 55 and IFM 16) ....................................................................................................................... 32

10. High Speed Input ....................................................................................................... 32
10.1 Voyage data configuration ......................................................................................... 32
10.1.1 Method of measurement ............................................................................................ 32
10.1.2 Required result ........................................................................................................... 33
10.2 Static data configuration ............................................................................................. 33
10.2.1 Method of measurement ............................................................................................ 33
10.2.2 Required result ........................................................................................................... 33

11. Long range functionality tests .................................................................................... 33

Annex A (informative) - Block diagram of AIS ................................................................. 35
Annex B (normative) - AIS Interface Overview ................................................................. 36
Annex C (normative) - Additional PI port sentences for Inland AIS ................................. 37
C.1 Inland Waterway voyage data .................................................................................... 37
C.2 Inland Waterway Static Ship data .............................................................................. 38
FOREWORD

The concept of River Information Services (RIS) has emerged throughout several European research projects, aiming at increasing safety and efficiency of inland waterway transport.

The European Commission, the Central Commission for Navigation on the Rhine (CCNR), the Danube Commission, the Moselle Commission, the Sava Commission and the United Nations Economic Commission for Europe have recognized the need for means of automatic exchange of navigational data between ships and between ship and shore for automatic identification and tracking and tracing solutions in inland navigation.

In maritime navigation, the IMO has introduced the Automatic Identification System (AIS). All seagoing vessels on international voyage falling under SOLAS convention Chapter V have to be equipped with AIS since the end of 2004. The Guidelines and Recommendations for River Information Services (RIS Guidelines) drawn up by PIANC and published in particular by the CCNR and the European Union define Inland-AIS as important technology.

The Automatic Identification System AIS, as used in maritime navigation, is defined by the International Maritime Organisation (IMO) “Resolution MSC.74(69) Annex 3, Performance Standard for a Universal Shipborne Automatic Identification”. The technical requirements for AIS are provided by ITU Recommendation ITU-R M.1371.

The European RIS Platform established in 2003 the International Expert Group for Tracking and Tracing. The main task of this expert group is the development and maintenance of a European wide harmonised vessel tracking and tracing standard for inland navigation. Because of mixed traffic areas it is important that the standards and procedures for inland shipping are compatible with already defined standards and procedures for seagoing navigation.

To serve the specific requirements of inland navigation, AIS has been further developed to the “Vessel Tracking and Tracing Standard for Inland Navigation” while preserving full compatibility with IMO’s maritime AIS and already existing standards in inland navigation.


Given the tasks assigned to the European Committee for drawing up Standards in the field of Inland Navigation (CESNI) and the need to define harmonised test requirements for Inland AIS devices for applying the European standard laying down technical requirements for inland navigation vessels (ES-TRIN), this standard shall be adopted on 7 July 2017. Reference is made to this standard in Article 1.01(7.11) of the ES-TRIN.
This document describes the “Inland AIS Shipborne Equipment According to the Vessel Tracking and Tracing Standard for Inland Navigation – Operational and Performance Requirements, Methods of Test and Required Test Results (Test Standard Inland AIS)”. Due to its nature it is mainly based on the structure of the parent IEC standard IEC 61993-2 Edition 2. The version takes into account ITU-R Recommendation M.1371-4. The technical content is identical with that in Inland AIS Test Standard Edition 2.0 of CCNR Resolution 2012-II-20 dated 29 November, 2012. This is why this standard is published as edition 2017/2.0.

This document was originally written in the English language.
Inland AIS shipborne equipment

Operational and performance requirements, methods of test and required test results

1. Scope

This Standard specifies the minimum operational and performance requirements, methods of testing and required test results for Inland AIS shipborne stations.

This edition incorporates the technical characteristics of Class A shipborne equipment included in Recommendation ITU-R M.1371-4 and further described by IEC 61993-2 Ed. 2 "Class A shipborne equipment of the universal automatic identification system (AIS) – Operational and performance requirements, methods of test and required test results" as applicable.

NOTE: All references in this standard to certain paragraphs of IMO resolution MSC.74(69), Annex 3 and IMO resolution A.694(17) or of ITU-R M.1371-4 are indicated in parenthesis i.e. (A3/3-3) or (M.1371-1/3.3) respectively. Likewise references to certain paragraphs of the Vessel Tracking and Tracing Standard (ES-TRIN, Article 1.01(7.9)) are indicated in parenthesis i.e. (VTT 2.3.2.4).

2. Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

European Committee for drawing up standards in the field of inland navigation (CESNI), European standard laying down technical requirements for inland navigation vessels (ES-TRIN), 2017 Edition.


ITU-R Recommendation M.1371-4, Technical characteristics for an automatic identification system using time division multiple access in the VHF maritime mobile band.

IEC 61993-2 Ed 2, Maritime navigation and radiocommunication equipment and systems - Part 2: Class A shipborne equipment of the universal automatic identification system (AIS) - Operational and performance requirements, methods of test and required test results.

IEC 60945, Maritime navigation and radiocommunication equipment and systems - General requirements - Methods of testing and required test results.
IEC 61108 (series), Maritime navigation and radiocommunication equipment and systems - Global navigation satellite systems (GNSS).

IEC 61162-1, Maritime navigation and radiocommunication equipment and systems - Digital interfaces - Part 1: Single talker and multiple listeners.

IEC 61162-2, Maritime navigation and radiocommunication equipment and systems - Digital interfaces - Part 2: Single talker and multiple listeners, high-speed transmission.

IEC 62288, Maritime navigation and radiocommunication equipment and systems – Presentation of navigation-related information on shipborne navigational displays – General requirements, methods of testing and required test results.

ISO/IEC 3309, Information technology -- Telecommunications and information exchange between systems -- High-level data link control (HDLC) procedures -- Frame structure.

IMO Resolution A.694(17) : 1991, General requirements for shipborne radio equipment forming part of the Global Maritime Distress and Safety System (GMDSS) and for electronic navigational aids.

IMO Resolution MSC.43(64), as amended by MSC.111(73), Guidelines and Criteria for Ship Reporting Systems.

IMO Resolution MSC.74(69) Annex 3 Recommendation on performance standards for AIS.

ITU-R Recommendation M.493-13, Digital selective-calling system for the use in the maritime mobile service.

ITU-R Recommendation M.541-9, Operational procedures for the use of digital selective-calling (DSC) equipment in the maritime mobile service.

ITU-R Recommendation M.825-3, Characteristics of a transponder system using digital selective calling techniques for use with vessel traffic services and ship-to-ship identification.

ITU-R Recommendation M.1084-4, Interim solutions for improved efficiency in the use of the band 156-174 MHz by stations in the maritime mobile service.

ITU-R Recommendation M.585-5, Assignment and use of Maritime Mobile Service Identities.

ITU-T Recommendation O.153, Basic parameters for the measurement of error performance at bit rates below the primary rate.
### 3. Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AI</td>
<td>Application Identifier</td>
</tr>
<tr>
<td>AIS</td>
<td>Automatic Identification System</td>
</tr>
<tr>
<td>ASCII</td>
<td>American Standard Code for Information Interchange</td>
</tr>
<tr>
<td>ATIS</td>
<td>Automatic Transmitter Identification System</td>
</tr>
<tr>
<td>AtoN</td>
<td>Aids to Navigation</td>
</tr>
<tr>
<td>BIIT</td>
<td>built-in integrity tests</td>
</tr>
<tr>
<td>CESNI</td>
<td>European Committee for drawing up Standards in the field of Inland Navigation</td>
</tr>
<tr>
<td>COG</td>
<td>Course Over Ground</td>
</tr>
<tr>
<td>DAC</td>
<td>Designated Area Code</td>
</tr>
<tr>
<td>DGNSS</td>
<td>Differential GNSS</td>
</tr>
<tr>
<td>DSC</td>
<td>Digital Selective Calling</td>
</tr>
<tr>
<td>ECDIS</td>
<td>Electronic Chart Display and Information System</td>
</tr>
<tr>
<td>EMMA</td>
<td>European Multiservice Meteorological Awareness system</td>
</tr>
<tr>
<td>ENI</td>
<td>Unique European Vessel Identification Number</td>
</tr>
<tr>
<td>EPFS</td>
<td>electronic position fixing systems</td>
</tr>
<tr>
<td>ERI</td>
<td>Electronic Reporting International</td>
</tr>
<tr>
<td>ES-TRIN</td>
<td>European Standard laying down Technical Requirements for Inland Navigation vessels</td>
</tr>
<tr>
<td>ETA</td>
<td>Estimated Time of Arrival</td>
</tr>
<tr>
<td>EUT</td>
<td>equipment under test</td>
</tr>
<tr>
<td>FI</td>
<td>Functional Identifier</td>
</tr>
<tr>
<td>GNSS</td>
<td>Global Navigation Satellite System</td>
</tr>
<tr>
<td>GPS</td>
<td>Global Positioning System</td>
</tr>
<tr>
<td>HDG</td>
<td>Heading</td>
</tr>
<tr>
<td>IAI</td>
<td>International Application Identifier</td>
</tr>
<tr>
<td>IALA</td>
<td>International Association of Lighthouse Authorities</td>
</tr>
<tr>
<td>ID</td>
<td>Identifier</td>
</tr>
<tr>
<td>IEC</td>
<td>International Electrotechnical Commission</td>
</tr>
<tr>
<td>IETF</td>
<td>Internet Engineering Task Force</td>
</tr>
<tr>
<td>IFM</td>
<td>international function message</td>
</tr>
<tr>
<td>IHO</td>
<td>International Hydrographic Office</td>
</tr>
<tr>
<td>IMO</td>
<td>International Maritime Organization</td>
</tr>
<tr>
<td>ITU</td>
<td>International Telecommunication Union</td>
</tr>
<tr>
<td>LR</td>
<td>Long Range</td>
</tr>
<tr>
<td>MHz</td>
<td>Megahertz (Megacycles per second)</td>
</tr>
<tr>
<td>MID</td>
<td>Maritime Identification Digits</td>
</tr>
<tr>
<td>MKD</td>
<td>Minimum Keyboard and Display</td>
</tr>
<tr>
<td>MMSI</td>
<td>Maritime Mobile Service Identifier</td>
</tr>
<tr>
<td>NUC</td>
<td>not under command</td>
</tr>
<tr>
<td>PI</td>
<td>presentation interface</td>
</tr>
<tr>
<td>RAI</td>
<td>Regional Application Identifier</td>
</tr>
<tr>
<td>RAIM</td>
<td>Receiver Autonomous Integrity Monitoring</td>
</tr>
<tr>
<td>RF</td>
<td>radio frequency</td>
</tr>
<tr>
<td>RFM</td>
<td>regional function message</td>
</tr>
<tr>
<td>RIS</td>
<td>River Information Services</td>
</tr>
<tr>
<td>RNW</td>
<td>Regulierungs Niederwasser (granted water level during 94% the year)</td>
</tr>
<tr>
<td>ROT</td>
<td>Rate Of Turn</td>
</tr>
<tr>
<td>RTA</td>
<td>Requested Time of Arrival</td>
</tr>
<tr>
<td>Rx</td>
<td>Receive</td>
</tr>
<tr>
<td>SAR</td>
<td>Search And Rescue</td>
</tr>
<tr>
<td>SOG</td>
<td>Speed Over Ground</td>
</tr>
<tr>
<td>SOLAS</td>
<td>Safety Of Life At Sea</td>
</tr>
<tr>
<td>SOTDMA</td>
<td>Self Organizing Time Division Multiple Access</td>
</tr>
<tr>
<td>SQRT</td>
<td>Square Root</td>
</tr>
<tr>
<td>STI</td>
<td>Strategic Traffic Image</td>
</tr>
<tr>
<td>TDMA</td>
<td>Time Division Multiple Access</td>
</tr>
<tr>
<td>TTI</td>
<td>Tactical Traffic Image</td>
</tr>
<tr>
<td>Tx</td>
<td>Transmit</td>
</tr>
<tr>
<td>UDP</td>
<td>User Datagram Protocol</td>
</tr>
<tr>
<td>UMTS</td>
<td>Universal Mobile Telecommunications System</td>
</tr>
<tr>
<td>UN</td>
<td>United Nations</td>
</tr>
<tr>
<td>UN/LOCODE</td>
<td>United nations Location Code</td>
</tr>
<tr>
<td>UTC</td>
<td>Universal Time Coordinated</td>
</tr>
<tr>
<td>VDL</td>
<td>VHF Data Link</td>
</tr>
<tr>
<td>VHF</td>
<td>Very High Frequency</td>
</tr>
<tr>
<td>VSWR</td>
<td>voltage standing wave ratio</td>
</tr>
<tr>
<td>VTG</td>
<td>see IEC 61162-1, table 5</td>
</tr>
<tr>
<td>VTS</td>
<td>Vessel Traffic Services</td>
</tr>
<tr>
<td>WGS-84</td>
<td>World Geodetic System from 1984</td>
</tr>
</tbody>
</table>
4. **General requirements**

Inland AIS shipborne equipment is based on the specification of AIS Class A shipborne equipment in accordance with ITU-R Recommendation M.1371 and IEC standard IEC 61993-2 Edition 2 unless otherwise stated.

4.1 **Class A functions not required**

Inland AIS shipborne equipment has to fulfil all requirements of AIS Class A shipborne equipment as defined in IEC 61993-2 except:

- Long range application by interface to other equipment
- Interface for long range port.

4.2 **Functions in addition to Class A**

Additionally the following functions are required:

- Initiate and transmit inland specific messages as specified in Table 2
- Process and display received inland specific messages as specified in Table 3
- Act upon group assignment for station type “inland waterways”
- Interface to receive and process differential correction data (RTCM SC 104)
- Interface for Blue Sign function (switch and use of data field in VSD sentence)
- Suppress the transmission of certain ABM/BBM sentences from PI port as specified in Table 2
- Message 5 and RFM 10 shall be transmitted with a reporting interval of 6 minutes, alternating between both channels
- All speed information shall be displayed in km/h on MKD and all range information shall be displayed in km.

4.3 **Manuals**

The manuals shall also cover the methods necessary to support the Inland AIS specific function.

5. **Environmental, power supply, special purpose and safety requirements**

The same requirements as for AIS Class A mobile station shall be fulfilled.

6. **Performance requirements**

6.1 **Composition**

(VTT 2.3.8) An interface (RTCM SC-104) shall be provided to input the correction data to the internal GNSS receiver.

(VTT 2.3.3) The Inland AIS station shall be able to process Group Assignment Commands (AIS message 23) for station type “inland waterways” and act accordingly.
(VTT 2.4.1) The Inland AIS station shall be able to process the Blue Sign information and set the special manoeuvre indicator in AIS VDL Message 1, 2, 3 accordingly.

(VTT 2.3.7) The Inland AIS station shall be able to process Inland specific Regional Function messages (RFM) with the Designated Area Code (DAC) “200”.

6.1.1 Blue sign input

The Blue Sign information shall be input in two ways:

a) input via the IEC 61162-1 VSD sentence

b) input via a dedicated input port.

6.1.1.1 Blue Sign input via VSD sentence

The VSD field “regional application flags” defines 4 bit (values 0...15). The two most significant bits of the regional application flags set the “Special manoeuvre indicator” parameter. The remaining two bits of the VSD sentence shall be ignored.

The following Table describes the translation from the VSD field “regional application flags” to the VDL Message 1, 2, 3 “Special manoeuvre indicator” parameter

<table>
<thead>
<tr>
<th>VSD sentence regional application flag</th>
<th>VDL Message 1,2,3 Special manoeuvre indicator</th>
<th>Blue Sign description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 (00xx)</td>
<td>0 (00)</td>
<td>Not available (default)</td>
</tr>
<tr>
<td>4 (01xx)</td>
<td>1 (01)</td>
<td>Not set</td>
</tr>
<tr>
<td>8 (10xx)</td>
<td>2 (10)</td>
<td>set</td>
</tr>
<tr>
<td>12 (11xx)</td>
<td>0 (00)</td>
<td>Invalid input, results in not available</td>
</tr>
</tbody>
</table>

The Special manoeuvre indicator (Blue Sign) parameter shall only be set if the VSD sentence is received with a valid regional application flag value and an interval of at least two seconds. After a time out of 2 seconds the special manoeuvre indicator shall be set to not available.

6.1.1.2 Blue sign status via a dedicated input port

The input for the Blue Sign status shall provide preferably a tri-state or alternatively a two state input which can be controlled by a single switch where the switch circuit open means “Blue Sign not set” and the switch circuit closed means “Blue Sign set”.

The presence of the direct connected switch shall be made available by automatic means or manual configuration.

---

1 Unless otherwise stated “RFM” in this document refers to Inland specific Regional Function Messages (RFM) as defined in ITU-R M.1371 with an Application identifier (AI) consisting of DAC = 200 and the defined Function Identifier (FI) (e.g.: RFM 10 = DAC “200” + FI “10”)
6.1.2 Internal GNSS receiver

The Inland AIS station shall provide an internal GNSS receiver as UTC source, for own positioning, COG and SOG. The internal GNSS receiver shall meet the appropriate requirements of IEC 61108 series as defined in IEC 61993-2. The internal GNSS receiver shall be capable of processing differential correction data from a dedicated RTCM SC 104 interface and via VDL Message 17.

6.2 Information

Information provided by the Inland AIS shall be as defined in Vessel Tracking and Tracing Standard for Inland Navigation (ES-TRIN, Article 1.01(7.9).

(A3/6.1) (VTT 2.3.2)

The static, dynamic and voyage related ship information for inland vessels shall have the same parameters and the same structure than in IMO AIS as far as it is applicable. Not used parameter fields shall be set to “not available”. Inland specific static ship information shall be added.

6.3 Information processing

6.3.1 Inland AIS Data input

The following definitions support the input of data for Msg 5 and RFM 10:

- Dimensions / reference for position of own ship.
  The input of total length (LS) and total beam (BS) shall be always in dm values which are transmitted in RFM 10. The input of the reference point for message 5 is defined by BI and CI values in dm. The remaining parameters AI and DI are calculated from total length LS and total beam BS and the defined BI and CI values.
  Message 5 shall transmit A, B, C and D values (rounded up values of AI, BI, CI, DI in m).
  There are two reference points, for the internal GNSS and for an external position source. This method shall be used for both reference points.

- Dimensions / reference for position of combination.
  Besides the dimensions / reference for position of own ship additional dimensions to describe a combination can be added on any side of the own ship.
  For each side an extension (EA, EB, EC, ED) of the own ship can be defined in dm.
  The total convoy length LC and convoy beam BC are calculated in dm and shall be transmitted by RFM 10.
  Message 5 shall transmit A, B, C and D values (rounded up in m) from the calculated combination.

The following figure 1 illustrates the parameters and the usage to calculate the dimensions for both RFM 10 and message 5.

- Draught: input always in cm, automatic conversion to next higher value (rounding up) for message 5
- The ship and cargo type of message 5 shall be automatically converted from Inland-ship type (ERI ship type; see VTT Standard Annex E).
  IMO ship and cargo type can be overwritten according to the Class A rules.
- The number of blue cones can be entered independently of IMO ship and cargo type.
Figure 1: Parameters and the usage to calculate the dimensions for both RFM 10 and message 5.

The input parameters A, B, C and D from SSD sentence are not used for inland mode

Input parameters IWSSD: (own ship)
Password protected
BI (dm) and LS (dm)
CI (dm) and BS (dm)

Input parameters IWIVD: (convoy extension)
Not password protected
EA (dm)
EB (dm)
EC (dm)
ED (dm)

Calculated internally:
AI (dm) = LS - BI
DI (dm) = BS - CI
BC (dm) = BS + EC + ED
LC (dm) = LS + EA + EB
A (m) = AI + EA (rounded upwards)
B (m) = BI + EB (rounded upwards)
C (m) = CI + EC (rounded upwards)
D (m) = DI + ED (rounded upwards)

Output Msg5:
A (m)
B (m)
C (m)
D (m)

Output RFM 10:
LC (dm)
BC (dm)

6.3.2 Inland AIS Data storage and message compilation

(VTT 2.3.8, VTT 2.4.4.2)

For data input of the required information for transmission either means for manual input or the proposed digital interface sentences for Inland AIS ($--SSD, $--VSD, $PIWWSSD and $PIWWIVD) shall be used. This requires means for input and storage of the inland specific data. Only inputs that change the stored data (manual input or $--SSD, $--VSD, $PIWWSSD, $PIWWIVD) shall generate a transmission where applicable.

The following tables define the behaviour of the Inland AIS mobile station regarding inland specific functional messages.
6.3.2.1 Initiation of an inland specific functional message

The following table defines the initiator of inland specific functional messages (IFM, RFM) to be transmitted by the Inland AIS mobile station.

(ABM/BBM = via standard Presentation Interface, MKD = via Minimum Keyboard and Display, Inland ECDIS = via connected Inland ECDIS (recommendation only). On VDL request = autonomous reaction when an IFM 2 or 3 interrogation is received).

### Table 2: Transmission of inland specific functional messages

<table>
<thead>
<tr>
<th>Message</th>
<th>Description</th>
<th>Addr/ Bc</th>
<th>TX INITIATED BY</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>ABM/BBM</td>
</tr>
<tr>
<td>RFM 10</td>
<td>Inland static data 1)</td>
<td>Bc</td>
<td>No</td>
</tr>
<tr>
<td>RFM 21</td>
<td>ETA</td>
<td>Addr</td>
<td>x</td>
</tr>
<tr>
<td>RFM 22</td>
<td>RTA</td>
<td>Addr</td>
<td>No</td>
</tr>
<tr>
<td>RFM 23</td>
<td>EMMA warning</td>
<td>Bc</td>
<td>No</td>
</tr>
<tr>
<td>RFM 24</td>
<td>Water level</td>
<td>Bc</td>
<td>No</td>
</tr>
<tr>
<td>RFM 40</td>
<td>Signal Status</td>
<td>Bc</td>
<td>No</td>
</tr>
<tr>
<td>RFM 55</td>
<td>Inland number of persons 2)</td>
<td>Addr</td>
<td>x</td>
</tr>
<tr>
<td>IFM 2</td>
<td>Interrogation</td>
<td>Addr</td>
<td>x</td>
</tr>
<tr>
<td>IFM 3</td>
<td>Capability interrogation</td>
<td>Addr</td>
<td>x</td>
</tr>
<tr>
<td>IFM 4 a)</td>
<td>Capability response 2)</td>
<td>Addr</td>
<td>x</td>
</tr>
</tbody>
</table>

*X* = required; ‘Opt’ = Optional; ‘No’ = Not allowed; ‘---’ = Not applicable

1) Autonomously provided in conjunction with AIS VDL Message 5 by the Inland AIS mobile station.
2) Message is provided only if interrogation is addressed to own station.
6.3.2.2 Processing of received inland specific functional messages

The following table defines the behaviour (internal processing and reaction) of the Inland AIS mobile station, when an inland specific functional message (IFM, RFM) is received.

(VDM = output via Presentation Interface, MKD = displayed on Minimum Keyboard and Display, Inland ECDIS = displayed on connected Inland ECDIS (recommendation only), VDL response = autonomous reaction on a received VDL message).

<table>
<thead>
<tr>
<th>Message</th>
<th>Description</th>
<th>Addr/Bc</th>
<th>VDM</th>
<th>MKD</th>
<th>VDL Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFM10</td>
<td>Inland static data</td>
<td>Bc</td>
<td>x</td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>RFM 22</td>
<td>RTA, response to ETA 1)</td>
<td>Addr</td>
<td>x</td>
<td></td>
<td>Opt.</td>
</tr>
<tr>
<td>RFM 23</td>
<td>EMMA warning</td>
<td>Bc</td>
<td>x</td>
<td></td>
<td>Opt.</td>
</tr>
<tr>
<td>RFM 24</td>
<td>Water level</td>
<td>Bc</td>
<td>x</td>
<td></td>
<td>Opt.</td>
</tr>
<tr>
<td>RFM 40</td>
<td>Signal status</td>
<td>Bc</td>
<td>x</td>
<td></td>
<td>Opt.</td>
</tr>
<tr>
<td>RFM 55</td>
<td>Inland number of persons 1)</td>
<td>Addr</td>
<td>x</td>
<td></td>
<td>X 2)</td>
</tr>
<tr>
<td>RFM 55</td>
<td>Inland number of persons</td>
<td>Bc</td>
<td>x</td>
<td></td>
<td>X 2)</td>
</tr>
<tr>
<td>IFM 2</td>
<td>Interrogation 3) 1)</td>
<td>Addr</td>
<td>x</td>
<td></td>
<td>---</td>
</tr>
<tr>
<td>IFM 3</td>
<td>Capability interrogation 3) 1)</td>
<td>Addr</td>
<td>x</td>
<td></td>
<td>---</td>
</tr>
<tr>
<td>IFM 4</td>
<td>Capability response 1)</td>
<td>Addr</td>
<td>x</td>
<td></td>
<td>---</td>
</tr>
<tr>
<td>IFM 16</td>
<td>Number of persons 1)</td>
<td>Addr</td>
<td>x</td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>IFM 16</td>
<td>Number of persons</td>
<td>Bc</td>
<td>x</td>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

‘X’ = required; ‘Opt’ = Optional; ‘No’ = Not allowed; ‘---’ = Not applicable

1) Messages are processed only if addressed to own station.
2) Only the display of total number of persons on board is required.
3) Messages are provided only if interrogation is addressed to own station.

6.3.2.3 Inland specific RFM 10 (Inland ship static and voyage related data) and Inland specific RFM 55 (Persons on board)

The compilation of the RFM 10 and RFM 55 for transmission is part of the Inland AIS station itself:

- The RFM 10 shall be used by Inland AIS only, to broadcast ship static and voyage related data in addition to message 5. The message shall be sent not later than 4 seconds after message 5 by using a message 8 / RFM 10.
- Message 5 and RFM 10 shall be transmitted with a reporting interval of 6 minutes, alternating between both channels.
- The Inland AIS station must be able to respond to an interrogation for VDL message 5 (received message 15) automatically with message 5 and message 8 / RFM 10.
- The Inland AIS station must be able to initiate a message 8 / RFM 55 by MKD and to respond on a request for “Inland number of persons on board” automatically with the message 6 / RFM 55.

6.3.2.4 Inland specific RFM’s other than RFM 10 or RFM 55

The following options are available for the compilation of inland specific messages other than RFM 10 and 55:
- The compilation is implemented in the Inland AIS station itself.
- The compilation of inland specific message may be provided by an external application outside the Inland AIS ship borne station and is input via the Presentation Interface using IEC 61162-1 ABM or BBM sentences as applicable.

External applications could be:
- a connected Inland ECDIS equipment or Radar equipment
- a connected dedicated software application (without Inland ECDIS capability).

6.3.3 Alarms and status information

Means shall be provided to selectively disable alarms during installation which are not applicable for that specific installation, i.e. external EPFS lost (25), heading lost/invalid (32), no valid ROT information (35). This feature has to be password protected.

6.4 Minimum Keyboard and Display (MKD)

6.4.1 Display of received Messages

In addition to AIS Class A following information shall be displayed on a MKD:
- Inland AIS static data
  Where information is delivered both by Message 5 and RFM 10 the Inland AIS specific data shall preferably be displayed (dimension, draught, ship type, dangerous cargo category)
- Number of person on board
  RFM 55 shall have preference above IFM 16
- Blue sign information
- Speed information shall be displayed in km/h
- Range information shall be displayed in km.
Table 4: Following information in RFM 10 shall be displayed:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Displayed on MKD</th>
</tr>
</thead>
<tbody>
<tr>
<td>ENI</td>
<td>Yes</td>
</tr>
<tr>
<td>Length of ship or convoy</td>
<td>Yes</td>
</tr>
<tr>
<td>Beam of ship or convoy</td>
<td>Yes</td>
</tr>
<tr>
<td>ERI ship type</td>
<td>Yes</td>
</tr>
<tr>
<td>Number of blue cones</td>
<td>Yes</td>
</tr>
<tr>
<td>Draught</td>
<td>Yes</td>
</tr>
<tr>
<td>Loaded/unloaded</td>
<td>Yes</td>
</tr>
<tr>
<td>Quality of speed information</td>
<td>Optional</td>
</tr>
<tr>
<td>Quality of course information</td>
<td>Optional</td>
</tr>
<tr>
<td>Quality of heading information</td>
<td>Optional</td>
</tr>
</tbody>
</table>

6.4.2 Input of Data

In addition to AIS Class A following data shall be entered via MKD:

- Inland AIS static data
  Where information is contained in both Message 5 and RFM 10 the Inland AIS specific date shall input only once to avoid conflicts, i.e. dimension/reference, draught, ship type, dangerous cargo category

- Number of person on board
  RFM 55 shall have preference above IFM 16.
### Table 5: Following information in RFM 10 and RFM 55 shall be input via MKD:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Category</th>
<th>Remark</th>
</tr>
</thead>
<tbody>
<tr>
<td>ENI</td>
<td>Static</td>
<td>1)</td>
</tr>
<tr>
<td>Length of ship (LS)</td>
<td>Static</td>
<td>1) Shall also be used for the calculation of message 5 and RFM 10</td>
</tr>
<tr>
<td>Distance from reference point to stern (BI)</td>
<td>Static</td>
<td>1) Shall also be used for the calculation of message 5 and RFM 10</td>
</tr>
<tr>
<td>(for internal and external position source)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Beam of ship (BS)</td>
<td>Static</td>
<td>1) Shall also be used for the calculation of message 5 and RFM 10</td>
</tr>
<tr>
<td>Distance from reference point to port (CI)</td>
<td>Static</td>
<td>1) Shall also be used for the calculation of message 5 and RFM 10</td>
</tr>
<tr>
<td>(for internal and external position source)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Extension for length of convoy (EA, EB,)</td>
<td>Voyage related</td>
<td>2) Shall also be used for the calculation of message 5 and RFM 10</td>
</tr>
<tr>
<td>Extension for beam of convoy (EC, ED)</td>
<td>Voyage related</td>
<td>2) Shall also be used for the calculation of message 5 and RFM 10</td>
</tr>
<tr>
<td>ERI ship type</td>
<td>Voyage related</td>
<td>2)</td>
</tr>
<tr>
<td>Number of blue cones</td>
<td>Voyage related</td>
<td>2)</td>
</tr>
<tr>
<td>Draught</td>
<td>Voyage related</td>
<td>2)</td>
</tr>
<tr>
<td>Loaded/unloaded</td>
<td>Voyage related</td>
<td>2)</td>
</tr>
<tr>
<td>Persons on board (crew members, passengers and shipboard personnel)</td>
<td>Voyage related</td>
<td>2)</td>
</tr>
<tr>
<td>Quality of speed information</td>
<td>Static</td>
<td>On installation, shall be set to 0 if not derived from a type approved sensor</td>
</tr>
<tr>
<td>Quality of course information</td>
<td>Static</td>
<td>On installation, shall be set to 0 if not derived from a type approved sensor</td>
</tr>
<tr>
<td>Quality of heading information</td>
<td>static</td>
<td>On installation, shall be set to 0 if not derived from a type approved sensor</td>
</tr>
</tbody>
</table>

1) On installation, data shall be protected by the administrator password
2) Voyage related, data shall not be protected by the administrator password.

### 6.4.3 Initiation of transmission of RFM 55 via MKD

Means on the MKD shall be provided to initiate the transmission of broadcast RFM 55.
7. Technical requirements

7.1 Response to Assignment Commands

(VTT 2.3.3 Table 2.1)

An Inland AIS station shall process assignment commands in accordance with ITU-R M.1371 and VTT 2.3.3 Table 2.5. The Inland AIS mobile station shall act upon group assignment for station type “inland waterway” and not for station type “Class A mobile station”.

An assignment command, with a reporting interval less than the autonomous reporting interval, received via the digital interface sentence for Inland AIS $PIWWIVD shall decrease the reporting interval defined by ITU-R M.1371. An assignment command shall not increase the reporting interval above the autonomous reporting interval.

7.2 Presentation interface

7.2.1 Required ports

The Presentation Interface of the Inland AIS shall comprise the data ports listed in table 6. (Also see Annex D.)

<table>
<thead>
<tr>
<th>General Function</th>
<th>Mechanism</th>
</tr>
</thead>
<tbody>
<tr>
<td>Automatic Input of Sensor Data (Sensor data input from shipboard equipment)</td>
<td>(3) IEC 61162-2 input ports, also configurable as IEC 61162-1 input ports</td>
</tr>
<tr>
<td>High Speed Input/Output Ports (Operator controlled commands and data input; AIS VHF Data Link (VDL) data; and AIS equipment status)</td>
<td>(2) IEC 61162-2 paired input and output ports</td>
</tr>
<tr>
<td>BITT Alarm Output</td>
<td>(1) Isolated normally-closed (NC) contact circuit</td>
</tr>
</tbody>
</table>

Note: Pilot port is not required

7.2.2 Input data and formats

The Inland AIS shall as a minimum be able to receive and process the input data shown in table 7. The details of these sentences are contained in IEC 61162-1. Manufacturer's proprietary data may also be entered using these high-speed ports.
## Table 7: AIS High-speed input data and formats

<table>
<thead>
<tr>
<th>Data</th>
<th>IEC 61162-1 Sentences</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Normal Access - Parameter Entry</strong></td>
<td></td>
</tr>
<tr>
<td>Voyage information:</td>
<td></td>
</tr>
<tr>
<td>Vessel type and cargo category</td>
<td></td>
</tr>
<tr>
<td>Navigational status</td>
<td></td>
</tr>
<tr>
<td>Draught, max. actual static</td>
<td></td>
</tr>
<tr>
<td>Destination</td>
<td></td>
</tr>
<tr>
<td>ETA date and time</td>
<td></td>
</tr>
<tr>
<td>Regional application flags</td>
<td></td>
</tr>
<tr>
<td>Reporting rate settings</td>
<td></td>
</tr>
<tr>
<td>Number of blue cones</td>
<td></td>
</tr>
<tr>
<td>air draught of ship</td>
<td></td>
</tr>
<tr>
<td>Number of assisting tugboat</td>
<td></td>
</tr>
<tr>
<td>Number of crew members on board</td>
<td></td>
</tr>
<tr>
<td>Number of passengers on board</td>
<td></td>
</tr>
<tr>
<td>Number of shipboard personnel on board</td>
<td></td>
</tr>
<tr>
<td><strong>Station information:</strong></td>
<td></td>
</tr>
<tr>
<td>Vessel name (administrator password protected)</td>
<td></td>
</tr>
<tr>
<td>Call sign (administrator password protected)</td>
<td></td>
</tr>
<tr>
<td>Antenna location</td>
<td></td>
</tr>
<tr>
<td>length and beam</td>
<td></td>
</tr>
<tr>
<td>ENI number (administrator password protected)</td>
<td></td>
</tr>
<tr>
<td>ERI ship type</td>
<td></td>
</tr>
<tr>
<td>Quality of speed information</td>
<td></td>
</tr>
<tr>
<td>Quality of course information</td>
<td></td>
</tr>
<tr>
<td>Quality of heading information</td>
<td></td>
</tr>
</tbody>
</table>

- VSD - Voyage static data
- PIWWIVD – Inland Waterway voyage data
- SSD - Station static data
- PIWWSSD – Inland Waterway static ship data

### Initiate VHF Data-link Broadcasts

- Safety messages
- Binary messages
- Interrogation Message

- ABM - Addressed Binary Message
- BBM - Broadcast Binary Message
- AIR - AIS Interrogation Information

### AIS Equipment - Parameter Entry

- AIS VHF channel selection
- AIS VHF power setting
- AIS VHF channel bandwidth
- Transmit/Receive mode control
- MMSI
- IMO number
- Other AIS equipment controls

- ACA - AIS Channel Assignment Message
- EPV-Equipment property value (administrator password protected)
- EPV-Equipment property value (administrator password protected)
- EPV-Equipment property value (administrator password protected)

### BIIT Input

- Alarm / indication acknowledgement

- ACK Acknowledgement message

Note: Information which is not marked with “administrator password protected” shall be accepted if no administrator password is provided even if there is protected information in the same sentence. In this case the protected information is ignored.
7.2.3 Output data and formats

In addition to the AIS Class A station an Inland AIS station outputs PIWWSSD and PIWWIVD sentences on the two high speed ports as response on a query.

Query sentences is used as defined in IEC 61162-1 with sentence formatters SSD and IVD. On query for SSD the unit will respond with both an SSD sentence and a PIWWSSD sentence.

8. Operational tests

8.1 Operating modes/capability

8.1.1 Interrogation response

(4.2.1, M.1371/A2-3.3.2, A8, 6.5.4.1)

8.1.1.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Apply an interrogation message (message 15; EUT as destination) to the VDL according to message table (M.1371/A8-3.11) for responses with message 3, message 5 and slot offset set to defined value. Record transmitted messages and frame structure.

8.1.1.2 Required results

Check that the EUT transmits the appropriate interrogation response message as requested after defined slot offset. Confirm that the EUT transmits the response on the same channel as where interrogation was received. Confirm that the EUT transmits message 5 and “Inland ship static and voyage related data” RFM 10 using binary broadcast message (message 8) to the VDL. Confirm that the “Inland ship static and voyage related data” RFM 10 follows message 5 within 4 seconds. Confirm that ITDMA is used if possible.

8.2 Reporting intervals

8.2.1 Static data reporting rates

8.2.1.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

a) Record the transmitted messages and check for static and voyage related data (message 5 & RFM 10).

b) Change static and/or voyage related station data. Record the transmitted messages and check for static and voyage related data (message 5).

8.2.1.2 Required results

a) Confirm that the EUT transmits message 5 with a reporting interval of 6 minutes and the inland specific RFM 10 not later than 4 seconds after message 5 on the same channel using ITDMA if possible. The ITDMA access scheme shall replace a scheduled position report message 1 with a message 3.

b) Confirm that the EUT transmits message 5 and RFM 10 within 1 minute reverting to a reporting interval of 6 minutes.
8.3 Alarms and indicators, fall-back arrangements

8.3.1 Method of measurement

Disable the alarms according section 6.3.3.

8.3.2 Required results

Confirm that the alarms can be disabled. Confirm that the disabling of alarms is administration password protected.

8.4 Input of Data on MKD

8.4.1 Method of measurement

Input all static and voyage related data according to Table 5.

8.4.2 Required results

Confirm that all data according to Table 5 can be input with the appropriate accuracy.

Confirm that the input of data is protected by password according to Table 5.

Confirm that the ship and cargo type of message 5 is automatically converted from Inland-ship type (ERI ship type; see VTT Standard Annex E) when the ERI ship type is input.

Confirm that the IMO ship and cargo type can be overwritten according to the Class A rules.

8.5 Display of data on MKD

8.5.1 Method of measurement

Apply a message 1, 9, 18, 19 to the VDL.

8.5.2 Required results

Confirm that the speed is displayed in km/h and the range is displayed in km.

9. Specific tests of link layer

9.1 Group assignment

9.1.1 Assignment by $PIWWIVD

Group assignment commands have precedence of assignments by input via $PIWWIVD.
9.1.1.1 Method of measurement

Address the EUT with an AIS message 23 to bring the EUT in assigned mode. Record VDL and verify the reaction of the EUT. Apply an assignment by $PIWWIVD input with a different reporting interval.

9.1.1.2 Required results

Verify that the EUT ignores the assignment by input via $PIWWIVD.

9.1.2 Assignment by message 16

Messages which are addressed directly to an AIS Transponder have precedence of group assignment commands and manual assignments. Following test shall verify the assignment priority of these messages.

9.1.2.1 Method of measurement

Set up the standard test environment and operate EUT in autonomous mode. Input sensor data to achieve a reporting interval of 10 seconds.

a) Address the EUT with an AIS message 16 to bring the EUT in assigned mode with a reporting interval of 5 seconds. Record VDL and verify the reaction of the EUT.

b) Apply a message 23 with a reporting interval of 2 seconds. Construct message 23 in that way that the EUT will be addressed by the message.

c) Apply a $PIWWIVD assignment input with a reporting interval of 2 seconds.

9.1.2.2 Required results

a) Verify that the reporting interval is 5 s.

b) Verify that the EUT ignores the command given by message 23.

c) Verify that the EUT ignores the assignment command given by $PIWWIVD.

9.1.3 Increased reporting interval assignment

9.1.3.1 Increased reporting interval assignment by $PIWWIVD

(7.3.3.1, M.1371/A2-3.3.6, E.1.6)

9.1.3.1.1 Method of measurement

Set up the standard test environment and operate EUT in autonomous mode.

a) Apply a $PIWWIVD assignment to the EUT with a reporting interval greater than the autonomous reporting interval

b) Apply a $PIWWIVD assignment to the EUT with a reporting interval shorter than the autonomous reporting interval.

Record transmitted messages.
9.1.3.1.2 Required results

a) Confirm that the EUT transmits position reports with the autonomous reporting interval in $PIWWIVD.

b) Verify that EUT switches to assigned mode and transmits position reports with 2 seconds reporting interval. Verify that EUT reverts to autonomous mode after timeout period.

9.1.4 Addressing by station type

9.1.4.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode with a reporting interval of 10 seconds.

a) Transmit a Group Assignment command (message 23) to the EUT (define geographic region so that the EUT is inside this region). Set the reporting interval to 2 seconds and the station type to 0 (all stations).

b) Transmit a Group Assignment command (message 23) to the EUT (define geographic region so that the EUT is inside this region). Set the reporting interval to 2 seconds and the station type to 1 (Class A), 2 (Class B), 3 (SAR aircraft), 4 (Class B SO), 5 (Class B CS).

c) Transmit a Group Assignment command (message 23) to the EUT (define geographic region so that the EUT is inside this region). Set the reporting interval to 5 seconds and the station type to 6 (Inland Waterway). Apply this message to the VDL again within 4 minutes. Record VDL and check reaction of the EUT.

9.1.4.2 Required result

a) Verify that EUT switches to assigned mode and transmits position reports with 2 seconds reporting interval. Verify that EUT reverts to autonomous mode after timeout period.

b) Verify that EUT declines message 23.

c) Verify that EUT switches to assigned mode and transmits position reports with 5 seconds reporting interval. Verify that EUT reverts to autonomous operation mode after timeout period of second transmitted group assignment.

9.2 Inland AIS message formats

9.2.1 Received Inland specific messages

9.2.1.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

a) Apply following Inland specific messages using binary message (message 8) to the VDL:
   - Inland ship static and voyage related data Inland specific RFM 10 (DAC 200 / FI 10)
   - EMMA warning Inland specific RFM 23 (DAC 200 / FI 23)
   - Water level Inland specific RFM24 (DAC 200 / FI 24)
   - Signal status Inland specific RFM 40 (DAC 200 / FI 40)
   - Inland number of persons onboard Inland specific RFM 55 (DAC 200 / FI 55)
   - Number of persons on board International Function message 16 (DAC 001 / FI 16).
b) Apply following addressed Inland specific messages using binary message (message 6; EUT as destination) to the VDL.
   - RTA at lock/bridge/terminal Inland specific RFM 22 (DAC 200 / FI 22)
   - Inland number of persons onboard Inland specific RFM 55 (DAC 200 / FI 55)
   - Number of persons on board International Function message 16 (DAC 001 / FI 16).

c) Apply an addressed Inland specific messages using addressed binary message (message 6; other station as destination) to the VDL.

d) Apply position report (message 1, 2 or 3) with parameter “Blue sign set” and static and voyage related data (message 5) to the VDL.

Record transmitted messages and frame structure.

9.2.1.2 Required results

a) Confirm that EUT outputs the received message via the presentation interface properly. If implemented confirm that EUT displays received Inland specific message accordingly. Confirm that the content of RFM 10 is displayed according to Table 4 (6.4.1).

b) Confirm that EUT outputs the received message via the presentation interface properly. Check that EUT transmits the appropriate acknowledgement message for addressed messages. If implemented confirm that EUT displays received Inland specific message accordingly.

c) Confirm that the EUT does not output the message 6 (addressed to other station) on the presentation interface. If implemented confirm that EUT does not display the received Inland specific message addressed to other station as destination.

d) Confirm that EUT outputs the received message via the presentation interface properly. If implemented confirm that EUT displays the information “Blue sign set” only when Inland ship static and voyage related data RFM 10 (using message 8) has been received before.

9.2.2 Transmitted inland specific messages

(6.5.1, M.1371/A8)

Set up standard test environment and operate EUT in autonomous mode. Apply all static, dynamic and voyage related data to the EUT (over MKD, $--SSD, $--VSD, $PIWWIVD and $PIWWSSD). Record all messages on VDL and check the contents of the relevant messages. For all sub-points make sure that values transmitted to the EUT by MKD or PI sentences are stored in the EUT even after disconnecting the power supply. Examine VDL messages of EUT and figure out if defined values are used.

9.2.2.1 Position report message 1, 2 or 3

(6.5.4.1)

Blue Sign information may be derived by a direct connected switch or by the regional bits of the periodically received PI sentence ($--VSD). The presence of the direct connected switch shall be made available by automatic means or manual configuration. Ensure that Blue Sign information derived from direct connected switch has precedence of transferred NMEA commands (regional bits of $--VSD sentence).
9.2.2.1.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

a) Apply a valid VSD sentence with the regional application flag set to:
   “Blue sign not set” (0100bin),
   “Blue sign is set” (1000bin),
   “Blue sign information is not available” (0000bin).

b) Set the input data for Blue sign information in VSD to invalid (e.g. wrong checksum).

c) Apply a valid VSD sentence with the regional application flag set to 2. Disconnect VSD input for Blue sign information.

d) Connect Blue Sign switch to EUT in a way that the Blue Sign value is set to 1 (= not set).

e) Change Blue Sign value to 2 (= set) by direct connected switch to EUT.

f) Change Blue Sign value to 1 (= not set) by applying VSD sentence (regional bits of VSD sentence) to EUT.

g) Disconnect Blue Sign switch from EUT in a way that Blue Sign value is set to 0 (= not available).

9.2.2.1.2 Required results

a) Check the parameter blue sign in VDL message 1, 2, 3:
   1 = not engaged in special manoeuvre (blue sign not set),
   2 = engaged in special manoeuvre (blue sign set),
   0 = not available.
   Confirm that EUT transmits message 1 or 2 or 3 with blue sign value accordingly.
   Confirm that EUT does not transmit message 5 for unchanged data derived from PI sentence (VSD).

b) Confirm that EUT switches blue sign value to 0 (= not available) within 2 seconds after invalid input (check PI Output, VDO sentence) and that EUT transmits message 1 or 2 or 3 with blue sign value 0 (= not available).

c) Confirm that EUT switches blue sign value to 0 (= not available) within 2 seconds after invalid input (check PI Output, VDO sentence) and that EUT transmits message 1 or 2 or 3 with blue sign value 0 (= not available).

d) Confirm that EUT transmits message 1 or 2 or 3 with blue sign value 1 (= not set).

e) Confirm that EUT transmits message 1 or 2 or 3 with blue sign value 2 (= set).

f) Confirm that EUT ignores Blue Sign information derived from VSD sentence.

g) Confirm that EUT transmits message 1 or 2 or 3 with blue sign value 0 (= not available).

9.2.2.2 Ship static and voyage related data (Message 5 and RFM 10)

9.2.2.2.1 Method of measurement

Operate EUT in autonomous mode and record messages on VDL.

a) Configure several relevant ship and convoy combinations (in minimum it shall be tested for all extensions set to 0 (own ship only) and all extensions set to values not 0 and for internal and external position source).

b) Configure several ERI ship and combination types.

c) Configure ship and cargo type for message 5.
d) Configure draught in dm.
e) Switch off EUT by disconnecting power supply. Reconnect Power and record messages on VDL.

9.2.2.2 Required results
a) Confirm that EUT transmits the correct A, B, C, D values rounded up in message 5 and correct length and beam in RFM 10 according to the calculations defined in section 6.3.1 with the specified accuracy.
b) Confirm that EUT transmits the correct ERI ship and combination type in RFM 10 and the converted ship and cargo type in message 5.
c) Confirm that EUT transmits the correct ship and cargo type in message 5.
d) Confirm that EUT transmits the correct draught in cm in RFM 10 and in dm rounded up in message 5.
e) Confirm that the EUT transmits message 5 and RFM 10 with values unchanged.

9.2.2.3 ETA at lock / bridge / terminal RFM 21 (DAC 200 / FI 21) (if implemented)

(6.5.4.1)
This message shall be sent by Inland AIS stations only, to send an ETA report to a lock, bridge or terminal in order to apply for a time slot in resource planning. The message shall be sent with binary message 6. An acknowledgement by RFM 22 shall be received within 15 minutes. Otherwise the RFM 21 shall be repeated once.
Note: Alternatively this functionality can be implemented externally.

9.2.2.3.1 Method of measurement
a) Send application message RFM 21 (DAC 200 / FI 21) from EUT by using addressed binary message 6. Respond to this message via VDL within 15 minutes by using application message DAC 200 / FI 22. Record VDL for a time period greater than 15 minutes.
b) Send application message RFM 21 (DAC 200 / FI 21) from EUT by using addressed binary message 6 and do not respond to this message via VDL. Wait for a time period greater than 15 minutes and record VDL.

9.2.2.3.2 Required results
a) Confirm that EUT transmits AIS message 6 RFM 21 with proper content. Check that the responding application message RFM 22 (DAC 200 / FI 22) applied to VDL is outputted by EUT on ECDIS port. Confirm that EUT does not repeat application message RFM 21 after 15 minutes.
b) Confirm that EUT transmits AIS message 6 RFM 21 with proper content. Record VDL and check if EUT repeats application message RFM 21 after 15 minutes. Observe VDL for additional 15 minutes and confirm that EUT does not transmit application message RFM 21 again.
9.2.2.4 Persons on board RFM 55 (DAC 200 / FI 55)

(6.5.4.1)

This message shall be used by inland vessels only, to send the number of persons on board to a competent authority in order to inform about the number of persons on board. The message shall be sent with binary message 6 RFM 55 (DAC 200, FI 55).

9.2.2.4.1 Method of measurement

a) Initiate transmission of persons on board message as RFM 55 by MKD.
b) Initiate transmission of persons on board message as RFM 55 by ABM.
c) Initiate transmission of persons on board message as RFM 55 by BBM.

9.2.2.4.2 Required results

a) Confirm that EUT transmits AIS message 6 with proper content (check all numbers) as RFM 55.
b) Confirm that EUT transmits AIS message 6 with proper content as RFM 55.
c) Confirm that EUT transmits AIS message 8 with proper content as RFM 55.

9.2.3 Transmit inland specific interrogation messages

9.2.3.1 Transmit an interrogation for a specific FM (IFM 2)

9.2.3.1.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

Apply an ABM sentence containing an IFM 2 (Interrogation for a specific FM) using binary message 6 to request “Inland ship and voyage related data (RFM 10)”. Record transmitted messages.

a) Send an IFM 2, request DAC = 200 and requested FI = 10.
b) Send an IFM 2, request DAC = 200 and requested FI = 55.
c) Send an IFM 2, request DAC = 303 and requested FI = 10.

9.2.3.1.2 Required results

Check that EUT reacts as follows:

a) Verify that EUT sends interrogation message on VDL using binary message 6 and that DAC FI and requested DAC are correct.
b) Verify that EUT sends interrogation message on VDL using binary message 6 and that DAC FI and requested DAC are correct.
c) Verify that EUT sends interrogation message on VDL using binary message 6 and that DAC FI and requested DAC are correct.
9.2.3.2 Transmit a capability interrogation (IFM 3)

9.2.3.2.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Apply an ABM sentence containing IFM 3 (Capability Interrogation) using binary message 6 to the VDL with DAC = 001, FI = 3, Requested DAC = 200 and Requested FI = 10.

9.2.3.2.2 Required results

Check that the EUT transmits a binary addressed message 6 and confirm that the content of message is correct.

9.2.4 Response to inland specific interrogation messages

9.2.4.1 Response to “Capability interrogation” (IFM 3) with “Capability reply” (IFM 4)

9.2.4.1.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

a) Apply an IFM 3 (Capability interrogation) using addressed binary message (message 6) to the VDL with requested DAC = 200. Record transmitted messages.

b) Repeat the test with DAC = 303.

c) Repeat the test with DAC = 001.

9.2.4.1.2 Required results

a) Check that the EUT transmits the appropriate response “Capability reply” (IFM 4) using addressed binary message (message 6) addressed to the interrogator. Check the content of this message in accordance to the specification in ITU-R M.1371. Bit order of ‘FI capability table’:

<table>
<thead>
<tr>
<th>FI 0</th>
<th>FI 1</th>
<th>FI 2</th>
<th>FI 62</th>
<th>FI 63</th>
</tr>
</thead>
</table>

Verify that at least the DAC 200 / FI 10 and DAC 200 / FI 55 for Inland AIS are included in the binary structure. Confirm that the EUT transmits the response on the same channel as where the request was received.

b) Check that the EUT transmits the appropriate response “Capability reply” (IFM 4) using addressed binary message (message 6) addressed to the interrogator. Check the content of this message in accordance to the specification in ITU-R M.1371. Confirm that the EUT does respond with all values set to 0. Confirm that the EUT transmits the response on the same channel as where the request was received.

c) Check that the EUT transmits the appropriate response “Capability reply” (IFM 4) using addressed binary message (message 6) addressed to the interrogator. Check the content of this message in accordance to the specification in ITU-R M.1371.

Verify that at least the DAC 001 / FI 3 is included in the binary structure. Confirm that the EUT transmits the response on the same channel as where the request was received.
9.2.4.2 Response to interrogation for “Inland ship static and voyage related data” (RFM 10)

9.2.4.2.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Apply an IFM 2 (Interrogation for a specific FM) using binary message 6 to request “Inland ship and voyage related data” (RFM 10) to the VDL. Record transmitted messages.

a) Request “Inland ship and voyage related data” (RFM 10) with DAC = 200, FI 10.

b) Request “Inland ship and voyage related data” (RFM 10) with DAC = 303, FI 10.

9.2.4.2.2 Required results

Check that EUT reacts as follows:

a) EUT shall respond to interrogation with “Inland ship and voyage related data” (RFM 10) using binary message 6.

b) EUT shall not respond.

9.2.4.3 Response to interrogation for “Number of Persons on board” (RFM 55 and IFM 16)

9.2.4.3.1 Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

Apply an International Function message IFM 2 (Interrogation for a specific FM) using binary message 6 to request Inland number of persons onboard to the VDL. Record transmitted messages.

a) Request “number of persons on board” with DAC = 200, FI 55.

b) Request “number of persons on board” with DAC = 303, FI 55.

9.2.4.3.2 Required results

Check that EUT reacts as follows:

a) Confirm that EUT transmits AIS message 6 with proper content (check all numbers) as inland specific RFM 55.

b) EUT shall not respond.

10. High speed input

This test checks the configuration of the Inland AIS unit using the high speed input port.

10.1 Voyage data configuration

10.1.1 Method of measurement

a) Apply a VSD sentence with voyage related data.

b) Apply a PIWWIVD sentence with Inland specific voyage data.

c) Apply a VSD sentence with voyage related data with draught deviating from b).

d) Apply a query for VSD.
10.1.2 Required result
   a) Confirm that all data are accepted with exception of the draught.
   b) Confirm that all Inland specific voyage data are accepted with full resolution.
   c) Confirm that the draught from VSD is ignored.
   d) Confirm that a VSD and a PIWWIVD sentence are output with correct data.

10.2 Static data configuration

10.2.1 Method of measurement
   a) Apply a PIWWSSD sentence with static data, no preceding SPW sentence.
   b) Apply a PIWWSSD sentence with static data, preceding SPW sentence with incorrect password.
   c) Apply a PIWWSSD sentence with static data, preceding SPW sentence with correct password.
   d) Apply a SSD sentence with static data different to the currently stored values, preceding SPW sentence with correct password.
   e) Apply a query for SSD.

10.2.2 Required result
   a) Confirm that the data which are protected according to Table 7 (7.2.2) are not accepted. Confirm that the other data are accepted.
   b) Confirm that the data which are protected according to Table 7 (7.2.2) are not accepted. Confirm that the other data are accepted.
   c) Confirm that all static data of the PIWWSSD sentence are accepted.
   d) Confirm that the A, B, C, D values are ignored and all other static data of the SSD sentence are accepted.
   e) Confirm that a SSD and a PIWWSSD sentence are output with correct data and accuracy.

11. Long Range functionality tests

   Not mandatory for Inland AIS.
Annex A: (informative) Block diagram of AIS

*1) The external keyboard/display may be e.g. a radar, ECDIS or dedicated devices.
*2) The internal keyboard/display may be optionally

*1) The external keyboard/display may be e.g. a radar, ECDIS or dedicated devices.
*2) The internal keyboard/display may be optionally
Annex B: (normative) AIS Interface Overview

Sensor Inputs

Minimum required input sentences:
- Position: GNS, GLL, RMC
- SOG: RMC, VBW, VTG
- COG: RMC, VBW, VTG
- Heading: HDT
- Rate of Turn: ROT
- RAIM: GBS

*for priorities see IEC 61993-2

Input / Output of AIS Data

INPUT
- manual data input:
  - Voyage
  - VSD and $PIWWIVD
  - Static
  - SSD and $PIWWSSD

VDL-messages:
- ABM
- BBM
- AIR: interrogation

Other:
- ACA: channel ass.
- ACK: alarm ack.

OUTPUT
- VDL-messages:
  - VDM

(Data block of VDM representing binary Data contents of VDL messages)

Other:
- VDO: own ship data
- ALR: alarm status
- ABK: VDL ack.
- TXT: sensor status
- ACA: channel management information

Long Range Port (optional)

INPUT
- LRI, LRF

OUTPUT
- LRF, LR1,2,3

DGNSS-Data Port

Correction data information

BIIT Output Port
Annex C: (Normative) Additional PI port sentences for Inland AIS

C.1 Inland Waterway voyage data

$PIWWIVD,x,x,x,x,x,x,xxx,xxxx,xxx,x,x,x,x,x,x,x*hh<CR><LF>

<table>
<thead>
<tr>
<th>Field</th>
<th>Format</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>x</td>
<td>See Table 2.5 Reporting rate settings, default setting: 0</td>
</tr>
<tr>
<td>2</td>
<td>x</td>
<td>Number of blue cones: 0-3, 4=B-Flag, 5=default=unknown</td>
</tr>
<tr>
<td>3</td>
<td>x</td>
<td>0=not available=default, 1=loaded, 2=unloaded, rest not used</td>
</tr>
<tr>
<td>4</td>
<td>x.x</td>
<td>Static draught of ship 0 to 20,00 meters, 0=unknown=default, rest not used</td>
</tr>
<tr>
<td>5</td>
<td>x.x</td>
<td>Air draught of ship 0 to 40,00 meters, 0=unknown=default, rest not used</td>
</tr>
<tr>
<td>6</td>
<td>x</td>
<td>Number of assisting tugboat 0-6, 7=default=unknown, rest not used</td>
</tr>
<tr>
<td>7</td>
<td>xxx</td>
<td>Number of crew members on board 0 to 254, 255=unknown=default, rest not used</td>
</tr>
<tr>
<td>8</td>
<td>xxxx</td>
<td>Number of passengers on board 0 to 8190, 8191=unknown=default, rest not used</td>
</tr>
<tr>
<td>9</td>
<td>xxx</td>
<td>Number of shipboard personnel on board 0 to 254, 255=unknown=default, rest not used</td>
</tr>
<tr>
<td>10</td>
<td>x.x</td>
<td>Convoy extension to bow in (meter.decimeter = resolution in dm)</td>
</tr>
<tr>
<td>11</td>
<td>x.x</td>
<td>Convoy extension to stern in (meter.decimeter = resolution in dm)</td>
</tr>
<tr>
<td>12</td>
<td>x.x</td>
<td>Convoy extension to port side in (meter.decimeter = resolution in dm)</td>
</tr>
<tr>
<td>13</td>
<td>x.x</td>
<td>Convoy extension to starboard side in (meter.decimeter = resolution in dm)</td>
</tr>
</tbody>
</table>

In case of null fields the corresponding configuration setting shall not be changed.
C.2 Inland Waterway Static Ship data

This sentence is used to change settings, which are not covered by SSD and VSD.

$PIWWSSD,cccccccc,xxxx,x.x,x,x,x,x,x,x,x,x,x,x,x,x,x,x,x.x,x.x,x.x*x*hh<CR><LF>

<table>
<thead>
<tr>
<th>Field</th>
<th>Format</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ccccccc</td>
<td>ENI number</td>
</tr>
<tr>
<td>2</td>
<td>xxxx</td>
<td>ERI ship type according to ERI classification (see Vessel Tracking and Tracing Standard for Inland Navigation, (Annex E), according to ES-TRIN, Article 1.01(7.9))</td>
</tr>
<tr>
<td>3</td>
<td>x.x</td>
<td>Length of ship 0 to 800,0 meter</td>
</tr>
<tr>
<td>4</td>
<td>x.x</td>
<td>Beam of ship 0 to 100,0 meter</td>
</tr>
<tr>
<td>5</td>
<td>x</td>
<td>Quality of speed information 1=high or 0=low</td>
</tr>
<tr>
<td>6</td>
<td>x</td>
<td>Quality of course information 1=high or 0=low</td>
</tr>
<tr>
<td>7</td>
<td>x</td>
<td>Quality of heading information 1=high or 0=low</td>
</tr>
<tr>
<td>8</td>
<td>x.x</td>
<td>B value for internal reference position (distance reference point to stern)</td>
</tr>
<tr>
<td>9</td>
<td>x.x</td>
<td>C value for internal reference position (distance reference point to port side)</td>
</tr>
<tr>
<td>10</td>
<td>x.x</td>
<td>B value for external reference position (distance reference point to stern)</td>
</tr>
<tr>
<td>11</td>
<td>x.x</td>
<td>C value for external reference position (distance reference point to port side)</td>
</tr>
</tbody>
</table>

***